



Politecnico  
di Bari

Repository Istituzionale dei Prodotti della Ricerca del Politecnico di Bari

On non-singular Hermitian varieties of  $PG(4, q^2)$

This is a post print of the following article

*Original Citation:*

On non-singular Hermitian varieties of  $PG(4, q^2)$  / Aguglia, Angela; Pavese, Francesco. - In: DISCRETE MATHEMATICS. - ISSN 0012-365X. - STAMPA. - 343:1(2020), pp. 111634.1-111634.5. [10.1016/j.disc.2019.111634]

*Availability:*

This version is available at <http://hdl.handle.net/11589/202960> since: 2021-03-12

*Published version*

DOI:10.1016/j.disc.2019.111634

Publisher:

*Terms of use:*

(Article begins on next page)

# On non-singular Hermitian varieties of $\text{PG}(4, q^2)$

Angela Aguglia\*

Francesco Pavese†

## Abstract

We provide a characterization of the non-singular Hermitian variety of  $\text{PG}(4, q^2)$  as a hypersurface of degree  $q+1$  over  $\text{GF}(q^2)$  with  $q^7 + q^5 + q^2 + 1$  rational points, which does not contain linear subspaces of dimension greater than 1 and having exactly a line in common with at least a plane of  $\text{PG}(4, q^2)$ .

**Keywords:** unital, hermitian variety, algebraic hypersurface.

## 1 Introduction

Let  $\text{PG}(r, q)$  be the Desarguesian projective space of dimension  $r$  over the finite field  $\text{GF}(q)$  of order  $q$  and denote by  $X = (x_0, x_1, \dots, x_r)$  homogeneous coordinates for its points. A *projective hypersurface*  $\mathcal{H}$  of  $\text{PG}(r, q)$  is

$$\mathcal{H} = \{(x_0, x_1, \dots, x_r) \in \text{PG}(r, q) \mid F(x_0, x_1, \dots, x_r) = 0\},$$

where  $F$  is a homogeneous polynomial of  $\text{GF}(q)[X_0, X_1, \dots, X_r]$ . The degree of  $F$  is the *degree* of the hypersurface  $\mathcal{H}$  with equation  $F(X_0, \dots, X_r) = 0$ . When  $r = 2$ , a projective hypersurface  $\mathcal{H}$  is called a *projective plane curve*, whereas when  $r = 3$ ,  $\mathcal{H}$  is called a *projective surface*. Suppose that  $\mathcal{H}$  is a hypersurface of  $\text{PG}(r, q)$  with equation  $F = 0$ . In order to understand the geometry of  $\mathcal{H}$ , the zeros of  $F$  over  $\text{GF}(q)$  and any extension of  $\text{GF}(q)$  are required. Thus,  $\mathcal{H}$  is viewed as a hypersurface over the algebraic closure of  $\text{GF}(q)$  and a point of  $\text{PG}(r, q^i)$  in  $\mathcal{H}$  is called a  $\text{GF}(q^i)$ -*point*. A  $\text{GF}(q)$ -point of  $\mathcal{H}$  is also said a *rational point* of  $\mathcal{H}$ . Throughout this paper, the number of  $\text{GF}(q^i)$ -points of  $\mathcal{H}$  will be denoted by  $N_{q^i}(\mathcal{H})$ .

A non-singular Hermitian variety  $\mathcal{H}(r, q^2)$  of  $\text{PG}(r, q^2)$  is the set of absolute points of a Hermitian polarity of  $\text{PG}(r, q^2)$ . On the other hand, from [4], a non-singular Hermitian variety of  $\text{PG}(r, q^2)$  is projectively equivalent to the hypersurface of  $\text{PG}(r, q^2)$  of degree  $q+1$  having equation

$$X_0^{q+1} + \dots + X_r^{q+1} = 0.$$

---

\*Dipartimento di Meccanica, Matematica e Management, Politecnico di Bari, Via Orabona 4, 70125 Bari, Italy; *e-mail*: angela.aguglia@poliba.it

†Dipartimento di Meccanica, Matematica e Management, Politecnico di Bari, Via Orabona 4, 70125 Bari, Italy; *e-mail*: francesco.pavese@poliba.it

*Mathematics Subject Classification (2010)*: Primary 51E21; Secondary 51E15 51E20

In [10, 11] it has been proved that if  $\mathcal{X}$  is a hypersurface of degree  $q + 1$  in  $\text{PG}(r, q^2)$ ,  $r \geq 3$  odd, such that it has  $(q^{r+1} + (-1)^r)(q^r - (-1)^r)/(q^2 - 1)$  rational points and does not contain linear subspaces of dimension greater than  $\frac{r-1}{2}$ , then  $\mathcal{X}$  is a non-singular Hermitian variety of  $\text{PG}(r, q^2)$ . This result generalizes the characterization obtained in [6] for the Hermitian curve of  $\text{PG}(2, q^2)$ ,  $q \neq 2$ .

In this article we deal with the 4-dimensional projective case. Our main result is achieved by combining geometric and combinatorial arguments with algebraic geometry.

**Theorem 1.1.** *Let  $\mathcal{H}$  be a hypersurface of  $\text{PG}(4, q^2)$ ,  $q > 3$ , defined over  $\text{GF}(q^2)$ , without  $\text{GF}(q^2)$ -hyperplane components and not containing planes. If the degree of  $\mathcal{H}$  is  $q + 1$  and the number of its rational points is  $q^7 + q^5 + q^2 + 1$ , then every plane of  $\text{PG}(4, q^2)$  meets  $\mathcal{H}$  in at least  $q^2 + 1$  rational points. If there is at least a plane  $\pi$  such that  $N_{q^2}(\pi \cap \mathcal{H}) = q^2 + 1$ , then  $\mathcal{H}$  is a non-singular Hermitian variety of  $\text{PG}(4, q^2)$ .*

Our result improves the characterization provided in [1] where it is also required that the hypersurface  $\mathcal{H}$  has two intersection numbers with hyperplanes of  $\text{PG}(4, q)$ .

## 2 Background

In this section we collect some useful information and results that will be crucial to obtain our result.

Let  $\mathcal{H}(r, q^2)$  be a non-singular Hermitian variety of  $\text{PG}(r, q^2)$ . The number of rational points of  $\mathcal{H}(r, q^2)$  equals

$$(q^{r+1} + (-1)^r)(q^r - (-1)^r)/(q^2 - 1).$$

Any line of  $\text{PG}(r, q^2)$  meets  $\mathcal{H}(r, q^2)$  in  $1, q + 1$  or  $q^2 + 1$  points. The maximal dimension of a projective subspace contained in  $\mathcal{H}(r, q^2)$  is  $(r - 2)/2$ , if  $r$  is even, or  $(r - 1)/2$ , if  $r$  is odd. The latter subspaces are called *generators* of  $\mathcal{H}(r, q^2)$ . The generators of  $\mathcal{H}(r, q^2)$  through a point  $P$  of  $\mathcal{H}(r, q^2)$  span a hyperplane of  $\text{PG}(r, q^2)$ . The hyperplane containing these generators is the *tangent hyperplane* of  $P$  with respect to the unitary polarity of  $\text{PG}(r, q^2)$  defining  $\mathcal{H}(r, q^2)$  and it meets  $\mathcal{H}(r, q^2)$  in a cone having as vertex the point  $P$  and as base a non-singular Hermitian variety of  $\text{PG}(r - 2, q^2)$ . Every hyperplane of  $\text{PG}(r, q^2)$ , which is not tangent, meets  $\mathcal{H}(r, q^2)$  in a non-singular Hermitian variety  $\mathcal{H}(r - 1, q^2)$ , and is called a *secant hyperplane* of  $\mathcal{H}(r, q^2)$ . It follows that a tangent hyperplane contains

$$1 + q^2(q^{r-1} + (-1)^r)(q^{r-2} - (-1)^r)/(q^2 - 1)$$

rational points of  $\mathcal{H}(r, q^2)$ , whereas a secant hyperplane contains

$$(q^r + (-1)^{r-1})(q^{r-1} - (-1)^{r-1})/(q^2 - 1)$$

rational points of  $\mathcal{H}(r, q^2)$ .

We will need the following results.

**Lemma 2.1** ([13]). *Let  $\mathcal{C}$  be an absolutely irreducible non-singular algebraic curve of genus  $g$  in  $\text{PG}(2, q)$ . If there is on  $\mathcal{C}$  a base-point-free linear system defined over the algebraic closure of  $\text{GF}(q)$  of degree  $n$  and dimension 2 with Frobenius order sequence  $\nu_0, \dots, \nu_n$ , then*

$$N_q(\mathcal{C}) \leq 2^{-1}\{\nu_1(2g - 2) + (q + 2)n\} \quad (2.1)$$

**Lemma 2.2** ([12]). *Let  $d$  be an integer with  $1 \leq d \leq q + 1$  and  $\mathcal{C}$  be a curve of degree  $d$  in  $\text{PG}(2, q)$  defined over  $\text{GF}(q)$ , which may have  $\text{GF}(q)$ -linear components. Then the number of its rational points is at most  $dq + 1$  and  $N_q(\mathcal{C}) = dq + 1$  if and only if  $\mathcal{C}$  is a pencil of  $d$  lines of  $\text{PG}(2, q)$ .*

**Lemma 2.3** ([8]). *Let  $d$  be an integer with  $2 \leq d \leq q + 2$ , and  $\mathcal{C}$  a curve of degree  $d$  in  $\text{PG}(2, q)$  defined over  $\text{GF}(q)$  without  $\text{GF}(q)$ -linear components. Then  $N_{q^2}(\mathcal{C}) \leq (d - 1)q + 1$ , except for a class of plane curves of degree 4 over  $\text{GF}(4)$  having 14 rational points.*

For generalities on curves in projective planes the reader is also referred to [5].

**Lemma 2.4** ([9]). *Let  $\mathcal{S}$  be a surface of degree  $d$  in  $\text{PG}(3, q)$  over  $\text{GF}(q)$  without  $\text{GF}(q)$ -plane components. Then*

$$N_{q^2}(\mathcal{S}) \leq (d - 1)q^2 + dq + 1$$

**Lemma 2.5** ([10]). *Let  $\mathcal{S}$  be a surface in  $\text{PG}(3, q^2)$  defined over  $\text{GF}(q^2)$  without  $\text{GF}(q^2)$ -plane components. If the degree of  $\mathcal{S}$  is  $q + 1$  and the number of its rational points is  $(q^3 + 1)(q^2 + 1)$  then  $\mathcal{S}$  is a non-singular Hermitian surface over  $\text{GF}(q^2)$ .*

**Lemma 2.6** ([6]). *Suppose  $q \neq 2$ . Let  $\mathcal{C}$  be a plane curve over  $\text{GF}(q^2)$  of degree  $q + 1$  without  $\text{GF}(q^2)$ -line components. If  $\mathcal{C}$  has  $q^3 + 1$  rational points, then  $\mathcal{C}$  is a Hermitian curve.*

**Lemma 2.7** ([3]). *A subset of points of  $\text{PG}(r, q^2)$  having the same intersection numbers with respect to hyperplanes and spaces of codimension 2 as non-singular Hermitian varieties, is a non-singular Hermitian variety of  $\text{PG}(r, q^2)$ .*

From [7, Theorem 2.25] we have the following result.

**Lemma 2.8.** *If  $W$  is a set of  $q^5 + q^2 + 1$  points of  $\text{PG}(3, q^2)$ ,  $q > 2$ , such that every line of  $\text{PG}(3, q^2)$  meets  $W$  in  $1, q + 1$  or  $q^2 + 1$  points, then  $W$  is a cone with vertex a point and base a unital.*

Finally, we recall that a *blocking set with respect to lines* of  $\text{PG}(2, q)$  is a point set which blocks the lines, i.e., intersects each line of  $\text{PG}(2, q)$  in at least one point.

### 3 A characterization of $\mathcal{H}(4, q^2)$

In this section we provide a proof of Theorem 1.1. Thus, we denote by  $\mathcal{H}$  a hypersurface of  $\text{PG}(4, q^2)$ ,  $q > 3$ , defined over  $\text{GF}(q^2)$ , without  $\text{GF}(q^2)$ -hyperplane components and not containing planes.

**Lemma 3.1.**  $\mathcal{H}$  is a blocking set with respect to lines of  $\text{PG}(4, q^2)$ .

*Proof.* Suppose on the contrary that there is a line  $r$  of  $\text{PG}(4, q^2)$  which does not meet  $\mathcal{H}$ . Let  $\alpha$  be a plane containing  $r$ . The algebraic plane curve  $C = \alpha \cap \mathcal{H}$  of degree  $q + 1$  cannot have  $\text{GF}(q^2)$ -linear components and hence it has at most  $q^3 + 1$  points because of Lemma 2.3. If  $C$  had  $q^3 + 1$  rational points, then from Lemma 2.6,  $C$  would be a Hermitian curve with an external line, a contradiction. Thus  $N_{q^2}(C) \leq q^3$ . We are going to show that  $C$  contains at most  $q^3 - 1$  rational points. Assume that  $N_{q^2}(C) = q^3$ . We prove the following properties.

**(P1)**  $C$  is an absolutely irreducible non-singular curve of  $\alpha$ .

Let  $C$  have equation  $F(x, y, z) = 0$ , where  $F$  is a homogeneous polynomial of degree  $q + 1$  and let  $F_1, \dots, F_n$  be the different irreducible factors of  $F$  over the algebraic closure of  $\text{GF}(q^2)$ . Let us denote by  $C_i$  the component of  $C$  of equation  $F_i = 0$  and degree  $d_i > 1$ . We have  $|C_i| \leq (d_i - 1)q^2 + 1$  and hence

$$q^3 = N_{q^2}(C) \leq \sum_{i=1 \dots n} |C_i| \leq q^2 \sum_{i=1 \dots n} d_i - q^2 n + n = q^2(q + 1) - q^2 n + n.$$

Therefore  $n = 1$  that is,  $C$  is absolutely irreducible which means irreducible over the algebraic closure of  $\text{GF}(q^2)$ .

Let  $s'$  be the number of singular rational points and let  $N$  be the number of non-singular rational points of  $C$ . Now, if  $C$  had a singular point  $P$  in  $\alpha$  then by considering all lines of  $\alpha$  through  $P$  we would obtain  $N_{q^2}(C) \leq (q^2 + 1)(q - 1) + 1$  which is not possible. Hence  $s' = 0$ .

Let  $M$  denote the number of rational points on a non-singular model of  $C$ . From [4, p.58] it follows that

$$N_{q^2}(C) = N + s' = N \leq M.$$

By the Hasse-Weil bound [4, Corollary 2.27(ii)] we get

$$q^3 = N_{q^2}(C) \leq M \leq q^2 + 1 + 2gq,$$

where  $g$  is the genus of  $C$ . Hence  $g \geq q(q - 1)/2$ , that is  $g = q(q - 1)/2$  and thus  $C$  is non-singular and (P1) is proved.

**(P2)** *The tangent line of  $C$  at a generic point  $P \in C$  intersects  $C$  in  $P$  with multiplicity  $q$ .* From (P1) we know that  $C$  is absolutely irreducible, non singular and of genus  $g = q(q - 1)/2$ . We apply Lemma 2.1 by considering as a linear system the one defined on  $C$  by all lines of  $\alpha$ . In this case  $N_{q^2}(C) = q^3$ ,  $2g - 2 = q^2 - q - 2$ ,  $r = 2$  and  $n = q + 1$ . Thus

$$2q^3 \leq \nu_1(q^2 - q - 2) + (q^2 + 2)(q + 1),$$

which gives  $\nu_1 \geq q - 2/(q^2 - q - 2)$  and hence  $\nu_1 \geq q$ . On the other hand  $\nu_1 = 1$  or  $\nu_1 = \epsilon_2$ , where  $\epsilon_2 = i(\ell, C; P)$  is the order of contact of the tangent line  $\ell$  at a generic point  $P$  of  $C$ . So  $\nu_1 = \epsilon_2$ . Furthermore  $\epsilon_2 = 2$  or  $\epsilon_2$  is a power of the characteristic  $p$ , see [13]. It also holds  $\epsilon_2 \leq \deg C = q + 1$ . Thus  $\nu_1 = \epsilon_2 = q$  and property (P2) follows.

Now we recall that  $\epsilon_2 \leq \epsilon_2(Y)$ , with  $\epsilon_2(Y) = i(\ell, C; Y)$ , where  $\ell$  is the tangent line to  $C$  at  $Y$  and  $Y \in \alpha \cap C$ . Since  $\epsilon_2(Y) \leq \deg C$ , we have either  $i(\ell, C; Y) = q$  or  $i(\ell, C; Y) = q + 1$ .

Suppose first that there is a rational point  $Y$  of  $C$  with tangent line  $\ell$  for which  $i(\ell, C; Y) = q$ . This implies that  $\ell$  intersects  $C$  in exactly one other point  $Y_1$  of  $\alpha$ . On the other hand, all lines intersect  $C$  in at most  $q + 1$  distinct rational points as  $\deg C = q + 1$ . Thus, considering all lines through  $Y_1$  and taking into account that the tangent line to  $C$  at  $Y_1$  has at most two rational points in common with  $C$ , we get  $q^3 = N_{q^2}(C) \leq (q^2 - 1)q + 3$ , that is  $q \leq 3$ , which is excluded.

Finally, assume that  $i(\ell, C; Y) = q + 1$ , where  $\ell$  is the tangent line of  $C$  at  $Y \in C \cap \alpha$ . Suppose that no line through  $Y$  meets  $C$  in  $q$  distinct rational points. Denote by  $s$  the number of lines through  $Y$  intersecting  $C$  in  $q + 1$  distinct rational points and by  $t$  the remaining lines through  $Y$  and different from  $\ell$ . Hence  $s + t = q^2$  and

$$q^3 = N_{q^2}(C) \leq sq + t(q - 2) + 1 = q^3 - 2t + 1,$$

which gives  $t = 0$ . Therefore  $q^3 = N_{q^2}(C) = q^2(q) + 1$ , a contradiction. This means that at least one line through  $Y$ , say  $\ell_1$ , intersects  $C$  in  $q$  distinct rational points  $Y, Y_2, \dots, Y_q$  and at one of these points the intersection multiplicity has to be 2. Suppose  $i(\ell_1, C, Y_2) = 2$ . Thus  $\ell_1$  is the tangent line of  $C$  at  $Y_2$ . On the other hand  $i(\ell_1, C, Y_2) \geq q$  and hence we obtain  $q \leq 2$ , a contradiction.

Thus every plane through  $r$  meets  $\mathcal{H}$  in at most  $q^3 - 1$  rational points and hence, by considering all planes through  $r$ , we get the following bound for the number of rational points of  $\mathcal{H}$ :  $N_{q^2}(\mathcal{H}) = q^7 + q^5 + q^2 + 1 \leq (q^4 + q^2 + 1)(q^3 - 1)$ , which is impossible. Therefore there are no external lines to  $\mathcal{H}$  and so  $\mathcal{H}$  is a blocking set w.r.t. lines of  $\text{PG}(4, q^2)$ .  $\square$

**Lemma 3.2.** *Let  $\pi$  be a plane of  $\text{PG}(4, q^2)$ ,  $q > 2$ , meeting  $\mathcal{H}$  in exactly  $q^2 + 1$  rational points. Each hyperplane of  $\text{PG}(4, q^2)$  containing  $\pi$  intersects  $\mathcal{H}$  in a cone over a non-degenerate Hermitian curve.*

*Proof.* By Lemma 3.1,  $\pi \cap \mathcal{H}$  is a blocking set with respect to lines of  $\pi$ . Since  $N_{q^2}(\pi \cap \mathcal{H}) = q^2 + 1$ , from [2], it follows that  $\pi$  meets  $\mathcal{H}$  in a line, say  $\ell$ . Bounding the number of rational points of  $\mathcal{H}$  by using all planes through  $\ell$  and taking into account Lemma 2.2 yield

$$N_{q^2}(\mathcal{H}) = q^7 + q^5 + q^2 + 1 \leq (q^4 + q^2)q^3 + q^2 + 1 = q^7 + q^5 + q^2 + 1.$$

This means that there is exactly one plane through  $\ell$  intersecting  $\mathcal{H}$  in  $q^2 + 1$  rational points, namely  $\pi$ , and all the remaining planes through  $\ell$  meet  $\mathcal{H}$  in  $q^3 + q^2 + 1$  rational points. By Lemma 2.2, if  $\alpha$  is a plane through  $\ell$  such that  $N_{q^2}(\alpha \cap \mathcal{H}) = q^3 + q^2 + 1$  then  $\alpha \cap \mathcal{H}$  consists of  $q + 1$   $\text{GF}(q^2)$ -lines of a pencil.

Let  $S$  be a solid containing the plane  $\pi$  and set  $S \cap \mathcal{H} = W$ . In this case, counting the number of rational points of  $W$  by using all planes in  $S$  through  $\ell$ , we get

$$N_{q^2}(W) = q^5 + q^2 + 1.$$

We also see that there is a set  $\mathcal{L}$  of  $q^3 + 1$  lines divided into  $q^2$  planar pencils, where each of these pencils consists of the line  $\ell$  and other  $q$  lines that are concurrent at a point of  $\ell$ . Moreover, every point of  $W$  not on  $\ell$  lies on exactly one line of  $\mathcal{L}$  and no other line of  $S$  is contained in  $W$ .

Indeed, assume on the contrary that a further line  $r$  is contained in  $W$ , then  $r$  has to be disjoint from  $\ell$  and therefore  $r \cap \pi \in W \setminus \{\ell\}$ , a contradiction.

We claim that a line  $r$  of  $S$  meets  $W$  in  $1, q+1$  or  $q^2+1$  rational points. Indeed, if  $|\ell \cap r| \neq 0$  and  $\ell \neq r$ , then the plane  $\alpha$  containing  $\ell$  and  $r$  either coincides with  $\pi$  and hence  $r \cap W$  consists of one rational point or  $\alpha \neq \pi$  and  $r$  meets each of the  $q+1$  lines of  $\alpha$ . Hence  $N_{q^2}(r \cap W) \in \{1, q+1, q^2+1\}$ . If  $r$  is disjoint from  $\ell$ , then let  $P$  be a point of  $\ell$  such that no line of  $\mathcal{L} \setminus \{\ell\}$  meets  $P$  and let  $\sigma$  be the plane containing the point  $P$  and the line  $r$ . Since  $N_{q^2}(\sigma \cap W) = q^3+1$ , from Lemma 2.6, we have that  $\sigma \cap W$  is a Hermitian curve and hence  $N_{q^2}(r \cap W) \in \{1, q+1\}$ . By Lemma 2.8, it turns out that  $W$  is a cone projecting a non-degenerate Hermitian curve.  $\square$

**Lemma 3.3.** *If there exists a plane  $\pi$  of  $\text{PG}(4, q^2)$  such that  $N_{q^2}(\pi \cap \mathcal{H}) = q^2+1$ , then each hyperplane of  $\text{PG}(4, q^2)$  meets  $\mathcal{H}$  either in a non-singular Hermitian surface or in a cone over a non-singular Hermitian curve.*

*Proof.* Let  $S$  be a hyperplane of  $\text{PG}(4, q^2)$  through the plane  $\pi$ . By Lemma 3.2,  $S \cap \mathcal{H} = W$  is a Hermitian cone, i.e., a cone having as vertex a point and as base a non-degenerate Hermitian curve. In particular each plane in  $S$  meets  $\mathcal{H}$  in either  $q^2+1$  or  $q^3+1$  or  $q^3+q^2+1$  rational points. We consider all hyperplanes passing through a given plane  $\alpha$  in  $S$ . If  $\alpha$  is a plane of  $S$  meeting  $\mathcal{H}$  in  $q^2+1$  rational points, then, by Lemma 3.2, each hyperplane through  $\alpha$  meets  $\mathcal{H}$  in a Hermitian cone.

Now assume that  $\alpha$  intersects  $\mathcal{H}$  in  $q^3+q^2+1$  rational points which form a pencil of  $q+1$  lines, say  $\mathcal{F}$ . Let  $S' \neq S$  be a hyperplane through  $\alpha$  and let  $\ell'$  denote a line of  $\mathcal{F}$ . From the proof of Lemma 3.2, we have that the line  $\ell'$  is contained in exactly one plane, say  $\pi'$ , meeting  $\mathcal{H}$  in  $q^2+1$  rational points, whereas all other planes through  $\ell'$  intersect  $\mathcal{H}$  in  $q^3+q^2+1$  rational points. Note that  $\pi'$  is a plane contained in  $S$  and hence

$$N_{q^2}(S' \cap \mathcal{H}) = (q^2+1)q^3 + q^2 + 1 = q^5 + q^3 + q^2 + 1.$$

Furthermore,  $S' \cap \mathcal{H}$  is a surface of degree  $q+1$  of  $S'$  without  $\text{GF}(q^2)$ -plane components and hence by Lemma 2.5,  $S' \cap \mathcal{H}$  turns out to be a non-degenerate Hermitian surface of  $S'$ .

Finally assume  $\alpha$  to be a plane intersecting  $\mathcal{H}$  in  $q^3+1$  rational points. As before, let  $S'$  denote a hyperplane containing  $\alpha$ . Since  $S' \cap \mathcal{H}$  is a surface of  $S'$  of degree  $q+1$  without  $\text{GF}(q^2)$ -plane components, by Lemma 2.4, it has at most  $q^5 + q^3 + q^2 + 1$  rational points. Let us consider a second plane  $\gamma$  in  $S$  meeting  $\mathcal{H}$  in  $q^2+1$  rational points and thus satisfying  $\gamma \cap \mathcal{H} \neq \gamma \cap S'$ . Note that a hyperplane passing through  $\gamma$  meets  $\mathcal{H}$  in a Hermitian cone. Therefore, if there is at least one hyperplane containing  $\gamma$  which intersects  $S' \cap W$  in  $q^2+1$  rational points, then  $S' \cap \mathcal{H}$  has to be a Hermitian cone.

Suppose, by contradiction, that each hyperplane through  $\gamma$  meets  $S'$  in a plane which has either  $q^3+1$  or  $q^3+q^2+1$  rational points in common with  $\mathcal{H}$ . We denote by  $x$  the number of hyperplanes through  $\gamma$  meeting  $\mathcal{H}$  in  $q^3+1$  rational points and by  $y$  the number of the remaining hyperplanes through  $\gamma$ . We have  $x+y = q^2+1$  and

$$N_{q^2}(S' \cap \mathcal{H}) = xq^3 + y(q^3 + q^2) + 1 \leq q^5 + q^3 + q^2 + 1,$$

yielding  $y \leq 1$ . Hence,  $y = 0$  or  $y = 1$ . Assume  $y = 0$ . In this case  $x = q^2 + 1$  and  $N_{q^2}(S' \cap \mathcal{H}) = q^5 + q^3 + 1$ . In particular,  $\mathcal{H}$  turns out to have three possible intersection numbers with respect to hyperplanes of  $\text{PG}(4, q^2)$ . If we denote by  $x_i$  the number of hyperplanes meeting  $\mathcal{H}$  in  $i$  rational points with  $i \in \{q^5 + q^2 + 1, q^5 + q^3 + 1, q^5 + q^3 + q^2 + 1\}$ , double counting arguments give the following equations for the integers  $x_i$ .

$$\begin{cases} \sum_i x_i = q^8 + q^6 + q^4 + q^2 + 1 \\ \sum_i i x_i = N_{q^2}(\mathcal{H})(q^6 + q^4 + q^2 + 1) \\ \sum_{i=1} i(i-1)x_i = N_{q^2}(\mathcal{H})(N_{q^2}(\mathcal{H}) - 1)(q^4 + q^2 + 1). \end{cases} \quad (3.1)$$

Solving (3.1) we obtain  $x_{q^5+q^3+1} = 0$ . Thus we have that necessarily  $y = 1$ ,  $N_{q^2}(S' \cap \mathcal{H}) = q^5 + q^3 + q^2 + 1$  and the result follows from Lemma 2.5.  $\square$

**Proof of Theorem 1.1** From Lemma 3.1, the hypersurface  $\mathcal{H}$  meets every plane in at least  $q^2 + 1$  rational points. Assume that there is at least a plane meeting  $\mathcal{H}$  in precisely  $q^2 + 1$  rational points. Then, from Lemma 3.3,  $\mathcal{H}$  has the same intersection numbers with respect to hyperplanes and planes as a non-singular Hermitian variety of  $\text{PG}(4, q^2)$ , hence by Lemma 2.7,  $\mathcal{H}$  is a  $\mathcal{H}(4, q^2)$  and our theorem follows.

## References

- [1] A. Aguglia *Characterizing Hermitian varieties in 3- and 4-dimensional projective spaces* Australas. J. Combin. **107** (2019), 1–8.
- [2] R. C. Bose, R. C. Burton, A characterization of flat spaces in a finite geometry and the uniqueness of the Hamming and the MacDonal codes, *J. Combin. Theory* **1** (1966), 96–104.
- [3] S. De Winter, J. Schillewaert, Characterizations of finite classical polar spaces by intersection numbers with hyperplanes and spaces of codimension 2, *Combinatorica* **30** (2010), n. 1, 25–45.
- [4] J. W. P. Hirschfeld, *Projective geometries over finite fields*, Oxford Mathematical Monographs. The Clarendon Press, Oxford University Press, New York, 1998.
- [5] J. W. P. Hirschfeld, G. Korchmáros, F. Torres, *Algebraic Curves over a Finite Field*, Princeton Series in Applied Mathematics, Princeton University Press, Princeton, NJ, 2008.
- [6] J. W. P. Hirschfeld, L. Storme, J. A. Thas, J. F. Voloch, A characterization of Hermitian curves, *J. Geom.* **41** (1991), n. 1-2, 72–78.
- [7] J. W. P. Hirschfeld, J. A. Thas, *General Galois geometries*, Springer Monographs in Mathematics, Springer, London, 2016.

- [8] M. Homma, S. J. Kim, Around Sziklai's conjecture on the number of points of a plane curve over a finite field, *Finite Fields Appl.* **15** (2009), no. 4, 468–474.
- [9] M. Homma, S. J. Kim, An elementary bound for the number of points of a Hypersurface over a finite field, *Finite Fields Appl.* **20** (2013), 76–83.
- [10] M. Homma, S. J. Kim, The characterization of Hermitian surfaces by the number of points, *J. Geom.* **107** (2016), 509–521.
- [11] M. Homma, S. J. Kim, Number of points of a nonsingular hypersurface in an odd-dimensional projective space, *Finite Fields Appl.* **48** (2017), 395–419.
- [12] B. Segre, Le geometrie di Galois, *Ann. Mat. Pura Appl.* **48** (1959), n. 4, 1–96.
- [13] K. O. Stöhr, J. F. Voloch, Weierstrass points and curves over finite fields, *Proc. London Math. Soc.* **52** (1986), n. 3, 1–19.