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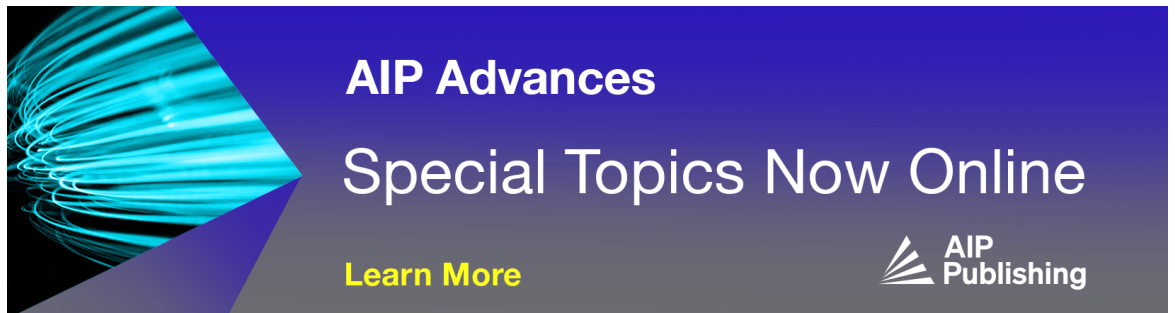
Non-contact, portable, and stand-off infrared thermal imager for security scanning applications

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ABSTRACT

In this article, we demonstrated the physical application of a portable infrared (IR) security scanning system for the non-contact and stand-off detection of target objects concealed underneath clothing. Such a system combines IR imaging and transfer learning with convolutional neural networks (CNNs) to enhance the detection of weak thermal signals and automate the classification of IR images. A mid-wavelength IR detector was used to record the real-time heat emitted from the clothing surface by human subjects. Concealed objects reduce the transmissivity of IR radiation from the body to the clothing surface, generally showing lower IR intensity compared to regions without objects. Due to limited resources for training data, the transfer learning approach was applied by fine-tuning a pre-trained CNN ResNet-50 model using the ImageNet database. Two image types were investigated here, i.e., raw thermal and Fuzzy-c clustered images. Receiver operating characteristic curves were built using a holdout set, showing an area-under-the-curve of 0.8934 and 0.9681 for the raw and Fuzzy-c clustered image models, respectively. The gradient-weighted class activation mapping visualization method was used to improve target identification, showing an accurate prediction of the object area. It was also found that complex clothing, such as those composed of materials of different transmissivity, could mislead the model in classification. The proposed IR-based detector has shown potential as a non-contact, stand-off security scanning system that can be deployed in diverse locations and ensure the safety of civilians.

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I. INTRODUCTION

Weapons and Improvised Explosive Devices (IEDs) are a common and growing threat to civilian society and military operations. They are generally used by criminals, terrorists, and suicide bombers and may be packed with additional components such as glass, hazardous materials, or metal fragments to increase the amount of shrapnel propelled by the explosion.^{1–6} Since IEDs are improvised, they can come in many forms, ranging from a small pipe bomb to sophisticated devices capable of causing massive damage and loss of life. Military checkpoints and public spaces such as transport hubs, government buildings, shopping centers, and entertainment venues represent high profile targets, such as the recent terrorist attack at the Manchester Arena in 2017.⁷

There is an ongoing need for sensitive and efficient screening systems for the detection of threatening objects concealed underneath people's clothing. Standoff detection is particularly concerned, as it enables security professionals to react to an alarm before the person reaches a checkpoint or entrance. It is also very convenient for people being scanned because, in general, they do not have to stop their journey and divest.⁸ Standoff detection is traditionally divided into two types, bulk and trace. In bulk detection, a macroscopic amount of IEDs is detected via imaging technology. In trace detection, instead, microscopic amounts of explosive material in the form of vapor (or particulates) are detected using chemical sensors.^{8–10} However, trace detection with optical spectrometry is made difficult by the small amount of material available for sampling. Typical vapor concentrations of volatile explosives (e.g.,

nitroglycerine) are nearly one part per million at room temperature, and the emanating vapor plume normally contains a substantially lower concentration depending on how the explosive is packaged and stored. Hence, vapor densities can be difficult to detect even in close proximity, so detection at standoff distances is evidently more difficult.⁹

Some of the established electromagnetic (EM) waves used in security scanning include x ray, millimeter-wave (MMW), and terahertz.⁸ X-ray imaging systems are used as airport security scanners, which emit x rays that are short in wavelength (10^{-7} – 10^{-9} m), high in frequency (3×10^{16} – 3×10^{19} Hz), and energy (124 keV–145 eV).¹¹ However, x rays rely on high energy ionizing radiation that is potentially harmful to humans.^{12–16} Microwave-based screening devices have been used for detecting concealed target objects. Nevertheless, microwave bi-dimensional magnitude images reveal the body image in full detail, thus causing privacy concerns.¹⁷ MMW scanners with 35, 94, and 500 GHz typical frequencies are currently used as commercial stand-and-scan detectors at government buildings and airport security checkpoints.^{18–20} However, stand-and-scan MMW systems are complex devices that require fixed infrastructure and the person to stop and pose while the scan takes place, which is not very practical for some concepts of operation.²¹

Infrared (IR) scanners create thermal images of the natural heat signature emitted by human bodies without having to expose the subject to any amount of radiation. The efficacy of IR systems as security scanners has shown various levels of success.^{1,21–28} IR operates at a shorter wavelength (760 nm–50 μ m) and higher frequency (up to 214 THz) compared to MMW systems. A major challenge for IR imaging technology is the short wavelength compared to MMW systems, where signal penetration was found to increase with wavelength.²⁹ For this reason, IR imagers have been used for security scanning purposes only with thin clothing, due to the lack of penetration of thermal signals through layered clothing.²¹

Despite physical constraints, there are inherent benefits associated with the utilization of IR systems for security scanning. In comparison to MMW, IR possesses a shorter wavelength, enabling the creation of higher-resolution visual images, thereby facilitating detection from greater distances.^{1,30} It also enables scalability in applications where a larger number of individuals can be captured within broader fields of view. Furthermore, facial images obtained through passive IR imagers are challenging to identify, thus supporting privacy protection.²⁴

Therefore, owing to concealed object scanning, most of the research has leaned toward MMW or THz technology due to their superior penetration capabilities. While IR has seen less exploration in this particular domain, machine learning methods have proven effective in handling IR data, such as in non-destructive damage assessment and the segmentation of moving objects.^{31–36} In an ideal scenario, considerations made after scanning a subject about whether a target object is present or not should be both conclusive and precise. However, the constrained penetration capabilities of IR systems can pose challenges for operators in maintaining consistent decision-making, especially when dealing with individuals wearing layered clothing.

To tackle this challenge, an approach is suggested in this context that involves the incorporation of transfer learning with convolutional neural networks (CNN) for the detection and

classification of concealed objects. CNNs are a class of deep learning network architectures that can be applied to the classification of audio, time series, signals, and most commonly, image classification. In the case of image classification, the input image is passed through layers of convolutional filters, activation functions, pooling layers, and classification layers.

In small dataset problems, the transfer learning approach is typically applied to overcome the shortage of training data. The fundamental idea of the transfer learning approach is that the generic features extracted from an extensive dataset can be both present and informative in diverse datasets. This transferability of learned generic features represents a distinctive advantage of deep learning, i.e., rendering it valuable for a wide array of domain-specific tasks, even when dealing with limited datasets.³⁷ Leveraging the concept of transfer learning, a pre-existing CNN model can be fine-tuned using data specific to the application. Transfer learning has shown success in various applications, i.e., agricultural pest and disease management,^{38,39} image enhancement,⁴⁰ topology segmentation,⁴¹ biomedical imaging,^{42–46} non-destructive testing in buildings,⁴⁷ pedestrian identification,⁴⁸ and security scanning.^{11,29}

In image analysis, information reduction by clustering, such as Fuzzy-c,^{31,49} can be useful. Data clustering gives distinct cutoffs in the spectrum of continuous values, which in images can allow certain features to be highlighted. Fuzzy-c is an unsupervised machine learning technique where cluster centers are first established before the cluster affiliation is assigned.

Next, the process involves the calculation of membership scores through distance measurements, revealing the extent of correlation between individual pixels and each cluster. These scores then inform the adaptation of cluster centers, with higher emphasis placed on pixels displaying a higher degree of association. This iterative process persists until a predetermined stopping criterion is met.

The purpose of this letter is to investigate the viability of CNN and IR imaging to reveal the presence of hidden objects concealed beneath layered clothing. The study compared the performance of raw IR images and Fuzzy-c clustered images for the fine-tuning of a pre-existing ResNet-50 CNN model. Subsequently, the study assessed the model's performance using the receiver-operating-characteristic (ROC) curves and examined the interpretability of the model's predictions via the Gradient-weighted Class Activation Mapping (Grad-CAM) visualization method. The flow chart to model training and evaluation is shown in Fig. 1.

II. METHODS

For the collection of IR data, a FLIR A6750sc Mid Wavelength IR camera (waveband between 3 and 5 μ m, thermal sensitivity <20 mK, and 640×512 pixels resolution) was used. A selection of objects simulating IED and outer clothing were used in data collection. Some samples of concealed objects and clothing material are shown in Fig. 2.

For the classification practice, two image types were compared: raw data from the IR camera and Fuzzy-c clustered images with the cropped region of interest (ROI). This intends to examine how the effects of clustering and the removal of non-deterministic information (i.e., background removal) may impact the performance of the

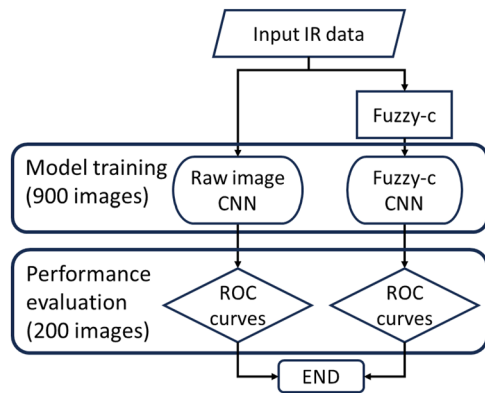


FIG. 1. Flow chart for image processing, training, and evaluation of CNN.

trained CNN model in classification. An example of a raw image with 256 intensity values is shown in Fig. 3(a).

The thermal intensity data were transformed into a 1D format prior to processing the pixel intensities using the Fuzzy-c clustering algorithm. A total of 16 cluster centers were defined to represent a total of 16 intensity bins in every image. Fuzzy-c uses a soft clustering technique, allowing each data point to be associated with all available clusters while assigning unique degrees of significance or

weighting.⁵⁰ Cluster centers were calculated for each iteration until there was no improvement in the objective function or if the stopping criteria were achieved. An example of an ROI cropped Fuzzy-c clustered image with 16 intensity values is shown in Fig. 3(b). Commercial numerical computing software, MATLAB,⁵¹ was used for image processing, the training of models, and the analysis of model predictions using Grad-CAM.

ResNet-50⁵² was used as the CNN of choice for IR image classification. Traditionally, when training a CNN model from the ground up, the common recommendation is to have a training dataset that is at least an order of magnitude larger than the test dataset to enhance diversity and prevent overfitting.^{53,54} Nevertheless, well-annotated IR datasets for subjects with concealed objects are infrequent and are frequently not made publicly available. To address the issue of a limited dataset, a publicly available ResNet-50 model pre-trained using the ImageNet dataset⁵⁵ was employed in this study. The ImageNet dataset is comprised of 1.4×10^6 images of various natural objects distributed across 1000 classes. For the transfer learning, the fully connected layer was fine-tuned using IR images collected in this work. A total of 900 labeled images, consisting of 462 images with and 438 images without concealed objects, were used to train models. The fully connected layer was trained using a weight learn rate factor and a bias learn rate factor of 10.

Image augmentation, such as transformation by reflection, rotation, and rescaling, was applied to the training images to

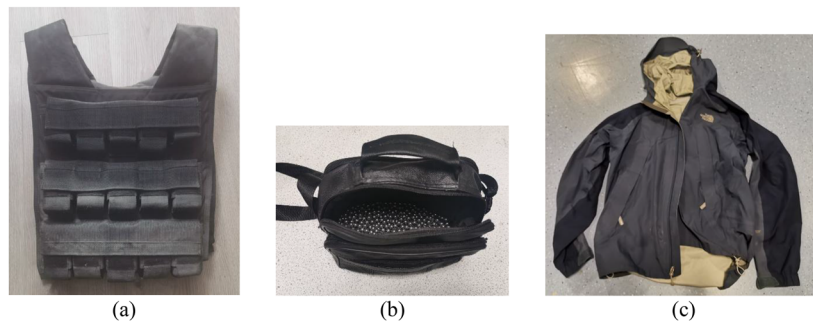


FIG. 2. Photographs of simulated concealed weapons including (a) a vest with pockets of cast iron blocks and (b) a leather bag with metal ball bearings. Figure (c) illustrates an example of outer clothing tested during the experimental campaign, i.e., a layered windproof jacket.



FIG. 3. An example of the dataset image, showing (a) the raw image and (b) the ROI cropped Fuzzy-c clustered image.

improve the diversity of the data and avoid overfitting. For each training iteration, 30% of the randomly sampled training was used for internal validation. Stochastic gradient descent was used as the optimizer with an initial learning rate of 0.0001, a

validation frequency of 5, a maximum epoch of 20, and a mini-batch size of 10. Validation accuracies of 98.95% and 95.19% were obtained for the raw image and ROI cropped Fuzzy-c image models, respectively.

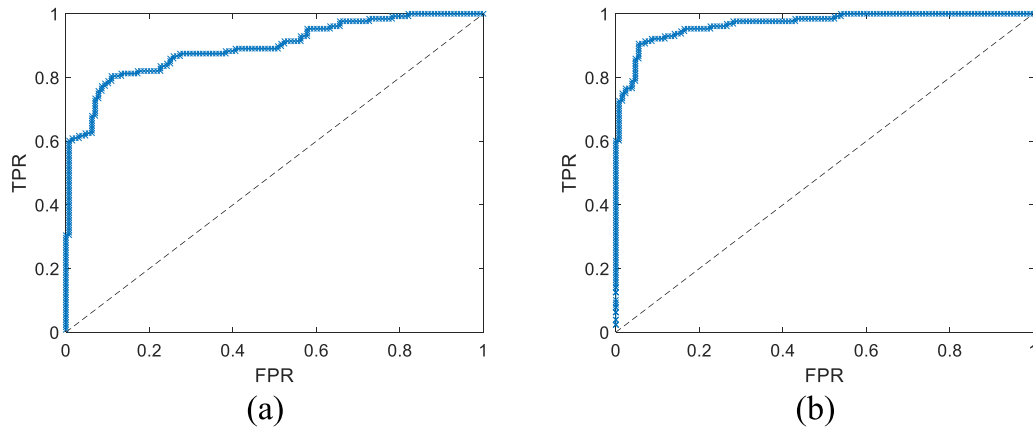


FIG. 4. Receiver operating characteristic (ROC) curves for the model trained using (a) raw images and (b) ROI cropped Fuzzy-c clustered images.

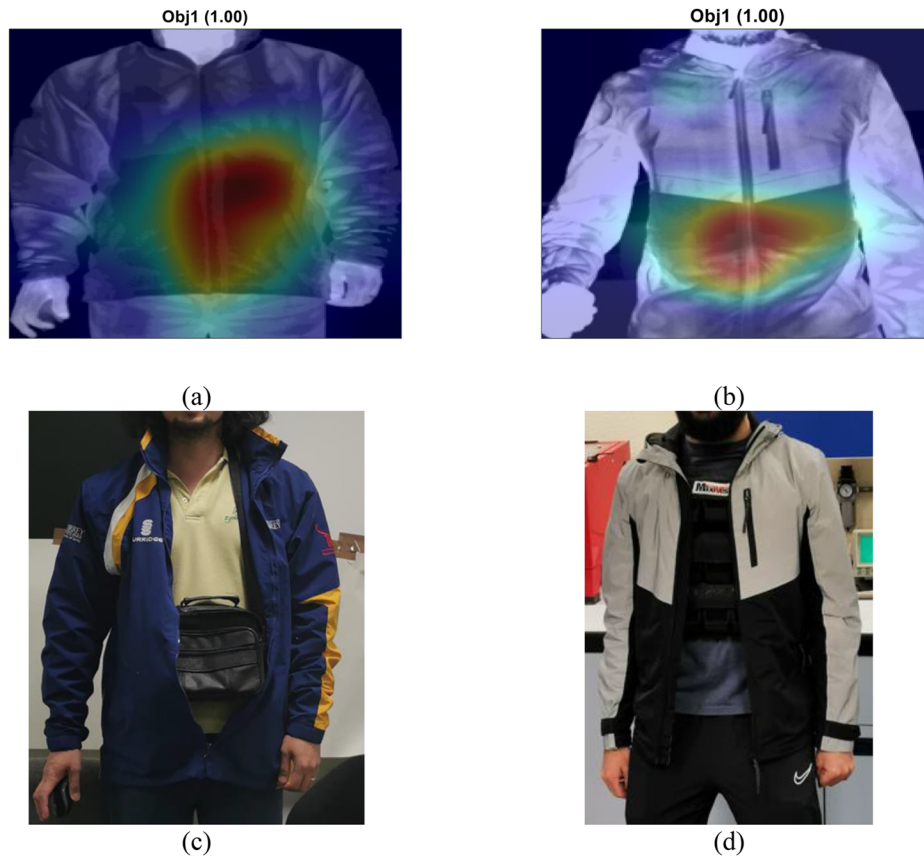


FIG. 5. Illustration of the Grad-CAM interpretation of the classification features utilized by the ResNet-50 models [(a) and (b)] and photographs revealing the object location underneath clothing [(c) and (d)].

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For the evaluation of model performance, a holdout set with 256 images (equal split of classes) was processed into the raw image and Fuzzy-c clustered image types. After the classification prediction of the holdout set using the corresponding fine-tuned ResNet-50 models, a confusion matrix labeling of the holdout dataset can be performed by applying cutoffs to the predicted values. Labeling the prediction into true labels (true positive and true negative) and false labels (false positive and false negative) will enable the construction of the ROC curve. The ROC curve is a 2D diagram that is built using the True Positive Rate (TPR, also described as sensitivity or recall) and False Positive Rate (FPR, also described as false alarm rate) calculated using the equations given by

$$TPR = \frac{\Sigma TP}{\Sigma (TP + FN)}, \quad (1a)$$

$$FPR = \frac{\Sigma FP}{\Sigma (FP + TN)}. \quad (1b)$$

The performance of the model is evaluated by the integration of the area under the curve (AUC). The AUC parameter serves as a performance metric for the models: 0.5 suggests no discrimination, 0.7–0.8 is considered acceptable, 0.8–0.9 is considered excellent, and >0.9 is outstanding.⁵⁶

III. RESULT AND DISCUSSION

ROC curves built using the holdout sets are illustrated in Fig. 4, showing an AUC of 0.8934 and 0.9681 for the raw image model and ROI cropped Fuzzy-c clustered image model. Results show that the ROI Fuzzy-c pre-processed images outperformed the raw images. This is likely due to factors such as the removal of non-deterministic data (background information) and the highlighting of the concealed object area (clustering).

The models were trained using images comprising different clothing materials and concealed objects and, therefore, it was unsure what specific features were used by the model for classification prediction. The Grad-CAM method was applied to test images to provide a visual explanation of the classification association produced by the model.^{57,47,57–59} Two images with concealed objects were processed using the Grad-CAM method, which is illustrated in Fig. 4, alongside the associated visual correspondence and hidden object. On the blue jacket [Fig. 5(c)], the model correctly predicted the hidden leather bag with metal ball bearings, which was located around the mid-lower torso area [Fig. 5(a)]. On the gray-black jacket [Fig. 5(d)], the model mistakenly predicted the hidden weighted vest, where the object was predicted to be in the lower torso instead of the upper torso [Fig. 5(b)]. In the blue jacket, the layers were consistent across the whole clothing, considering similar transmission across layers, thus allowing the heat trapped by the concealed object to be visualized from the surface of the clothing. However, on the gray-black jacket, the gray region was comparatively smoother than the black region, leading to higher thermal transmission compared to the black layer on the clothing.^{60–62} Due to the higher thermal transmission in the gray region, a higher apparent temperature was measured from the IR camera, a step different from the rougher black region surface. Although the model classified the gray-black jacket image correctly, a wrong feature was used due to the complicated design of the clothing material.

IV. CONCLUSION

The results of this research found that infrared thermography shows potential as an automatic scanner for concealed objects under clothing. Through the application of the transfer learning approach, models showed good classification performance. The Fuzzy-c clustered image model revealed better performance compared to the raw image model. Image pre-processing by information reduction, i.e., Fuzzy-c, removed background data and emphasized the temperature contrast around the object area. Aside from cases of complicated clothing structures (i.e., clothing comprising layers of distinctly different transmission properties), the Grad-CAM method showed that the model was able to predict the areas of concealed objects effectively.

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AUTHOR DECLARATIONS

Conflict of Interest

The authors have no conflicts to disclose.

Author Contributions

WeeLiam Khor: Conceptualization (lead); Data curation (lead); Formal analysis (lead); Investigation (lead); Methodology (equal); Software (lead); Visualization (lead); Writing – original draft (lead). **Yichen Kelly Chen:** Conceptualization (equal); Formal analysis (equal); Software (equal); Writing – original draft (equal). **Michael Roberts:** Conceptualization (equal); Investigation (equal); Supervision (equal); Writing – review & editing (equal). **Francesco Ciampa:** Conceptualization (equal); Methodology (equal); Project administration (lead); Supervision (lead); Writing – review & editing (lead).

DATA AVAILABILITY

Trained CNN models and several processed IR images are available for anyone interested in “testing” the model. Data availability is upon contacting the corresponding author, as the data should not be publicly shared due to the nature of the project.

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