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Uncertainty Evaluation of the Unified Method for Thermo-Electric Module Characterization

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15 such as photovoltaic [8, 9], to recover the otherwise dissipated heat from the  
16 rear of solar panels. As generator, a TEM or an array of TEMs, could be also a  
17 valid alternative to batteries in giving an autonomous source of energy to sen-  
18 sor nodes [10–12] and wireless sensor networks [2, 9, 13, 14]. TEMs have also  
19 been extensively considered, as heating/cooling devices, to control the operating  
20 temperature of microelectronic devices to allow higher clock rates [15], in air  
21 conditioning systems [16] and for refrigeration applications [4, 17].

22 Performances of TEMs are generally given from manufacturers in some stan-  
23 dard operating conditions (for example at maximum heat flux) but, in real appli-  
24 cations, a TEM-based system rarely works at such conditions. For this reason,  
25 since a couple of years, a great research effort is being directed into TEM per-  
26 formance assessment under a broader range of ambient temperature and heat  
27 fluxes [18–21].

28 A typical specification provided by TEM manufacturers is the largest tem-  
29 perature difference  $\Delta T_{max}$  obtainable between the two faces of the module when  
30 cooling capacity is zero at cold side. This parameter is often specified in corre-  
31 spondence of no more than a couple of hot side temperature values (for instance  
32 300 K and 323 K) [22]. Conversely, when the TEM is designed for energy har-  
33 vesting, the power, voltage and maximum efficiency at matched load condition  
34 (i.e. load resistance equals the internal electrical resistance of the module  $R_{in}$ )  
35 are usually given. Clearly, all the values provided as product specifications are  
36 related only to ideal use cases and merely useful as general design criteria, but  
37 heavily inaccurate in most real applications.

38 In a recent work, the authors have developed a testbed to perform an Unified  
39 Method (UM) to quickly estimate the TEM’s equivalent electrical and thermal  
40 model parameters, i.e. the Seebeck coefficient  $\alpha_S$ , the internal electric resistance  
41  $R_{in}$  and the thermal equivalent resistance  $\Theta_{in}$ , in a wide range of temperature  
42 differences, ambient temperatures and electric loads [23]. In [23], two different  
43 current profiles, namely Current Sweep (CS) and Small Signal (SS) were applied  
44 to the TEM to derive all the parameters in a single test, using a quite simple  
45 configuration. In that paper a first analytical comparison among the standard

46 uncertainties obtained from the two proposals has been reported. The uncer-  
47 tainty assessment was based on the study of the linear regression problem in  
48 determining the internal resistance  $R_{in}$  and the Seebeck voltage  $V_{th}$  whereas  
49 the uncertainty on the estimation of the  $\Theta_{in}$  was evaluated by applying the  
50 standard uncertainty propagation proposed by the Guide to the Expression of  
51 Uncertainty in Measurement (GUM) [24]. The application of the GUM ap-  
52 proach is straightforward, however its application to the sensitivity analysis  
53 requires calculating the sensitivity coefficients through partial derivatives of the  
54 measurement model [25]. In most practical cases, the correlation coefficients  
55 and high-order uncertainty components are supposed negligible [26], but still  
56 the complexity of the measurement model makes this approach unfeasible. As  
57 better justified in Section 4, a good alternative to the GUM method are Monte  
58 Carlo (MC) simulations, like those described in [27], and [28]. The method simu-  
59 lates a high number of measurements by randomly sampling all input quantities  
60 from known probability distributions, thus numerically obtaining the distribu-  
61 tions of output quantities by straightforwardly applying the measurement model  
62 [25, 29].

63 In [30] all uncertainty sources are identified for both electrical resistivity and  
64 Seebeck coefficient; the former was measured using a potentiometric configura-  
65 tion, and the latter by applying the differential Seebeck method. As probes, two  
66 thermocouples mechanically clamped on the sample were used. The test was  
67 conducted in a furnace with temperature ranging from room temperature up to  
68 1200 K, whereas  $\Delta T$  was varied from 0 up to 10 K using a heater. Using the  
69 GUM approach, the authors have obtained, for the Seebeck coefficient, a tem-  
70 perature dependent and asymmetric uncertainty between +1.0% and -13.1%  
71 of the nominal value at high temperature and  $\pm 1.0\%$  near room temperature.  
72 The electrical resistivity was determined to be  $\pm 7.0\%$  across any measurement  
73 temperature [30].

74 A similar approach was applied in [31] where uncertainties less than 5% and  
75 4% were found for the measurement of the Seebeck coefficient and electrical  
76 resistivity respectively, but the characterization was made near room tempera-

77 ture with a small temperature gradient ( $\sim 3$  K). Another uncertainty analysis  
78 of a thermoelectric materials characterization procedure near room tempera-  
79 ture can be found in [32], where some of the measurement systems developed  
80 at Fraunhofer Institute for Physical Measurement Techniques are summarized  
81 and a 10% accuracy is estimated for Seebeck coefficient, electrical conductivity  
82 and thermal conductivity, whereas the uncertainty for the measurement of the  
83 figure of merit was estimated to about 40%.

84 Whereas the aforementioned works are mainly oriented to describe, in terms  
85 of uncertainty, only the electrical parameters in samples of bulk thermoelec-  
86 tric materials, this paper focuses on the detailed uncertainty evaluation and on  
87 the sensitivity analysis to individual input uncertainties of both electrical and  
88 thermal parameters of a TEM.

89 In Section 2, the mathematical models with the main inputs, outputs and  
90 the UM are described, pointing out the advantages introduced by the proposed  
91 method. Afterwards, in Section 3, the testbed for electrical and thermal charac-  
92 terization is briefly outlined and the uncertainty contributions from each source  
93 and known parameters are detailed. In Section 4 the approach to the metrolog-  
94 ical characterization of the proposed technique is introduced by specifying the  
95 input uncertainty contributions considered in the Monte Carlo Simulation and  
96 explaining how the sensitivity of each output quantity to such contributions has  
97 been assessed. Finally, in Section 5, experimental results are presented and,  
98 consequently, conclusions are drawn.

## 99 2. The TEM Unified Method

100 To characterize the electrical behavior of a TEM, the Seebeck coefficient  $\alpha_S$   
101 and the internal resistance  $R_{in}$  must be estimated. The whole measurement  
102 procedure is outlined in Figure 1. First, the Kirchhoff's voltage law at the  
103 terminals of the module can be considered:

$$104 \quad V = R_{in}I + \alpha_S\Delta T \quad (1)$$

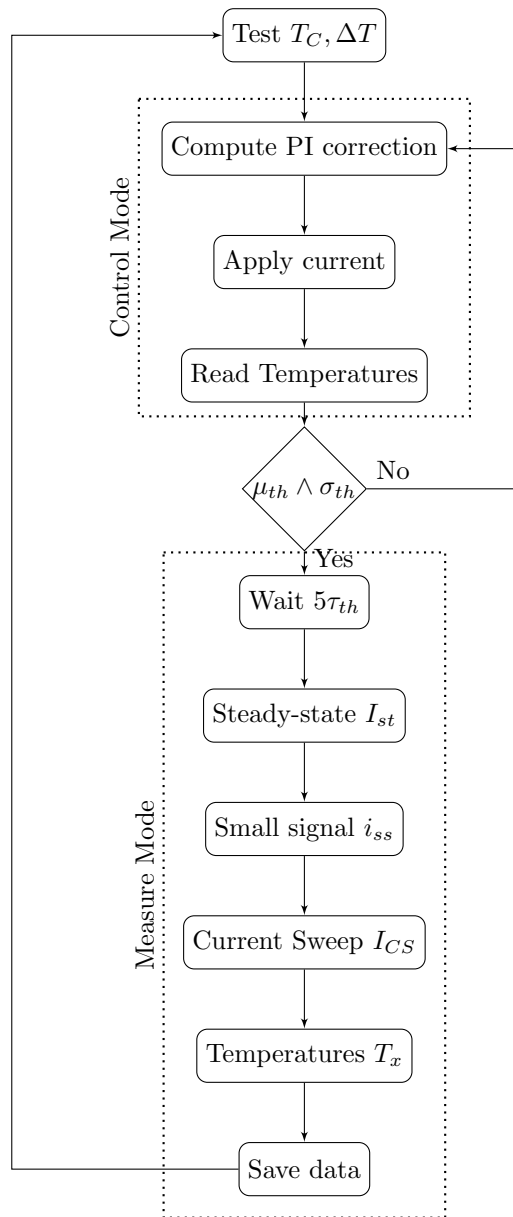


Figure 1: Measurement process flow chart

105 Conversely, the thermal behavior of a TEM can be described by the thermal  
 106 resistance  $\Theta_{in}$  of the module. Its value is derived by measuring the emitted and  
 107 absorbed heat fluxes  $q_{em}$  and  $q_{abs}$ , and solving the energy balance between the  
 108 Peltier effect, the heat conduction and the Joule effect, averaging the respective  
 109 equations

$$110 \quad \begin{cases} q_{em} = \alpha_S I T_h - \frac{T_h - T_c}{\Theta_{in}} + \frac{I^2 R_{in}}{2} \\ q_{abs} = \alpha_S I T_c - \frac{T_h - T_c}{\Theta_{in}} - \frac{I^2 R_{in}}{2} \end{cases} \quad (2)$$

111 where  $T_h$  and  $T_c$  are, respectively, the temperature of the hot and cold side.

112 The thermoelectric performance relies directly on the dimensionless thermo-  
 113 electric figure of merit  $Z\bar{T}$  which summarizes the bulk material properties and  
 114 allows comparisons between different TEMs.

$$115 \quad Z\bar{T} = \frac{\alpha_S^2 \Theta_{in}}{R_{in}} \bar{T} \quad (3)$$

116 where  $\bar{T}$  is the average temperature between the hot and the cold side of the  
 117 module, as defined in Section 3.1.

118 From equation (3),  $Z\bar{T}$  is a function of the electrical resistance, the Seebeck-  
 119 coefficient and the thermal resistance and can be determined by measuring  $\alpha_S$ ,  
 120  $R_{in}$  and  $\Theta_{in}$  separately [33] or directly using the Harman Method (HM) [34] or  
 121 other transient methods [35]. An example of direct measurement of the Figure  
 122 of Merit is the “Z meter”, a technique for rapid pass/fail test of TEMs with  
 123 moderate accuracy [36].

124 However, all three quantities in (3) give information about electric and ther-  
 125 mal processes in a material. Consequently, in thermoelectric research but also  
 126 in commercial devices, it is most common to estimate each parameter individ-  
 127 ually to obtain the figure of merit and to determine the transport properties of  
 128 material samples. It is to be noted that the HM can only be carried out under  
 129 small temperature differences.

130 For example,  $\alpha_S$  and  $\Theta_{in}$  are usually measured under a temperature dif-  
 131 ference of 10 – 20 K without an electric current flowing through the material  
 132 sample, while  $R_{in}$  is measured at isothermal conditions by applying a small ex-

133 citation current (in the order of a few milliamperes) [33]. Similarly, the HM is  
134 only valid if the Joule heat generation is negligible, thus a weak current and a  
135 small temperature difference should be imposed [34].

136 Generally, a TEM is usually operated under much larger temperature differ-  
137 ences, with significant electric currents flowing in the module; this is the case  
138 of the characterization of thermoelectric power generators. In such scenarios,  
139 the above-mentioned techniques do not provide measurement results relevant to  
140 actual operating conditions.

141 A lot of research has already been published reporting characterization tech-  
142 niques for TEMs under such operating conditions [37–39]. However, the pre-  
143 sented techniques adopt complex setups, requiring mechanical components to  
144 compress the test stack and a combination of heat sink/sources with both vac-  
145 uum and circulating pumps to keep the thermal gradient constant and to avoid  
146 heat dissipation phenomena. A comprehensive survey of different approaches  
147 and testbeds is given in [40].

148 Another interesting procedure implementing a transient method is proposed  
149 by McCarty [41], where a  $V$ – $I$  curve tracing method is described that esti-  
150 mates also the average thermal resistance of a TEM. This method requires only  
151 four data points obtained by switching a relay (short circuit condition, open  
152 circuit condition and the two transitions at near thermal steady state). No  
153 reproducibility nor accuracy information is, however, provided for the method.

154 The UM for TEM characterization has been introduced to overcome the  
155 limitations of the previous methods. Using this method, it is possible to fully  
156 characterize a TEM in two different quadrants of the  $P$  –  $I$  plane, i.e. in both  
157 energy-generating and heating-cooling mode [42] with a good accuracy. Many  
158 different operating conditions, typical in temperature control scenarios, can be  
159 explored by setting the room temperature  $T_a$  (i.e. the cold side temperature of  
160 the TEM) and the temperature difference  $\Delta T$  between the two sides. Measured  
161 module parameters can then be used to accurately simulate TEM performance  
162 in real life scenarios.

163 The UM is based on a measurement scheme whose complexity can be adapted

164 according to the required uncertainty or to the available instrumentation, using  
165 two different measurement techniques. As detailed in [23], the UM, consist in  
166 applying first a constant current to reach a given steady state, which results in a  
167 temperature difference  $\Delta T$  between the two sides obtained using a Proportional-  
168 Integrative (PI) controller in a closed-loop feedback; then, a stimulus signal  
169 is applied and the resulting current flowing in the module and voltage at its  
170 terminal are acquired. Two different stimuli are proposed:

- 171 • the *small signal* (SS) consists in sinusoidal stimulus with **an amplitude of**  
172 **approximately 12 mA** that is added to the steady state current. It requires  
173 no previous assumption and is generally faster because the bias point is  
174 not altered. This stimulus requires a simple power driver, but produces  
175 worse results in terms of uncertainty for increasing values of  $\Delta T$ ;
- 176 • the *sweep signal* consists in a current sweep (CS) from the bias value to  
177 its opposite. It requires a previous identification of the dynamic model  
178 of the module and a 4-quadrant power amplifier, but produces far more  
179 better results in terms of accuracy.

### 180 **3. Experimental setup**

181 The above described steps have been implemented in an automatic test pro-  
182 cedure that carries out measurements over customizable combinations of the  
183 cold side temperature  $T_c$  and the working  $\Delta T$ . This last parameter is, in par-  
184 ticular, set by imposing a steady state current  $I_{st}$  to the TEM and exploiting  
185 the Peltier effect. The whole test was conducted inside a Discovery Es 250 (DY-  
186 250) climate chamber by Angelantoni Group S.p.A., that brings the cold side of  
187 the module to the ambient temperature. The developed testbed automatically  
188 sweeps along a wide range of electrical load conditions using only a DAQ board  
189 and a 4-quadrant transconductance amplifier as shown in Figure 2.

190 Measurements for thermal characterization have been performed by using  
191 two heat flux sensors. Each one was implemented by means of three layers:

- 192 • Aluminum (2 mm)



- 213 •  $V_L$  is not processed
- 214 •  $V_s$  is divided by  $R_s$  to obtain  $I$ .  $R_s$  is a  $1 \Omega \pm 0.0035\%$  shunt resistor  
215 measured with an Agilent 3458A  $8^{1/2}$  digital multimeter in 4-wires con-  
216 figuration
- 217 •  $T_a$  and  $T_{c_j}$  are obtained from respective voltages using **two LM35A in-**  
218 **tegrated thermal sensors by Texas Instruments, with** nominal sensitivity  
219  $S = 10 \text{ mV}/(\text{K})$
- 220 •  $T_1, T_2, T_3, T_4$  are computed using NIST coefficients for J-type thermo-  
221 couples and applying a software cold-junction compensation as described  
222 in subsection 3.4
- 223 •  $q_{em}$  and  $q_{abs}$  are computed as described in [23]

$$224 \quad \begin{cases} q_{em} = \frac{T_3 - T_4}{\Theta_{ref}} \\ q_{abs} = \frac{T_2 - T_1}{\Theta_{ref}} \end{cases} \quad (4)$$

225 where  $\Theta_{ref} = 8.15 \text{ K/W}$

- 226 •  $T_c$  and  $T_h$  are derived by computing the temperature drop on the ceramic  
227 layers induced by the heat fluxes ( $\Delta T = T_h - T_c$ ,  $\bar{T} = (T_h + T_c)/2$ )

$$228 \quad \begin{cases} T_h = T_3 + q_{em} \Theta_{cer} \\ T_c = T_2 + q_{abs} \Theta_{cer} \end{cases} \quad (5)$$

229 where  $\Theta_{cer} = 0.02 \text{ K/W}$

- 230 •  $V_{th} = \alpha_S \Delta T$  and  $R_{in}$  are derived using equation (1), applying a mixed  
231 least squares linear regression [43] to the acquired values  $I, V_L$
- 232 •  $\alpha_S$  is then obtained as ratio of  $V_{th}$  to  $\Delta T$
- 233 •  $\Theta_{in}$  is computed using equation (6) already obtained in [23]

$$234 \quad \Theta_{in} = \frac{2(T_h - T_c)}{\alpha_S I_{st}(T_h + T_c) - (q_{em} + q_{abs})} \quad (6)$$

Table 1: Rated specifications at matched load conditions for TES1-12730 Thermoelectric Module by Thermonamic

$T_h$ Hot side [K]	$\Delta T_{max}$ <sup>3</sup> Cold-side [K]	$V_{max}$ Voltage <sup>1</sup> [V]	$I_{max}$ Current <sup>2</sup> [A]	$R_{max}$ Resistance <sup>4</sup> [Ω]
300	70	16.2	3.5	3.47
323	79	16.9	3.5	3.74

Notes:

<sup>1</sup> Maximum voltage at  $\Delta T_{max}$  and respective  $T_h$

<sup>2</sup> Maximum current to achieve  $\Delta T_{max}$

<sup>3</sup> Maximum temperature difference occurs at  $I_{max}$ ,  $V_{max}$ , and  $Q = 0$  W

<sup>4</sup> Maximum resistance rated at AC conditions

### 235 3.2. TEM module

236 The characterization was performed using a TES1-12730 from Thermonamic  
 237 Electronics Corporation [22], a low-cost commercial module designed for cooling  
 238 applications. The module has an area of 30x30 mm<sup>2</sup> and a thickness of 3.6 mm,  
 239 with Alumina (Al<sub>2</sub>O<sub>3</sub>) ceramic wafers. Its performances are declared by the  
 240 manufacturer for two different working conditions, reported in Table 1. Also a  
 241 couple of performance curves that provide the user with the qualitative trend  
 242 of some parameters when  $\Delta T$  varies are reported.

243 For such parameters a 10% tolerance is given with respect to product spec-  
 244 ifications.

### 245 3.3. Data acquisition system

246 All the voltages have been acquired using a National Instruments (NI) USB-  
 247 6361 X Series data acquisition (DAQ) board, with eight 16-bit fully differential  
 248 analog input channels able to provide sample rates up to 2 MS/s for single-  
 249 channel acquisitions and up to 125 kS/ch/s for eight-channel acquisitions [44],  
 250 extended with a BNC2110 DAQ accessory.

251 The adopted sampling frequency  $f_S = 160$  Hz guarantees that the settling  
 252 time requirements for multichannel measurements are largely met for each mea-  
 253 surement range and for different source impedances as reported in the datasheet.  
 254 For the sake of clarity, all information relative to each data channel have been  
 255 reported in Table 2.

Table 2: NI USB-6361 channels resume

Symbol	Source	Description	Range (V)	Settling time ( $\mu\text{s}$ ) <sup>b</sup>	
$V_L$	Load	Load voltage	$\pm 10$	1.5	
$V_S$	$R_S$	Shunt voltage	$\pm 5$	1.5	
$T_a$	LM35A	Temperature	Room	$\pm 1$	1.5
$T_{cj}$	LM35A		Cold Junction	$\pm 1$	1.5
$T_4$	J-type ThC		Layer 4	$\pm 0.1$	8
$T_3$	J-type ThC		Layer 3	$\pm 0.1$	8
$T_2$	J-type ThC		Layer 2	$\pm 0.1$	8
$T_1$	J-type ThC		Layer 1	$\pm 0.1$	8

<sup>b</sup>  $\pm 15$  ppm of Step ( $\pm 1$  LSB for Full Scale Step)

256 Firstly, the DAQ board has undergone a self-calibration procedure to reduce  
 257 the relative standard uncertainties in the interval 0.0046 - 0.0079 % of the full-  
 258 scale as reported in Table 3. The specifications given in the Table are obtained  
 259 following the worst case rule [45], as specified in the DAQ datasheet [44].

### 260 3.4. Temperature Sensors

261 The temperatures of the stacked layers are acquired using four grounded J-  
 262 type bare thermocouples by RS Pro, with 1 m wires and suitable for temperature  
 263 measurement in the range 223 K to 523 K. The manufacturer also provides a  
 264 standard tolerance for thermocouples “J” class 1 of  $\pm 1.5$  K in the range 233 K  
 265 to 648 K.

266 The cold-junction compensation, as well as the measurement of the temper-  
 267 ature inside the climate chamber, are both performed using two LM35A sensors  
 268 by Texas Instruments, which provide a typical accuracy of  $\pm 0.2$  K at 300 K  
 269 room temperature and a worst-case accuracy of  $\pm 0.5$  K at 423 K.

Table 3: NI USB-6361 uncertainty specifications (as percentage of  $V_{FS}$ , at full scale)

$V_{FS}$	$u_r(G)\%$	$u_r(O)\%$	$u_r(INL)\%$	$u_r(Q)\%$	$u_r(DAQ)\%$
0.1	0.0063	0.0031	0.00346	0.00044	0.0079
1	0.0038	0.0011	0.00346	0.00044	0.0053
5	0.0033	0.0009	0.00346	0.00044	0.0049
10	0.0029	0.0009	0.00346	0.00044	0.0046

270 **4. Metrological Characterization**

271 In general, in this discussion, the term *uncertainty* will be a shorthand for  
272 *standard uncertainty*, as defined in the GUM (clause 2.3.1). Also the definition  
273 of Type A and Type B uncertainties is that provided by the GUM (clauses 2.3.2  
274 and 2.3.3).

275 The mathematical models for the relevant output quantities are not easy to  
276 treat analytically for three main reasons:

- 277 • The number of input uncertainty contributions is high ( $n = 21$ , considering  
278 Type A and B contributions)
- 279 • Models are complicated and involve many intermediate results with which  
280 uncertain quantities are combined
- 281 •  $\alpha_S$  and  $R_{in}$  are measured by means of linear regression performed on  
282 measured voltages and currents that are, in turn, uncertain.

283 While the first and the second issue may be overcome by means of symbolic  
284 computation engines, the last one poses a methodological concern that cannot  
285 be addressed by straightforward application of the GUM approach [24]. In fact,  
286 while the voltage across the TEM is directly measured by DAQ board channel,  
287 the current is measured indirectly as the ratio of voltage and resistance on a  
288 shunt resistor; all three quantities involved introduce uncertainty contributions  
289 of which only Type B evaluations are available.

290 Such a complex statistical model, however, can be straightforwardly im-  
291 plemented in a suitable Monte Carlo Simulation, which is a powerful tool for  
292 uncertainty analysis, relieving from the need of complicated analytical compu-  
293 tations. On the other hand, some pitfalls in the Monte Carlo approach must  
294 be avoided: in particular, the simulation implemented here does not follow the  
295 scheme described in GUM Supplement 1 and 2 [46, 47], since it has some rec-  
296 ognized issues.

297 In short, the Supplement 1 method (extended by Supplement 2 for the case of  
298 multiple output quantities [48]) aims at evaluating the posterior distribution of

Table 4: Individual Monte Carlo runs for the sensitivity analysis

Class	Monte Carlo run	Uncertain quantities	Description
Thermal	#1	$DAQ(T_a)$	Type A and B on DAQ channel for ambient temperature
	#2	$LM35(T_a)$	Type B on LM35 for ambient temperature
	#3	$DAQ(T_j)$	Type A and B on DAQ channel for cold junction temperature
	#4	$LM35(T_j)$	Type B on LM35 for cold junction temperature
	#5	$DAQ(T)$	Type A and B on DAQ channel for thermocouples
	#6	$TC$	Type B on temperature from thermocouples
Electrical	#7	$DAQ(V_{Sh})$	Type B on DAQ channel for voltage on shunt resistor
	#8	$DAQ(R_S)$	Type B on shunt resistance
	#9	$DAQ(V_{Ld})$	Type B on DAQ channel for load voltage

299 the measurand, in a Bayesian sense, and reaches its goal with a straightforward  
300 propagation of state-of-knowledge distributions. This procedure is equivalent to  
301 a simplified Bayesian analysis, and as such can give inconsistent results, corre-  
302 sponding to an erroneous choice of the prior distribution of the measurements.  
303 Theoretical analyses of the approach are, for example, in [49–52], and practical  
304 examples of clearly unsatisfactory results obtained by this approach in common  
305 problems are, for example, in [51, 53].

306 In this paper, instead, the Monte Carlo approach is used to perform nu-  
307 merical sensitivity analysis, by simulating “physical” measurement errors in the  
308 input quantities, and propagating them through the mathematical model of the  
309 measurement system. In particular, one uncertainty contribution at a time is  
310 considered [28]. This method, sometimes called “one at a time” (OAT) [27], con-  
311 sists in performing a separate Monte Carlo run for each of the  $n$  uncertain input  
312 quantities. Each run results in a specific partial uncertainty  $\hat{u}_i(y)$ , generated by  
313 a single input uncertainty contribution. In the end, therefore, a set of  $n$  distinct  
314 partial uncertainties  $\hat{u}_i(y)$  for the measurement model  $y = f(x_1, x_2, \dots, x_n)$  is  
315 computed.

316 This Monte Carlo algorithm has been implemented in the MATLAB envi-  
317 ronment. The evaluations under every considered value of ambient temperature  
318 and temperature gradient are performed in parallel to speed up the computation.  
319 To perform the OAT sensitivity analysis, in each run only a single uncertainty  
320 contribution has been activated, except from the last run where all contribu-

321 tions were active to compute the global combined uncertainty. To evaluate the  
 322 contribution of each source in a meaningful way, individual uncertainty contri-  
 323 butions have been grouped as in Table 4. Basically, for DAQ channels, Type A  
 324 and Type B uncertainties have been combined as suggested in the GUM ([24],  
 325 Clause 4.3.7, Example 2) so that a more compact representation of sensitivities  
 326 could be given.

327 All Type A uncertainty contributions have been simulated with random  
 328 errors drawn from normal distributions with zero mean and  $\hat{u}_A(x_i)$  standard  
 329 deviation. On the other hand, all Type B uncertainty contributions have been  
 330 simulated with random errors drawn from uniform distributions with zero mean  
 331 and range  $2u_B(x_i)$ , since no further detail was given by sensors and DAQ man-  
 332 ufacturers.

333 It is also worth observing that the last three runs deal with measurement  
 334 data that, following the mathematical model, are used for a mixed least squares  
 335 regression (Section 3.1). This means that runs #7, #8 and #9 include the  
 336 statistical contribution of 160 measurements each that, however, are indepen-  
 337 dent of each other and, consequently, are independently perturbed in the Monte  
 338 Carlo algorithm.

339 A clear and interesting way to compare the contribution of input uncertain-  
 340 ties is to express the sensitivities as partial contributions to the total output  
 341 variance. That is, as suggested by Sobol [54]:

$$342 \quad u = \frac{u_i^2(Y)}{u^2(Y)} \quad (7)$$

343 where  $\hat{u}_i^2(Y)$  are the output uncertainties from each OAT step and  $\hat{u}^2(Y)$  is the  
 344 total variance, estimated in the last Monte Carlo run.

345 Finally, as a simple yet effective validation step, for each output quantity  $y$   
 346 it has been verified that

$$347 \quad \sum_{i=1}^{N_{run}} u_i^2(y) \simeq u^2(y) \quad (8)$$

348 where  $u(y)$  is the standard uncertainty computed in the Monte Carlo run where  
 349 all contributions are active. This verification step has also highlighted that

350 correlations between uncertainty components are negligible.

## 351 5. TEM Parameters Results

### 352 5.1. Operating conditions

353 Measurements have been performed under different operating conditions of  
354 the TEM, defined by an equally spaced grid in the  $(T_C, \Delta T)$  space for 283 K  $\leq$   
355  $T_C \leq 323$  K and 10 K  $\leq \Delta T \leq 45$  K. As discussed in Section 2, the  $T_C$  and  
356  $\Delta T$  steady states have been set by means of a PI controller and, thereafter,  
357 their values have been measured. Therefore, the uncertainty on the operating  
358 conditions themselves must be investigated before the sensitivity analysis can  
359 be carried out.

360 The Monte Carlo algorithm shows that the most critical of the two param-  
361 eters is  $\Delta T$ , resulting from the combination of two temperature measurements  
362 performed with thermocouples. The relative standard uncertainty  $u(\Delta\hat{T})/\Delta\hat{T}$ ,  
363 in fact, rapidly grows as  $\Delta T$  decreases under 15 K.

364 For example, by applying the DAQ board specification for  $\Delta T = 45$ K, the  
365 differential voltage is about 2.4 mV measured on a full scale range of 0.1 V.  
366 The relative standard uncertainty is, in this case, about 40 times higher than  
367 at full-scale range, and for lower values of  $\Delta T$  it is even worse.

368 The trend, as shown in Figure 3, is the same for all  $T_c$  values. The un-  
369 certainty on  $T_c$ , on the other hand, obviously increases for low temperatures,  
370 however it does not rise over 1.2% even for  $T_c$  close to 283 K. This is also due  
371 to the fact that  $\Theta_{cer}$  in Equation (5) is small, and so is the temperature drop  
372 on the ceramic layers.

373 This preliminary analysis suggests in which region of the investigated steady  
374 states the uncertainty evaluation can give consistent results. Conventionally,  
375 results will be given for  $\Delta T$  values whose uncertainty is not greater than  $\sim 10\%$ ,  
376 i.e.  $\Delta T \geq 12$  K.

377 This does not invalidate at all the characterization procedure, since the TEM  
378 used as Devices Under Test are commonly designed to be operated when the

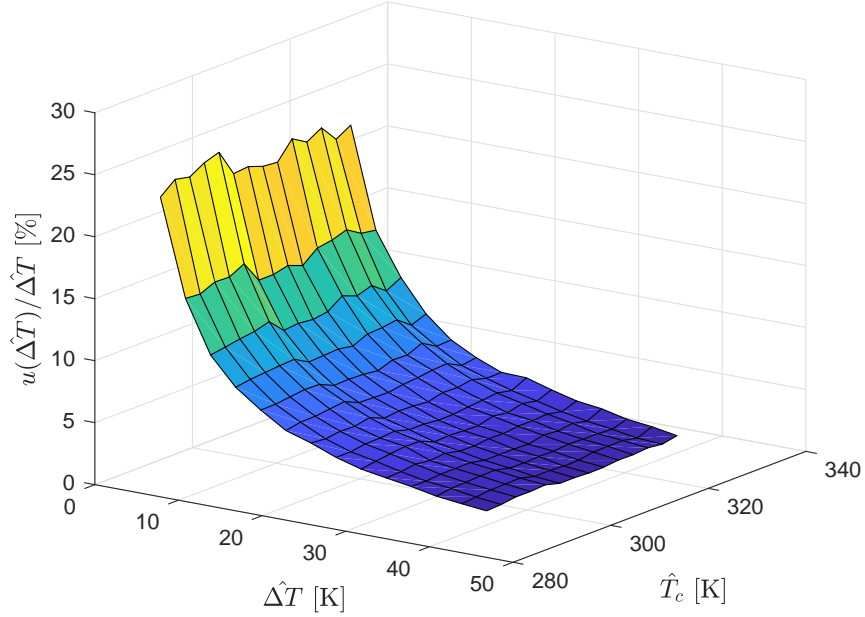


Figure 3: Relative uncertainty on  $\Delta T$  measurements in the  $(T_c, \Delta T)$  space

379 temperature gradient is in the order of some from few tens up to hundreds  
 380 Kelvin degrees [22, 23].

381 Nevertheless, it is worth examining the uncertainty contributions to  $\Delta T$   
 382 measurements in slightly more detail:

- 383 • Thermocouple coefficients ( $\sim 78.45\%$  of total variance)
- 384 • DAQ measurements on 4 separate channels ( $\sim 21.55\%$  of total variance)

385 Among the two, the effect of thermocouple coefficients is predominant. It is  
 386 an exclusively sensor-related contribution, suggesting that using more accurate  
 387 sensors than thermocouple would allow achieving significant results from the  
 388 characterization procedure also for lower values of  $\Delta T$  than those discussed in  
 389 the next Section.

390 *5.2. Sensitivity analysis*

391 In this Section, the results from OAT sensitivity analysis are presented. As  
 392 thoroughly discussed in Section IV, ten MC runs have been performed, one for  
 393 each input uncertainty contribution, plus a run with every contribution active  
 394 at once.

395 The first result of the analysis is that five of the nine uncertainty components  
 396 outlined in Table 4 give almost no contribution to the total output variance for  
 397 every output parameter, i.e. their contribution is several orders of magnitude  
 398 lower than the others. Therefore, only the relevant contributions will be shown  
 399 in the following bar graphs for the sake of readability.

400 Moreover, for all the parameters that are measured with both SS and CS  
 401 methods, an error figure will be given to represent how much the estimates  
 402 coming from each method deviate from each other. Such error is computed, for  
 403 all  $y$  outputs, as follows:

$$404 \quad e_Y = \frac{\overline{\overline{|Y_{SS} - Y_{CS}|}}}{\max_{T_c, \Delta T} Y - \min_{T_c, \Delta T} Y} \quad (9)$$

405 where the double bar operator defines the average taken over both  $T_c$  and  $\Delta T$ .  
 406 The global range of  $Y$  is chosen as reference for this error figure.

407 One may also immediately observe that results obtained with the SS method  
 408 appear more “irregular” than those coming from the CS method (see, e.g. the  
 409 figure of merit  $Z\bar{T}$  in Figure 8). This is a consequence of electrical parameters  
 410 ( $V_{th}$  and  $R_{in}$ ) being estimated by means of a linear regression computed on  
 411 narrowly spaced points, that is exactly the shortcoming of the SS method.

412 As last remark, it can be shown that, on the  $(T_c, \Delta T)$  space, the uncertainties  
 413 shows relevant trends only with respect to  $\Delta T$  for every output parameter. A  
 414 slice of the mesh plot taken for a conventional value of  $T_c$ , therefore, contains  
 415 all relevant information about how the uncertainties change in the space of  
 416 operating conditions, also with enhanced readability. Thence, the experimental  
 417 results will be presented as follows:

- 418 • Parameter values: mesh plots ( $283 \text{ K} \leq T_c \leq 323 \text{ K}$  and  $12 \text{ K} \leq \Delta T \leq$

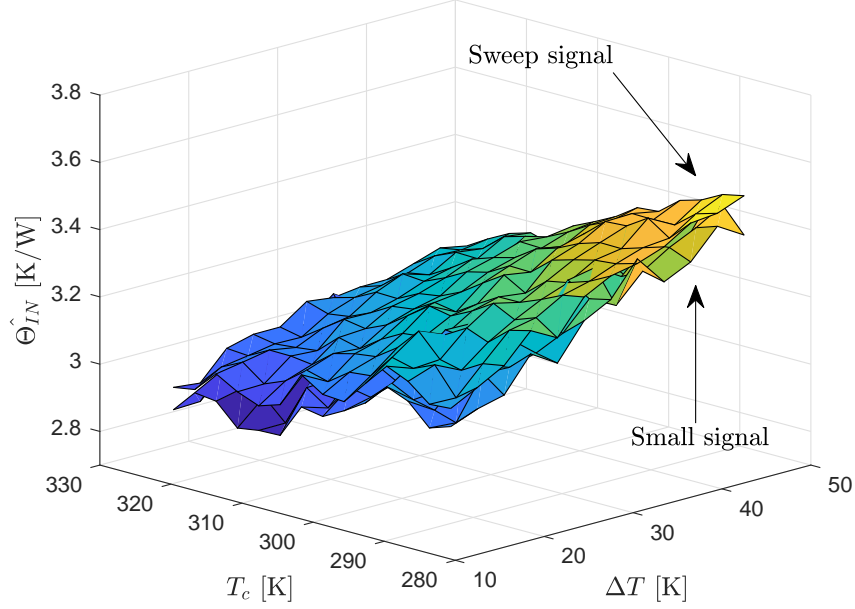


Figure 4: Thermal resistance of the TEM measured with both proposed methods ( $e_{\Theta_{in}} = 0.21$ )

419 45 K)

- 420 • Total relative uncertainties: 2D plots ( $T_c = 300$  K and  $12$  K  $\leq \Delta T \leq$
- 421 45 K).

#### 422 5.2.1. $\Theta_{in}$ thermal resistance

423 The SS and CS methods exhibit the same performance in terms of relative  
 424 uncertainty and the maximum SS deviation from the CS value is less than 10%.  
 425 This suggests that the proposed methods can be considered equivalent with  
 426 respect to  $\Theta_{in}$  measurement.

#### 427 5.2.2. $\alpha_S$ Seebeck coefficient

428 As for  $\Theta_{in}$ , the SS and CS methods exhibit the same performance in terms  
 429 of total uncertainty, thermocouple coefficients giving the highest contribution.  
 430 As visible in Figure 6(b), the Seebeck's coefficient measurement with the SS

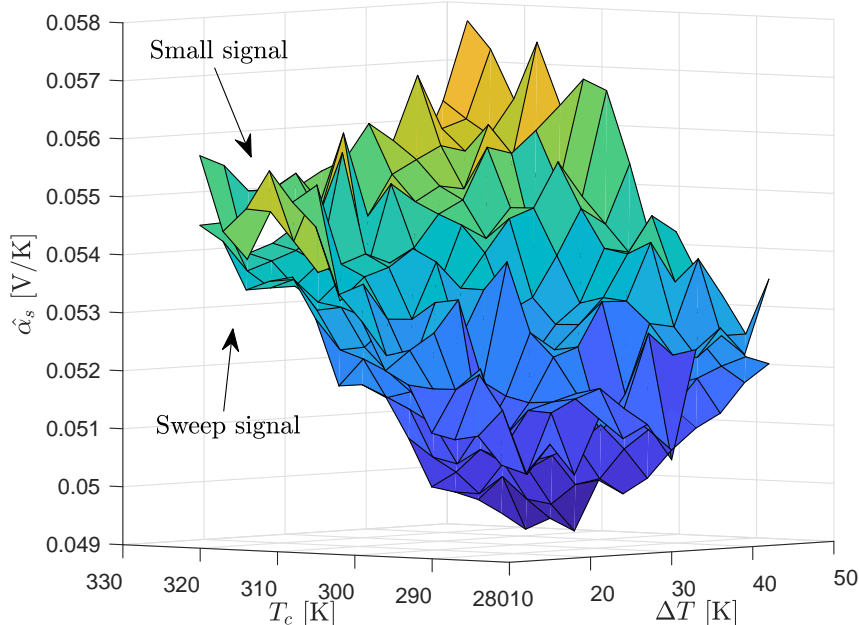


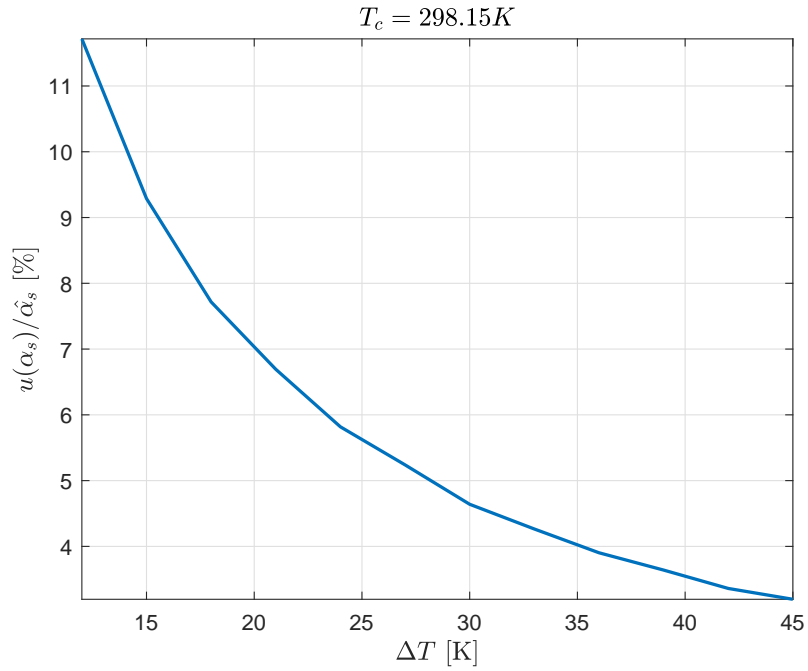
Figure 5: Seebeck coefficient measured with both proposed methods ( $e_{\alpha_S} = 0.26$ )

431 method is slightly more sensible to  $V_s$  and  $V_L$  uncertainties than  $\Theta_{in}$ ; this  
 432 difference propagates sensibly to  $Z\bar{T}$  (Figure 9(a)).

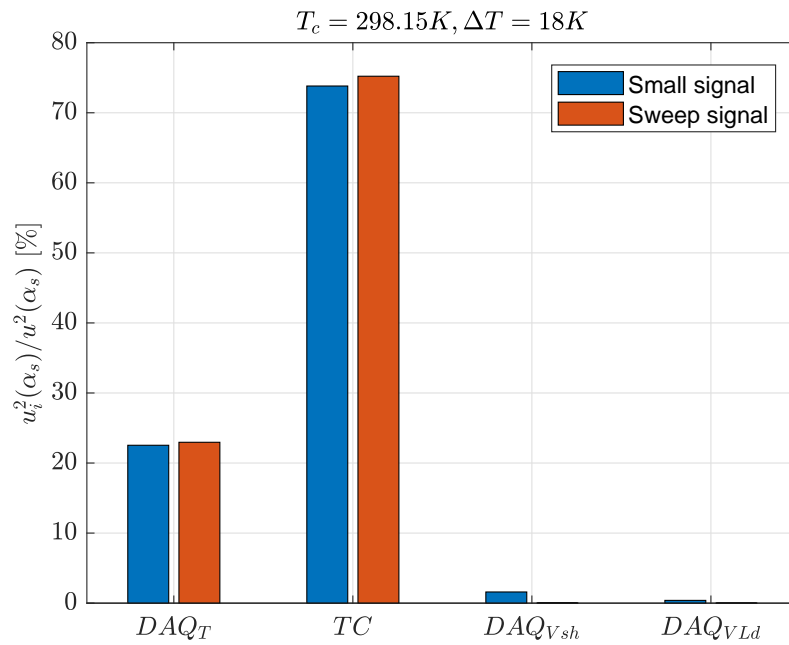
433 *5.2.3. Seebeck voltage and electrical resistance*

434 These two parameters are computed from the same set of measurements,  
 435 thence they are hereby jointly discussed. Although, as shown in Figure 7, mea-  
 436 surement results provide sensibly different values in the operating conditions  
 437 space, the measurement model for both  $R_{in}$  and  $V_{th}$  does not directly depend  
 438 on any temperature measurement. The shunt resistor has been measured with  
 439 an Agilent 3458A 8<sup>1/2</sup> digits multimeter, therefore its contribution to current  
 440 measurements can be neglected.

441 The outcome of the Monte Carlo analysis, on one hand shows that relative  
 442 uncertainties are low if compared to those affecting the other outputs, and on  
 443 the other hand, recalling the last paragraph of Section 5.2, they do not show  
 444 any visible trend as for  $\Theta_{in}$ ,  $\alpha_S$  and  $Z\bar{T}$ , for the SS method. The uncertainties



(a)



(b)

Figure 6: Relative uncertainty on  $\alpha_S$  measurements (a) and uncertainty contributions from direct measurements as absolute standard uncertainties (b)

Table 5: Uncertainty evaluation for  $R_{in}$  and  $V_{th}$ 

Parameter	Error figure [%]	Method	Maximum uncertainty [%]	Average uncertainty [%]
$R_{in}$	0.10	CS	0.01	0.01
		SS	0.63	0.01
$V_{th}$	0.03	CS	0.02	0.01
		SS	1.03	0.97

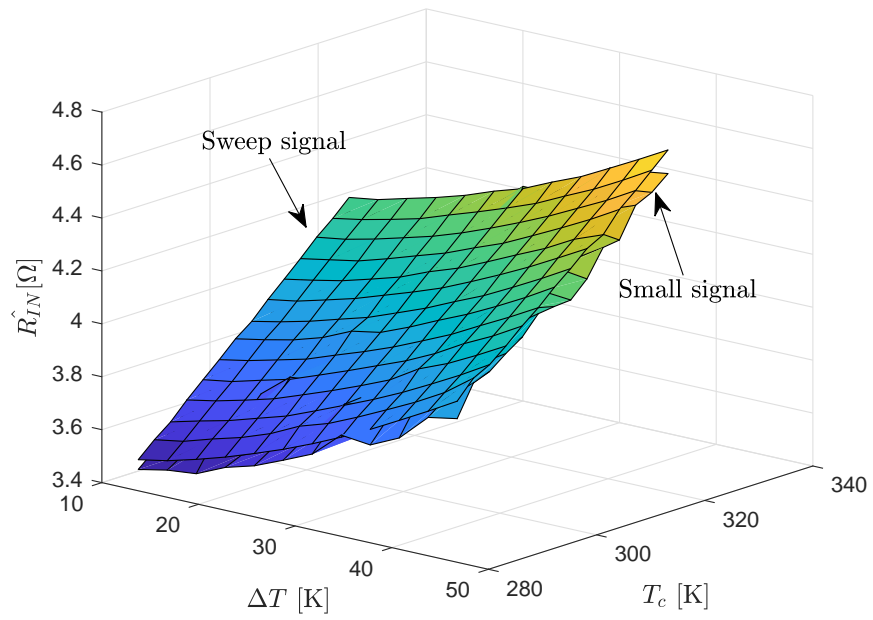
445 obtained from the CS method, instead, exhibit the same increasing trend as the  
 446 other output parameters, plus a (way weaker) linear trend in the  $T_c$  direction.  
 447 This may be due to the fact that the applied sweep signal was not fast enough  
 448 to satisfy the steady state condition [55].

449 For these two parameters, results are expressed synthetically in Table 5, so  
 450 that a global and comparative insight on the performance of the two methods is  
 451 given. From the Table, it clearly appears that the two methods exhibit a good  
 452 matching for  $R_{in}$ , but yield seemingly different estimates of  $V_{th}$ . The relative  
 453 uncertainty on  $V_{th}$  is also two orders of magnitude greater for the SS method.  
 454 This method, in fact, relies on measurement points that are much closer to  
 455 each other than in the CS method, therefore the linear regression yields greater  
 456 uncertainties.

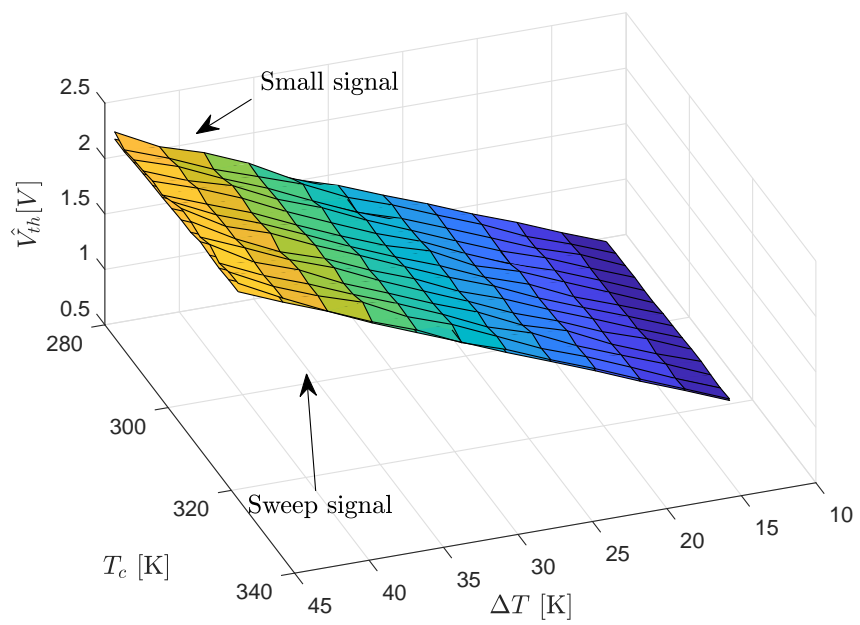
#### 457 5.2.4. $Z\bar{T}$ figure of merit

458 As already pointed out in [55], the linear dependence of the dimensionless  
 459 figure of merit  $Z\bar{T}$  is sensibly stronger on  $\Delta T$  than on  $T_C$  (Figure 8). The CS  
 460 method exhibits lower total uncertainty, enhancing this feature for high  $\Delta T$ .  
 461 This is clearly shown in Figure 9(a).

462 Looking at the sensitivity analysis (Figure 9(b)), the CS method is sub-  
 463 stantially insensitive to  $V_s$  and  $V_L$  uncertainties, while the contributions to  $Z\bar{T}$   
 464 total uncertainty for the SS method come non-negligibly also from such mea-  
 465 surements.



(a)



(b)

Figure 7: Electrical resistance  $R_{IN}$  (a) and Seebeck voltage  $V_{th}$  (b)

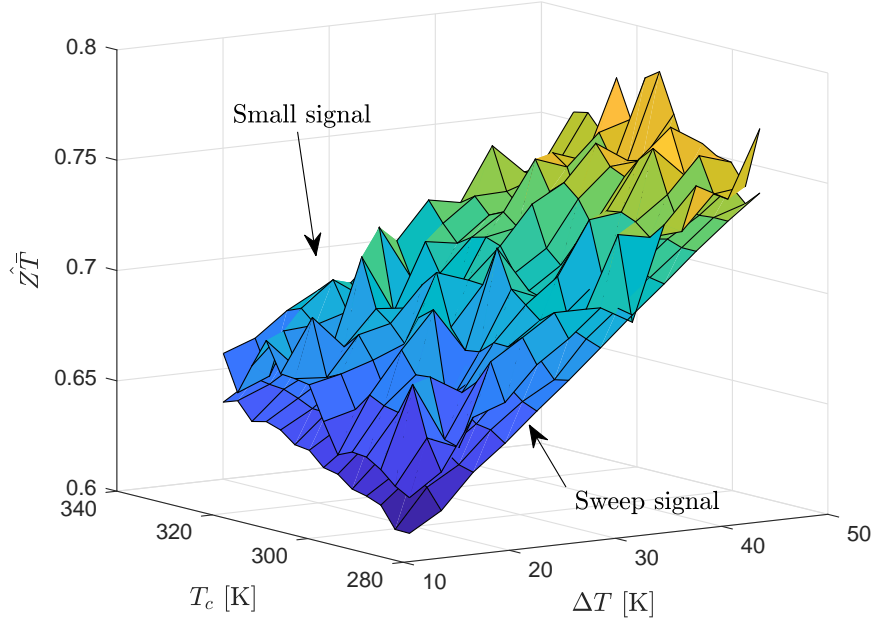


Figure 8: Dimensionless figure of merit  $Z\bar{T}$  ( $e_{Z\bar{T}} = 0.23$ )

466 **6. Conclusions**

467 In this paper a methodology for the metrological analysis of the Unified  
 468 Method for Thermo-Electric Module characterization has been developed and  
 469 experimental results from a real testbed have been discussed. The individual  
 470 uncertainty components have been outlined and a sensitivity analysis with re-  
 471 spect to each component of the measurement setup has been performed with  
 472 regard to both applied electrical stimuli.

473 The experimental results show that Current Sweep and Small Signal meth-  
 474 ods provide compatible estimates of every parameter and exhibit good results  
 475 in terms of overall uncertainty, with Current Sweep performing better at the  
 476 cost of employing more expensive and complex instrumentation. The sensitiv-  
 477 ity analysis, on the other hand, clearly highlights that the greatest contribu-  
 478 tion to overall uncertainty on the estimation of thermal parameters is given by tem-

479 peratures measured with thermocouples, that are as a matter of fact widely  
480 employed in Thermo-Electric Module characterization practice.

481 ~~Even so, the outcome of the sensitivity analysis points out that, if thermo-~~  
482 ~~couples are kept into the setup, cheaper acquisition devices can be employed~~  
483 ~~without affecting the metrological performances~~

484 The results suggest that the proposed measurement setup can be dramati-  
485 cally improved by employing more accurate temperature sensors instead of  
486 standard thermocouples, without making any adjustment to the core character-  
487 ization technique. By doing so, also Thermo-Electric Modules designed for low  
488 temperature gradients can be reliably characterized.

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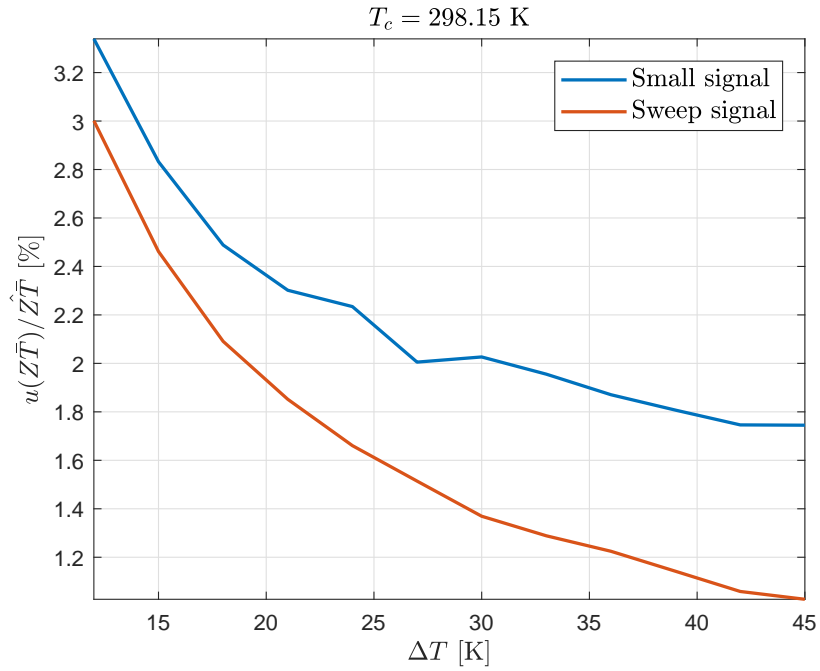
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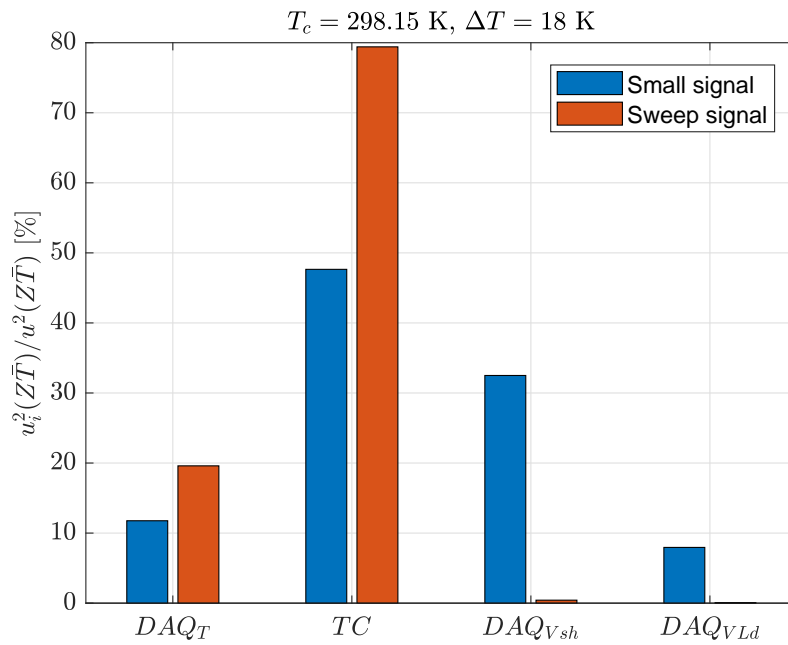
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(a)



(b)

Figure 9: Relative uncertainty on  $Z\bar{T}$  measurements (a) and uncertainty contributions from direct measurements as percentage of total variance (b)