



# Born-Infeld problem with general nonlinearity <sup>☆</sup>

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## Abstract

In this paper, using variational methods, we look for non-trivial solutions to the following problem

$$\begin{cases} -\operatorname{div} \left( a(|\nabla u|^2) \nabla u \right) = g(u), & \text{in } \mathbb{R}^N, \ N \geq 3, \\ u(x) \rightarrow 0, & \text{as } |x| \rightarrow +\infty, \end{cases}$$

under general assumptions on the continuous nonlinearity  $g$ . We assume growth conditions of  $g$  at 0 and, in the zero mass case, growth conditions at infinity are imposed. If  $a(s) = (1 - s)^{-1/2}$ , we obtain the well-known Born-Infeld operator, but we are able to study also a general class of  $a$  such that  $a(s) \rightarrow +\infty$  as  $s \rightarrow 1^-$ . We find a radial solution to the problem with finite energy.

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### 1. Introduction

Almost a century ago, Born and Infeld introduced a new electromagnetic theory in a series of papers (see [16–19]) as a nonlinear alternative to the classical Maxwell theory. This theory was proposed to provide a model presenting a unitarian point of view to describe electrodynamics and had the notable feature to be a fine answer to the well-known *infinite-energy problem*. In the Born-Infeld model, indeed, the electromagnetic field generated by a point charge has finite energy. A crucial role is played by the following peculiar differential operator

$$Q(u) = -\operatorname{div} \left( \frac{\nabla u}{\sqrt{1 - |\nabla u|^2}} \right).$$

Such an operator is present also in classical relativity, where it represents the mean curvature operator in Lorentz-Minkowski space, see for instance [6,20].

In the last years many authors focused their attention on problems related to  $Q$  in the whole  $\mathbb{R}^N$ , with  $N \geq 1$ . In particular, some results for

$$-\operatorname{div} \left( \frac{\nabla u}{\sqrt{1 - |\nabla u|^2}} \right) = \rho, \quad \text{in } \mathbb{R}^N,$$

can be found in [10,12–15,24,27,28], under different assumptions about  $\rho$ . Here  $\rho$  can be considered as an assigned charge source. See also [5], where the Born-Infeld equation is coupled with the nonlinear Schrödinger one.

Little is still known, on the contrary, in the presence of a nonlinearity, namely, for equations of this type

$$-\operatorname{div} \left( \frac{\nabla u}{\sqrt{1 - |\nabla u|^2}} \right) = g(u), \quad \text{in } \mathbb{R}^N. \tag{1.1}$$

Let us observe that classical variational techniques do not work directly for this problem, due to the particular nature of the operator  $Q$ . Indeed, at least formally, solutions of (1.1) are critical points of the functional

$$I(u) = \int_{\mathbb{R}^N} \left( 1 - \sqrt{1 - |\nabla u|^2} \right) - \int_{\mathbb{R}^N} G(u) \, dx,$$

where  $G$  is a primitive of  $g$ . However, since we have to impose the condition  $|\nabla u| \leq 1$  a.e. in  $\mathbb{R}^N$ , the lack of regularity of the functional on the set  $\{x \in \mathbb{R}^N : |\nabla u| = 1\}$  requires different and non-standard strategies.

One of the first paper dealing with this kind of problem using variational methods is [11], where  $g(s) = |s|^{p-2}s$ , for  $p > 2^* = \frac{2N}{N-2}$  and  $N \geq 3$ . By means of suitable truncation arguments (that will be crucial in our approach, as we will see later), the existence of *finite energy* solutions is proved.

We mention, moreover, [2,3,33], where (1.1) was studied by means of ODE-techniques finding solutions which could have infinite energy. In particular, in [2,3], the existence of positive or

sign-changing radial solutions is considered for a pure power nonlinearity or under suitable sign assumptions on  $g$  (a prototype of such nonlinearity is  $g(s) = -\lambda s + s^p$ , for  $\lambda > 0$  and  $p > 1$ ). In [33], instead, the existence of oscillating solutions of (1.1), namely, with an unbounded sequence of zeros, is proved for nonlinearities such that  $g'(0) > 0$ . Finally, in [7], a similar problem is considered in an exterior domain.

Our aim is to show existence of finite energy radial solutions involving a large class of operators and nonlinearities in the spirit of Berestycki and Lions [8,9] and we will present an adequate variational approach for the problem. More precisely we consider

$$\begin{cases} -\operatorname{div}(a(|\nabla u|^2)\nabla u) = g(u), & \text{in } \mathbb{R}^N, \ N \geq 3, \\ u(x) \rightarrow 0, & \text{as } |x| \rightarrow +\infty, \end{cases} \tag{1.2}$$

under the following assumptions about  $a$ :

- (a0)  $a : [0, 1) \rightarrow (0, +\infty)$  is continuous, of class  $C^1$  on  $(0, 1)$ , and  $[0, 1) \ni s \mapsto a(s)s$  is strictly convex;
- (a1)  $\lim_{s \rightarrow 1^-} a(s) = +\infty$ ;

and  $g$ :

- (g0)  $g : \mathbb{R} \rightarrow \mathbb{R}$  is continuous and odd;
- (g1) for some  $\gamma \geq 2$ , we have

$$-\infty < \liminf_{s \rightarrow 0} \frac{g(s)}{|s|^{\gamma-1}} \leq \limsup_{s \rightarrow 0} \frac{g(s)}{|s|^{\gamma-1}} = -m < 0;$$

- (g2) there exists  $\xi_0 > 0$  such that  $G(\xi_0) > 0$ , where

$$G(s) = \int_0^s g(t) dt, \quad \text{for } s \in \mathbb{R}.$$

Clearly,  $a(s) = (1 - s)^\alpha$  with  $\alpha < 0$  satisfies (a0), (a1), and we get the operator  $\mathcal{Q}$  for  $\alpha = -1/2$ . Another important example is the following general mean curvature operator arising in the study of hypersurfaces in the Lorentz–Minkowski space  $\mathbb{L}^{N+1}$  and in  $\mathbb{R}^{N+1}$  given by

$$a(s) := \beta(1 - s)^{-1/2} - \gamma(1 + s)^{-1/2}, \quad \beta > 0, \gamma \geq 0, \tag{1.3}$$

see [20,23,29] and references therein.

With regard to  $g$ , by assumption (g1), the problem is in the so called *positive mass case*. We will consider also the *zero mass case*, namely, instead of (g1), we will assume

- (g1') for some  $\gamma > 2^*$ , we have

$$-\infty < \liminf_{s \rightarrow 0} \frac{g(s)}{|s|^{\gamma-1}} \leq \limsup_{s \rightarrow 0} \frac{g(s)}{|s|^{\gamma-1}} = 0.$$

If the constant  $\gamma$  in the assumption  $(g1')$  is not greater than  $N$ , we need also a condition at infinity on  $g$ . More precisely, we require

$$(g1'') \text{ whenever } N \geq \gamma > 2^*, \limsup_{s \rightarrow +\infty} g(s)/|s|^{q^*-1} = 0, \text{ for some } q \in \left( \frac{N\gamma}{N+\gamma}, N \right),$$

where  $q^* = \frac{qN}{N-q}$ . Observe that, clearly, we have  $2^* < \gamma < q^*$  and it is easy to see that a pure power non-linearity  $g(s) = |s|^{p-2}s$ , with  $p > 2^*$ , satisfies assumptions  $(g1')$  and  $(g1'')$ . Therefore we generalize the existence results contained in [11].

We recall that these kinds of hypotheses about  $g$  were introduced for the first time in [8,9] for the study of

$$-\Delta u = g(u), \quad \text{in } \mathbb{R}^N, \tag{1.4}$$

where  $\gamma = 2$ . However, we want to remark that, in contrast to what happens in these previous papers, in our case there is no assumption about the behaviour at infinity of  $g$  in the positive mass case or in the zero mass case if, in  $(g1')$ ,  $\gamma > N$ . This is a direct consequence of the natural framework associated with (1.2), which has to take into account the condition  $|\nabla u| \leq 1$  a.e. in  $\mathbb{R}^N$ : this ensures that each function is, actually, bounded. See Section 2 for more details.

An intermediate step for the study of (1.2), based on an approximation argument, has been widely studied in the literature, e.g., see [34] and references therein. Indeed, by the Taylor expansion of  $\frac{1}{\sqrt{1-|u|}}$  to the  $k$ -th order, we arrive at the approximated problem

$$\mathcal{Q}(u) \approx -\Delta u - \frac{1}{2} \Delta_4 u - \frac{3}{2 \cdot 2^2} \Delta_6 u - \dots - \frac{(2k-3)!!}{(k-1)! \cdot 2^{k-1}} \Delta_{2k} u = g(u) \quad \text{in } \mathbb{R}^N. \tag{1.5}$$

Note that [34] deals precisely with (1.5), where  $g$  satisfying more restrictive Berestycki-Lions-type assumptions. In [34] (see also the references therein), it is not clear if one can solve (1.1) passing to the limit, as  $k \rightarrow +\infty$ . We would like to mention that some partial results using this approximation process have been obtained only in case of the fixed-charge source  $\rho$  on the right hand side instead of the nonlinear term  $g(u)$ , see, e.g., [12,13,27,28]. Therefore (1.1) requires a different variational approach presented in this work.

Our main result reads as follows.

**Theorem 1.1.** *Suppose that  $a$  satisfies  $(a0)$ ,  $(a1)$  and  $g$  satisfies  $(g0)$  and  $(g2)$ . If, in addition,  $(g1)$  holds, or  $\gamma > N$  and  $(g1')$  holds, or  $\gamma \leq N$  and both  $(g1')$ ,  $(g1'')$  hold, then there exists a nontrivial radial solution  $u$  to (1.2) such that*

$$\int_{\mathbb{R}^N} A(|\nabla u|^2) dx, \int_{\mathbb{R}^N} a(|\nabla u|^2)|\nabla u|^2 dx, \int_{\mathbb{R}^N} |G(u)| dx < +\infty,$$

where  $A(s) = \int_0^s a(t) dt$ .

We use a truncation argument applied to  $a$  in a similar way as in [11], but due to the lack of scaling of the nonlinearity, we use a different variational approach for (1.2). Inspired by [25,26]

(see also [1,4,21,22]), we will adapt to our problem the method explored considering an auxiliary functional that allows to construct a suitable Palais-Smale sequence, which almost satisfies a Pohozaev type identity. The compactness properties of the general nonlinear term will be investigated in a similar way as in [31,32], see Sections 3 and 4 for more details.

The paper is organized as follows. In Section 2, we introduce our functional framework and some technical tools. Section 3 and Section 4 will deal, respectively, with the positive mass case and the zero mass one and, therein, we will prove our main result.

We conclude this introduction fixing some notations. For any  $p \geq 1$ , we denote by  $L^p(\mathbb{R}^N)$  the usual Lebesgue spaces equipped by the standard norm  $|\cdot|_p$ . In our estimates, we will frequently denote by  $C > 0, c > 0$  fixed constants, that may change from line to line, but never depend on the variable under consideration. We also use the notation  $o_n(1)$  to indicate a quantity which goes to zero as  $n \rightarrow +\infty$ . Moreover, for any  $R > 0$ , we denote by  $B_R$  the ball of  $\mathbb{R}^N$  centred at the origin with radius  $R$ . Finally, if  $u$  is a radial function of  $\mathbb{R}^N$ , with an abuse of notation, for any  $x \in \mathbb{R}^N$ , we denote  $u(x) = u(r)$ , with  $r = |x|$ .

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**2. Functional framework**

In this section we introduce the functional framework related to (1.2) with some useful continuous and compact embedding properties. Moreover, following [11], we present a truncated problem which will play a crucial role in our arguments.

Take any  $q > 2$ . Let  $\mathcal{X}_0^{2,q}$  be the completion of  $C_0^\infty(\mathbb{R}^N)$  with respect to the following norm

$$\|u\|_0 = (|\nabla u|_2^2 + |\nabla u|_q^2)^{1/2}.$$

Recall that

$$\mathcal{X}_0^{2,q} \hookrightarrow L^p(\mathbb{R}^N), \quad \text{for } p \in \begin{cases} [2^*, q^*] & \text{if } q < N, \\ [2^*, +\infty) & \text{if } q = N, \\ [2^*, +\infty] & \text{if } q > N, \end{cases}$$

and, denoting

$$\mathcal{X}_0 := \mathcal{X}_{0,\text{rad}}^{2,q} = \{u \in \mathcal{X}_0^{2,q} : u \text{ radially symmetric}\},$$

we have

$$\mathcal{X}_0 \hookrightarrow L^p(\mathbb{R}^N), \quad \text{for } p \in \begin{cases} (2^*, q^*) & \text{if } q < N, \\ (2^*, +\infty) & \text{if } q \geq N, \end{cases}$$

see e.g. [11,34]. Moreover, as in [11,35], we have the following

**Lemma 2.1.** *Let  $p \in [2, q]$ , if  $q < N$ , and  $p \in [2, N)$ , if  $q \geq N$ . Then there exists  $C > 0$  (depending only on  $N$  and  $p$ ) such that for all  $u \in \mathcal{X}_0$ , there holds*

$$|u(x)| \leq C|x|^{-\frac{N-p}{p}} |\nabla u|_p,$$

for almost every  $x \in \mathbb{R}^N \setminus \{0\}$ .

In the positive mass case we always assume that  $q > N$  and let  $\mathcal{X}^{2,q,\gamma}$  be the completion of  $C_0^\infty(\mathbb{R}^N)$  with respect to the following norm

$$\|u\| = (|\nabla u|_2^2 + |\nabla u|_q^2 + |u|_\gamma^2)^{1/2}$$

and, clearly, if  $\gamma \geq 2^*$ , then  $\mathcal{X}^{2,q,\gamma}$  and  $\mathcal{X}_0^{2,q}$  coincides. Moreover  $\mathcal{X}^{2,q,\gamma}$  is continuously embedded into  $L^p(\mathbb{R}^N)$  for  $p \in [\min\{2^*, \gamma\}, +\infty]$  and

$$\mathcal{X} := \mathcal{X}_{\text{rad}}^{2,q,\gamma} = \{u \in \mathcal{X}^{2,q,\gamma} : u \text{ radially symmetric}\}$$

embeds compactly into  $L^p(\mathbb{R}^N)$ , for  $p \in (\min\{2^*, \gamma\}, +\infty)$ .

The following lemma is an extension of the well-known Strauss Lemma [35] and the proof is standard, cf. [36].

**Lemma 2.2.** *Let  $p \geq 2$ . There exists  $C = C(N, p) > 0$  such that for all  $u \in W_{\text{rad}}^{1,p}(\mathbb{R}^N)$ ,  $N \geq 2$  there holds*

$$|u(x)| \leq C|x|^{-\frac{N-1}{p}} \|u\|_{W^{1,p}},$$

for all  $|x| \geq 1$ .

**Lemma 2.3.** *Let  $N \geq 2$ ,  $\gamma \geq 2$  and  $q > \max\{N, \gamma\}$ . There exists  $C = C(N, \gamma, q) > 0$  such that for all  $u \in \mathcal{X}$  there holds*

$$|u(x)| \leq C|x|^{-\frac{N-1}{\gamma}} \|u\|,$$

for all  $|x| \geq 1$ .

**Proof.** Since  $\gamma \geq 2$  and  $q > \max\{N, \gamma\}$ , by interpolation arguments  $\mathcal{X} \hookrightarrow W_{\text{rad}}^{1,\gamma}(\mathbb{R}^N)$  and the conclusion follows from Lemma 2.2, where  $p = \gamma$ .  $\square$

In a similar way as in [11] for  $\mathcal{Q}$  we introduce a truncated problem. Let us fix  $\theta_1 \in (0, 1)$ . For any  $\theta \in (0, \theta_1]$  we fix  $q = q(\theta) > N$  such that

$$q \geq 2 \frac{a'(1 - \theta)(1 - \theta) + a(1 - \theta)}{a(1 - \theta)}. \tag{2.1}$$

Then we define a continuous function  $a_\theta : [0, +\infty) \rightarrow \mathbb{R}^+$  by

$$a_\theta(s) := \begin{cases} a(s) & \text{if } 0 \leq s \leq 1 - \theta, \\ (1 - \theta)^{-\frac{q-2}{2}} a(1 - \theta) s^{\frac{q-2}{2}} & \text{if } s > 1 - \theta. \end{cases}$$

The functions  $a_\theta(s)$  and  $\varphi(s) := a_\theta(s)s$  are differentiable in  $[0, +\infty) \setminus \{1 - \theta\}$  and, by (2.1) and (a0), we deduce that  $\varphi'(s_1) < \varphi'_-(1 - \theta) \leq \varphi'_+(1 - \theta) < \varphi'(s_2)$ , for any  $s_1 < 1 - \theta < s_2$ .

**Lemma 2.4.** *The map  $\varphi(s)$  is strictly convex.*

**Proof.** Clearly  $\varphi$  is strictly convex on  $[0, 1 - \theta]$  and on  $[1 - \theta, +\infty)$ . Take  $0 < s < 1 - \theta < t$ . If  $\frac{s+t}{2} \leq 1 - \theta$ , then by the convexity we obtain

$$\begin{aligned} \varphi(s) - \varphi\left(\frac{s+t}{2}\right) &> \varphi'\left(\frac{s+t}{2}\right)\left(s - \frac{s+t}{2}\right), \\ \varphi(1 - \theta) - \varphi\left(\frac{s+t}{2}\right) &> \varphi'\left(\frac{s+t}{2}\right)\left(1 - \theta - \frac{s+t}{2}\right), \\ \varphi(t) - \varphi(1 - \theta) &> \varphi'_+(1 - \theta)(t - 1 + \theta). \end{aligned}$$

In view of (2.1) we get  $\varphi'_+(1 - \theta) \geq \varphi'\left(\frac{s+t}{2}\right)$  and we conclude

$$\frac{\varphi(s) + \varphi(t)}{2} > \varphi\left(\frac{s+t}{2}\right).$$

Similarly we argue if  $\frac{s+t}{2} > 1 - \theta$  and we conclude.  $\square$

For the positive mass case we will consider the following truncated problem

$$\begin{cases} -\operatorname{div}(a_\theta(|\nabla u|^2)\nabla u) = g(u) & \text{in } \mathbb{R}^N, \\ u \in \mathcal{X}. \end{cases} \tag{2.2}$$

For the zero mass case, instead, we will consider the following truncated problem

$$\begin{cases} -\operatorname{div}(a_\theta(|\nabla u|^2)\nabla u) = g(u) & \text{in } \mathbb{R}^N, \\ u \in \mathcal{X}_0. \end{cases} \tag{2.3}$$

Clearly, if  $u_\theta$  is a solution of (2.2) or of (2.3) such that  $|\nabla u_\theta| \leq 1 - \theta$ , then  $u_\theta$  is a solution also of (1.2).

Observe that there exists  $\bar{c}_\theta = \bar{c}_\theta(\theta) > 0$  such that

$$\bar{c} \left( s^2 + |s|^q \right) \leq a_\theta(s^2)s^2 \leq \bar{c}_\theta \left( s^2 + |s|^q \right), \quad \text{for all } s \in \mathbb{R}, \tag{2.4}$$

$$\bar{c} \left( s^2 + |s|^q \right) \leq A_\theta(s^2) \leq \bar{c}_\theta \left( s^2 + |s|^q \right), \quad \text{for all } s \in \mathbb{R}, \tag{2.5}$$

where  $A_\theta(s) = \int_0^s a_\theta(t) dt$  and

$$\bar{c} := \frac{2}{q} \cdot \frac{(1 - \theta_1)^{\frac{q-2}{2}}}{1 + (1 - \theta_1)^{q-2}} \cdot \min_{s \in [0,1]} a(s)$$

is independent of  $\theta$ .

We conclude this section with the following lemma, which will play a crucial role in our arguments. The proof of this result seems to be standard but we give the proof for the completeness.

**Lemma 2.5.** *Suppose that  $u_n \rightharpoonup u_0$  in  $\mathcal{X}_0$  and*

$$\lim_{n \rightarrow +\infty} \int_{\mathbb{R}^N} a_\theta(|\nabla u_n|^2)|\nabla u_n|^2 dx = \int_{\mathbb{R}^N} a_\theta(|\nabla u_0|^2)|\nabla u_0|^2 dx. \tag{2.6}$$

*Then  $u_n \rightarrow u_0$  strongly in  $\mathcal{X}_0$ .*

**Proof.** Let  $\varphi : \mathbb{R}^N \rightarrow \mathbb{R}$  be given by  $\varphi(v) := a_\theta(|v|^2)|v|^2$ , for  $v \in \mathbb{R}^N$ . By Lemma 2.4,  $\varphi$  is strictly convex, hence the map  $\Phi : \mathcal{X}_0 \rightarrow \mathbb{R}$ , such that

$$\Phi(u) := \int_{\mathbb{R}^N} \varphi(\nabla u) dx, \quad \text{for } u \in \mathcal{X}_0,$$

is well defined and strictly convex as well. So, since  $\frac{1}{2}(\nabla u_n + \nabla u_0) \rightharpoonup \nabla u_0$ , we obtain

$$\liminf_{n \rightarrow +\infty} \int_{\mathbb{R}^N} \varphi\left(\frac{1}{2}(\nabla u_n + \nabla u_0)\right) dx \geq \int_{\mathbb{R}^N} \varphi(\nabla u_0) dx. \tag{2.7}$$

Then, taking into account the convexity of  $\varphi$ , we know that, a.e. in  $\mathbb{R}^N$ ,

$$\xi_n := \frac{1}{2}(\varphi(\nabla u_n) + \varphi(\nabla u_0)) - \varphi\left(\frac{1}{2}(\nabla u_n + \nabla u_0)\right) \geq 0,$$

hence, by (2.6) and (2.7),

$$\limsup_{n \rightarrow +\infty} \int_{\mathbb{R}^N} \xi_n dx = 0. \tag{2.8}$$



For any  $k \geq 1$  we define

$$\mu_k := \inf \left\{ \frac{1}{2} (\varphi(v_1) + \varphi(v_2)) - \varphi \left( \frac{1}{2} (v_1 + v_2) \right) : v_1, v_2 \in \mathbb{R}^N \text{ s.t. } |v_1|, |v_2| \leq k, |v_1 - v_2| \geq \frac{1}{k} \right\},$$

$$\Omega_{n,k} := \left\{ x \in \mathbb{R}^N : |\nabla u_n|, |\nabla u_0| \leq k, |\nabla u_n - \nabla u_0| \geq \frac{1}{k} \right\}.$$

Since  $\mu_k > 0$ , by the strict convexity of  $\varphi$ , and (2.8) holds, we infer that the Lebesgue measure  $|\Omega_{n,k}| \rightarrow 0$ , as  $n \rightarrow +\infty$ . Take any  $\varepsilon > 0$ , we find a subsequence  $\{n_k\}$  such that  $|\bigcup_{k=1}^\infty \Omega_{n_k,k}| < \varepsilon$ . Again letting  $\varepsilon \rightarrow 0$  and passing to a subsequence we obtain that  $\nabla u_n \rightarrow \nabla u_0$  a.e. on  $\mathbb{R}^N$ . Note that  $a_\theta$  is of class  $C^1$  on  $(0, 1 - \theta)$  and  $(1 - \theta, +\infty)$ , hence  $\varphi'$  exists almost everywhere. Now take  $s \in [0, 1]$ , by (2.4) we observe that the sequence  $\{\varphi'(\nabla u_n - s \nabla u_0) \nabla u_0\}$  is uniformly integrable and tight and converges a.e. to  $\varphi'((1 - s) \nabla u_0) \nabla u_0$ . In view of the Vitali Convergence Theorem we get

$$\begin{aligned} \int_{\mathbb{R}^N} \varphi(\nabla u_n) dx - \int_{\mathbb{R}^N} \varphi(\nabla u_n - \nabla u_0) dx &= \int_0^1 \int_{\mathbb{R}^N} \varphi'(\nabla u_n - s \nabla u_0) \nabla u_0 dx ds \\ &\xrightarrow{n \rightarrow +\infty} \int_0^1 \int_{\mathbb{R}^N} \varphi'((1 - s) \nabla u_0) \nabla u_0 dx ds \\ &= \int_{\mathbb{R}^N} \varphi(\nabla u_0) dx. \end{aligned}$$

Since (2.6) holds, we get

$$\int_{\mathbb{R}^N} \varphi(\nabla u_n - \nabla u_0) dx \rightarrow 0,$$

as  $n \rightarrow +\infty$ , and by (2.4) we conclude.  $\square$

### 3. The positive mass case

In this section we deal with the positive mass case, namely, we will assume on  $g$  ( $g_0$ ), ( $g_1$ ) and ( $g_2$ ).

Let  $g_1(s) := \max\{g(s) + ms^{\gamma-1}, 0\}$ , for  $s \geq 0$ , and  $g_2(s) = g_1(s) - g(s)$ , for  $s \geq 0$ , and  $g_i(s) = -g_i(-s)$  for  $s < 0$ . Then  $g_1(s), g_2(s) \geq 0$ , for  $s \geq 0$ ,

$$\lim_{s \rightarrow 0} g_1(s)/s^{\gamma-1} = 0, \tag{3.1}$$

$$g_2(s) \geq ms^{\gamma-1}, \quad \text{for } s \geq 0. \tag{3.2}$$

If we set

$$G_i(s) = \int_0^s g_i(t) dt, \quad \text{for } i = 1, 2,$$

then, by (3.2), we have

$$G_2(s) \geq \frac{m}{\gamma} |s|^\gamma, \quad \text{for } s \in \mathbb{R}. \tag{3.3}$$

By (g1) and (3.1), we have that there exist two fixed positive constants,  $\bar{c}_1, \bar{c}_2$  such that

$$|g(s)| \leq \bar{c}_1 |s|^{\gamma-1}, \quad \text{for all } |s| \leq \bar{c}_2, \tag{3.4}$$

$$|G(s)| \leq \bar{c}_1 |s|^\gamma, \quad \text{for all } |s| \leq \bar{c}_2, \tag{3.5}$$

$$|g_1(s)| \leq \bar{c}_1 |s|^{\gamma-1}, \quad \text{for all } |s| \leq \bar{c}_2, \tag{3.6}$$

$$|G_1(s)| \leq \bar{c}_1 |s|^\gamma, \quad \text{for all } |s| \leq \bar{c}_2. \tag{3.7}$$

**Lemma 3.1.** *For any  $u \in \mathcal{X}$ ,  $\int_{\mathbb{R}^N} G(u) dx$  and  $\int_{\mathbb{R}^N} g(u)u dx$  are well defined. The same is true for  $\int_{\mathbb{R}^N} G_i(u) dx$  and  $\int_{\mathbb{R}^N} g_i(u)u dx$ , for  $i = 1, 2$ .*

**Proof.** Let  $u \in \mathcal{X}$ . Since  $\mathcal{X}$  is embedded into  $L^\gamma(\mathbb{R}^N) \cap L^\infty(\mathbb{R}^N)$ , we have that

$$\begin{aligned} \int_{\mathbb{R}^N} |G(u)| dx &= \int_{\{|u| \leq \bar{c}_2\}} |G(u)| dx + \int_{\{|u| > \bar{c}_2\}} |G(u)| dx \\ &\leq \bar{c}_1 \int_{\{|u| \leq \bar{c}_2\}} |u|^\gamma dx + \text{meas}\{|u| > \bar{c}_2\} \cdot \max_{\{s \leq \|u\|_\infty\}} |G(s)| \\ &\leq \bar{c}_1 \|u\|_\gamma^\gamma + \text{meas}\{|u| > \bar{c}_2\} \cdot \max_{\{s \leq \|u\|_\infty\}} |G(s)| < +\infty. \end{aligned}$$

The arguments are similar for  $\int_{\mathbb{R}^N} g(u)u dx$ ,  $\int_{\mathbb{R}^N} G_i(u) dx$  and  $\int_{\mathbb{R}^N} g_i(u)u dx$ ,  $i = 1, 2$ .  $\square$

**Lemma 3.2.** *If  $u_n \rightharpoonup u_0$  in  $\mathcal{X}$ , then*

$$\lim_n \int_{\mathbb{R}^N} g_1(u_n)u_n dx = \int_{\mathbb{R}^N} g_1(u_0)u_0 dx \tag{3.8}$$

and

$$\lim_n \int_{\mathbb{R}^N} G_1(u_n) dx = \int_{\mathbb{R}^N} G_1(u_0) dx. \tag{3.9}$$

**Proof.** Here we follow some ideas of [31, Corollary 3.6] (cf. [32]) and we divide the proof into three intermediate steps by which the conclusion follows immediately.

STEP 1: We claim that

$$\lim_n \int_{\mathbb{R}^N} g_1(u_n)(u_n - u_0) dx = 0. \tag{3.10}$$

Since  $\{u_n\}$  is bounded in  $\mathcal{X}$  then, by the continuous embedding of  $\mathcal{X}$  into  $L^\infty(\mathbb{R}^N)$ , we infer that there exists  $M > 0$  such that  $|u_n|_\infty \leq M$ , for any  $n \geq 1$ . Take any  $\varepsilon > 0$  and  $\beta > 2^*$ . Then, by (3.1), we find  $0 < \delta < M$  and  $c_\varepsilon > 0$  such that

$$\begin{aligned} |g_1(s)| &\leq \varepsilon |s|^{\gamma-1} && \text{if } |s| \in [0, \delta], \\ |g_1(s)| &\leq c_\varepsilon |s|^{\beta-1} && \text{if } |s| \in (\delta, M]. \end{aligned}$$

Therefore

$$\int_{\mathbb{R}^N} |g_1(u_n)(u_n - u_0)| dx \leq \varepsilon \int_{\mathbb{R}^N} |u_n|^{\gamma-1} |u_n - u_0| dx + c_\varepsilon \int_{\mathbb{R}^N} |u_n|^{\beta-1} |u_n - u_0| dx,$$

and, by the compact embedding of  $\mathcal{X}$  into  $L^\beta(\mathbb{R}^N)$ , the boundedness of the sequence  $\{u_n\}$  in  $\mathcal{X}$ , we infer that

$$\limsup_n \int_{\mathbb{R}^N} |g_1(u_n)(u_n - u_0)| dx \leq \varepsilon C$$

for some constant  $C > 0$  and so (3.10) is proved.

STEP 2: We claim that

$$\lim_n \int_{\mathbb{R}^N} g_1(u_n)u_0 dx = \int_{\mathbb{R}^N} g_1(u_0)u_0 dx.$$

Since the sequence  $\{g_1(u_n)u_0\}$  is uniformly integrable and tight, then the conclusion follows by Vitali Convergence Theorem.

STEP 3: We claim that

$$\lim_n \left( \int_{\mathbb{R}^N} g_1(u_n)u_n dx - \int_{\mathbb{R}^N} g_1(u_n)(u_n - u_0) dx \right) = \int_{\mathbb{R}^N} g_1(u_0)u_0 dx.$$

Indeed, if we set  $\phi_n(s) = g_1(u_n)(u_n - su_0)$ , for any  $n \in \mathbb{N}$  and  $s \in [0, 1]$ , taking in account Step 2, we have

$$\begin{aligned} & \lim_n \left( \int_{\mathbb{R}^N} g_1(u_n)u_n dx - \int_{\mathbb{R}^N} g_1(u_n)(u_n - u_0) dx \right) \\ &= \lim_n \int_{\mathbb{R}^N} (\phi_n(0) - \phi_n(1))dx = -\lim_n \int_{\mathbb{R}^N} \left( \int_0^1 \phi'_n(s) ds \right) dx \\ &= \int_0^1 \left( \lim_n \int_{\mathbb{R}^N} g_1(u_n)u_0 dx \right) ds = \int_0^1 \left( \int_{\mathbb{R}^N} g_1(u_0)u_0 dx \right) ds = -\int_0^1 \left( \int_{\mathbb{R}^N} \phi'_0(s) dx \right) ds \\ &= \int_{\mathbb{R}^N} (\phi_0(0) - \phi_0(1))dx = \int_{\mathbb{R}^N} g_1(u_0)u_0 dx. \end{aligned}$$

The proof of (3.9) is similar.  $\square$

Solutions of (2.2) will be found as critical points of the functional  $I_\theta : \mathcal{X} \rightarrow \mathbb{R}$  defined as

$$I_\theta(u) = \frac{1}{2} \int_{\mathbb{R}^N} A_\theta(|\nabla u|^2) dx + \int_{\mathbb{R}^N} G_2(u) dx - \int_{\mathbb{R}^N} G_1(u) dx.$$

The functional is well defined in  $\mathcal{X}$  by (2.5).

**Lemma 3.3.** *For any  $\theta \in (0, \theta_1]$ , the functional  $I_\theta : \mathcal{X} \rightarrow \mathbb{R}$  verifies the mountain pass geometry. More precisely:*

- (i) *there are  $\alpha, \rho > 0$  such that  $I_\theta(u) \geq \alpha$ , for  $\|u\| = \rho$ ;*
- (ii) *there is  $\bar{u} \in \mathcal{X} \setminus \{0\}$ , independent of  $\theta \in (0, \theta_1]$ , with  $\|\bar{u}\| > \rho$  and  $|\nabla \bar{u}| < 1 - \theta_1$ , almost everywhere in  $\mathbb{R}^N$ , and such that  $I_\theta(\bar{u}) < 0$ .*

**Proof.** (i) By the continuous embedding of  $\mathcal{X}$  into  $L^\infty(\mathbb{R}^N)$ , and by (3.1), we can consider  $\rho > 0$  sufficiently small such that

$$G_1(u(x)) \leq \frac{m}{2\gamma} |u(x)|^\gamma, \quad \text{a.e. } x \in \mathbb{R}^N \text{ and for any } u \in \mathcal{X} \text{ with } \|u\| = \rho.$$

Hence, by (3.3) and (2.5), for any  $u \in \mathcal{X}$  with  $\|u\| = \rho$ , we have

$$I_\theta(u) \geq \frac{\bar{c}}{2} \left( |\nabla u|_2^2 + |\nabla u|_q^q \right) + \frac{m}{2\gamma} |u|_\gamma^\gamma \geq c \|u\|^\beta \geq \alpha > 0,$$

where  $\beta = \max\{2, q, \gamma\}$ .

(ii) Let  $u_R \in \mathcal{X}$  such that, for any  $x \in \mathbb{R}^N$ ,

$$u_R(x) := \begin{cases} \xi_0 & \text{in } B_R, \\ -\frac{\xi_0}{\sqrt{R}}|x| + \xi_0(1 + \sqrt{R}) & \text{in } B_{R+\sqrt{R}} \setminus B_R, \\ 0 & \text{in } \mathbb{R}^N \setminus B_{R+\sqrt{R}}. \end{cases}$$

Arguing as in [8], for  $R$  sufficiently large, we have  $\int_{\mathbb{R}^N} G(u_R) dx > 0$  and, clearly,  $|\nabla u_R| < 1 - \theta_1$ . Moreover, for any  $t > 1$ , we have also that  $|\nabla u_R(\cdot/t)| \leq 1 - \theta_1$  and so, denoting  $\bar{u} = u_R(\cdot/t)$ , with  $R$  and  $t$  sufficiently large and independently by  $\theta \in (0, \theta_1]$ , we have  $\|\bar{u}\| > \rho$  and

$$I_\theta(\bar{u}) \leq c_1 \left( t^{N-2} |\nabla u_R|_2^2 + t^{N-q} |\nabla u_R|_q^q \right) - t^N \int_{\mathbb{R}^N} G(u_R) dx < 0. \quad \square$$

Let us define the mountain pass level for the functional  $I_\theta$

$$m_\theta := \inf_{\gamma \in \Gamma} \max_{t \in [0,1]} I_\theta(\gamma(t)),$$

where

$$\Gamma := \{ \gamma \in \mathcal{C}([0, 1], \mathcal{X}) \mid \gamma(0) = 0, \gamma(1) = \bar{u} \}.$$

By Lemma 3.3, we deduce that  $m_\theta \geq \alpha$ , for any  $\theta \in (0, \theta_1]$ .

Observe that, since  $|\nabla \bar{u}| < 1 - \theta_1$ , we have that  $I_{\theta_1}(t\bar{u}) = I_\theta(t\bar{u})$ , for any  $t \in [0, 1]$  and for any  $\theta \in (0, \theta_1]$ . Hence we deduce that

$$m_\theta \leq \max_{t \in [0,1]} I_\theta(t\bar{u}) = \max_{t \in [0,1]} I_{\theta_1}(t\bar{u}),$$

for any  $\theta \in (0, \theta_1]$ . Hence there exists  $c > 0$  (independent of  $\theta \in (0, \theta_1]$ ) such that

$$0 < m_\theta \leq c, \quad \text{for any } \theta \in (0, \theta_1]. \tag{3.11}$$

Following [25,26], we define the functional  $J_\theta : \mathbb{R} \times \mathcal{X} \rightarrow \mathbb{R}$  as

$$J_\theta(\sigma, u) = I_\theta(u(e^{-\sigma} \cdot)) = \frac{e^{N\sigma}}{2} \int_{\mathbb{R}^N} A_\theta(e^{-2\sigma} |\nabla u|^2) dx + e^{N\sigma} \int_{\mathbb{R}^N} G_2(u) dx - e^{N\sigma} \int_{\mathbb{R}^N} G_1(u) dx.$$

With similar arguments of Lemma 3.3, also  $J_\theta$  has a mountain pass geometry and we can define its mountain pass level as

$$\tilde{m}_\theta := \inf_{(\sigma, \gamma) \in \Sigma \times \Gamma} \max_{t \in [0,1]} J_\theta(\sigma(t), \gamma(t)),$$

where

$$\Sigma := \{ \sigma \in \mathcal{C}([0, 1], \mathbb{R}) \mid \sigma(0) = \sigma(1) = 0 \}.$$

Observe that arguing as in [25, Lemma 3.1], we obtain

**Lemma 3.4.** *For any  $\theta \in (0, \theta_1]$ , the mountain pass levels of  $I_\theta$  and  $J_\theta$  coincide, namely  $m_\theta = \tilde{m}_\theta$ .*

Now, as an immediate consequence of Ekeland’s variational principle [37, Theorem 2.8] (cf. [26, Lemma 2.3]) we obtain the following results.

**Lemma 3.5.** *Let  $\theta \in (0, \theta_1]$  and  $\varepsilon > 0$ . Suppose that  $\tilde{\gamma} \in \Sigma \times \Gamma$  satisfies*

$$\max_{t \in [0,1]} J_\theta(\tilde{\gamma}(t)) \leq m_\theta + \varepsilon,$$

then there exists  $(\sigma, u) \in \mathbb{R} \times \mathcal{X}$  such that

- (1)  $\text{dist}_{\mathbb{R} \times \mathcal{X}}((\theta, u), \tilde{\gamma}([0, 1])) \leq 2\sqrt{\varepsilon}$ ;
- (2)  $J_\theta(\sigma, u) \in [m_\theta - \varepsilon, m_\theta + \varepsilon]$ ;
- (3)  $\|DJ_\theta(\sigma, u)\|_{\mathbb{R} \times \mathcal{X}^*} \leq 2\sqrt{\varepsilon}$ .

**Proposition 3.6.** *For any  $\theta \in (0, \theta_1]$ , there exists a sequence  $\{(\sigma_n, u_n)\} \subset \mathbb{R} \times \mathcal{X}$  such that, as  $n \rightarrow +\infty$ , we get*

- (1)  $\sigma_n \rightarrow 0$ ;
- (2)  $J_\theta(\sigma_n, u_n) \rightarrow m_\theta$ ;
- (3)  $\partial_\sigma J_\theta(\sigma_n, u_n) \rightarrow 0$ ;
- (4)  $\partial_u J_\theta(\sigma_n, u_n) \rightarrow 0$  strongly in  $\mathcal{X}^*$ .

**Proof.** In view of Lemma 3.5 we conclude by letting  $\varepsilon \rightarrow 0$ .  $\square$

Now we find a radial solution of the truncated problem (2.2).

**Proposition 3.7.** *For any  $\theta \in (0, \theta_1]$ , there exists  $u_\theta \in \mathcal{X}$  a non-trivial solution of (2.2) such  $I_\theta(u_\theta) = m_\theta$ . Moreover there exists  $C > 0$  such that*

$$\|u_\theta\| \leq C, \quad \text{for any } \theta \in (0, \theta_1]. \tag{3.12}$$

Finally  $u_\theta$  is a weak solution of

$$-(r^{N-1}a_\theta(|u'_\theta(r)|^2)u'_\theta(r))' = r^{N-1}g(u_\theta(r)), \tag{3.13}$$

namely

$$\int_0^{+\infty} r^{N-1}a_\theta(|u'_\theta(r)|^2)u'_\theta(r)v'(r) dr = \int_0^{+\infty} r^{N-1}g(u_\theta(r))v(r) dr,$$

for all  $v \in \mathcal{X}$ .

**Proof.** Fix  $\theta \in (0, \theta_1]$ . By Proposition 3.6, there exists a sequence  $\{(\sigma_n, u_n)\} \subset \mathbb{R} \times \mathcal{X}$  such that

$$\left\{ \begin{aligned} & \frac{e^{N\sigma_n}}{2} \int_{\mathbb{R}^N} A_\theta(e^{-2\sigma_n} |\nabla u_n|^2) dx + e^{N\sigma_n} \int_{\mathbb{R}^N} G_2(u_n) dx - e^{N\sigma_n} \int_{\mathbb{R}^N} G_1(u_n) dx = m_\theta + o_n(1), \\ & \frac{Ne^{N\sigma_n}}{2} \int_{\mathbb{R}^N} A_\theta(e^{-2\sigma_n} |\nabla u_n|^2) dx - e^{(N-2)\sigma_n} \int_{\mathbb{R}^N} a_\theta(e^{-2\sigma_n} |\nabla u_n|^2) |\nabla u_n|^2 dx \\ & \qquad \qquad \qquad + Ne^{N\sigma_n} \int_{\mathbb{R}^N} G_2(u_n) dx - Ne^{N\sigma_n} \int_{\mathbb{R}^N} G_1(u_n) dx = o_n(1), \\ & e^{(N-2)\sigma_n} \int_{\mathbb{R}^N} a_\theta(e^{-2\sigma_n} |\nabla u_n|^2) |\nabla u_n|^2 dx + e^{N\sigma_n} \int_{\mathbb{R}^N} g_2(u_n) u_n dx \\ & \qquad \qquad \qquad - e^{N\sigma_n} \int_{\mathbb{R}^N} g_1(u_n) u_n dx = o_n(1) \|u_n\|. \end{aligned} \right. \tag{3.14}$$

From the first and the second equation of the previous system we get

$$e^{(N-2)\sigma_n} \int_{\mathbb{R}^N} a_\theta(e^{-2\sigma_n} |\nabla u_n|^2) |\nabla u_n|^2 dx = Nm_\theta + o_n(1).$$

Therefore, since  $\sigma_n \rightarrow 0$ , as  $n \rightarrow +\infty$ , by (2.4) we deduce that  $\{u_n\}$  is a bounded sequence in  $\mathcal{X}_0$  and so also in  $L^\infty(\mathbb{R}^N)$ , namely there exists  $\bar{C} > 0$  such that  $|u_n|_\infty \leq \bar{C}$ , for any  $n \geq 1$ . This implies that, by (3.1) and Lemma 2.1, there exists  $R > 1$  such that

$$G_1(u_n(x)) \leq \frac{m}{2\gamma} |u_n(x)|^\gamma, \quad \text{a.e. } x \in \mathbb{R}^N \text{ with } |x| \geq R \text{ and for any } n \geq 1.$$

Hence

$$\int_{\mathbb{R}^N} G_1(u_n) dx = \int_{B_R} G_1(u_n) dx + \int_{\mathbb{R}^N \setminus B_R} G_1(u_n) dx \leq C \max_{\{s \leq \bar{C}\}} |G_1(s)| + \frac{m}{2\gamma} \int_{\mathbb{R}^N} |u_n(x)|^\gamma dx.$$

By this, by (3.3) and by the first equation of (3.14), we infer that  $\{u_n\}$  is a bounded sequence also in  $\mathcal{X}$ . Then there exists  $u_\theta \in \mathcal{X}$  such that  $u_n \rightharpoonup u_\theta$  in  $\mathcal{X}$ . Since  $\partial_u J_\theta(\sigma_n, u_n) \rightarrow 0$  strongly in  $\mathcal{X}^*$  and  $\sigma_n \rightarrow 0$ , we have that  $u_\theta$  is a weak (possibly trivial) solution of (2.2) and so it satisfies

$$\int_{\mathbb{R}^N} a_\theta(|\nabla u_\theta|^2) |\nabla u_\theta|^2 dx + \int_{\mathbb{R}^N} g_2(u_\theta) u_\theta dx = \int_{\mathbb{R}^N} g_1(u_\theta) u_\theta dx.$$

Since  $u_n \rightharpoonup u_\theta$  in  $\mathcal{X}$ , by the weak lower semicontinuity and the Fatou’s Lemma we have that

$$\int_{\mathbb{R}^N} a_\theta(|\nabla u_\theta|^2)|\nabla u_\theta|^2 dx \leq \liminf_{n \rightarrow +\infty} \int_{\mathbb{R}^N} a_\theta(|\nabla u_n|^2)|\nabla u_n|^2 dx,$$

$$\int_{\mathbb{R}^N} g_2(u_\theta)u_\theta dx \leq \liminf_{n \rightarrow +\infty} \int_{\mathbb{R}^N} g_2(u_n)u_n dx;$$

while, by Lemma 3.2, we have

$$\int_{\mathbb{R}^N} g_1(u_\theta)u_\theta dx = \lim_{n \rightarrow +\infty} \int_{\mathbb{R}^N} g_1(u_n)u_n dx.$$

Therefore, by the third equation of (3.14),

$$\begin{aligned} & \int_{\mathbb{R}^N} a_\theta(|\nabla u_\theta|^2)|\nabla u_\theta|^2 dx + \int_{\mathbb{R}^N} g_2(u_\theta)u_\theta dx \\ & \leq \liminf_{n \rightarrow +\infty} \left[ \int_{\mathbb{R}^N} a_\theta(|\nabla u_n|^2)|\nabla u_n|^2 dx + \int_{\mathbb{R}^N} g_2(u_n)u_n dx \right] \\ & = \liminf_{n \rightarrow +\infty} \left[ e^{(N-2)\sigma_n} \int_{\mathbb{R}^N} a_\theta(e^{-2\sigma_n}|\nabla u_n|^2)|\nabla u_n|^2 dx + e^{N\sigma_n} \int_{\mathbb{R}^N} g_2(u_n)u_n dx \right] \\ & = \liminf_{n \rightarrow +\infty} \left[ e^{N\sigma_n} \int_{\mathbb{R}^N} g_1(u_n)u_n dx + o_n(1)\|u_n\| \right] \\ & = \int_{\mathbb{R}^N} g_1(u_\theta)u_\theta dx = \int_{\mathbb{R}^N} a_\theta(|\nabla u_\theta|^2)|\nabla u_\theta|^2 dx + \int_{\mathbb{R}^N} g_2(u_\theta)u_\theta dx \end{aligned}$$

and so

$$\int_{\mathbb{R}^N} a_\theta(|\nabla u_\theta|^2)|\nabla u_\theta|^2 dx = \lim_{n \rightarrow +\infty} \int_{\mathbb{R}^N} a_\theta(|\nabla u_n|^2)|\nabla u_n|^2 dx, \tag{3.15}$$

$$\int_{\mathbb{R}^N} g_2(u_\theta)u_\theta dx = \lim_{n \rightarrow +\infty} \int_{\mathbb{R}^N} g_2(u_n)u_n dx. \tag{3.16}$$

In view of Lemma 2.5 equation (3.15) implies that  $u_n \rightarrow u_\theta$  strongly in  $\mathcal{X}_0$ .

Moreover, since, by (3.2), we know that for any  $s \in \mathbb{R}$  we can write  $g_2(s)s = m|s|^\gamma + h(s)$ , where  $h$  is a non-negative continuous function, by Fatou’s Lemma we deduce that

$$\int_{\mathbb{R}^N} |u_\theta|^\gamma dx \leq \liminf_{n \rightarrow +\infty} \int_{\mathbb{R}^N} |u_n|^\gamma dx,$$



$$\int_{\mathbb{R}^N} h(u_\theta) \, dx \leq \liminf_{n \rightarrow +\infty} \int_{\mathbb{R}^N} h(u_n) \, dx.$$

These last two inequalities and (3.16) imply that

$$\int_{\mathbb{R}^N} |u_\theta|^\gamma \, dx = \lim_{n \rightarrow +\infty} \int_{\mathbb{R}^N} |u_n|^\gamma \, dx$$

and so, actually,  $u_n \rightarrow u_\theta$  strongly in  $\mathcal{X}$  and so  $I_\theta(u_\theta) = m_\theta$ .

Finally, since

$$\int_{\mathbb{R}^N} a_\theta(|\nabla u_\theta|^2)|\nabla u_\theta|^2 \, dx = Nm_\theta,$$

by (3.11) and (2.4), we prove that there exists  $C > 0$  such that  $\|u_\theta\|_0 \leq C$ , for any  $\theta \in (0, \theta_1]$ . Since  $\{u_\theta\}$  are uniformly bounded in  $\mathcal{X}_0$  and so also in  $L^\infty(\mathbb{R}^N)$ , there exists  $\bar{C} > 0$  such that  $|u_\theta|_\infty \leq \bar{C}$ , for any  $\theta \in (0, \theta_1]$ . This implies that, by (3.1) and Lemma 2.1, there exists  $R > 1$  such that

$$G_1(u_\theta(x)) \leq \frac{m}{2\gamma} |u_\theta(x)|^\gamma, \quad \text{a.e. } x \in \mathbb{R}^N \text{ with } |x| \geq R \text{ and for any } \theta \in (0, \theta_1].$$

Hence

$$\int_{\mathbb{R}^N} G_1(u_\theta) \, dx = \int_{B_R} G_1(u_\theta) \, dx + \int_{\mathbb{R}^N \setminus B_R} G_1(u_\theta) \, dx \leq C \max_{\{s \leq \bar{C}\}} |G_1(s)| + \frac{m}{2\gamma} \int_{\mathbb{R}^N} |u_\theta(x)|^\gamma \, dx.$$

By this, by (3.3), since  $I_\theta(u_\theta) = m_\theta$  and by (3.11), we infer that exists  $C > 0$  such that  $\|u_\theta\| \leq C$  for any  $\theta \in (0, \theta_1]$ .  $\square$

We are now able to conclude the proof of our main theorem in the positive mass case.

**Proof of Theorem 1.1.** By Proposition 3.7, for any  $\theta \in (0, \theta_1]$ , there exists  $u_\theta \in \mathcal{X}$  a nontrivial solution of (2.2) such  $I_\theta(u_\theta) = m_\theta$ . Since  $q > N$ ,  $u_\theta \in L^\infty(\mathbb{R}^N)$  and since  $u_\theta$  is a solution of (3.13) in  $(0, +\infty)$ , it is easy to check that  $u_\theta$  is regular for  $r > 0$ .

CLAIM 1:  $u_\theta \in C^{1,\alpha}$  in a neighbourhood of 0 for some  $\alpha \in (0, 1)$ .

Integrating the equation (3.13), for any  $r_2 > r_1 > 0$ , we have

$$-r_2^{N-1} a_\theta(|u'_\theta(r_2)|^2)u'_\theta(r_2) + r_1^{N-1} a_\theta(|u'_\theta(r_1)|^2)u'_\theta(r_1) = \int_{r_1}^{r_2} s^{N-1} g(u_\theta(s)) \, ds.$$

Observe that

$$\int_{r_1}^{r_2} s^{N-1} |g(u_\theta(s))| \, ds \leq C(r_2^N - r_1^N),$$

for some constant  $C > 0$ . Thus  $\mathcal{A} := \lim_{r \rightarrow 0} r^{N-1} a_\theta (|u'_\theta(r)|^2) u'_\theta(r)$  exists and it is finite. If  $\mathcal{A} \neq 0$ , then  $\lim_{r \rightarrow 0} |u'_\theta(r)| = +\infty$ . Since we can find constants  $c_1, c_2, \rho > 0$  such that

$$c_1 |s|^q \leq a_\theta(s^2) s^2 \leq c_2 |s|^q, \quad \text{for } |s| > \rho,$$

and  $u_\theta$  is constant on a sphere centred at 0, in view of Lieberman’s result [30],  $u_\theta \in C^{1,\alpha}$  in a neighbourhood of 0 for some  $\alpha \in (0, 1)$ . This contradicts  $\lim_{r \rightarrow 0} |u'_\theta(r)| = +\infty$ . Therefore  $\mathcal{A} = 0$ . Furthermore, since for any  $r_2 > r_1 > 0$

$$-a_\theta (|u'_\theta(r_2)|^2) u'_\theta(r_2) + \frac{r_1^{N-1}}{r_2^{N-1}} a_\theta (|u'_\theta(r_1)|^2) u'_\theta(r_1), = \frac{1}{r_2^{N-1}} \int_{r_1}^{r_2} s^{N-1} g(u_\theta(s)) ds,$$

and letting  $r_1 \rightarrow 0$ , we deduce that

$$\left| a_\theta (|u'_\theta(r_2)|^2) u'_\theta(r_2) \right| \leq \frac{1}{r_2^{N-1}} \int_0^{r_2} s^{N-1} |g(u_\theta(s))| ds \leq Cr_2.$$

Therefore

$$\lim_{r \rightarrow 0} a_\theta (|u'_\theta(r)|^2) u'_\theta(r) = 0,$$

hence

$$\lim_{r \rightarrow 0} u'_\theta(r) = 0.$$

Since, for some constants  $c_1, c_2, \rho > 0$ , we also have

$$c_1 s^2 \leq a_\theta(s^2) s^2 \leq c_2 s^2, \quad \text{for } |s| < \rho,$$

in view of [30], we conclude the claim.

CLAIM 2: There exists  $C > 0$  such that

$$|a_\theta (|u'_\theta(r)|^2) u'_\theta(r)| \leq C, \quad \text{for any } r \geq 0 \text{ and } \theta \in (0, \theta_1]. \tag{3.17}$$

By the regularity of  $u_\theta$ , we infer that  $u'_\theta(0) = 0$  and so also

$$a_\theta (|u'_\theta(0)|^2) u'_\theta(0) = 0.$$

Now, integrating the equation (3.13), for any  $r > 0$ , we have

$$-a_\theta (|u'_\theta(r)|^2) u'_\theta(r) = \frac{1}{r^{N-1}} \int_0^r s^{N-1} g(u_\theta(s)) ds.$$

By Lemma 2.3 and by (3.12), we deduce that there exists  $R > 1$ , such that

$$|u_\theta(r)| \leq \bar{c}_2, \quad \text{for any } \theta \in (0, \theta_1] \text{ and for any } r > R, \tag{3.18}$$

where  $\bar{c}_2$  is defined in (3.4).

By the continuous embedding of  $\mathcal{X}$  in  $L^\infty(\mathbb{R}^N)$  and by (3.12), there exists  $C > 0$  such that  $|u_\theta|_\infty \leq C\|u_\theta\| \leq C$ , for any  $\theta \in (0, \theta_1]$ , and so we have that, for any  $0 < r \leq R$  and  $\theta \in (0, \theta_1]$ ,

$$|a_\theta(|u'_\theta(r)|^2)u'_\theta(r)| \leq \frac{1}{r^{N-1}} \int_0^r s^{N-1} |g(u_\theta(s))| ds \leq C.$$

If  $r > R$ , then

$$\begin{aligned} |a_\theta(|u'_\theta(r)|^2)u'_\theta(r)| &\leq \frac{1}{r^{N-1}} \int_0^r s^{N-1} |g(u_\theta(s))| ds \\ &\leq \frac{1}{r^{N-1}} \left( \int_0^R s^{N-1} |g(u_\theta(s))| ds + \int_R^r s^{N-1} |g(u_\theta(s))| ds \right) \\ &\leq \frac{C}{r^{N-1}} + \underbrace{\frac{c_1}{r^{N-1}} \int_1^r s^{N-1} |g(u_\theta(s))| ds}_{(A)}. \end{aligned}$$

We have to estimate (A). First of all, Lemma 2.3 and (3.12), for  $r > 1$ , we have that

$$|u_\theta(r)| \leq Cr^{-\frac{N-1}{\gamma}} \|u_\theta\| \leq \bar{C}r^{-\frac{N-1}{\gamma}}.$$

From (3.18) and (3.4), and since  $\gamma \geq 2$ , we get

$$(A) \leq \frac{C}{r^{N-1}} \int_1^r s^{N-1} |u_\theta(s)|^{\gamma-1} ds \leq \frac{C}{r^{N-1}} \int_1^r s^{N-1-\frac{N-1}{\gamma}(\gamma-1)} ds \leq C \left( r^{1-\frac{N-1}{\gamma}(\gamma-1)} + 1 \right) \leq C.$$

Therefore the claim is proved.

CLAIM 3: There exists  $\bar{\theta} \in (0, \theta_1]$  such that

$$|u'_\theta(r)| \leq 1 - \bar{\theta}, \quad \text{for any } r \geq 0. \tag{3.19}$$

Suppose by contradiction that (3.19) does not hold, then there exists a sequence  $\{\theta_n\} \subset (0, \theta_1]$  which tends to zero and a sequence  $\{r_n\} \subset \mathbb{R}_+$  such that

$$\lim_n |u'_{\theta_n}(r_n)| = 1,$$

which implies, by (a1), that

$$\lim_n a_{\theta_n} (|u'_{\theta_n}(r_n)|) |u'_{\theta_n}(r_n)| = +\infty.$$

Thus we obtain a contradiction with (3.17).

Finally, observe that  $u_{\bar{\theta}}$  solves (1.2). Moreover, taking into account (2.4), (2.5) and Lemma 3.1, we get

$$\int_{\mathbb{R}^N} A(|\nabla u_{\bar{\theta}}|^2) dx, \int_{\mathbb{R}^N} a(|\nabla u_{\bar{\theta}}|^2) |\nabla u_{\bar{\theta}}|^2 dx, \int_{\mathbb{R}^N} |G(u_{\bar{\theta}})| dx < +\infty. \quad \square$$

#### 4. The zero mass case

In this section we deal with the zero mass case, namely, we will assume that  $g$  satisfies (g0) and (g2). Moreover  $\gamma > N$  and (g1') holds, or  $\gamma \leq N$  and both (g1'), (g1'') hold. In the former case, for the definition of  $\mathcal{X}_0$ , we fix  $q \in (N, \gamma)$ , while in the latter,  $q$  is given by (g1'').

Let  $g_1(s) := \max\{g(s), 0\}$  and  $g_2(s) := g_1(s) - g(s)$  for  $s \geq 0$  and then we can extend them as odd functions for  $s < 0$ . Then  $g_1(s), g_2(s) \geq 0$ , for  $s \geq 0$  and

$$\lim_{s \rightarrow 0} g_1(s) / |s|^{\gamma-1} = 0, \quad \text{for some } \gamma > 2^*. \tag{4.1}$$

Moreover, whenever  $\gamma \in (2^*, N]$ , we have

$$\lim_{s \rightarrow +\infty} g_1(s) / |s|^{q^*-1} = 0. \tag{4.2}$$

For  $i = 1, 2$  we set

$$G_i(s) = \int_0^s g_i(t) dt$$

and note that  $G_i(s) \geq 0$  for  $s \in \mathbb{R}$ .

In view of (g1'), there exist two positive constants,  $\bar{c}_1$  and  $\bar{c}_2$ , such that

$$|g(s)| \leq \bar{c}_1 |s|^{\gamma-1}, \quad \text{for all } |s| \leq \bar{c}_2, \tag{4.3}$$

$$|G(s)| \leq \bar{c}_1 |s|^\gamma, \quad \text{for all } |s| \leq \bar{c}_2, \tag{4.4}$$

$$|g_1(s)| \leq \bar{c}_1 |s|^{\gamma-1}, \quad \text{for all } |s| \leq \bar{c}_2, \tag{4.5}$$

$$|G_1(s)| \leq \bar{c}_1 |s|^\gamma, \quad \text{for all } |s| \leq \bar{c}_2. \tag{4.6}$$

Moreover, in the case  $\gamma \in (2^*, N]$ , by (g1') and (g1''), there exists a positive constant  $\bar{c}_3$  such that

$$|g(s)| \leq \bar{c}_3 \left( |s|^{\gamma-1} + |s|^{q^*-1} \right), \quad \text{for all } s \in \mathbb{R}, \tag{4.7}$$

$$|G(s)| \leq \bar{c}_3 \left( |s|^\gamma + |s|^{q^*} \right), \quad \text{for all } s \in \mathbb{R}, \tag{4.8}$$

$$|g_1(s)| \leq \bar{c}_3 \left( |s|^{\gamma-1} + |s|^{q^*-1} \right), \quad \text{for all } s \in \mathbb{R}, \tag{4.9}$$

$$|G_1(s)| \leq \bar{c}_3 \left( |s|^\gamma + |s|^{q^*} \right), \quad \text{for all } s \in \mathbb{R}. \tag{4.10}$$

Arguing as in the proof of Lemma 3.1, we have

**Lemma 4.1.** *For any  $u \in \mathcal{X}_0$ ,  $\int_{\mathbb{R}^N} G(u) dx$  and  $\int_{\mathbb{R}^N} g(u)u dx$  are well defined. The same is true for  $\int_{\mathbb{R}^N} G_i(u) dx$  and  $\int_{\mathbb{R}^N} g_i(u)u dx$ , for  $i = 1, 2$ .*

The following compactness results hold.

**Lemma 4.2.** *If  $u_n \rightharpoonup u_0$  in  $\mathcal{X}_0$ , then*

$$\lim_n \int_{\mathbb{R}^N} g_1(u_n)u_n dx = \int_{\mathbb{R}^N} g_1(u_0)u_0 dx$$

and

$$\lim_n \int_{\mathbb{R}^N} G_1(u_n) dx = \int_{\mathbb{R}^N} G_1(u_0) dx.$$

**Proof.** In the case  $\gamma > N$ , the arguments are similar to those of the proof of Lemma 3.2. Here we treat only the case  $\gamma \in (2^*, N]$ , enlightening the main differences.

By (4.1) and (4.2), take any  $\varepsilon > 0$  and  $\beta \in (2^*, q^*)$ , then we find  $\delta > 0$  and  $c_\varepsilon > 0$  such that

$$\begin{aligned} |g_1(s)| &\leq \varepsilon |s|^{\gamma-1} && \text{if } |s| \in [0, \delta], \\ |g_1(s)| &\leq c_\varepsilon |s|^{\beta-1} && \text{if } |s| \in (\delta, 1/\delta), \\ |g_1(s)| &\leq \varepsilon |s|^{q^*-1} && \text{if } |s| \in [1/\delta, +\infty). \end{aligned}$$

Therefore

$$\begin{aligned} \int_{\mathbb{R}^N} |g_1(u_n)(u_n - u_0)| dx &\leq \varepsilon \int_{\mathbb{R}^N} |u_n|^{\gamma-1} |u_n - u_0| dx + c_\varepsilon \int_{\mathbb{R}^N} |u_n|^{\beta-1} |u_n - u_0| dx \\ &\quad + \varepsilon \int_{\mathbb{R}^N} |u_n|^{q^*-1} |u_n - u_0| dx, \end{aligned}$$

and, by the compact embedding of  $\mathcal{X}_0$  into  $L^\beta(\mathbb{R}^N)$ , the boundedness of the sequence  $\{u_n\}$  in  $\mathcal{X}_0$ , we infer that

$$\limsup_n \int_{\mathbb{R}^N} |g_1(u_n)(u_n - u_0)| dx \leq \varepsilon C$$

for some constant  $C > 0$ . Now the proof goes on in a similar way as in Lemma 3.2.  $\square$

Solutions of (2.3) will be found as critical points of the functional  $I_\theta : \mathcal{X}_0 \rightarrow \mathbb{R}$  defined as

$$I_\theta(u) = \frac{1}{2} \int_{\mathbb{R}^N} A_\theta(|\nabla u|^2) dx + \int_{\mathbb{R}^N} G_2(u) dx - \int_{\mathbb{R}^N} G_1(u) dx,$$

which is well defined in  $\mathcal{X}_0$ . Here and in what follows, with an abuse of notation, we use  $I_\theta, J_\theta, m_\theta, \tilde{m}_\theta, \Gamma,$  and  $\Sigma$  in the zero mass setting, as well.

We show that  $I_\theta$  satisfies the mountain pass geometry.

**Lemma 4.3.** *For any  $\theta \in (0, \theta_1]$ , the functional  $I_\theta : \mathcal{X}_0 \rightarrow \mathbb{R}$  verifies the mountain pass geometry. More precisely:*

- (i) *there are  $\alpha, \rho > 0$  such that  $I_\theta(u) \geq \alpha$ , for  $\|u\|_0 = \rho$ ;*
- (ii) *there is  $\bar{u} \in \mathcal{X}_0 \setminus \{0\}$ , independent of  $\theta \in (0, \theta_1]$ , with  $\|\bar{u}\|_0 > \rho$  and  $|\nabla \bar{u}| < 1 - \theta_1$ , almost everywhere in  $\mathbb{R}^N$ , and such that  $I_\theta(\bar{u}) < 0$ .*

**Proof.** (i) We start with the case  $\gamma > N$ . Since  $q \in (N, \gamma)$ , by the continuous embedding of  $\mathcal{X}_0$  into  $L^\infty(\mathbb{R}^N)$ , and by (4.4), we can consider  $\rho > 0$  sufficiently small such that

$$G(u(x)) \leq \bar{c}_1 |u(x)|^\gamma, \quad \text{a.e. } x \in \mathbb{R}^N \text{ and for any } u \in \mathcal{X}_0 \text{ with } \|u\|_0 = \rho.$$

Hence, by (2.5) and since  $\mathcal{X}_0$  is embedded into  $L^\gamma(\mathbb{R}^N)$ , for any  $u \in \mathcal{X}_0$  with  $\|u\|_0 = \rho$ , we have

$$I_\theta(u) \geq c \left( |\nabla u|_2^2 + |\nabla u|_q^q - |u|_q^\gamma \right) \geq c \left( |\nabla u|_2^2 + |\nabla u|_q^q - |\nabla u|_2^\gamma - |u|_q^\gamma \right) \geq \alpha > 0.$$

Let us consider now the case  $\gamma \in (2^*, N]$ . By (4.1) and (4.2), take any  $\varepsilon > 0$  and  $\beta \in (\max\{2^*, q\}, q^*)$ , then we find  $c_\varepsilon > 0$  such that

$$0 \leq G_1(s) \leq \varepsilon \left( |s|^\gamma + |s|^{q^*} \right) + c_\varepsilon |s|^\beta, \quad \text{for all } s \in \mathbb{R}.$$

Hence, if  $\rho < 1$ , we have

$$\begin{aligned} I_\theta(u) &\geq c \left( |\nabla u|_2^2 + |\nabla u|_q^q \right) - \varepsilon \left( |u|_q^\gamma + |u|_{q^*}^{q^*} \right) - c_\varepsilon |u|_q^\beta \\ &\geq c \left[ |\nabla u|_2^2 + |\nabla u|_q^q - \varepsilon \left( |\nabla u|_2^\gamma + |\nabla u|_q^\gamma + |\nabla u|_2^{q^*} + |\nabla u|_q^{q^*} \right) - \left( |u|_2^\beta + |u|_q^\beta \right) \right] \\ &\geq c \left[ \|u\|_0^q - \|u\|_0^\beta - \varepsilon \left( \|u\|_0^\gamma + \|u\|_0^{q^*} \right) \right] \geq \alpha > 0. \end{aligned}$$

(ii) As in the proof of Lemma 3.3.  $\square$

Let us define the mountain pass level for the functional  $I_\theta$

$$m_\theta := \inf_{\gamma \in \Gamma} \max_{t \in [0,1]} I_\theta(\gamma(t)),$$

where

$$\Gamma := \{\gamma \in \mathcal{C}([0, 1], \mathcal{X}_0) \mid \gamma(0) = 0, \gamma(1) = \bar{u}\}.$$

By Lemma 4.3, we deduce that  $m_\theta \geq \alpha$ , for any  $\theta \in (0, \theta_1]$ .

Observe that, since  $|\nabla \bar{u}| < 1 - \theta_1$ , we have that  $I_{\theta_1}(t\bar{u}) = I_\theta(t\bar{u})$ , for any  $t \in [0, 1]$  and for any  $\theta \in (0, \theta_1]$ . Hence we deduce that

$$m_\theta \leq \max_{t \in [0,1]} I_\theta(t\bar{u}) = \max_{t \in [0,1]} I_{\theta_1}(t\bar{u}),$$

for any  $\theta \in (0, \theta_1]$ . Hence there exists  $c > 0$  (independent of  $\theta \in (0, \theta_1]$ ) such that

$$0 < m_\theta \leq c_2, \quad \text{for any } \theta \in (0, \theta_1]. \tag{4.11}$$

As done in Section 3, we define the functional  $J_\theta : \mathbb{R} \times \mathcal{X}_0 \rightarrow \mathbb{R}$  as

$$J_\theta(\sigma, u) = I_\theta(u(e^{-\sigma \cdot})) = \frac{e^{N\sigma}}{2} \int_{\mathbb{R}^N} A_\theta(e^{-2\sigma} |\nabla u|^2) dx + e^{N\sigma} \int_{\mathbb{R}^N} G_2(u) dx - e^{N\sigma} \int_{\mathbb{R}^N} G_1(u) dx.$$

The functional  $J_\theta$  has a mountain pass geometry and we can define its mountain pass level as

$$\tilde{m}_\theta := \inf_{(\sigma, \gamma) \in \Sigma \times \Gamma} \max_{t \in [0,1]} J_\theta(\sigma(t), \gamma(t)),$$

where

$$\Sigma := \{\sigma \in \mathcal{C}([0, 1], \mathbb{R}) \mid \sigma(0) = \sigma(1) = 0\}.$$

The following holds

**Lemma 4.4.** *For any  $\theta \in (0, \theta_1]$ , the mountain pass levels of  $I_\theta$  and  $J_\theta$  coincide, namely  $m_\theta = \tilde{m}_\theta$ .*

**Lemma 4.5.** *Let  $\theta \in (0, \theta_1]$  and  $\varepsilon > 0$ . Suppose that  $\tilde{\gamma} \in \Sigma \times \Gamma$  satisfies*

$$\max_{t \in [0,1]} J_\theta(\tilde{\gamma}(t)) \leq m_\theta + \varepsilon,$$

*then there exists  $(\sigma, u) \in \mathbb{R} \times \mathcal{X}_0$  such that*

- (1)  $\text{dist}_{\mathbb{R} \times \mathcal{X}_0}((\theta, u), \tilde{\gamma}([0, 1])) \leq 2\sqrt{\varepsilon}$ ;
- (2)  $J_\theta(\sigma, u) \in [m_\theta - \varepsilon, m_\theta + \varepsilon]$ ;

$$(3) \|DJ_\theta(\sigma, u)\|_{\mathbb{R} \times \mathcal{X}^*} \leq 2\sqrt{\varepsilon}.$$

**Proposition 4.6.** For any  $\theta \in (0, \theta_1]$ , there exists a sequence  $\{(\sigma_n, u_n)\} \subset \mathbb{R} \times \mathcal{X}_0$  such that, as  $n \rightarrow +\infty$ , we get

- (1)  $\sigma_n \rightarrow 0$ ;
- (2)  $J_\theta(\sigma_n, u_n) \rightarrow m_\theta$ ;
- (3)  $\partial_\sigma J_\theta(\sigma_n, u_n) \rightarrow 0$ ;
- (4)  $\partial_u J_\theta(\sigma_n, u_n) \rightarrow 0$  strongly in  $\mathcal{X}_0^*$ .

**Proposition 4.7.** For any  $\theta \in (0, \theta_1]$ , there exists  $u_\theta \in \mathcal{X}_0$  a non-trivial solution of (2.2) such  $I_\theta(u_\theta) = m_\theta$ . Moreover there exists  $C > 0$  such that

$$\|u_\theta\|_0 \leq C, \quad \text{for any } \theta \in (0, \theta_1]. \tag{4.12}$$

Finally  $u_\theta$  is a weak solution of

$$-(r^{N-1} a_\theta (|u'_\theta(r)|^2) u'_\theta(r))' = r^{N-1} g(u_\theta(r)), \tag{4.13}$$

namely

$$\int_0^{+\infty} r^{N-1} a_\theta (|u'_\theta(r)|^2) u'_\theta(r) v'(r) dr = \int_0^{+\infty} r^{N-1} g(u_\theta(r)) v(r) dr,$$

for all  $v \in \mathcal{X}_0$ .

**Proof.** Fix  $\theta \in (0, \theta_1]$ . By Proposition 4.6, there exists a sequence  $\{(\sigma_n, u_n)\} \subset \mathbb{R} \times \mathcal{X}_0$  such that

$$\left\{ \begin{aligned} & \frac{e^{N\sigma_n}}{2} \int_{\mathbb{R}^N} A_\theta(e^{-2\sigma_n} |\nabla u_n|^2) dx + e^{N\sigma_n} \int_{\mathbb{R}^N} G_2(u_n) dx - e^{N\sigma_n} \int_{\mathbb{R}^N} G_1(u_n) dx = m_\theta + o_n(1), \\ & \frac{Ne^{N\sigma_n}}{2} \int_{\mathbb{R}^N} A_\theta(e^{-2\sigma_n} |\nabla u_n|^2) dx - e^{(N-2)\sigma_n} \int_{\mathbb{R}^N} a_\theta(e^{-2\sigma_n} |\nabla u_n|^2) |\nabla u_n|^2 dx \\ & \qquad \qquad \qquad + Ne^{N\sigma_n} \int_{\mathbb{R}^N} G_2(u_n) dx - Ne^{N\sigma_n} \int_{\mathbb{R}^N} G_1(u_n) dx = o_n(1), \\ & e^{(N-2)\sigma_n} \int_{\mathbb{R}^N} a_\theta(e^{-2\sigma_n} |\nabla u_n|^2) |\nabla u_n|^2 dx + e^{N\sigma_n} \int_{\mathbb{R}^N} g_2(u_n) u_n dx \\ & \qquad \qquad \qquad - e^{N\sigma_n} \int_{\mathbb{R}^N} g_1(u_n) u_n dx = o_n(1) \|u_n\|. \end{aligned} \right.$$

From the first and the second equation of the previous system we get



$$e^{(N-2)\sigma_n} \int_{\mathbb{R}^N} a_\theta(e^{-2\sigma_n} |\nabla u_n|^2) |\nabla u_n|^2 dx = Nm_\theta + o_n(1).$$

Therefore, since  $\sigma_n \rightarrow 0$ , as  $n \rightarrow +\infty$ , by (2.4) we deduce that  $\{u_n\}$  is a bounded sequence in  $\mathcal{X}_0$ . Then there exists  $u_\theta \in \mathcal{X}_0$  such that  $u_n \rightharpoonup u_\theta$  in  $\mathcal{X}_0$ . Since  $\partial_u J_\theta(\sigma_n, u_n) \rightarrow 0$  strongly in  $\mathcal{X}_0^*$  and  $\sigma_n \rightarrow 0$ , we have that  $u_\theta$  is a weak (possibly trivial) solution of (2.3) and so it satisfies

$$\int_{\mathbb{R}^N} a_\theta(|\nabla u_\theta|^2) |\nabla u_\theta|^2 dx + \int_{\mathbb{R}^N} g_2(u_\theta) u_\theta dx = \int_{\mathbb{R}^N} g_1(u_\theta) u_\theta dx.$$

Arguing as in proof of Proposition 3.7 we can show that

$$\int_{\mathbb{R}^N} a_\theta(|\nabla u_\theta|^2) |\nabla u_\theta|^2 dx = \lim_{n \rightarrow +\infty} \int_{\mathbb{R}^N} a_\theta(|\nabla u_n|^2) |\nabla u_n|^2 dx.$$

In view of Lemma 2.5, we have that  $u_n \rightarrow u_\theta$  strongly in  $\mathcal{X}_0$  and so  $I_\theta(u_\theta) = m_\theta$ . Finally, since

$$\int_{\mathbb{R}^N} a_\theta(|\nabla u_\theta|^2) |\nabla u_\theta|^2 dx = Nm_\theta,$$

by (4.11) and (2.4), we prove that there exists  $C > 0$  such that  $\|u_\theta\|_0 \leq C$ , for any  $\theta \in (0, \theta_1]$ .  $\square$

We are now able to conclude the proof of Theorem 1.1.

**Proof of Theorem 1.1.** By Proposition 4.7, for any  $\theta \in (0, \theta_1]$ , there exists  $u_\theta \in \mathcal{X}_0$  a nontrivial solution of (2.3) such  $I_\theta(u_\theta) = m_\theta$ . When  $\gamma > N$ , since  $q > N$ , the space  $\mathcal{X}_0$  is embedded into  $L^\infty(\mathbb{R}^N)$  and the regularity arguments and the estimates of Section 3 can be adapted with slight changes. Therefore, here we deal just with the case  $2^* < \gamma \leq N$  and so we have to assume, in addition,  $(g1'')$ . Being  $q < N$ , we cannot repeat the arguments of the previous section and now we follow some ideas of [11, Lemma 3.2]. Since  $u_\theta$  is a solution of (4.13) in  $(0, +\infty)$ , it is easy to check that  $u_\theta$  is regular for  $r > 0$ . Moreover,  $r^{N-1} a_\theta(|u'_\theta(r)|^2) u'_\theta(r)$  satisfies the Cauchy condition at the origin so that it has a finite limit as  $r \rightarrow 0$ . We claim that

$$\lim_{r \rightarrow 0} r^{N-1} a_\theta(|u'_\theta(r)|^2) u'_\theta(r) = 0. \tag{4.14}$$

Suppose, by contradiction, that it is different from zero and then there should exist  $r_0 > 0$  such that  $|u'_\theta(r)| > 1 - \theta$ , for  $r \in (0, r_0]$ . Therefore, for  $r$  sufficiently small,

$$C \leq \left| r^{N-1} a_\theta(|u'_\theta(r)|^2) u'_\theta(r) \right| = r^{N-1} |u'_\theta(r)|^{q-1},$$

namely

$$|u'_\theta(r)| \geq Cr^{-\frac{N-1}{q-1}}.$$

By this we have

$$r^{N-1} a_\theta (|u'_\theta(r)|^2) |u'_\theta(r)|^2 = r^{N-1} |u'_\theta(r)|^q \geq Cr^{-\frac{N-1}{q-1}}$$

near 0, which is not integrable since  $q < N$ . Since  $u_\theta$  is a solution of (4.13), we get a contradiction.

Let us prove the following

CLAIM: there exists  $C > 0$  such that

$$|a_\theta (|u'_\theta(r)|^2) u'_\theta(r)| \leq C, \quad \text{for any } r \geq 0 \text{ and } \theta \in (0, \theta_1].$$

By the regularity of  $u_\theta$ , we infer that  $u'_\theta(0) = 0$  and so also

$$a_\theta (|u'_\theta(0)|^2) u'_\theta(0) = 0.$$

We now consider the case  $r > 0$ . Integrating the equation (4.13), for any  $r > 0$ , we have

$$-a_\theta (|u'_\theta(r)|^2) u'_\theta(r) = \frac{1}{r^{N-1}} \int_0^r s^{N-1} g(u_\theta(s)) ds.$$

By Lemma 2.1 and by (4.12), we deduce that there exists  $R > 1$ , such that

$$|u_\theta(r)| \leq \bar{c}_2, \quad \text{for any } \theta \in (0, \theta_1] \text{ and for any } r > R, \tag{4.15}$$

where  $\bar{c}_2$  is given in (4.3).

By the continuous embedding of  $\mathcal{X}_0$  in  $L^p(\mathbb{R}^N)$ , for  $p \in [2^*, q^*]$ , and (4.12), there exists  $C > 0$  such that  $|u_\theta|_p \leq C \|u_\theta\|_0 \leq C$ , for  $p \in [2^*, q^*]$  and any  $\theta \in (0, \theta_1]$ . So, using (4.7), we have that, for any  $0 < r \leq R$  and  $\theta \in (0, \theta_1]$ ,

$$|a_\theta (|u'_\theta(r)|^2) u'_\theta(r)| \leq \frac{1}{r^{N-1}} \int_0^r s^{N-1} |g(u_\theta(s))| ds \leq C.$$

If  $r > R$ , then

$$\begin{aligned} |a_\theta (|u'_\theta(r)|^2) u'_\theta(r)| &\leq \frac{1}{r^{N-1}} \int_0^r s^{N-1} |g(u_\theta(s))| ds \\ &\leq \frac{1}{r^{N-1}} \left( \int_0^R s^{N-1} |g(u_\theta(s))| ds + \int_R^r s^{N-1} |g(u_\theta(s))| ds \right) \end{aligned}$$

$$\leq \frac{C}{r^{N-1}} + \underbrace{\frac{c_1}{r^{N-1}} \int_1^r s^{N-1} |g(u_\theta(s))| ds}_{(A)}.$$

We have to estimate (A). First of all, by Lemma 2.1 and (4.12), for  $r > 1$ , we have that

$$|u_\theta(r)| \leq Cr^{-\frac{N-2}{2}} |\nabla u_\theta|_2 \leq \bar{C}r^{-\frac{N-2}{2}}.$$

Hence, by (4.15) and (4.7), since  $2^* < \gamma < q^*$ ,

$$\begin{aligned} (A) &\leq \frac{C}{r^{N-1}} \int_1^r s^{N-1} (|u_\theta(s)|^{\gamma-1} + |u_\theta(s)|^{q^*-1}) ds \\ &\leq \frac{C}{r^{N-1}} \int_1^r s^{N-1-\frac{N-2}{2}(\gamma-1)} ds \leq C \left( r^{1-\frac{N-2}{2}(\gamma-1)} + 1 \right) \leq C. \end{aligned}$$

Therefore the claim is proved.

Now we conclude as in the previous section.  $\square$

**Data availability**

No data was used for the research described in the article.

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