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1 **CYCLE CONFIGURATION ANALYSIS AND TECHNO-ECONOMIC SENSITIVITY OF**
2 **BIOMASS EXTERNALLY FIRED GAS TURBINE WITH BOTTOMING ORC**

3

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4 **ABSTRACT**

5 This paper focuses on the energy analysis of a combined cycle composed by a topping 1.3 MW
6 Externally Fired Gas Turbine (EFGT) with direct combustion of biomass and a bottoming Organic
7 Rankine Cycle (ORC). A non recuperative scheme is assumed for the EFGT in order to avoid the
8 costs of the recuperator. This scheme presents lower conversion efficiency in comparison to a
9 recuperative one, however the heat available for the bottoming cycle is at a higher temperature
10 (about 400°C). In the present work, evaporation pressure and superheating temperature of ORC
11 cycle are ranged in order to examine different bottoming cycles, including supercritical ones.
12 Different organic fluids are investigated, such as siloxanes and toluene, aiming to analyze how the
13 fluid choice influences both the plant performance and important features for the ORC turbine
14 design. On the basis of the results of the thermodynamic simulation, a thermo-economic assessment
15 is proposed, to investigate the profitability of the bottoming ORC in comparison to only topping
16 EFGT, and the most influencing techno-economic factors that influence the selection of the optimal
17 cycle. In order to propose real case studies, the Italian bioenergy subsidy framework is assumed,
18 and the sensitivity assessment includes the options of only electricity and CHP, at different biomass
19 cost, thermal energy demand and heat selling price values.

20

21 **KEYWORDS**

22 EFGT, ORC, microturbine, biomass, siloxanes

23

24 **HIGHLIGHTS**

25 - a methodology to design the bottoming ORC cycle coupled to a biomass fired EFGT is proposed

- 26 - the influence of cycle thermodynamic parameters in the ORC turbine design is investigated
- 27 - the fluid selection affects more the heat recovery ratio (in CHP configuration) than the combined
- 28 cycle conversion efficiency
- 29 - Bottoming ORC results a promising option to increase conversion efficiency and profitability of
- 30 EFGT
- 31 - energy demand characteristics (temperature of heat demand and energy intensity) are key factors
- 32 for the selection of the optimal cycle configuration

33

34 **1. INTRODUCTION**

35 In the European Union, the European Commission (EC) Decision [1] has defined the GHG
36 emission limits by 2020 compared to 2005 levels for each Member State (MS), in order to reduce
37 GHGs of 20% on respect to 1990 levels. Heat and power generation from Renewable Energy
38 Sources (RES) is recognized as a particularly important means of reducing GHG emissions [2].

39 In particular, small scale and on site CHP plants operated within ESCO (Energy Service Company)
40 schemes can be promising for the residential and tertiary sector, which are commonly affected by
41 high energy demand intensity and costs, and for the industrial sector, in particular in case of energy-
42 intensive processes, concurrent heat and power demand, and high tariffs of electricity and heating
43 [3]. The use of biomass in small scale CHP plants has been widely investigated in literature,
44 including, among the others, topics such as biomass upgrading and processing technologies,
45 logistics of supply, optimization of CHP plants sizing, location and operation. In the field of energy
46 conversion of lignocellulosic biomass, the available technologies for small scale CHP (100 kWe to
47 1 MWe size) include two main options: (i) biomass pre-processing through gasification and (ii)
48 direct combustion in grate or fluidized bed boilers. In this second option, externally fired MGT
49 [4,5], Stirling engines [6,7] and Organic Rankine Cycles (ORC) [8,9] are largely investigated as
50 technically viable alternatives to steam plants in order to efficiently convert the heat produced by
51 the biomass combustion. An assessment of small scale biomass CHP plants including steam vs
52 ORC plants in different energy demand segments is given in [10]. The application of dual fuel
53 schemes (direct biomass combustion and natural gas) in order to improve the efficiency of MGT
54 has been examined in [11,12], while in [13] the technical and economical aspects related to the part
55 load performance are examined.

56 Research on EFGT systems has considerably increased during last years, especially in configuration
57 fired by biomass. In [14], a Aspen Plus and Matlab based simulation of EFGT coupled to syngas
58 from biomass gasification is proposed, in order to explore the influence of fuel quality, dual fuel
59 operation (natural gas mix) and other thermodynamic parameters on cycle performance. Other

60 studies [15–17] focus on methods to increase the efficiency both in simple cycle and in combined
61 cycle configurations, investigating the use of metallic as well as ceramic materials for high
62 temperature heat exchangers. Another configuration capable of enhancing the power output and
63 efficiency of the EFGT, by increasing the mass flow through the turbine, the so called externally
64 fired humid air turbine (EFHAT), is proposed in [18,19].

65 In [20], a small scale double shaft intercooled EFGT cycle is investigated, assuming a 50 kW
66 biomass system with a grate combustor boiler and turbine inlet temperature of 750 °C. A
67 preliminary sizing of the high temperature heat exchanger is performed, while the potential
68 advantages of this externally fired configuration with respect to the existing technologies are
69 discussed on the basis of numerical simulations in other works [21-22].

70 On the other side, a number of researches aimed to quantify the benefits of ORC bottoming cycles
71 coupled to MGT [23-26]. In particular, in [23,26], a modified recuperative micro gas turbine is
72 proposed in order to perform an externally fired scheme with direct combustion of biomass. This
73 scheme, assuming a turbine inlet temperature of 900°C, coupled to an optimized bottoming ORC
74 cycle is expected to reach an electric efficiency of 23.5% with respect to 19.2% of the EFGT alone.

75 In [27] an interesting scheme for a small size gas turbine (1.3 MW of electric power) is proposed.
76 An intercooled non recuperative cycle is considered with direct biomass combustion. Although this
77 cycle has a relatively poor efficiency, the costs of investment per kW are lower on respect to a
78 recuperative cycle because of the absence of the recuperator. Other interesting features are: higher
79 specific work that determinates lower investment cost per kW, higher pressure ratio that allows for
80 easier and more economic design of the heat exchangers of the air circuit. Due to the higher
81 pressure ratio, the sensitivity of the cycle efficiency to pressure losses in the heat exchangers is
82 much lower than in a recuperative cycle.

83 In the domain of thermo-economic assessment of energy conversion systems, several
84 methodologies have been proposed in literature, as reviewed in [11], focusing on a number of
85 renewable sources [49,50], a wide range of end user typologies [51,52], including residential,
86 tertiary and industrial sectors, and a broad range of energy management strategies for the optimal
87 system operation [53,54,55].

88 In the proposed research, a thermodynamic analysis of the bottoming ORC cycle is carried out in
89 order to evaluate how the lower efficiency of the topping cycle can be compensated by an efficient
90 bottoming cycle. The thermodynamic analysis is focused on the selection of the optimal design
91 point for a given ORC fluid and on a comparison among different fluids. The ORC is much more
92 suited than conventional steam turbines for small and micro CHP plants from a few dozen to some
93 hundreds kWe. In facts, instead of water, ORC uses organic chemicals with favorable

94 thermodynamic properties as working fluids so that the enthalpy drop is much lower and the flow
95 can be expanded in a turbine by means of few stages.

96 The selection of the working fluid is a relevant issue in the ORC design. This selection is based on
97 the temperature of heat sources and on environmental and safety regulations. In particular,
98 refrigerant can be used for low temperatures (100-180°C), hydrocarbons for middle temperatures
99 (200-180°C), while siloxanes and toluene are suitable for higher temperature (250-350°C) [28].

100 The fluids can be classified as wet, dry and isentropic ones on the basis of the slope of the saturated
101 vapor curve (dS/dT). Isentropic and dry fluids are preferred for ORC applications since they
102 ensure a dry expansion avoiding droplet formation that can damage turbine blades, and allowing for
103 saturated, subcritical or supercritical cycles. A review of ORC fluid selection has been proposed in
104 a number of researches [29,30], nevertheless the selection of the best working fluid for a new
105 application is still a difficult task.

106 The working fluid also influences the turbine design parameters (speed of revolution, number of
107 stages, dimensions) and, in turn, its performance. The size parameter S_p and the volume expansion
108 ratio V_r are often used for the turbine design. Macchi [31] suggests the use of efficiency prediction
109 maps based on both V_r and S_p , both for single stage and double stage axial turbines. Moreover,
110 different layout schemes could be adopted [32].

111 In the last section, following the methodology described in [11], a thermo-economic assessment
112 is proposed, comparing the profitability of the EFGT with and without the bottoming ORC. The
113 main advantage of the bottoming ORC is to increase the electric conversion efficiency, having as
114 drawback higher upfront cost and lower temperature of heat available for cogeneration.

115 A baseload operating strategy, feeding electricity directly into the grid, is here assumed, being
116 this typology of CHP plants eligible for feed-in tariffs in the Italian energy market [12], while other
117 operating strategies, such as the option of thermal or electric demand following, are addressed in
118 [10,13].

119

120 **2. THERMODYNAMIC ANALYSIS**

121 The aim of the first part of the paper is the thermodynamic analysis of the combined cycle
122 composed by an External Fired Gas Turbine – EFGT - and an Organic Rankine Cycle –ORC. The
123 plant layout of the combined cycle is shown in Figure 1 while Figure 2 shows the T-s diagram of
124 the cycle. The software for simulation is Cycle-Tempo [33] and the thermodynamic properties are
125 obtained from FluidProp library [33].

126 The topping cycle is an inter-refrigerated gas turbine, with direct combustion of biomass (C 50%,
127 H₂ 6%, O₂ 44%, 40% of humidity). The heat of the hot gas produced in the furnace is transferred to

128 the air flowing in the gas turbine through a heat exchanger (D-E) placed between the inter-
129 refrigerated compressor (A-D) and the turbine (E-F), as reported in Fig 1. Table 1 summarizes the
130 design values of the EFGT. Following [34], a TIT of 800°C is assumed, and this low temperature
131 allows a quite low cost for the heat exchanger steel.

132

133 **Figure 1** – EFGT-ORC Combined Cycle Plant Layout

134 **Figure 2** - T-s chart. EFGT-ORC Combined Cycle.

135 In the conventional EFGT scheme the biomass is fed to an external furnace together with hot air
136 coming from the turbine exhaust, however in this work, as proposed in [11, 34], combustion air is
137 taken from ambient. This configuration allows for a more flexible regulation, since the circuit of the
138 working air flowing in the turbine and that one of the combustion air flowing in the furnace are
139 independent. Furthermore, the gas exiting the turbine is pure air, without impurities coming from
140 combustion. For combined cycles and/or cogeneration, this is an important feature since it allows
141 the hot gas exiting from the turbine to be cooled to low temperature, hence avoiding the problems
142 related to the condensation of hot gas produced by the biomass combustion.

143 From our calculations, the electric power output is 1,383 kW and the thermal flow from the exhaust
144 gas is 4,083 kW at the temperature of 394°C.

145 The bottoming cycle is composed by an ORC in recuperative configuration, with the adoption of a
146 Recuperative Heat Exchanger (RHE) (or “recuperator”) and a “dry” fluid. . In particular, the cycle
147 (see the plant layout in Figure 1) contains a pump (6-1) that supplies the organic fluid to the RHE.
148 The RHE pre-heats the working fluid (1-2) using the thermal energy coming from desuperheating
149 process of the organic fluid before entering the condenser.

150 The Heat Recovery Boiler (HRB) completes the evaporation (2-3) by means of heat recovered from
151 the topping cycle. In general, the HRB is composed by an economizer that heats the fluids up to the
152 evaporation temperature, an evaporator for a complete evaporation of the fluid and a superheater (in
153 superheated cycles). The vapor exiting the HRB flows across the turbine (3-4), then to the hot side
154 of the RHE (4-5), and finally to the condenser (5-6).

155

156 **Table 1** –Main calculation hypotheses for the EFGT cycle

157

158 In the T-s diagram of the combined cycle EFGT+ORC of Figure 2, the temperature T_G at the HRB
159 exit is the stack temperature and is related to the temperature T_2 of the organic fluid at the cold side
160 regenerator exit. The choice of the regenerative scheme for the bottoming cycle is discussed in the

161 next section. Considering that the EFGT turbine outlet temperature is 394°C, siloxanes and toluene
 162 are suitable working fluids for the ORC cycle. In particular, hexamethyldisiloxane –MM- is firstly
 163 examined. Since the critical pressure of MM is of 19.39 bar, subcritical cycles are examined,
 164 ranging the evaporation pressure from 1 bar to 19 bar. Supercritical cycles are then examined,
 165 ranging the evaporation pressure from 20 to 25 bar. For each value of evaporation pressure,
 166 superheated cycles up to a maximum temperature of 390°C are examined. The T-s diagrams of the
 167 bottoming ORC in the different cases are shown in

168 Figure 3. A saturated cycle is reproduced in Figure 2(a); two superheated cycles are shown in
 169 Figure 2(b) and 2(c), with two different evaporation pressures; finally, a supercritical cycle is shown
 170 in Figure 2(d). The condensation temperature of 40°C and the other parameters, summarized in
 171 Table 2 are unchanged in the present thermodynamic analysis. T-Q diagrams of the HRB for the
 172 four cycles of
 173 Figure 3, are shown in Figure 4.

174

175 **Figure 3** – T-s chart for some examined cases: a) $p_{ev}=5$ bar, saturated; b) $p_{ev}=5$ bar, $T_3=260^\circ\text{C}$; c)
 176 $p_{ev}=10$ bar, $T_3=260^\circ\text{C}$; d) supercritical cycle $p_{ev}=25$ bar, $T_3= 270^\circ\text{C}$

177

Figure 4 –T-Q diagrams for the HRB. Cases (a) to (d).

178 The thermodynamic analysis of the combined cycle is carried out under the hypothesis that the
 179 bottoming cycle does not influence the performance of the topping cycle. Actually, the
 180 backpressure at the exit of the EFGT is influenced by the pressure losses across the HRB. However,
 181 in this work, those pressure losses are considered constant, so that efficiency, power output and
 182 exhaust gas temperature are assumed constant.

183 Following [48], the energy performance of the bottoming cycle are evaluated from: (i) the “internal
 184 thermal efficiency of the bottoming cycle”, η_L , defined as the ratio between the power output of the
 185 bottoming cycle $P_{e,ORC}$, and the input heat flux to the bottoming cycle, \dot{Q}_L , (i.e. the heat recovered
 186 in the HRB); (ii) the “heat recovery ratio” or “heat recovery boiler efficiency” χ , defined as the
 187 ratio between heat recovered \dot{Q}_L , and heat flux made available to the bottoming cycle, \dot{Q}_{av}

$$\eta_L = \frac{P_{e,ORC}}{\dot{Q}_L} \quad (1)$$

$$\chi = \frac{\dot{Q}_L}{\dot{Q}_{av}} \quad (2)$$

188

189 The heat flux

190

$$\dot{Q}_L = \dot{m}_g c_{p,g}(T_F - T_G) \quad (3)$$

$$\dot{Q}_{av} = \dot{m}_g c_{p,g}(T_F - T_{amb}) \quad (4)$$

191

192 The “overall efficiency of the bottoming cycle”, η_{rec} is defined as the ratio between the power
 193 output of the bottoming cycle and the heat flux available from the topping cycle. Then, according
 194 to Eq.(1) and (2), it results

$$\eta_{rec} = \frac{P_{e,ORC}}{\dot{Q}_{av}} = \chi \cdot \eta_L \quad (5)$$

195 The modifications of the bottoming cycle do not influence the topping cycle, excepted for the
 196 backpressure variation at the turbine exit, that influences the turbine power output. Neglecting such
 197 influence, from Eq.(5) it follows that the maximum power output for the combined cycle is obtained
 198 under the condition that maximizes the product of η_L and χ .

199 Finally, the combined cycle efficiency is given by Eq (6), where $P_{e,EFGT}$ is the power output of the
 200 topping cycle (EFGT), \dot{m}_b is the biomass flow and LHV is lower heating value of biomass.

201

$$\eta_{cc} = \frac{P_{e,EFGT} + P_{e,ORC}}{\dot{m}_b LHV} \quad (6)$$

202

203

Table 2- Basic assumptions for ORC calculation

204

205 **2.1 Influence of evaporation pressure and superheating temperature**

206 Subcritical cycles are first examined, varying the evaporation pressure from 1 bar to 19 bar,
 207 including saturated cycles (

208 Figure 3(a)) and superheated cycles with superheating temperature up to 390°C (

209 Figure 3(b-c)). The performance of these cycles, represented by η_L , χ and η_{rec} are shown in Figure
 210 5 as function of the maximum cycle temperature T_3 , that is the ORC Turbine Inlet Temperature
 211 (TIT), and for different values of the evaporation pressure, p_{ev} . The internal efficiency η_L , of
 212 saturated cycles is always increasing with evaporation pressure. For superheated cycles, the internal
 213 efficiency η_L increases with evaporation pressure and superheating temperature. This result is
 214 coherent with the characteristics of the bottoming cycle that is a recuperative cycle. In fact, as
 215 shown in

216 Figure 3, when increasing the evaporation pressure p_{ev} and the max cycle temperature T_3 , the heat
 217 input to the bottoming cycle occurs within higher temperatures.

218 The efficiency η_L of supercritical cycles with evaporation pressure of 20 and 25 bar, is also plotted
219 in Figure 5(a). The plots at higher pressures are not given for sake of clarity. It results that η_L is
220 always increasing with pressure and cycle max temperature.

221 The heat flux recovered in the HRB, \dot{Q}_L , and the corresponding heat recovery ratio χ are plotted in
222 Figure 5 (b). It appears that, in the case of saturated cycles, the heat flux recovered is always
223 decreasing with the evaporation pressure., as resulting from the Q-T diagram for a saturated cycle,
224 reported in Figure 4(a). , which also indicates the pinch constraint at the cold end of the HRB. This
225 entails that, when increasing the superheated vapor temperature, the feed temperature T_2 of the
226 liquid to the HRB also increases, due to heat recuperation in the RHE. Hence, the temperature T_G of
227 the gas exiting the HRB increases and, finally, the heat recovery ratio χ decreases.

228

229 **Figure 5-** Effect of ORC Turbine Inlet Temperature and Evaporation Pressure on a) internal ORC
230 cycle thermal efficiency, η_L ; b) heat exchanged in the HRB and heat recovery ratio; c) electric
231 power output and overall efficiency of the ORC cycle, η_{rec} , for subcritical (1 to 19 bar) and
232 supercritical (20 to 25 bar) cycles

233

234 For superheated cycles, the graphs of the heat recovery ratio χ show three different trends (see
235 graphs for evaporation pressures 4 to 16 bars in **Figure 5** (b)):

236 (i) In the first segment, the heat recovery ratio is decreasing with the superheating temperature.
237 This can be justified if one observes Figure 4(b) and (c) that show the T-Q plot of the HRB. It
238 appears that pinch occurs at the cold end of the HRB; therefore, when increasing the superheated
239 temperature, due to the recuperator, the feed temperature of the organic fluid to the HRB is
240 increased. Consequently, the temperature of the gas exiting the HRB increases and, finally, the heat
241 recovery ratio is lowered.

242 (ii) In the second segment, the heat flux needed for vaporization is partly transferred in the
243 internal recuperator RHE. Consequently, the pinch constraint in the T-Q diagram of the HRB occurs
244 at the evaporation temperature (see Figure 4 (b)). Therefore, even if the temperature the ORC
245 turbine inlet temperature T_3 , increases, the stack temperature T_G remains constant, as well as the
246 heat recovery ratio.

247 (iii) In third segment, the heat flux needed for vaporization is entirely recovered in the internal
248 recuperator. Consequently, the HRB supplies heat only for superheating. As in the case (i), the stack
249 temperature T_G increases with T_3 and the heat recovery ratio χ is lowered.

250 For supercritical cycles (graphs for evaporation pressures 20 and 25 bar) the heat recovery ratio χ is
251 always decreasing with the temperature T_3 . The T-Q diagram of the HRB given in Figure 4 (d)

252 corresponding to one of the case at 25 bar, shows the pinch constraint at the cold end of the HRB.
253 Therefore, like the above point (i), the heat recovery ratio χ decreases with increasing T_3 .
254 The Figure 5(c) shows the overall efficiency of the bottoming cycle η_{rec} and the electric power
255 output of the bottoming cycle. As for Eq.(3), it appears that, considering saturated cycles, the
256 overall efficiency η_{rec} is always increasing with the evaporation pressure, despite the heat recovery
257 ratio is decreasing. In the case of subcritical superheated cycles, it appears that superheating is
258 generally useful for cycles with low evaporation pressure, while, for high evaporation pressures (>8
259 bar), saturated cycles show overall efficiency higher than superheated cycles with the same
260 evaporation pressure. Finally, similar results have been obtained with supercritical cycles; it appears
261 that, over a certain evaporation pressure, the overall efficiency decreases with the maximum
262 temperature.

263

264 **2.2 Influence of recuperation on the ORC cycle**

265 The choice of a recuperative heat exchanger (RHE) in the bottoming ORC cycle is discussed here.
266 Recuperation in the bottoming cycle has a limited influence on the overall efficiency of the
267 bottoming cycle, η_{rec} . In fact, recuperation in the bottoming cycle improves the thermal efficiency
268 of the bottoming cycle η_L but causes a reduction of heat recovery ratio χ , since the organic fluid that
269 feeds the HRB is preheated in the regenerator. A comparison of the performance, with and without
270 recuperation, is given in Figure 6 for a saturated cycle with ORC turbine inlet temperature of
271 150°C. It appears that with recuperator, the overall efficiency η_{rec} is a little higher. In general, it
272 seems preferable the solution with recuperator that is characterized by a higher stack temperature
273 and lower enthalpy of the organic fluid entering the condenser. In the interplay between heat
274 rejection at the stack or at the condenser, the first choice appears preferable.

275

276 **Figure 6** - Comparison between ORC cycles with and without recuperation. Saturated cycle,
277 $T_3=150^\circ\text{C}$.

278 **Table 3**- Thermodynamic parameters of working fluid

279 **Figure 7** - Saturated cycles for toluene and MM.

280 **2.3 Performance of the bottoming ORC with different fluids**

281 The analysis of the choice of the working fluid on the bottoming cycle is given hereafter. The
282 selected fluids for the comparison are: hexamethyldisiloxane (MM), octamethyltrisiloxane (MDM),
283 decamethyl-tetrasiloxane (MD2M) and Toluene. Siloxanes are fluids composed of molecules
284 containing alternate silicon and oxygen atoms in either a linear or a cyclic arrangement, usually

285 with two or three organic groups attached to each silicon atom. At ambient conditions, siloxanes are
286 liquid and appear slightly viscous, odorless, and transparent [36]. Toluene, instead, is an aromatic
287 hydrocarbon. Table 3 gives the main characteristics of the examined fluids, on the basis of their
288 molecular complexity [37,38].

289 The comparison is carried out only for saturated cycle on the basis of the previous results. **Figure 8**
290 shows the internal efficiency η_L , the heat recovery ratio χ and the overall efficiency of the
291 bottoming ORC plant, η_{rec} , with the corresponding electric power output, $P_{e,ORC}$. It appears that the
292 internal efficiency η_L is about the same for all the considered fluids, while there is a significant
293 difference for the heat recovery ratio χ . This result can be justified by observing Figure 7 that shows
294 the T-s diagrams of the cycles for toluene and MM, with the same evaporation temperature. It
295 appears that the turbine exit temperature is lower for the cycle operated with toluene. Therefore, due
296 to the recuperative heat exchanger, the feed liquid temperature to the HRB is lower for the toluene
297 cycle than for MM cycle. This results in a lower stack temperature T_G for toluene than for MM.

298 In the case of the cycles operated by MDM and MD2M, Figure 8(b) shows that, like the cycle
299 operated by MM, the recovery factor χ decreases with the evaporation temperature (that is the
300 turbine inlet temperature) with values lower than MM and toluene. This determinates lower overall
301 efficiency η_{rec} for MDM and MD2M cycles, as shown in Figure 8(c).

302 In conclusion, the analysis shows that toluene and MM guarantee the highest overall efficiency η_{rec}
303 and power output, with a maximum of about 780 kW, reached by the cycle operated by toluene with
304 evaporation pressure of 41 bar.

305

306 **Figure 8**-Comparison among MM, MDM, MD2M and Toluene for saturated cycles. Effect of ORC
307 Turbine Inlet Temperature and evaporation pressure on a) η_L , b) χ and c) η_{rec} and electric power
308 output.

309

310 **2.4 Preliminary design of the ORC turbine**

311 The choice of the thermodynamic points has a strong influence on the turbine design. To this
312 purpose, it is necessary to introduce the volumetric expansion ratio, V_r , and the size parameter S_p as
313 follows

$$V_r = \frac{\dot{V}_{out}}{\dot{V}_{in}}, \quad (7)$$

314

$$S_p = \frac{\sqrt{\dot{V}_{out}}}{\Delta h_{is}^{1/4}}, \quad (8)$$

315 where \dot{V}_{in} and \dot{V}_{out} are turbine inlet and outlet volume flows and Δh_{is} is turbine isentropic enthalpy
 316 drop. Volumetric ratio regards compressibility effects while size parameter is proportional to the
 317 turbine dimensions [31,39]. Due to the large molecular weight, ORC cycles are characterized by
 318 small turbine enthalpy drops Δh_{is} and large volumetric ratios V_r .

319 The evaluation of V_r and S_p can be done directly from the thermodynamic cycle and can be used for
 320 the estimation of the turbine efficiency, making use of efficiency maps that can be found in several
 321 works (see, e.g. [31, 39, 40]). Such maps allow us to verify if the hypotheses on the turbine
 322 isentropic efficiency assumed for thermodynamic calculations are acceptable or not.

323

324 **Figure 9** – Volumetric expansion ratio and Size Parameter chart. Comparison between MM, MDM,
 325 MD2M and Toluene

326 **Figure 10** – Chart of ORC electric power output vs. Volumetric ratio. Saturated cycles.

327

328 Figure 9 shows the chart of V_r vs. S_p for the examined fluids, considering saturated cycles. It
 329 appears that MDM and MD2M give very high values of V_r (>150) that cause unacceptable
 330 detriment of the turbine efficiency, even with high values of size parameter.

331 Figure 10 shows the estimated power output (considering $\eta_{is,t}$ constant and equal to the value
 332 given in Table 1) as a function of the volumetric ratio. In order to consider both plant performance
 333 and turbine design, it helps one to select a thermodynamic point able to reach the best tradeoff
 334 between ORC power output and volumetric ratio. It appears that at the same volumetric ratio,
 335 toluene gives better overall cycle efficiency than MM. In particular, it can be observed that, with
 336 evaporation pressure $p_{ev}=10$ bar, the volumetric ratio is 120 and the power output of the ORC plant
 337 is 700 kW, not far from the maximum of about 780 kW and, from Figure 9, the size parameter is
 338 about 0.22 m. From charts in [26] and [39], it can be shown that, for this point, the estimated
 339 isentropic efficiency is within the range 0.78-0.84, depending on the model adopted for estimation
 340 of the turbine losses. Therefore, the value of 0.8 assumed for the isentropic efficiency in the
 341 thermodynamic calculations can be considered acceptable for the aims of the present work. This
 342 result is in agreement with the assumptions of [27]. Then, the following thermo-economic analysis
 343 will be carried out for this design point. The performance of the combined cycle plant obtained
 344 under such hypotheses are given in Table 4 where are compared to the simple EFGT cycle.

345

346

Table 4. Technical parameters and modeling results

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3. THERMO-ECONOMIC ANALYSIS

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A profitability assessment of the combined cycle EFGT+ORC as resulting from the previous thermodynamic analysis, is proposed in this section with comparison to the simple EFGT cycle (Table 4). The main advantage of the bottoming ORC is the increased electric conversion efficiency, while the drawback is the higher upfront cost and lower heat available for cogeneration. In the case of the simple EFGT, high temperature heat can be recovered (hot air exiting the turbine at 394°C). Further thermal power is available from the intercooler heat exchanger (IC) for compression intercooling, at a temperature of 110°C. However, this has been disregarded in the thermo-economic assessment, since it may cause further complication of the plant layout and operation because of the cold water needed to cool the compressed air at the prescribed temperature, which could be difficult when, due to the variability of the heat demand, no heat is absorbed for cogeneration. In the case of the combined cycle EFTG-ORC, the air exiting from the HRB is at 104°C, hence the cogeneration applications are only for low grade thermal energy demand (40 °C). The different temperature of cogenerated heat with and without bottoming ORC and the correspondent on site heat demand requirements represent the main key factors for the selection of the most profitable cycle configuration.

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In this analysis, a low grade thermal energy demand at 40 °C and a ΔT_{\min} of the heat exchanger for cogeneration of 10°C are assumed, which means 60% and 90% of heat recovery respectively for the two configurations. As in the previous plant configuration, useful heat could be recovered also from the intercooler; however, the heat from intercooling is again neglected, for the same reasons described above for the EFGT plant.

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In this application, the profitability is assessed for the two scenarios of only electricity generation and cogeneration of heat and power, and a sensitivity analysis to heat demand intensities and heat selling prices is proposed. With the assumptions of Table 1 for hot air genset efficiency, LHV and moisture content of biomass of 40% dm, and taking into account biomass storage losses of 1%, the fuel consumption results of 25,694 t/yr. The proposed thermo-economic methodology is described in [11]. The basic strategy of baseload operating mode and electricity fed into the grid is here assumed, being this typology of CHP plants eligible for feed-in tariffs in the Italian energy market [3, 46].

377

Table 5. Capex and opex costs assumptions

378

379 **3.1 Costs assessment**

380 The turn key costs are estimated by means of interviews and data collections from
381 manufacturers of the selected technologies. In particular, the following sources have been
382 considered: Saturn 20 Solar Turbines; Turboden for the ORC genset; personal elaboration from
383 Areva and Koblitz data and literature references [41-43] for biomass storage, handling and
384 conveyor systems; Uniconfort data [44] for biomass boiler, hot air genset and heat exchanger (max
385 T of 800°C); civil works, grid connection, engineering, installation: personal estimates from
386 interviews to operators of biomass fired ORC power plants in the 1-2 MWe size range and literature
387 [45]. The heat distribution and delivery to final end users is excluded from the costs assessment,
388 being this study mainly focused on the profitability of the bottoming ORC in comparison to the
389 single cycle EFGT. The Capex and Opex cost items are summarized in Table 5. The annual O&M
390 costs are assumed 4% of the turn key cost and the ash discharge are accounted for assuming unitary
391 cost of 70 Eur/t ash. Personnel costs are respectively 244 and 268 kEur/yr, as resulting from unitary
392 labour costs in Italy and data from plant operators [45] and manufacturers. The cost of biomass
393 supply (included transport) is 50 Eur/t (17.5 Eur/MWh).

394 **3.2 Energy revenues and financial assumptions**

395 The financial appraisal of the investment is carried out assuming the following hypotheses: (i)
396 20 years of operating life; no 're-powering' throughout the 20 years; zero decommissioning costs;
397 (ii) maintenance costs, fuel supply costs, electricity and heat selling prices held constant (in real
398 2015 values); (iii) duration of feed-in tariff for biomass electricity of 20 years (iv) capital assets
399 depreciated using a straight line depreciation over 20 years; (v) cost of capital (net of inflation)
400 equal to 5%, corporation tax neglected, no capital investments subsidies.

401 A baseload operation mode is assumed for the power plant, being all generated electricity sold
402 to the grid at the feed-in electricity price available in the Italian framework [11, 46], which is 231
403 and 221 Eur/MWh respectively for CHP and only electricity, in the assumed power size range and
404 considering the BAT for air emissions abatement, and use of agricultural by-products from local
405 and sustainable supply chains [47]. The electricity generation is calculated assuming operating
406 hours of 8,040 per year.

407

408 **Figure 11.** NPV (top) and IRR (bottom) of the investment as a function of the heat demand (hr/y)

409

410 3.3 Profitability assessment results

411 The profitability assessment results are reported in Figures 11 to 13 that show respectively the NPV
412 and IRR as a function of: (i) the equivalent heat demand of the load, (ii) the heating selling price
413 and (iii) the biomass supply cost. The sensitivity assessment of NPV and IRR on respect to these
414 factors is carried out assuming starting values of 3,000 hours/year for the equivalent heat demand,
415 40 Eur/MWh for the heat selling price, temperature of heat demand of 40°C and biomass supply
416 cost of 50 Eur/t. As it can be seen, the option with bottoming ORC is more profitable than the
417 baseline case of only EFGT, because of the higher electric efficiency and electricity revenues. On
418 the contrary, the latter option results profitable only at high heat demand and heating selling price
419 levels. This is mainly due to the low electric efficiency of the cycle, despite the relatively low
420 investment cost (having assumed low TIT and no regenerator). The heat demand typology is
421 certainly a key factor when comparing the profitability of the two cycles. In particular, if the low
422 grade temperature available from the ORC is not compatible with the heat requirement of the load
423 (i.e. steam demand), and at high thermal energy demand levels (above 5,000 hr/year) and/or high
424 heat selling prices (above 60 Eur/MWh), the EFGT option results more profitable than the
425 EFGT+ORC one (where the low T heat would be discharged and not useful for the load). This is
426 shown in Figure 14, which reports the increment of NPV of the bottoming ORC option as a
427 function of temperature of heat demand, and at different heat demand and heating selling price
428 levels. As can be seen, at H_T of 5,000 hour/yr and P_T of 80 Eur/MWh, (case 4 of Fig 14) the
429 EFGT+ORC option is less profitable than the EFGT. The biomass supply cost is another important
430 parameter, as appears in Fig 13. However, it has almost the same influence on the two
431 configurations, and makes the EFGT profitable at biomass costs below 60 Eur/t, while the
432 EFGT+ORC cycle remains profitable even at higher biomass supply costs.

433

434 **Figure 12.** NPV (top) and IRR (bottom) of the investment as a function of the heat selling price

435

436 Further relevant aspects are the maintenance and personnel costs, which could be decreased when
437 locating the plant at the premises of industrial facilities, or the business model options to operate the
438 plant and maximize the performance in combination with active demand response, load following
439 or energy storage. For this reason, further studies on operational flexibilities, part load performances
440 and different operational strategies (heat/electricity driven and option to switch off the ORC in
441 presence of high temperature heat demand) should be deepened to increase the accuracy of the
442 study. This would be particularly relevant if the generous feed-in tariffs here assumed (Italian
443 subsidy framework) were not available and the electricity revenues would be estimated on the basis

444 of the avoided costs of electricity from on site generation and the sales of excess energy to the grid
445 at market prices.

446

447 **Figure 13.** NPV (top) and IRR (bottom) of the investment as a function of the biomass supply cost

448

449 **Figure 14 -** Increment of NPV with bottoming ORC as a function of the temperature of heat
450 demand; case 1: $h_t=3,000$ h/yr – $P_t=40$ Eur/MWh; Case 2: $h_t=5,000$ h/yr – $P_t=40$ Eur/MWh; Case 3:
451 $h_t=3,000$ h/yr – $P_t=80$ Eur/MWh; Case 4: $h_t=5,000$ h/yr – $P_t=80$ Eur/MWh

452 **4. CONCLUSIONS**

453 A thermodynamic analysis has been carried out on a combined cycle composed by an EFGT and a
454 bottoming ORC cycle. The parametric analysis regards exclusively the bottoming cycle where
455 different turbine inlet temperature and evaporation pressure are explored in order to compare
456 saturated, superheated and supercritical cycles. The results of the thermodynamic analysis show
457 that, in the case of subcritical cycles, superheating causes a decrease of the power output, hence the
458 adoption of saturated cycle is mandatory. Similar results have been obtained in the case of
459 supercritical cycles that show how the overall efficiency of the bottoming cycle decreases when
460 increasing the turbine inlet temperature, thus suggesting that the turbine inlet conditions should be
461 chosen in proximity of the critical point.

462 The comparison among different fluids (MM, MDM, MD2M and Toluene) has shown that Toluene
463 provides the best efficiency in this specific application, mainly due to a better heat recovery ratio,
464 χ , that occurs due to the relatively high temperature (394°C) of the heat available at the turbine
465 exit.

466 In order to take in consideration the design of the ORC on the plant performance, the size parameter
467 S_p and the volumetric ratio V_r have been examined.. The results show that the volume ratio
468 increases with the evaporation pressure leading to high values of Mach number in the turbine
469 expansion and, consequently, low isentropic efficiency. The turbine design has been selected on the
470 basis of the maps of efficiency given in [25] and [38]and consequently a saturated cycle with
471 evaporation pressure of 10 bar can be chosen, in agreement with the choice proposed by [26].

472 Finally, the financial appraisal of the EFGT plant with and without bottoming ORC allows drawing
473 relevant considerations about the technical and economic key factors that influence the profitability
474 of bottoming ORC cycle coupled to biomass fired EFGT. The combined cycle EFGT+ORC results
475 more profitable than the simple EFGT option, because of its higher electric efficiency. However, in
476 case of high temperature of heat demand, high thermal energy demand levels, and/or high heating
477 selling prices, the EFGT configuration without bottoming ORC could be the most profitable one.

478 Future researches regard the optimization of operation strategies, taking into account energy
 479 demand patterns, part load efficiencies, heat to electricity output ratio flexibility, real time
 480 electricity selling prices, storage options, active demand response approaches and broad integration
 481 into smart grids and energy hubs.

482

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 485 Innovative Energy Conversion Processes (F-PRINCE). The financial support of the
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487

488 **NOMENCLATURE**

Symbol	Quantity	SI Unit
A	Surface area	m^2
h	Enthalpy	kJ/kg
p	Pressure	bar
T	Temperature	$^{\circ}C$
MW	Molecular weight	$kg/kmol$
\dot{m}	Mass flow	kg/s
\dot{V}	Volume flow	m^3/s
P	Power	kW
P_T	Thermal energy selling price	Eur/MWh
H_T	Equivalent heat demand	hour/year
\dot{Q}	Heat Power	kW
S_p	Size parameter	m
V_r	Volumetric ratio	
Greek		
η	Efficiency	
χ	Heat recovery ratio	
Subscripts		
a	air	
v	vapour	
cr	critical	
in	inlet	

<i>out</i>	outlet
<i>e</i>	electric
<i>ev</i>	evaporation
<i>av</i>	available
<i>is</i>	isentropic
<i>HR</i>	Heat Rejected
<i>L</i>	Low (bottoming cycle)
<i>rec</i>	recuperator

Acronyms

<i>IC</i>	Intercooler
<i>HRB</i>	Heat Recovery Boiler
<i>RHE</i>	Recuperative Heat Exchanger
<i>EFGT</i>	External Fired Gas Turbine
<i>IRR</i>	Internal Rate of Return
<i>MM</i>	hexamethyldisiloxane
<i>MDM</i>	octamethyltrisiloxane
<i>MD2M</i>	decamethyl-tetrasiloxane
<i>NPV</i>	Net Present Value
<i>ORC</i>	Organic Rankine Cycle
<i>TIT</i>	Turbine Inlet Temperature

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- 625
- 626

LIST OF FIGURES

627

628

629 **Figure 1** – EFGT+ORC Combined Cycle Plant Layout

630 **Figure 2** - T-s chart. EFGT+ORC Combined Cycle.

631 **Figure 3** – T-s chart for some examined cases: a) $p_{ev}=5$ bar, saturated; b) $p_{ev}=5$ bar, $T_3=260^\circ\text{C}$; c)

632 $p_{ev}=10$ bar, $T_3=260^\circ\text{C}$; d) supercritical cycle $p_{ev}=25$ bar, $T_3=270^\circ\text{C}$

633 **Figure 4** –T-Q diagrams for the HRB. Cases (a) to (d).

634 **Figure 5**- Effect of ORC Turbine Inlet Temperature and Evaporation Pressure on a) internal ORC

635 cycle thermal efficiency, η_L ; b) heat exchanged in the HRB and heat recovery ratio; c) electric

636 power output and overall efficiency of the ORC cycle, η_{rec} , for subcritical (1 to 19 bar) and

637 supercritical (20 to 25 bar) cycles

638

639 **Figure 6** - Comparison between ORC cycles with and without regeneration. Saturated cycle,

640 $T_3=150^\circ\text{C}$.

641 **Figure 7** - Saturated cycles for toluene and MM.

642 **Figure 8** - Comparison among MM, MDM, MD2M and Toluene for saturated cycles. Effect of

643 ORC Turbine Inlet Temperature and evaporation pressure on a) η_L , b) χ and c) η_{rec} and electric

644 power output.

645 **Figure 9** – Volumetric expansion ratio and Size Parameter chart. Comparison between MM, MDM,

646 MD2M and Toluene

647 **Figure 10** – Chart of ORC electric power output vs. Volumetric ratio. Saturated cycles.

648 **Figure 11**. NPV (top) and IRR (bottom) of the investment as a function of the heat demand (hr/y)

649 **Figure 12**. NPV (top) and IRR (bottom) of the investment as a function of the heat selling price

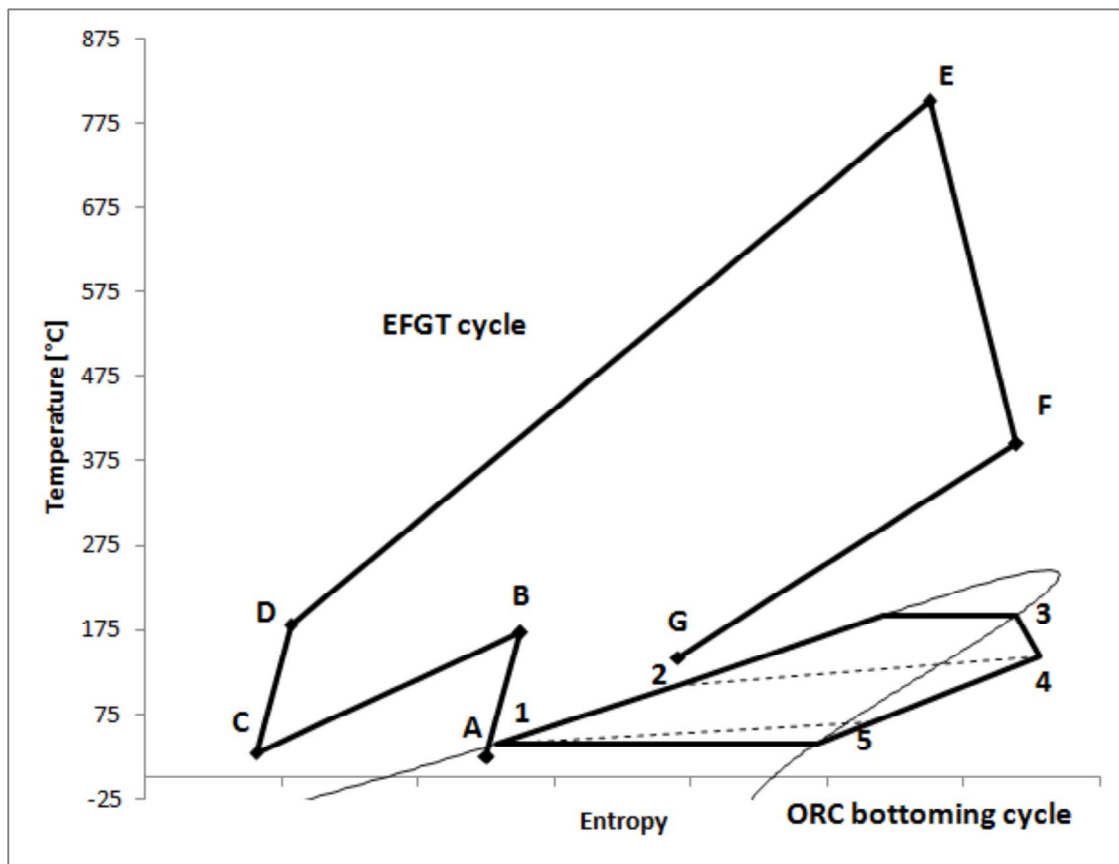
650 **Figure 13**. IRR of the investment as a function of the biomass supply cost

651 **Figure 14** - Increment of NPV with bottoming ORC as a function of the temperature of heat

652 demand; case 1: $h_t=3,000$ h/yr – $P_t=40$ Eur/MWh; Case 2: $h_t=5,000$ h/yr – $P_t=40$ Eur/MWh; Case 3:

653 $h_t=3,000$ h/yr – $P_t=80$ Eur/MWh; Case 4: $h_t=5,000$ h/yr – $P_t=80$ Eur/MWh

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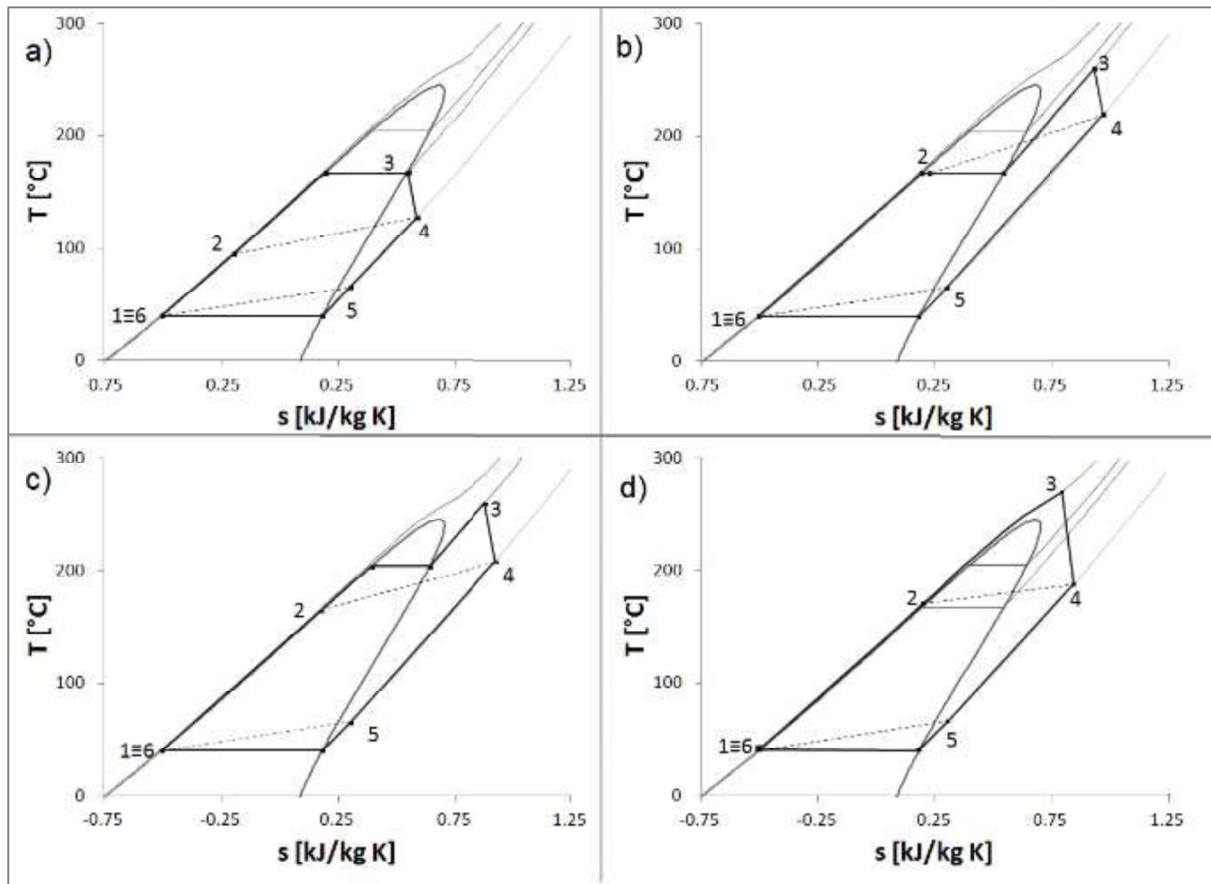


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Figure 2 - T-s chart. EFGT-ORC Combined Cycle.

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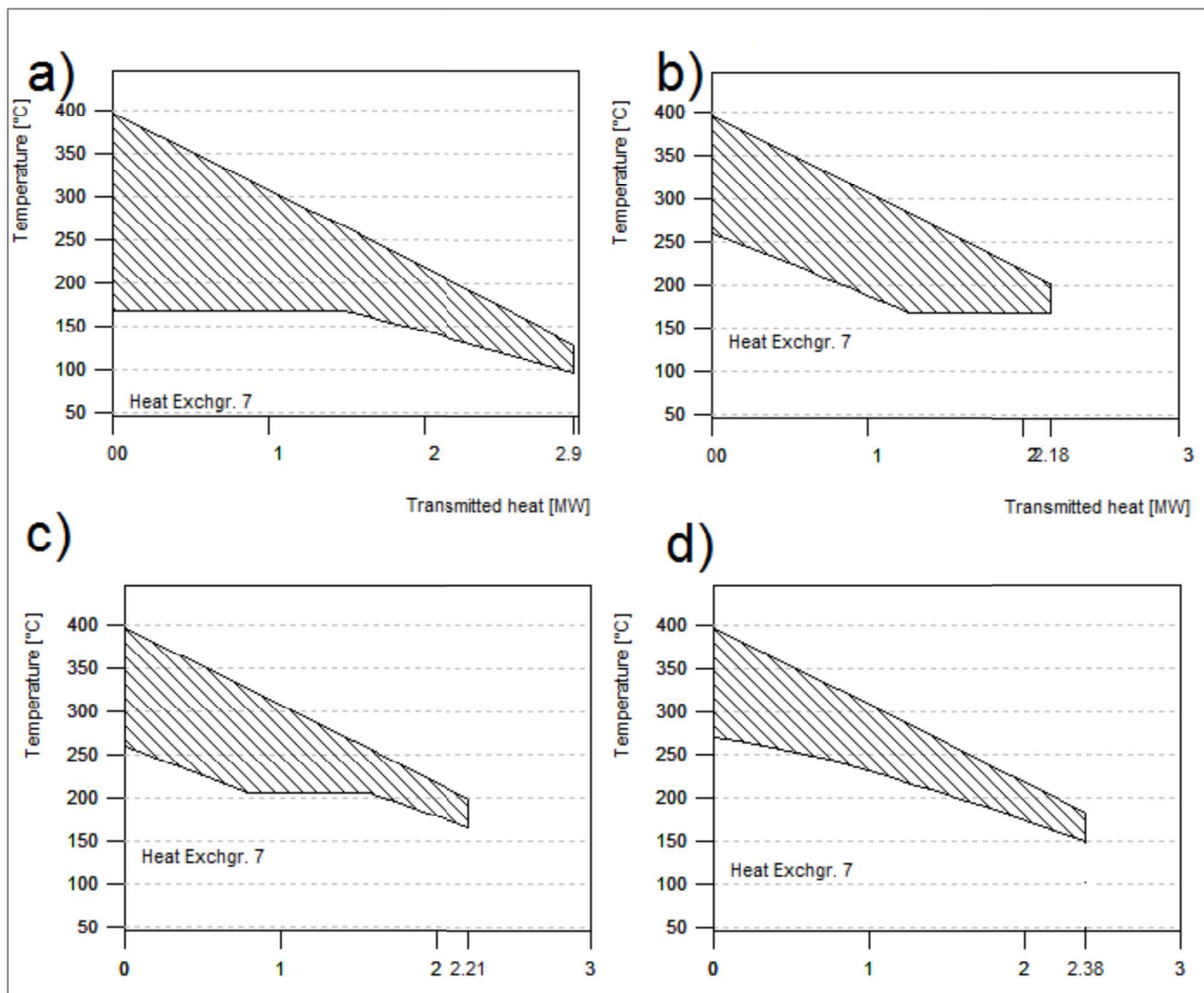
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664 **Figure 3** – T-s chart for some examined cases: a) $p_{ev}=5$ bar, saturated; b) $p_{ev}=5$ bar, $T_3=260^\circ\text{C}$; c)

665

$p_{ev}=10$ bar, $T_3=260^\circ\text{C}$; d) supercritical cycle $p_{ev}=25$ bar, $T_3=270^\circ\text{C}$

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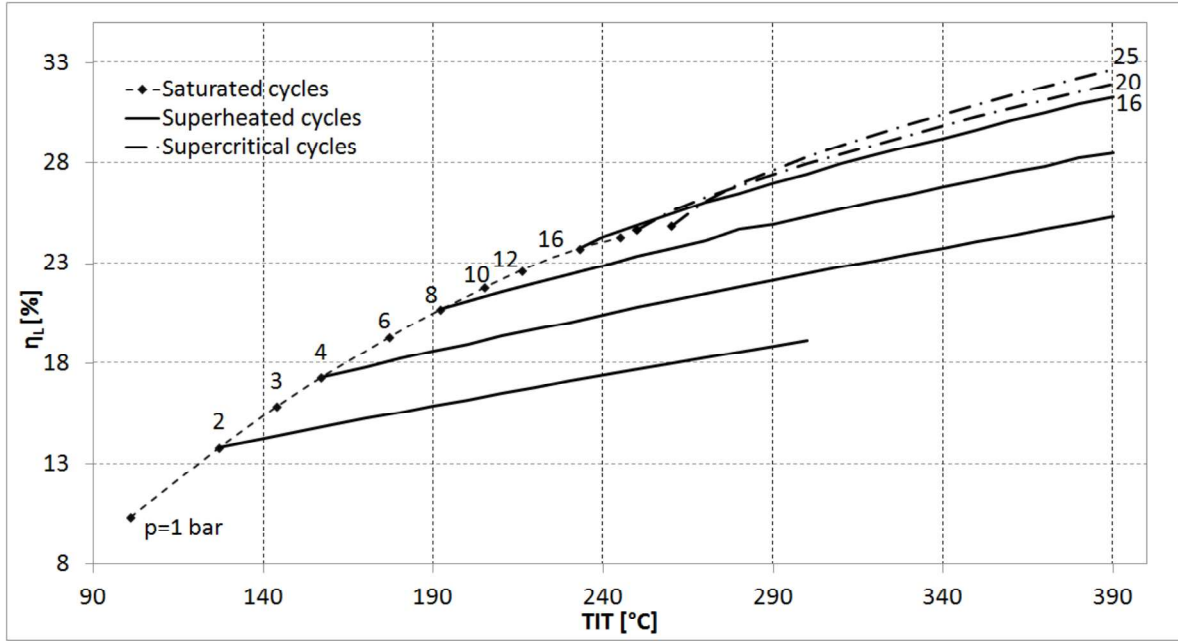


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Figure 4 –T-Q diagrams for the HRB. Cases (a) to (d).

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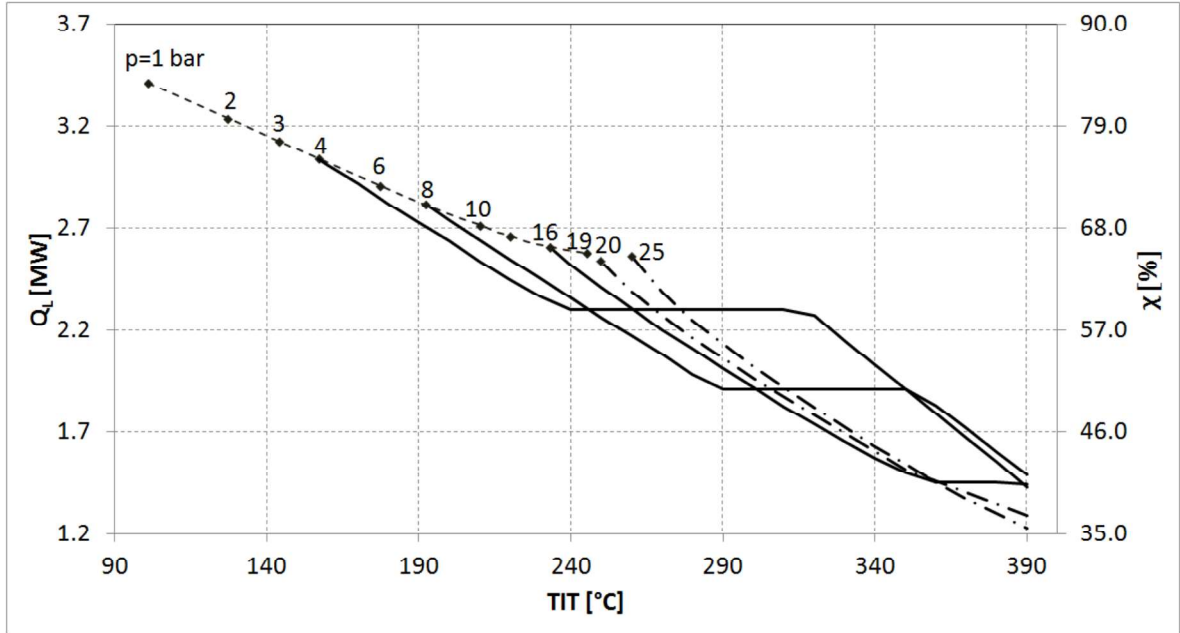
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Figure 5 (a)-

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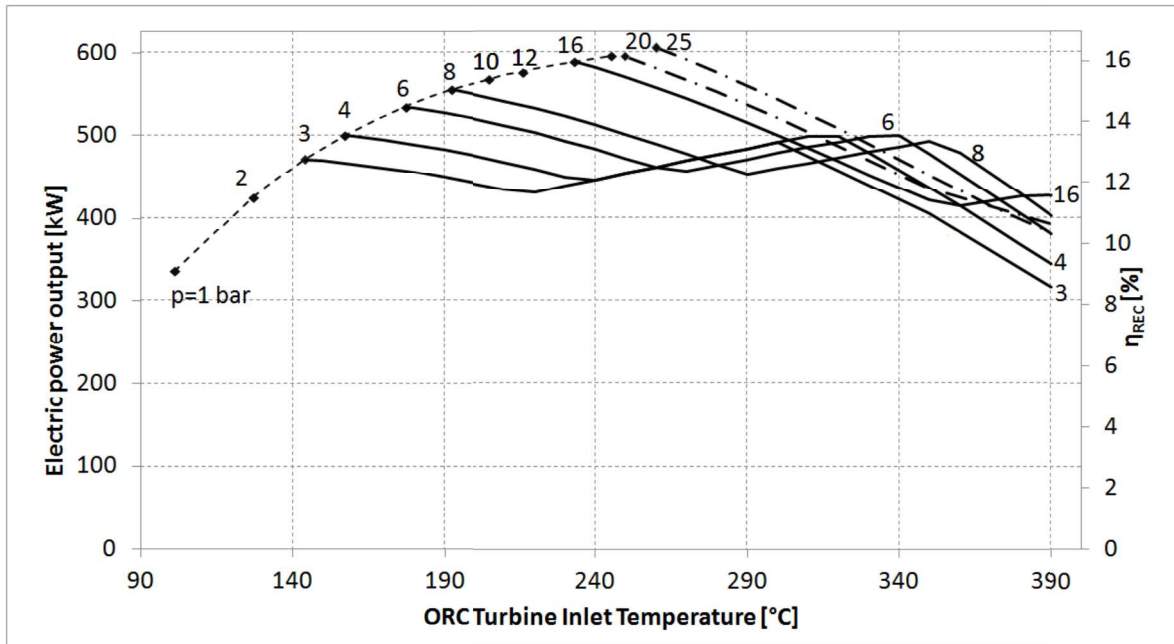
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Figure 5 (b)

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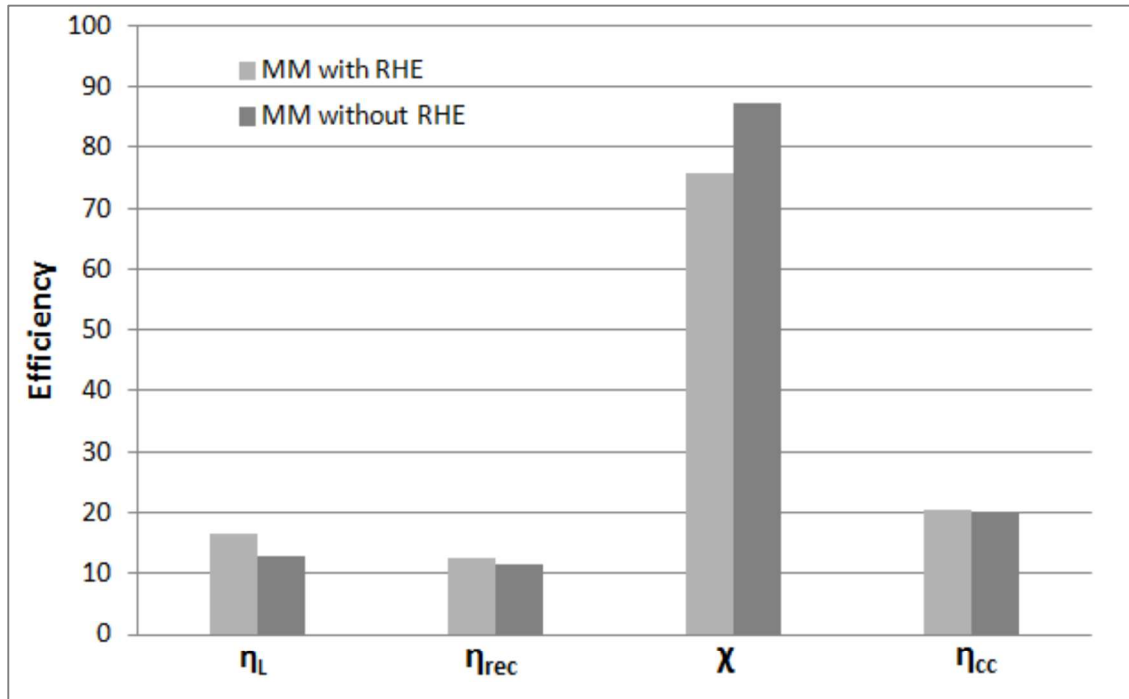
Figure 5 (c)

680

681 **Figure 5-** Effect of Turbine Inlet Temperature and Evaporation Pressure on a) internal ORC cycle
682 thermal efficiency, η_L ; b) heat exchanged in the HRB and heat recovery ratio; c) electric power
683 output and overall efficiency of the ORC cycle, η_{rec} , for subcritical (1 to 19 bar) and supercritical
684 (20 to 25 bar) cycles

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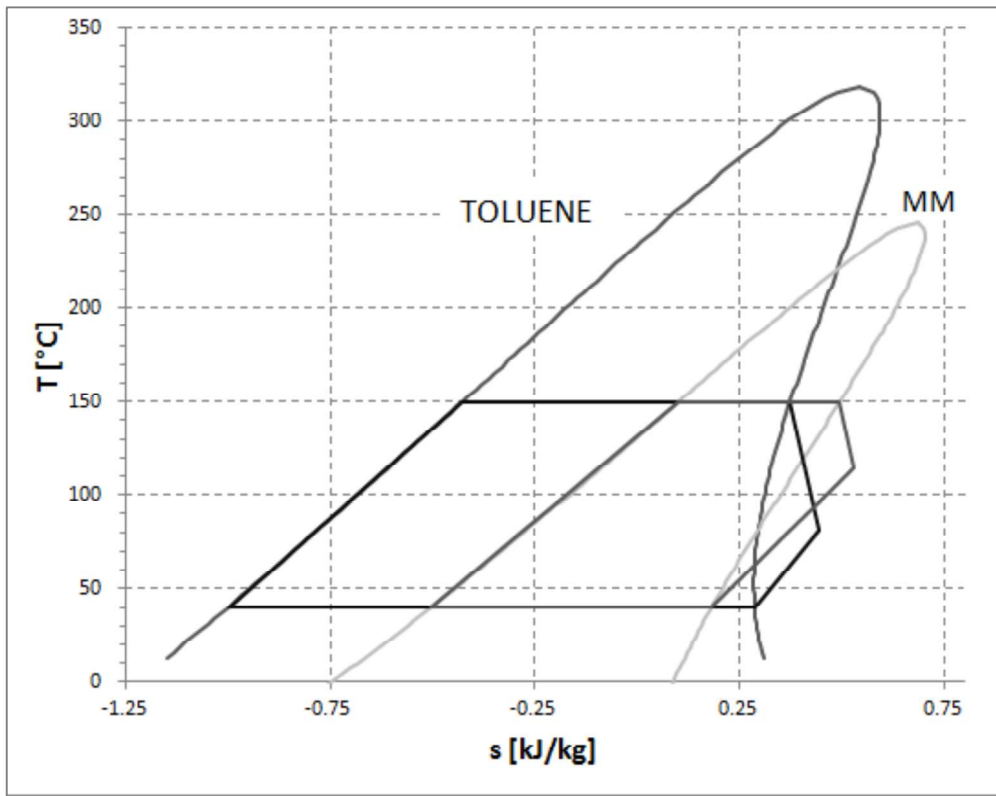
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Figure 6 - Comparison between ORC cycles with and without recuperation.

Saturated cycles, $T_3=150^\circ\text{C}$.



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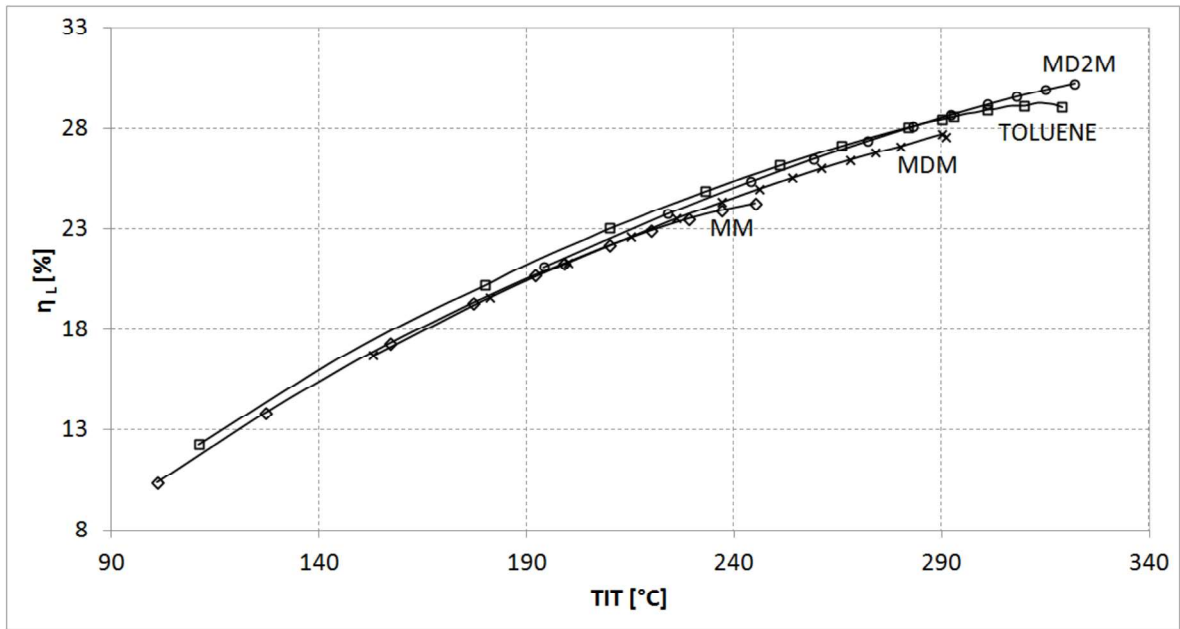
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Figure 7 - Saturated cycles for toluene and MM.

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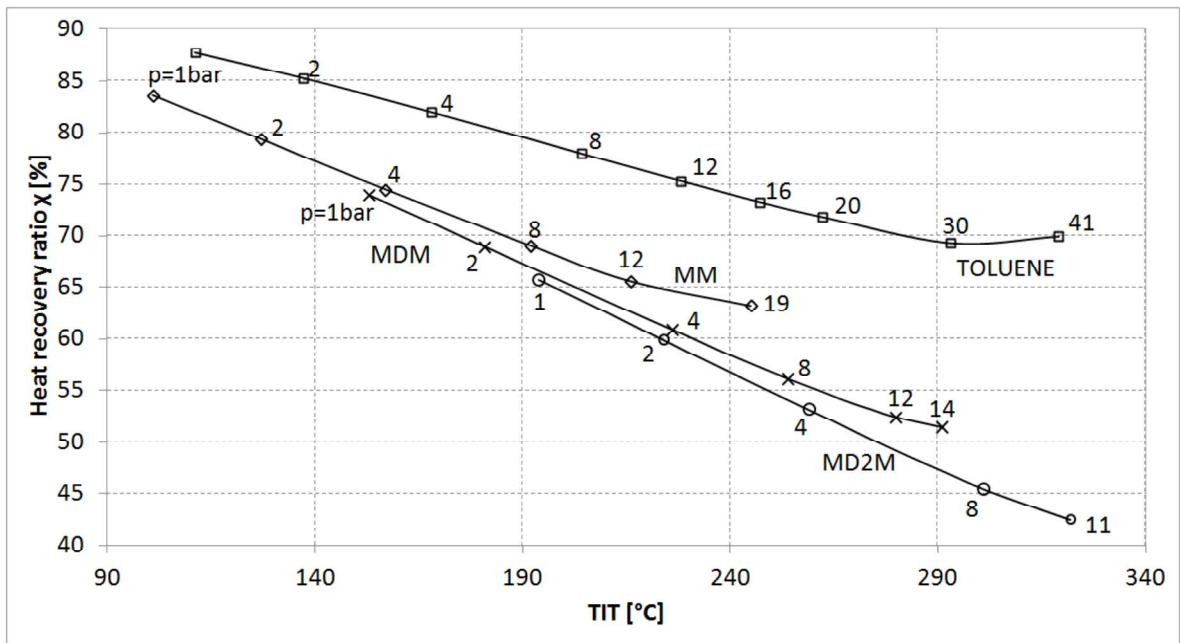


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Figure 8 (a)

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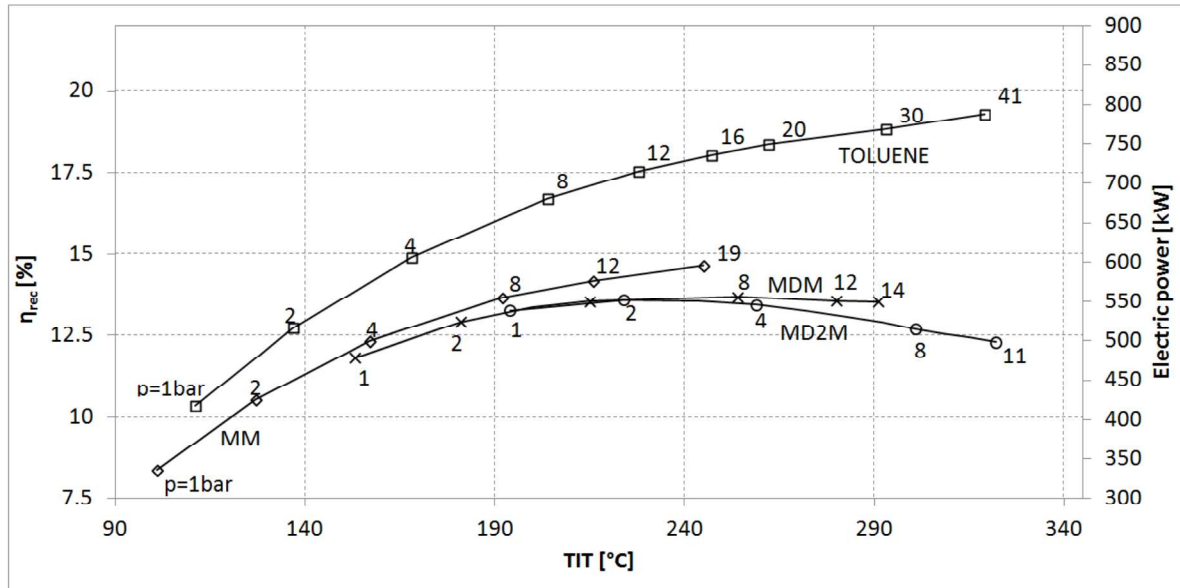
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Figure 8 (b)

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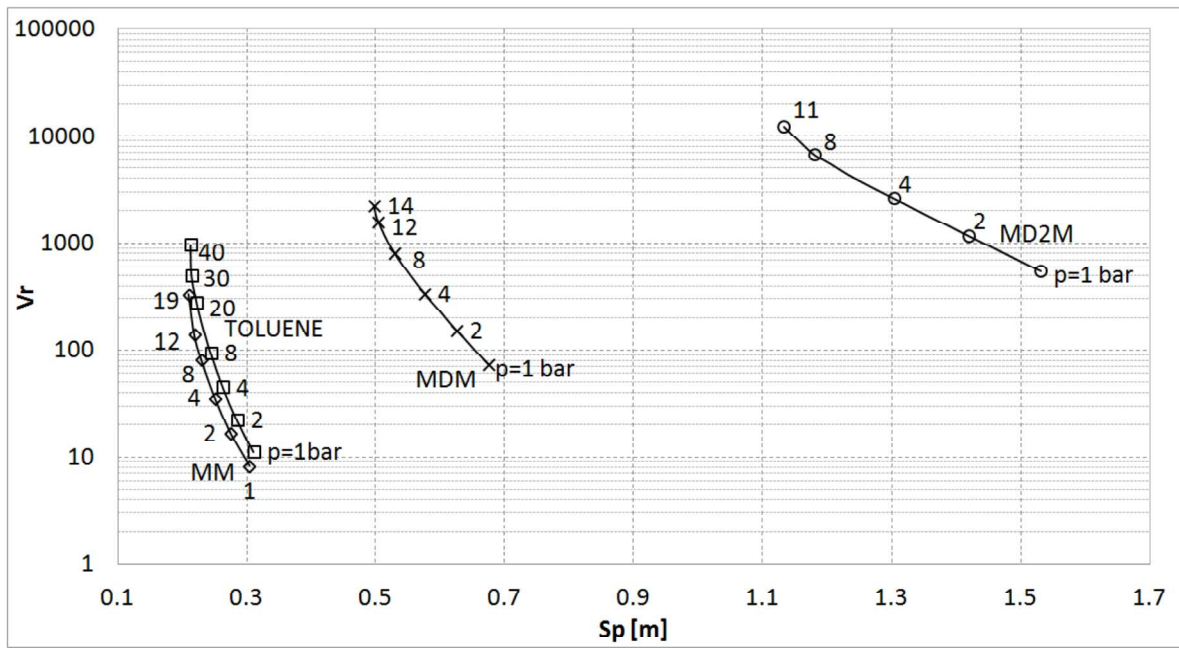
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Figure 8 (c)

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706 **Figure 11**-Comparison among MM, MDM, MD2M and Toluene for saturated cycles. Effect of
707 ORC Turbine Inlet Temperature and evaporation pressure on a) η_L , b) χ and c) η_{rec} and electric
708 power output.

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712 **Figure 9** – Volumetric expansion ratio and Size Parameter chart. Comparison between MM, MDM,

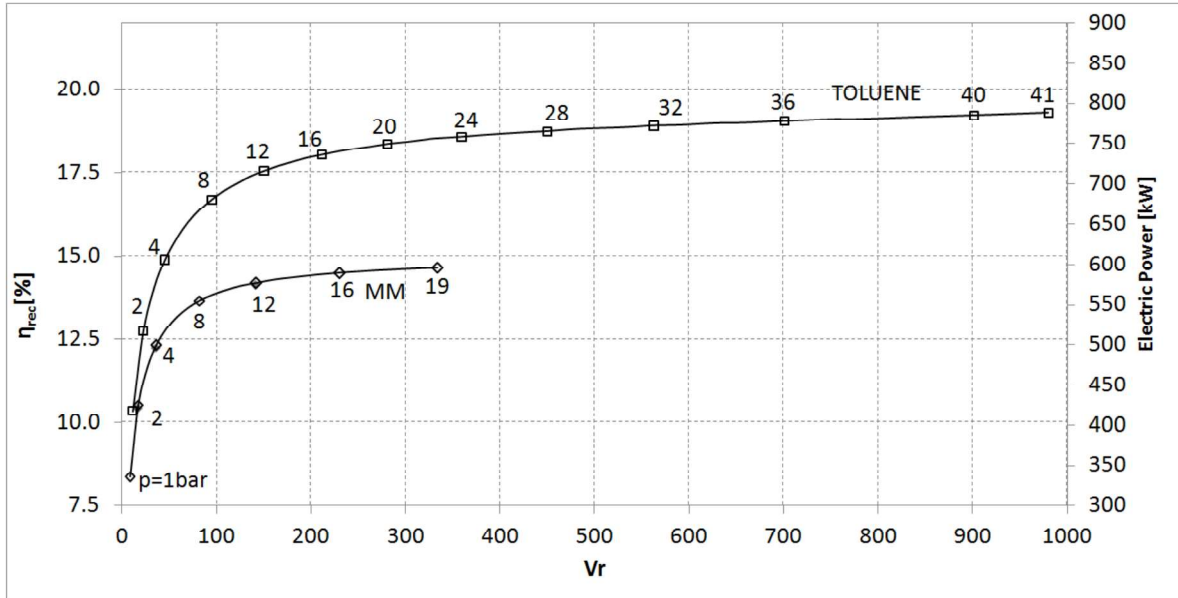
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MD2M and Toluene

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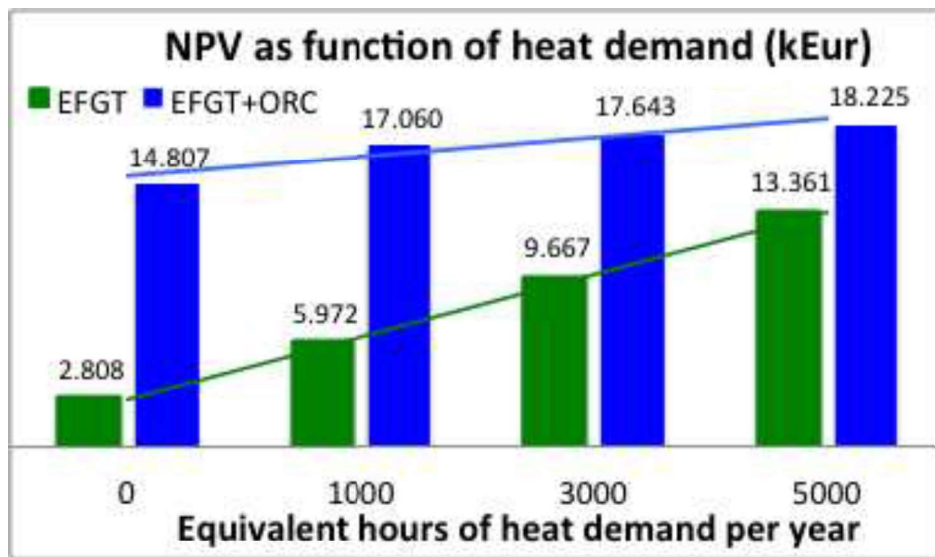
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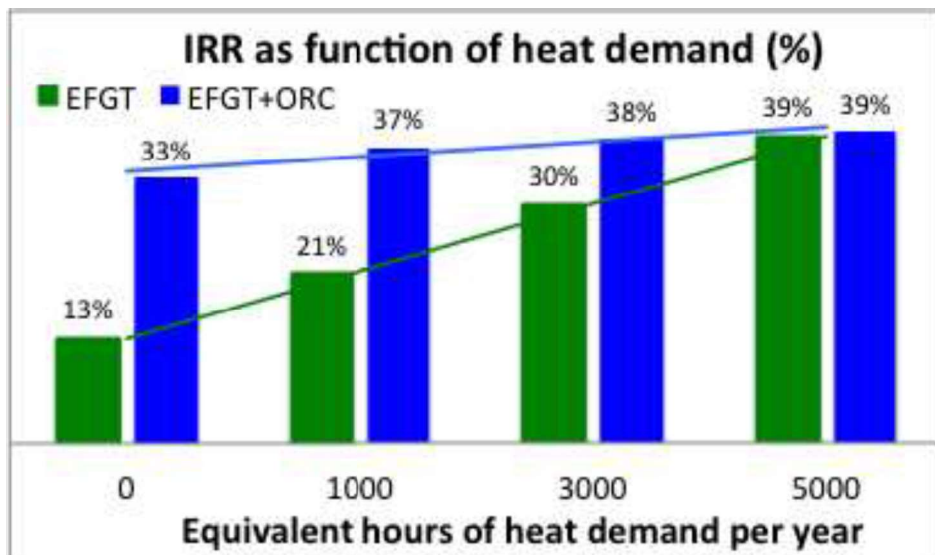
Figure 10 – Chart of ORC electric power output vs. Volumetric ratio. Saturated cycles.

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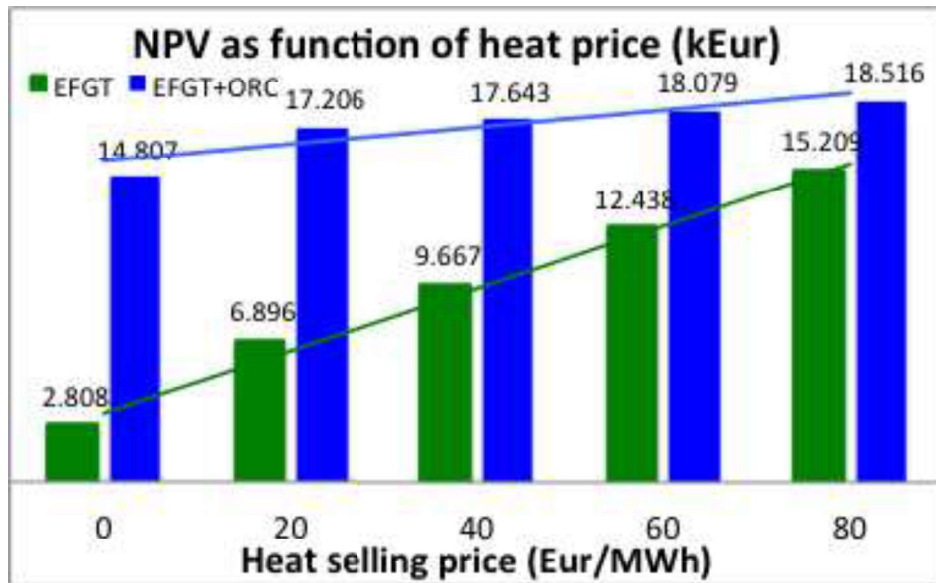
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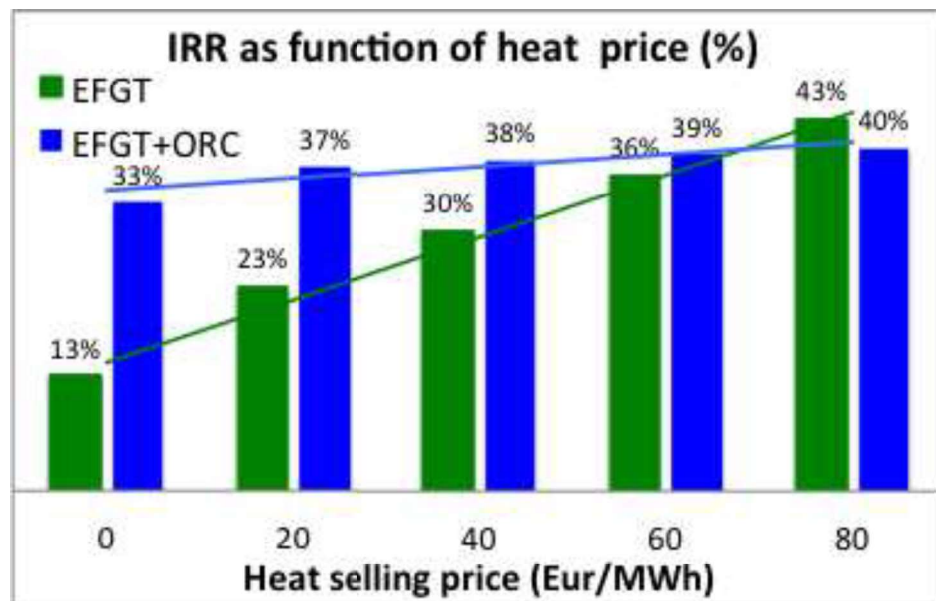
Figure 11. NPV (top) and IRR (bottom) of the investment as a function of the heat demand H_T (hour/y)

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730 **Figure 12.** NPV (top) and IRR (bottom) of the investment as a function of the heat selling price P_T

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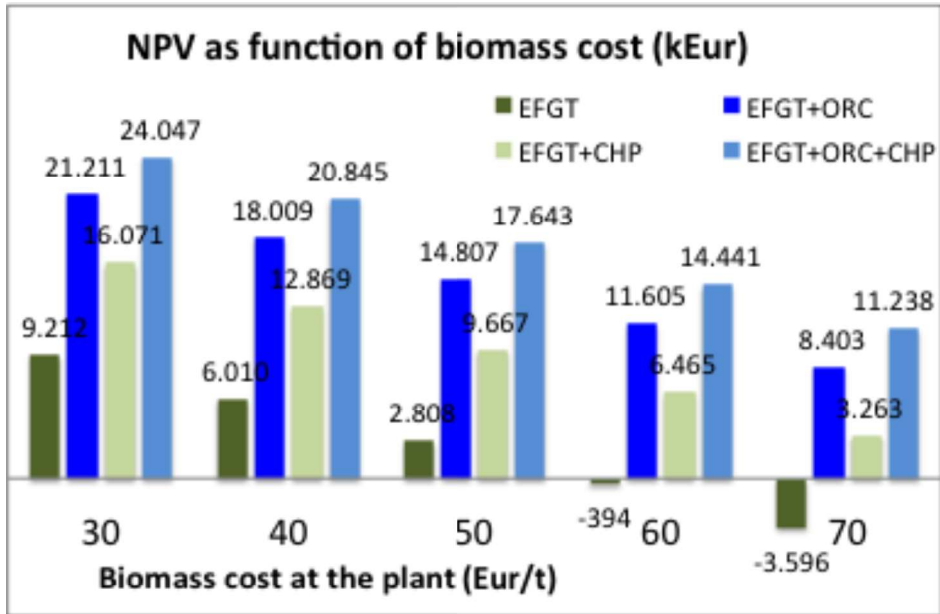
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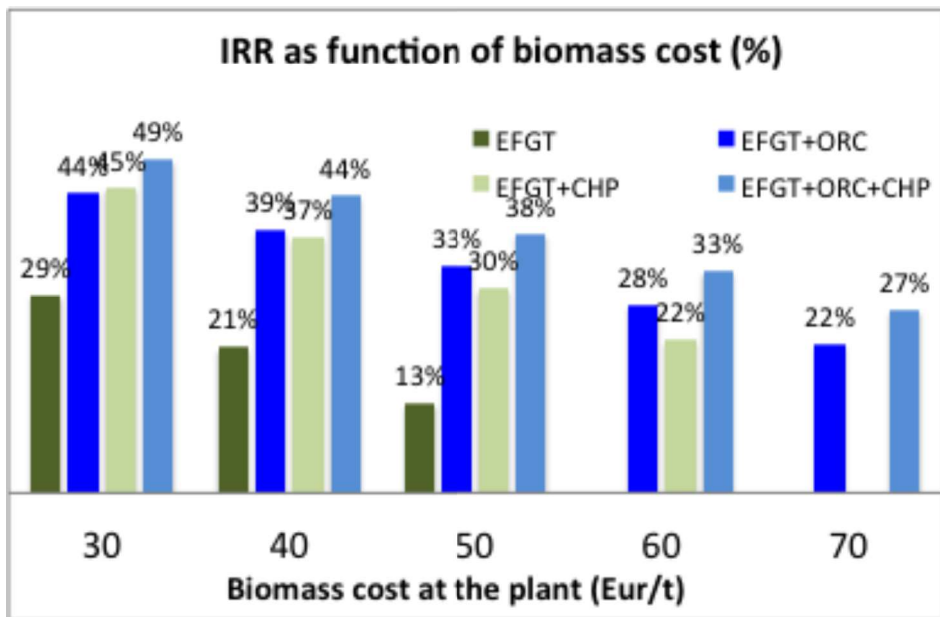
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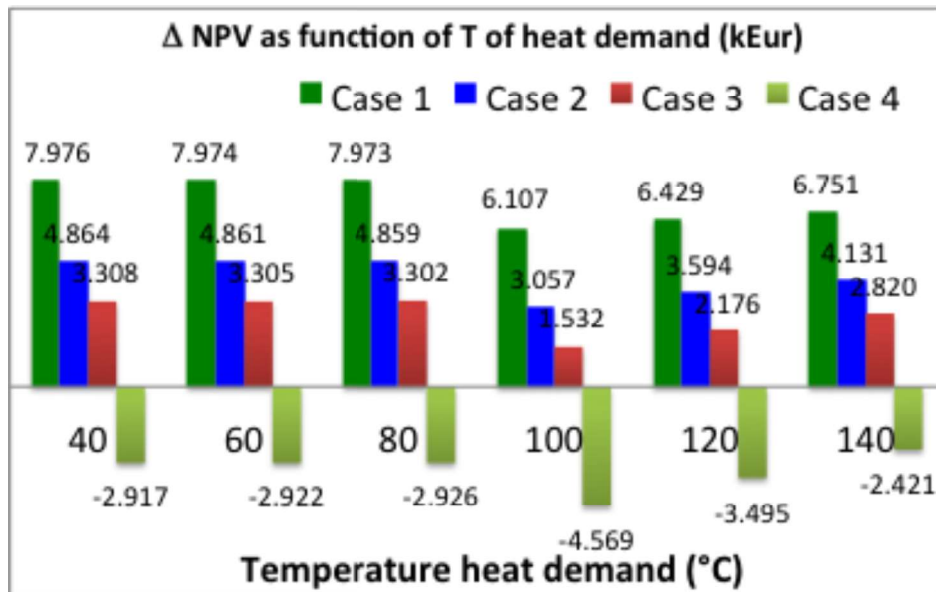
743 **Figure 13.** NPV (top) and IRR (bottom) of the investment as a function of the biomass supply cost

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(40% moisture content)

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748 **Figure 14** - Increment of NPV with bottoming ORC as a function of the temperature of heat
749 demand; case 1: $H_T=3,000$ h/yr – $P_T=40$ Eur/MWh; Case 2: $H_T=5,000$ h/yr – $P_T=40$ Eur/MWh;
750 Case 3: $H_T=3,000$ h/yr – $P_T=80$ Eur/MWh; Case 4: $H_T=5,000$ h/yr – $P_T=80$ Eur/MWh

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LIST OF TABLES

755

756 **Table 1** –Main calculation hypotheses for the EFGT cycle

757 **Table 2-** Basic assumed value for ORC calculation

758 **Table 3-** Thermodynamic parameters of working fluid

759 **Table 4.** Technical parameters and modeling results

760 **Table 5.** Capex and opex costs assumptions

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TABLES

764

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Table 1 –Main calculation hypotheses for the EFGT cycle

Description	Value	Units
Overall Pressure ratio	12	
Turbine Inlet Temperature	800	°C
Biomass mass flow	1	kg/s
Lower Heating Value, LHV	10,300	kJ/kg
Air factor λ	1.3	
Biomass Furnace efficiency	0.80	
Isentropic compressor efficiency	0.85	
Mechanical compressor efficiency	0.98	
Turbine Isentropic efficiency	0.85	
Turbine mechanical efficiency	0.98	
Electric generator efficiency	0.95	

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Table 2- Basic assumed value for ORC calculation

Description	Value
Pump Isentropic Efficiency	0.75
Pump Mechanical Efficiency	0.96
Turbine isentropic Efficiency	0.80
Turbine Mechanical Efficiency	0.96
Electric generator efficiency	0.95
ΔT_{\min} in the RHE	25°C
ΔT_{\min} in the HRB	33°C
Condenser temperature	40°C

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Table 3- Thermodynamic parameters of working fluid

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Table 4. Technical parameters and modeling results

Parameter	Unit	EFGT	EFGT +ORC
Electric power output (ISO) P_N	kW	1,383	2,083
Auxiliary consumption (in % P_N)	%	5	6
Thermal Power output (for CHP)	kW	4,083	963
Gas temperature (for CHP)	°C	394	104
Net-electric efficiency (ISO)	%	15.3	23.0

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Table 5. Capex and opex costs assumptions

Description	EFGT+	
	EFGT	ORC
Turn Key cost (kEur)	3,500	4,700
of which:		
Gas Turbine	1,200	1,200
ORC genset	-	1,200
Biomass furnace	1,000	1,000
Heat exchanger	600	600
Civil works	500	500
Grid connection	100	100
Engine, develop., insurances	100	100
Unit upfront cost (kEur/kWe)	2.53	2.26
Opex (included fuel) (kEur/yr)	1,722	1,771

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