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Semantic interpretation of architectural and archaeological geometries: Point cloud segmentation for HBIM parameterisation

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1 **Title**

2 Semantic interpretation of architectural and archaeological geometries: Point cloud
3 segmentation for HBIM parameterisation

4

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23

24 **Highlights**

- 25 - The application of Brodu and Lague's morphological segmentation algorithm called
26 CANUPO to classify the architectural components
27 - To recognise common morphological features in heritage buildings, so that complex
28 geometries could be identified
29 - A semantic segmentation method was followed based on open-source software
30 applications such as C2C that are easy to use by operators, academics and BIM
31 researchers, without the need for programming

32 **Abstract (150 words)**

33 The creation of Cultural Heritage (CH) Digital Twins is based on i) the capture of geometric data
34 using digital technologies (laser scanning and photogrammetry); ii) the processing of the raw
35 data to identify, segment and label the objects; and iii) their conversion into BIM objects.
36 Hitherto, the most extensive method for BIM segmentation and modelling is manual, which
37 led to research into the automation of this process, also in the field of CH. Manual operations
38 are still labour-intensive and mathematical approaches are not inclusive for all CH specialists.
39 In this context, this research studies the application of Brodu and Lague's morphological
40 segmentation algorithm called CANUPO to classify the architectural components of the façade
41 of the 16th-century Casa de Pilatos Palace in Seville, Spain, from a Terrestrial Laser Scanning
42 (TLS) point cloud dataset. In this paper, the experimentation on semantic segmentation was

43 carried out using open-source software, specifically the CANUPO algorithm integrated into
44 CloudCompare software.

45 **Keywords**

46 Historic Building Information Modelling (HBIM), automatic segmentation, laser scanning and
47 photogrammetry, segmentation algorithm CANUPO.

48 **Introduction**

49 In recent times, 3D modelling has received special attention in the Archaeological and
50 Architectural Heritage field. The models must contain precise construction characteristics to be
51 representative of Cultural Heritage (CH) reality. For this purpose, data acquisition techniques
52 that allow Building Information Modelling (hereinafter, BIM) software to build geometries
53 from point clouds are used. Modelling the CH requires completeness and accuracy, which are
54 necessary for comprehensive representation. Therefore, the use of massive data capture
55 techniques such as Structure-from-Motion/Multi-View-Stereo (SfM/MVS) and Terrestrial Laser
56 Scanning (TLS) is increasingly being adapted to a BIM process applied to heritage. This allows
57 Digital Twins to be exported to BIM platforms for parametric modelling. However, the BIM
58 representation is a complicated and resource-intensive process when the built elements
59 belong to CH. The difficulty of modelling existing objects in historic buildings with structural
60 deformations and complex shapes is still a weakness of the HBIM process [1,2] The new BIM
61 paradigm relies on the building information model to improve the efficiency of construction
62 operations, maintenance, and the project life cycle. It also becomes a container to record and
63 catalogue sometimes unexplored information [3].

64 Nevertheless, the problem lies in the automatic segmentation procedures for subsequent
65 element modelling. This is the case, for example, for complex façades that are common in
66 architectural heritage, whose SfM or TLS range clouds contain hundreds of million points.
67 These files are difficult to handle, even for the manual segmentation of the elements. Two
68 decades ago, when computers were not present in the area of architecture and heritage, the
69 way of segmenting in traditional drawings was to structure the compositional units of a
70 historic building façade according to the hierarchy of its elements. With the emergence of the
71 new BIM paradigm, Murphy et al. [4] were the first to work with parametric models of
72 Renaissance architecture from point clouds using a cross-platform software system. The work
73 was conceived as a simple visualisation tool that structured the elements through a grammar
74 of ornamentation and composition that they called linguistic analogy. The shape grammar can
75 recognise architectural styles and can be divided into a set of basic shapes. This is the
76 procedure currently being carried out in semantic segmentation.

77 In recent times, researchers and academics are striving to achieve processes that help
78 recognise historical architectural features using learning techniques based on Deep Learning
79 (hereafter DL) [5] at an appropriate level of detail. However, the use of neural networks for
80 point cloud segmentation may limit the operator usability. Even when undertaking
81 segmentation by artificial intelligence, point cloud files contain such a large amount of data
82 that they may not be operational with current hardware and software. It is therefore
83 necessary to develop new strategies related to the interoperability of accurate modelling
84 systems [6], and especially those related to data management.

85 In this work, an experimental process was developed to demonstrate the applicability of Brodu
86 and Lague's algorithm [7]. This was carried out with a TLS point cloud of a façade of the 16th-

87 century Casa de Pilatos Palace in Seville, Spain. This algorithm, available as a plug-in called
88 CANUPO in CloudCompare software [8], works as a 3D multiscale classifier by training
89 elementary binary classifiers.

90 Brodu and Lague developed the system on a natural scene subset to recognise rocks,
91 vegetation, water, and gravel in a riverbed. However, these authors aimed at experimenting
92 with the geometric fidelity that semantic segmentation can achieve to classify architectural
93 elements under the training of this software. These tests have not been applied before; thus,
94 this is an original methodology. The results are compared with manual segmentation to
95 evaluate the selected point set and, once the results of the subsets are obtained, the BIM is
96 created, and the suitability of the data for accurate 3D geometric reconstruction is examined.

97 **Literature review**

98 3D reconstruction is the process by which a computer replicates the physical characteristics of
99 a real object. The shape and appearance of the three-dimensional object or volumetric scene
100 are recovered by analysing the digital information provided by different types of sensors [9].
101 Therefore, the main objective is to obtain an algorithm capable of representing the connection
102 between the point set from data acquisition techniques and transforming it into a surface
103 shape, be it triangles or any other surface. Applications in the CH field are increasing [10] and
104 becoming particularly challenging when it comes to establishing the maximum fidelity in the
105 3D reconstruction [11]. Most studies on parametric element reconstruction from range clouds
106 focus on the representation of planar surfaces [12], independent elements with no
107 information about their relationships. This means that there is also no relationship between
108 the elements and their morphology. In this way, to establish a connection between an element
109 and its function, the first step is to analyse the object shapes and to perform semantic
110 modelling of the compositional elements (classification of their shapes). An example of
111 semantic modelling is the work by Gaiani [13] on the Altar of the marble shrine of Augustus.
112 This analysis determines the simplification degree when the objects are not separate but form
113 an architectural ensemble. Previous studies addressed the classification of building
114 components. This classification usually appears as identity coding of architectural elements in
115 architectural treatises [14] and could be related to the hierarchical description of building
116 components.

117 The scientific literature has attempted to analyse the geometric quality of the 3D model [15]
118 by evaluating its accuracy based on the LiDAR survey. Here, the level of detail of the model
119 depends on the point cloud resolution. However, current digital BIM platforms cannot handle
120 records with an excessive amount of information in the range clouds. Therefore, it is necessary
121 to establish the procedures involved in the transformation of these point sets into a Heritage
122 BIM project (HBIM) and, secondly, to know how these processes can be optimised. When
123 approaching the modelling of a restoration project, the identification of the geometric
124 characterisation (architectural morphology) can be articulated around two points of view [14],
125 the raw processing of the dataset in the digital model and the use of the semantic information
126 produced by the design model. The former refers to data acquisition processing in the form of
127 Massive Data Capture Systems (MDCSs), and the latter is supported by a BIM tool; this
128 methodology aims at creating a digital information system associated with graphic
129 documentation [16].

130 To solve the transformation of the point cloud into BIM parametric objects, several authors
131 have reviewed the extensive scientific literature on SfM data [17–21] and TLS point clouds

132 [15,22–25]. In this sense, the importance of fields of knowledge such as geomatics and 3D
133 model reconstruction is highlighted. From these efforts to achieve automation of point cloud
134 to BIM processes, the relatively new term semantic segmentation has emerged. According to
135 Yang et al. [26], this is a critical issue. The proposed solution is to limit point sets in subset units
136 whose information can be handled by digital platforms. Thus, Spina et al. [27] used the term
137 point cloud segmentation as a way to process and organise the point cloud into meaningful
138 subsets. This organisation makes it possible to reduce the shape complexity of the raw point
139 cloud and to facilitate the processing of 3D object surveys. The reason the semantic
140 segmentation is useful is twofold: i) point clouds contain information about the actual
141 geometry of the object, but lack semantic information on the categories of objects or materials
142 constituting the building components [28]; ii) point cloud simplification allows to operate in
143 BIM platforms to implement object geometries. Achieving 3D models requires tasks such as
144 segmentation which, according to Aitelkadi et al. [29], is the key step during the point cloud
145 processing to identify homogeneous areas. Thus, most of the segmentation focuses on the
146 information from the point cloud.

147 The extraction of semantic features was summarised by Pu et al. [30], who determined the
148 optimal values of the segmentation parameters as size, position, orientation, topology, and
149 point density. The proposed segmentation is based on point colour, laser intensity, and
150 geometric data. These strategies involve an automatic identification process without the need
151 for operator intervention. Boochs et al. [31] defined segmentation as the combination of
152 algorithms that improve projective reconstruction. Grilli et al. [29] defined it as the process of
153 grouping "point clouds into multiple homogeneous regions with similar properties, while
154 classification is the step that labels these regions". Classification as outlined by Grilli et al [29]
155 is based on the need to bring the point cloud into the BIM software through identification. In
156 other words, the aim is to determine the meaning of function and shape in the 3D elements,
157 although most segmentation algorithms work with a 2.5D surface model hypothesis [32].

158 Supervised methods require a preceding training phase for the classification solution. Previous
159 studies focus on identifying vertical elements such as walls, floors, or those limited by floors
160 [33] or other elements. They can also integrate the knowledge of specific elements into the
161 point cloud, especially to develop interior elements [28,34,35]. Grilli et al. [29] reviewed and
162 classified segmentation algorithms as those based on edges [36] and data [37], region growing
163 segmentation [38], or model fitting segmentation based on point fitting using RANSAC
164 programming [27,39]. An approach based on decomposing architectural structures into
165 geometric primitives (planes, cylinders and spheres) might seem suitable for their
166 mathematical adjustment via parametric object modelling (BIM) algorithms. However, the use
167 of these algorithms is sometimes not within the reach of regular BIM operators. The reason for
168 this lies in the software usability, which requires mathematical processes; secondly, because
169 not all point cloud files are valid since they may or may not be structured. Most segmentation
170 algorithms work with structured or LiDAR files.

171 **Generation of geometric construction models**

172 Newly constructed buildings respond to a project theory based on volumes, new materials and
173 forms of construction. On the contrary, the built CH (historic buildings) is the result of
174 numerous transformations over time and is subject to building components constructed at
175 various periods depending on the refurbishment carried out.

176 The generation of parametric as-built 3D models from massive data acquisition is a reality for
177 complex surfaces of historic buildings. Over time, several attempts have been made at semi-
178 automatic methods that allow the creation of parametric models from point clouds [40],
179 focusing on model accuracy [41]. Other approaches use curves and NURBS surfaces to
180 reconstruct complex objects [42] without oversimplification. The advantage of creating
181 parametric objects on digital BIM platforms is that the resulting products are dynamic objects
182 that can be transformed instantaneously [43]. However, this process is ideal for
183 reconstructions where the objects are ideal models from architectural manuals or libraries
184 within specific software. The complexity of historic architecture goes beyond this. Therefore,
185 parametric 3D reconstruction from digital twins captured by TLS or SfM is a knowledge gap.
186 The aim is that both architectural and archaeological elements modelled on digital platforms
187 should represent the greatest geometric similarity to real objects, essentially to preserve their
188 characteristic geometric uniqueness.

189 The model associated with the structure ensemble offers the opportunity to relate the
190 accuracy of the HBIM to the level of detail (LOD) needed. To do this, some researchers [44]
191 used various workflows based on mathematical algorithms, such as software applications
192 including Rhino-Grasshopper [40] and Dynamo [45], which interact with programmes such as
193 Graphisoft ArchiCAD or Autodesk Revit. Thus, 3D reconstruction models with complex
194 architectural shapes are automatically generated as well as through NURBS surfaces. Yet, the
195 use of several software packages limits the work of BIM operators. The true nature of accurate
196 3D reconstruction is to automate processes by reducing the number of software applications
197 used. This is the knowledge gap of 3D Accuracy Reconstruction Geometry (3D ARG).

198 **Semantic segmentation**

199 Reverse engineering is the process of capturing massive data from LiDAR technology.
200 Therefore, it is an accurate representation of the building shell and its superficial elements.
201 These data are recorded in files with a large amount of information that are not operational on
202 a BIM platform. The 3D point cloud shapes fundamental parameters capable of representing
203 both geometrical components and radiometric elements [29]. Due to the large amount of
204 information they provide, there are numerous research studies on the subject. One important
205 area is segmentation algorithms for automatic classification [5,27,28,36,46,47]. Recently, a
206 large-scale open platform for point cloud processing has emerged. The Point Cloud Library
207 (PCL) framework contains numerous state-of-the-art algorithms for filtering, surface
208 reconstruction, model fitting, registration, and segmentation, among others [48]. A review of
209 these algorithms was carried out by Grilli et al. Hence, for this research, those for model fitting
210 are the most interesting algorithms. In the field of image analysis and processing, the concept
211 of semantic segmentation aims to classify each pixel of a scene image. Each pixel is then
212 allocated to a group in an image, resulting in homogeneous clusters [49]. The most widespread
213 use of this technique is in the fields of autonomous vehicles, robotics, and indoor positioning
214 systems [5]. This classification is possible thanks to automatic processes based on Machine
215 Learning (ML). This technology applies inferences to a given piece of information to
216 appropriately represent relevant aspects. Thus, segmentation studies are crucial for planning
217 sustainability strategies and on perception criteria [50]. Segmentation uses ML or Deep
218 Learning (DL) techniques; their difference lies in the types of algorithms they implement. ML
219 uses mathematical algorithms, whereas DL is based on biological neural networks of the
220 human brain [51]. Segmentation within the digital documentation of CH uses these learning
221 techniques to identify objects. The novelty is the combination of these techniques to work

222 with point clouds. Here, the method represents considerable benefits in shape detection for
223 further modelling in heritage environments. The feature classification can be conducted via
224 pre-training, but also through pre-set training and the interactive method, where complex
225 mathematical procedures [29] are required to achieve appropriate results. Even so, the use of
226 these technologies in the three-dimensional domain is rather limited. Some studies used these
227 methodologies on historical facades to apply 2D to 3D information transfer [52], while other
228 methods performed semantic point cloud segmentation [5]. These can be conducted by
229 creating a set of images through the point cloud (Multi-view based), point cloud rasterisation
230 based on voxels, or by applying a feature-based approach to the points.

231 Other methods related to the above exist in the scientific literature. There are methodologies
232 involving training from an original (zero) position with previous training of readjustment or
233 hyperparameter optimisation (impulse, weight drop, or learning rate) [51]. On the other hand,
234 there are some studies on artificial neural networks (ANN) that can recognise objects, such as
235 3D ShapeNets [53], or PointNet [54]. Other studies focus on obtaining vector values from
236 photographs. The classification is performed through three parameters using freely available
237 software such as AutoTrace, Potrace, or Inkscape. The process consists of interpreting a
238 bitmap in black and white to produce vectorised curves [55]. For an in-depth analysis of a
239 polygonal model, other studies simplify complex 3D geometry into a series of 2D closed
240 polygons by automatically converting each polygonal section into a raster model. All raster
241 sections produce a 3D volumetric model in a voxel format. This process is called voxelisation
242 [56][57]. Here, the voxel is to 3D what the pixel is to 2D, i.e. the voxel is the minimum unit to
243 form a volume. A series of voxels, endowed with information such as position, colour, and
244 density, allow the generation of a hypothetical 3D model [58]. This methodology intends to
245 reduce the file size, thus reducing the work time as the computations would be much faster
246 [59]. In addition to its use in visualisation tests such as x-ray imaging or MRIs, this method is
247 also used to detect 3D objects in robotics and autonomous driving [60]. Given that this
248 approach is used when high accuracy is required, it could be beneficial for virtual heritage
249 recovery. One of the most interesting options for CH modelling could be working with point
250 clouds directly without creating meshes. In this sense, it is proposed to use this methodology
251 to automatically convert the point cloud into parametric objects, although it would still be
252 necessary for the user to operate the programme [57].

253 **Methodology**

254 *1.- Case study*

255 The façade of the Casa de Pilatos, a 16th-century Palace located in the centre of Seville (Spain),
256 was chosen as a case study for semantic segmentation. The façade composition is based on
257 planes and decorative elements of different architectural styles and with different shapes.
258 Thus, the case study is a suitable environment for 3D point cloud data segmentation.

259 *2.- Data acquisition*

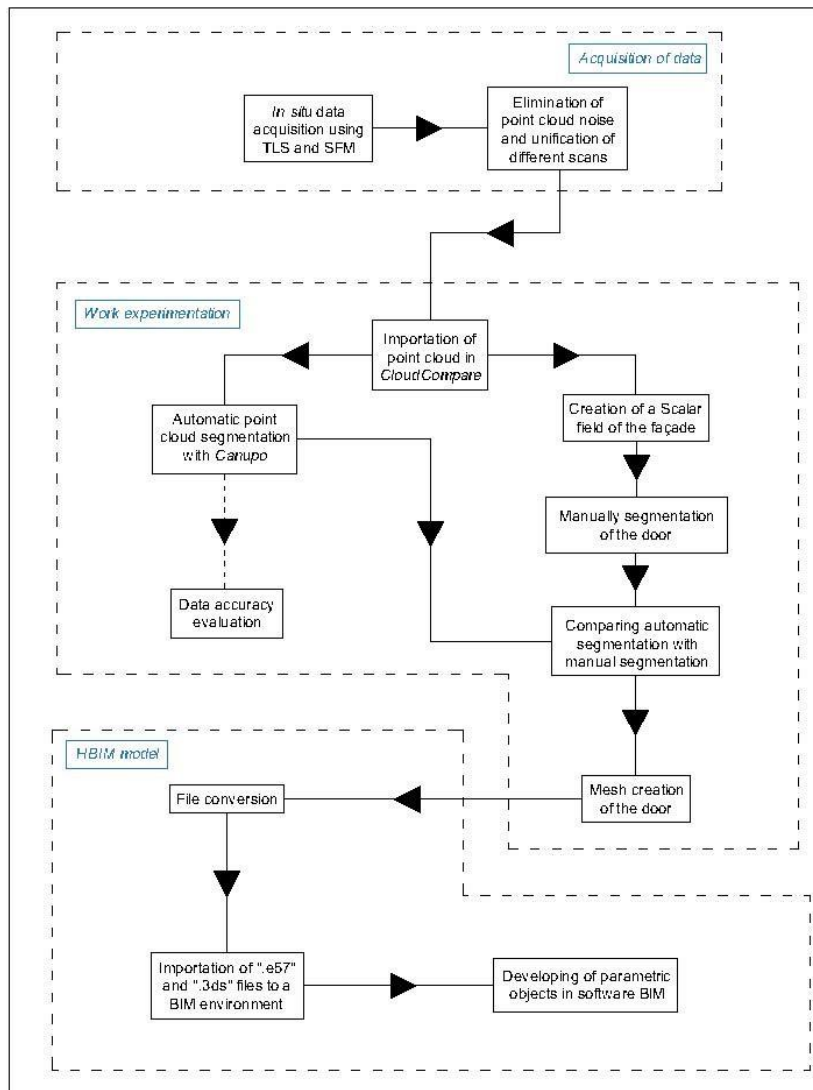
260 Image-based methods and 3D laser scanning are the most widely used professional and
261 scientific data acquisition techniques for large-scale projects. Of these two techniques, TLS is
262 currently the most extensively used, as it provides accuracy and speed. Notwithstanding, other
263 researchers have used image-based methods for 3D reconstruction because of their economic
264 advantages, efficiency [61], and to ensure fidelity in CH BIM [21,62]. LiDAR technology is based
265 on the calculation of the distance between the laser and the object. This procedure is
266 developed using the time-of-flight method or through the transmitted and received signal

267 waveform [18]. The method performs a scan of the entire surface to capture thousands of
 268 points in an x, y, z coordinate system to produce the range cloud. In this case study, a Leica
 269 Geosystems BLK360 laser scanner is used to capture the geometry of the main façade of the
 270 Palace. This device uses the Waveform Digitising (WFD) technology and has four built-in
 271 cameras: 3 digital HDR, colour sensor and fixed focal length cameras (2592 x 1944 pixels
 272 resolution, 60° x 45° (V x H), a full dome of 30 images, automatic spatial rectification, 150 Mpx,
 273 360° x 300°); and an infrared camera (160 x 120 pixels resolution, 71° x 56° (V x H), a full dome
 274 of 10 images, 360° x 70°). Although the equipment can be controlled using a computer or a
 275 tablet, the data capture was automatically performed and further processed in the laboratory.
 276 Additional specifications of the scanner are shown in Table 1.

LEICA BLK 360	
Wavelength	830 nm
Field of view	360° (horizontal)/ 300° (vertical)
Range	Min. 0,6-up to 60 m
Point measurement rate	Up to 360000 pts/sec
Ranging accuracy	4mm @ 10 m/7 m @ 20m
3D point accuracy	6mm @ 10 m/8 m @ 20m

277

278 Figure 1 depicts the research workflow:



279 **Figure 1.** Workflow.

280 3.- *Post-processing*

281 Point cloud processing involves interpreting the spatial components (x,y,z). The segmentation
282 and classification of the object are necessary for 3D modelling and the analysis process in the
283 research into historic buildings. To prepare the dataset, a filtering of the elements outside the
284 range of the main façade is carried out on the TLS global cloud. Points containing residual
285 values and outliers are removed using the Leica Cyclone 9.2.1 software (Leica Geosystems,
286 2018). In the segmentation process, the homogeneity criterion is not related to the image
287 radiometry. The classification of the morphology structure component will be the selection
288 criterion. Therefore, the façade surface is partially taken, considering its composition based on
289 planes and decorative components. The point cloud, with 38 million points, is obtained and
290 transferred to CloudCompare v2.10.2 (Zephyrus) software (Girardeau-Montaut, 2003) for
291 further processing. From the C2C process, two point subsets are obtained from the façade: a
292 manually segmented group of elements and the global range cloud. In the 3D point cloud
293 classification, three types of discrepancies must be taken into account, such as i) the gaps in
294 the cloud due to laser beam occlusions, ii) the effects that may be caused by shadows on the
295 scanned elements, and iii) those figures in movement through the architectural spaces.

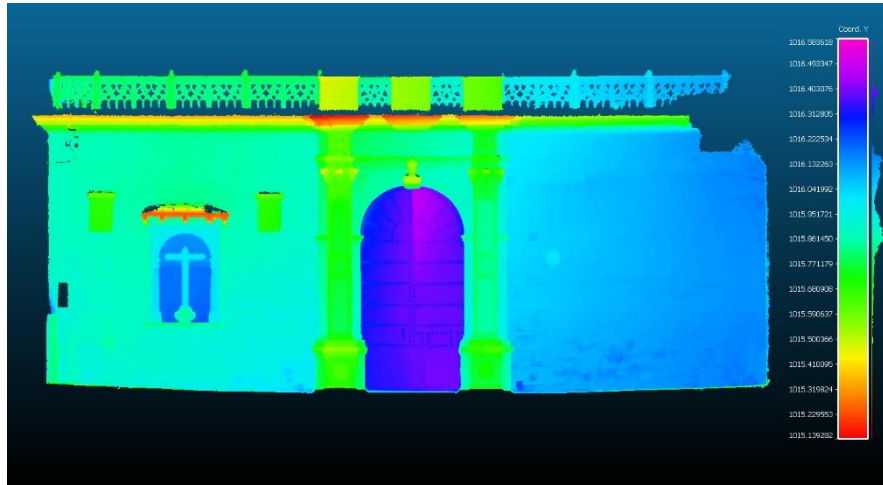
296 4.- Evaluation of the experimental design

297 The 3D object reconstruction is an important step in digital representation since it allows for
298 approaching the physical world as a basis for analysis and construction [31]. These objects in
299 historic buildings generally have complex geometric elements, as in the case of natural shapes
300 such as trees, rocks, and grass. This paper hypothesises that Brodu and Lague's algorithm [7],
301 which was developed for these complex terrain geometries, should apply to the morphology of
302 heritage buildings. Also, to the best of our knowledge, this algorithm has not been applied to
303 heritage elements before. This algorithm is effective in the classification of natural surfaces
304 through the local analysis of changes in the geometrical properties of the point cloud. It is
305 available in CloudCompare software [8] as the CARacté-risation de NUages de POints (CANUPO)
306 plug-in to work as a 3D multiscale classifier by training elementary binary classifiers. The basic
307 working principle of this algorithm is to project a sphere with a radius depending on the
308 working scale onto a point in the scene; next, the geometrical behaviour of neighbouring
309 points in three dimensions is analysed in this space. Brodu and Lague applied this system to
310 natural scenes of a subset with a range of scales to recognise rocks, vegetation, water, and
311 gravel in the Otira riverbed (New Zealand). The procedure relies on the combination of C2C
312 classifiers, working in two different ways. The first approach executes the "Classify" command,
313 using the available classifiers created by default. The second method creates customised
314 "Train Classifier" classifiers. Once the point cloud is obtained, the system performs training
315 through different parameters: the measurement range, measurement scale, and point
316 sampling. Yet, the idea behind the classification procedure is the combination of scales, where
317 dimensionality makes it possible to distinguish from more than one category [7]. The
318 mathematical rationale was made explicit in section 3.1 Local dimensionality at a given scale.

319 4.1.- Manual segmentation through the scalar field

320 The evaluation process is carried out by means of a complementary study and analysis. To this
321 end, a point set from manual semantic segmentation comprising three testbeds is obtained.
322 This process is common in scientific literature. Kovanič et al. [63] used manual segmentation to
323 process point cloud data and determine the geometric parameters of a rotary kiln. Li et al. [64]

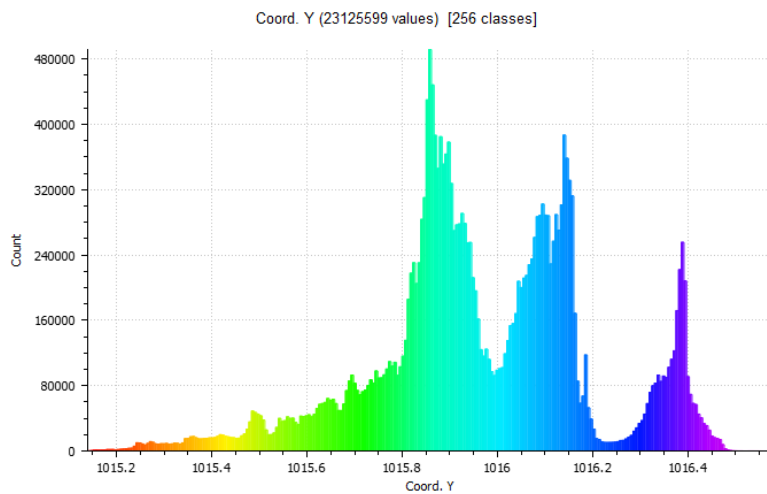
324 used manual segmentation to automate the analysis of building facades from TLS. The purpose
 325 was to semantically segment and label the depth planes. Manual refinements are sometimes
 326 used in point cloud segmentation in tree structure studies [65]. However, manual
 327 segmentation involves a laborious and time-consuming task. The more complex the scene is,
 328 the more difficult it is to process [66]. The main challenge is to match the morphology of the
 329 architectural elements. Likewise, because it is a manual process, the point subsets taken may
 330 or may not belong to the geometry of the chosen element. Considering the limitations of
 331 manual segmentation, a scalar field is created to classify the façade's point cloud according to
 332 values on the "y" axis, as established by the C2C software's coordinate system (Figure 2).



333

334 **Figure 2.** Façade's scalar field.

335 This process involves classifying the points in space with respect to a (x,z) plane. In other
 336 words, the distances from the point cloud to a theoretical (x,z) plane are being calculated. The
 337 result of the process is a scalar value for each point in the cloud that indicates the Euclidean
 338 distance between the analysed point and the closest point to the imaginary plane. The results
 339 are displayed in the histogram in Figure 3.



340 **Figure 3.** Histogram of the number of points between the reference plane (x,z) and the Y-
 341 coordinate.

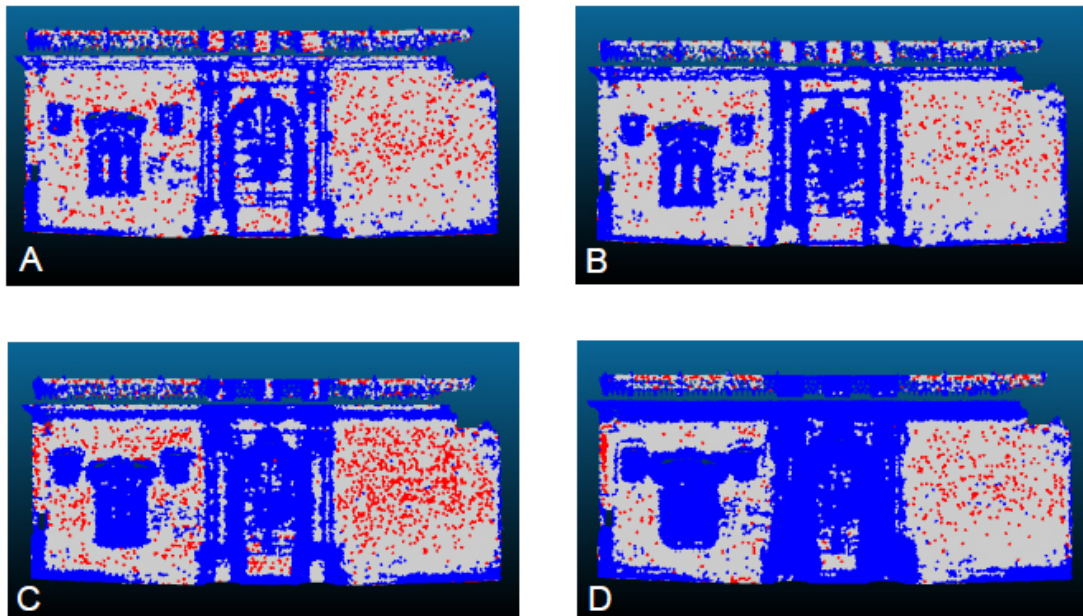
342 It should be noted that manual segmentation may capture points outside the chosen model
343 since there would not be a segmentation of classification with certain parameters.

344 4.2.- Algorithm validation testbeds

345 In order to test the applicability of the classification algorithm, three testbeds were carried
346 out. First, working with the global point set, i.e. considering the complete façade (21.00 metres
347 long by 8.50 metres high (Figure 4)), the parameters were adjusted according to the length of
348 each element.

349 Marble shapes were initially differentiated from the predominant brickwork: the three
350 parameters involved in the process were minimum distance, interval, and maximum distance.
351 The minimum distance is the smallest magnitude between the elements to differentiate. For
352 example, the length of a brick or a rivet in wooden doors, among others. The maximum
353 distance is the opposite, the largest dimension of the elements. In this case, the height of the
354 pilasters that form the centre of the marble doorway was considered. Finally, the intervals to
355 determine the total number of scales needed were chosen.

356



357

358 **Figure 4.** (a) Test A; (b) Test B. Classification through the CANUPO plug-in. The red dots
359 represent the bricks and the blue dots represent other materials.

360 The images show the point density of a scene represented in the proposed feature space at
361 different scales. Each image represents the working process with the C2C tool to measure the
362 distances for the chosen architectural elements. Meanwhile, the larger the maximum distance,
363 the longer the processing time. Similarly, the smaller the interval, the longer the processing
364 time. In the analysis, tests were conducted by choosing alternative measurements according to
365 Table 2.

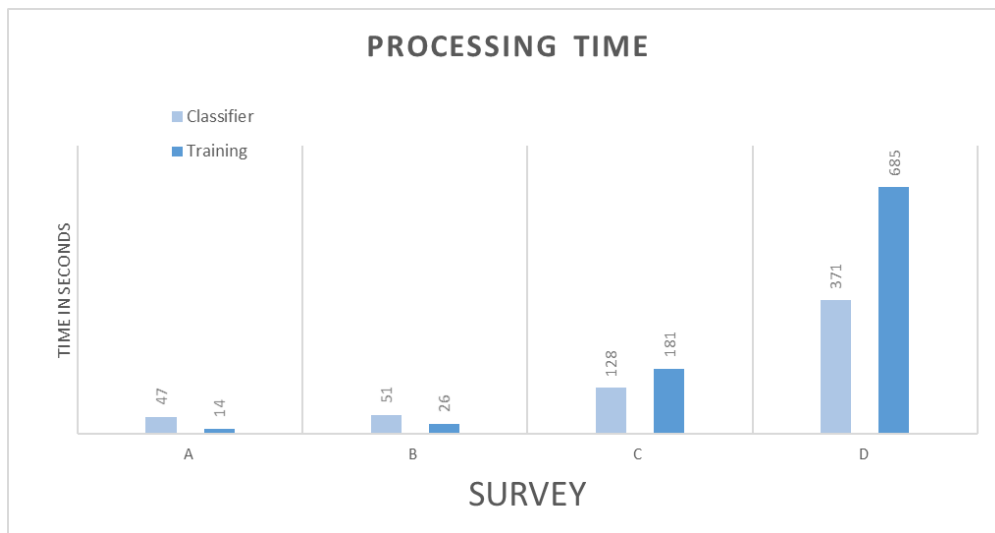
366

Table 2. Distance parameters taken for global façade processing

	Min. Distance (m)	Step (m)	Max. Distance (m)
Survey A	0.01	0.025	0.50
Survey B	0.01	0.01	0.50
Survey C	0.01	0.01	1.00
Survey D	0.01	0.01	1.50

367

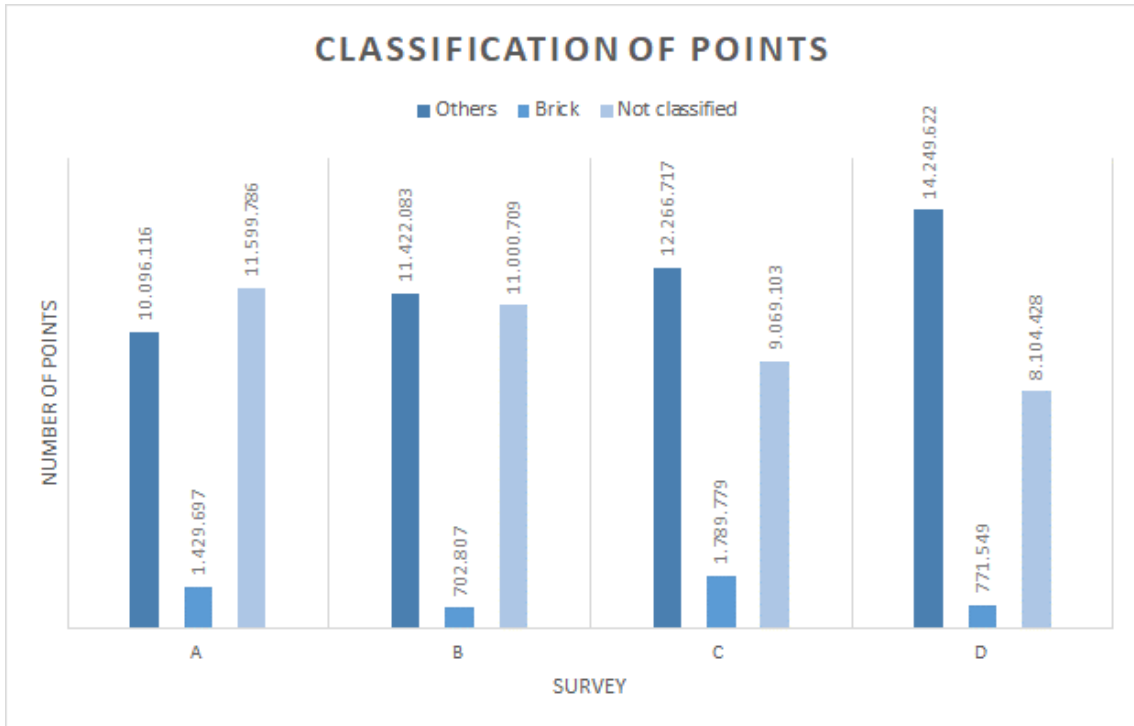
368 Also, various graphs were produced to evaluate the data obtained and to verify the software
 369 performance. Comparisons were carried out of the tests in which the processing time, the
 370 number of classified points, and the precision of each sample of the sets were represented. It
 371 should be noted that the processing time depended on the hardware used, a computer of
 372 average specifications (Intel i5 processor with 12 GB RAM). Each step was measured (Figure 5).
 373 The number of classified points represents the subsets that can later be used to generate
 374 meshes for conversion into parametric BIM objects. The accuracy is a magnitude achieved
 375 through the experimental values entered into the software. For example, for this case,
 376 measurement distances of 10 cm were taken.



377

378 **Figure 5.** Processing time for each test A, B, C, and D of the training and classification
 379 using the CANUPO algorithm.

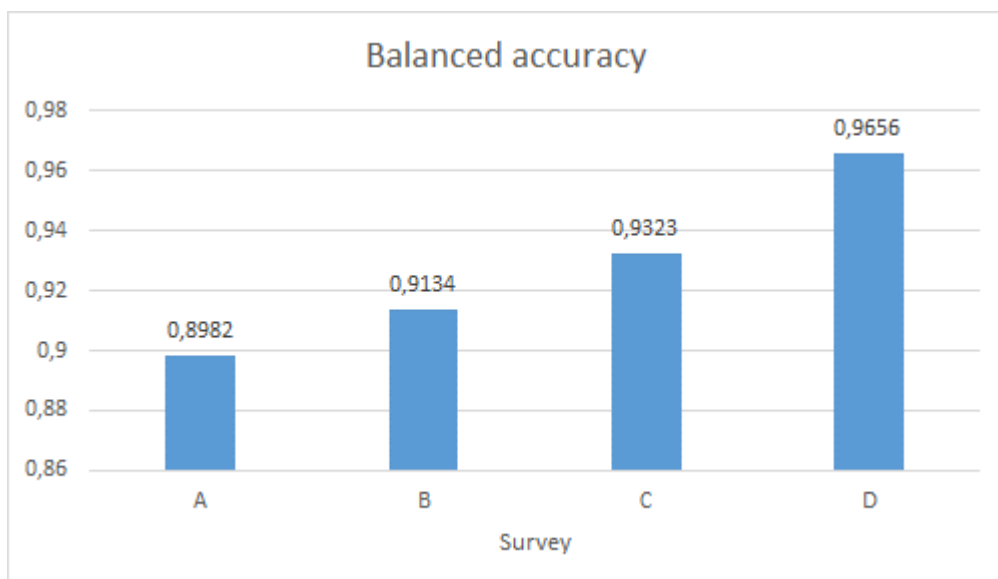
380 Following the classification procedure, the best combination of selection scales was defined.
 381 The operator can then determine the scale range of the different categories and elements to
 382 be geometrically classified. According to Brodu and Lague, the algorithm finds the best
 383 combination of scales to segment into different categories previously defined by the operator,
 384 as shown in Figure 6.



385

386 **Figure 6.** Point classification in the global façade. Tests A, B, C, and D.

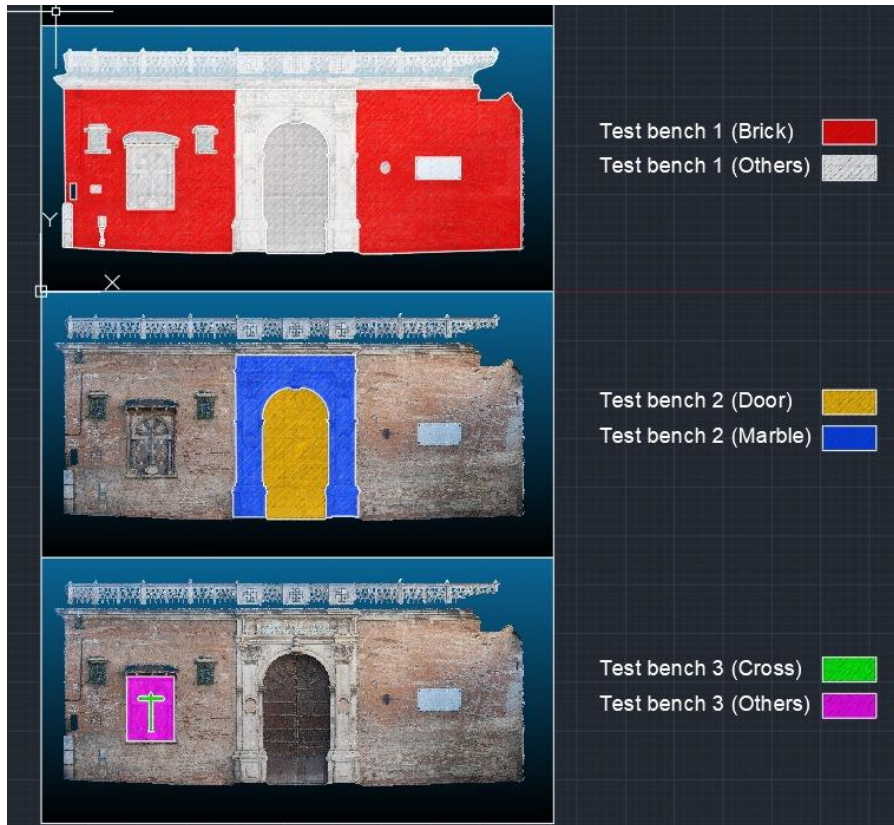
387 Figure 7 shows the Balanced Accuracy (BAa) value. With this value and that of the FDRfdr
 388 (Fisher Discriminant Ratio), the performance can be measured by classifying each point in its
 389 respective class. This data appears as a supplement in the statistics section once the first
 390 phase, the training, is carried out. The result of this phase is a .prm file.



391 **Figure 7.** The accuracy achieved in tests A, B, C, and D. Sampling over 10,000 points of
392 survey items.

393 Each test was analysed to determine the classification percentage of points in the façade
394 global point cloud. 49.84% was obtained for survey A, 52.43% for survey B, 60.78% for survey
395 C, and 64.95% was achieved for survey D.

396



397

398 **Figure 8.** The schemes of the three testbeds.

399 The second testbed addresses the main entrance to the Palace, focusing on the area delimited
400 by the two pilasters and the lintel of the portico (3.50 metres wide by 7.55 metres high). The
401 hardwood door is selected, the marble pilasters are differentiated, and the brickwork is
402 omitted (Figure 9).

403



404

405 **Figure 9. (a) Test E; (b) Test F. Classification through the CANUPO plug-in.**

406

407 **Table 3.** Distances taken to process the façade portico.

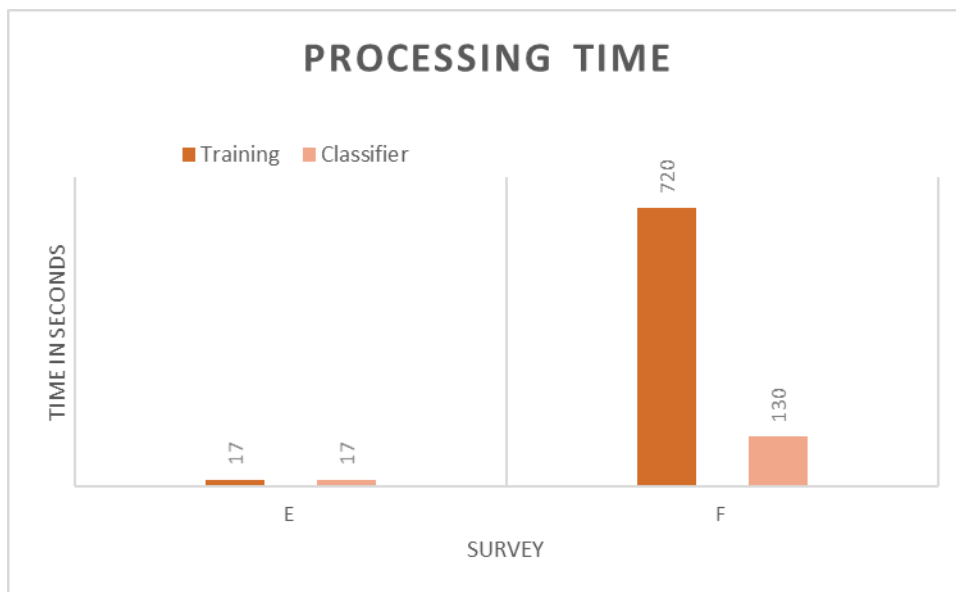
408

	Min. Distance (m)	Step (m)	Max. Distance (m)
Survey E	0.01	0.025	0.50
Survey F	0.01	0.01	1.50

409

410 The same procedure enabled comparisons of the tests by plotting the accuracy obtained, the

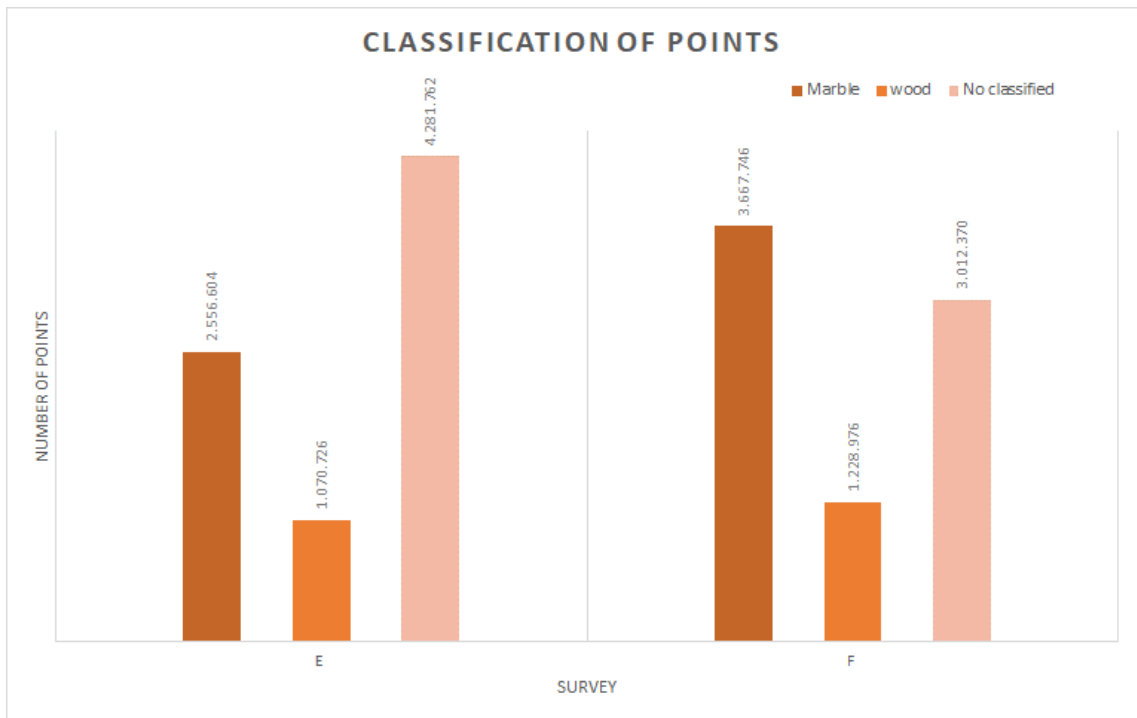
411 processing time, and the number of classified points for each data set sample.



412

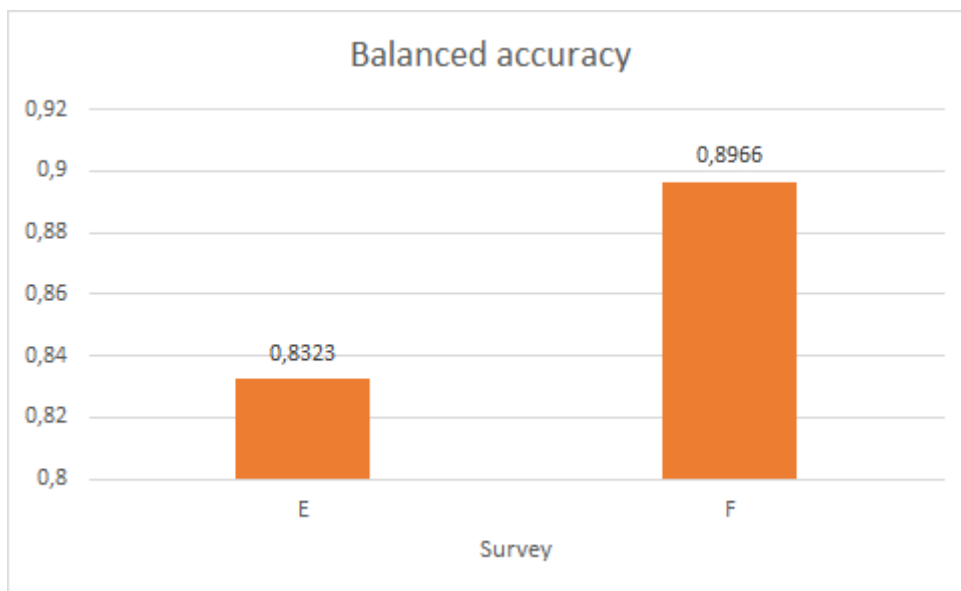
413
414

Figure 10. Processing time for the training and classification tests E and F using the CANUPO algorithm.



415
416

Figure 11. Point classification of the façade portico in tests E and F.



417
418
419

Figure 12. The accuracy achieved in tests E and F of the marble portico and the wooden door. Sampling over 10,000 points of study elements.

420 Regarding the percentages of points classified in the façade portico corresponding to the two
421 materials analysed, the marble of the entrance portico and the wooden door, 45.86% was
422 obtained in survey E and 61.91% in survey F.

423 For the third testbed, the retablo on the left side of the façade was chosen. It is a piece carved
 424 in different marbles in various shades of colour. The dimensions of the chosen area are 1.75
 425 metres wide by 2.35 metres high, as in the marble frame. It has been chosen for its
 426 morphological singularity, a miniature retablo composed of two columns on a round arch and a
 427 Christian cross in the centre (Figure 13).



428 **Figure 13.** Processing time for training and classification tests E and F using the
 429 CANUPO algorithm.



430

431 **Figure 14.** (a) Test G; (b) Test H. Classification through the CANUPO plug-in.

432 The results of tests G and H are shown in graphs according to the accuracy achieved, the
 433 processing time, and the number of classified points of each data set sample. The distances
 434 taken for the processing of the retablo are shown in Table 4.

435

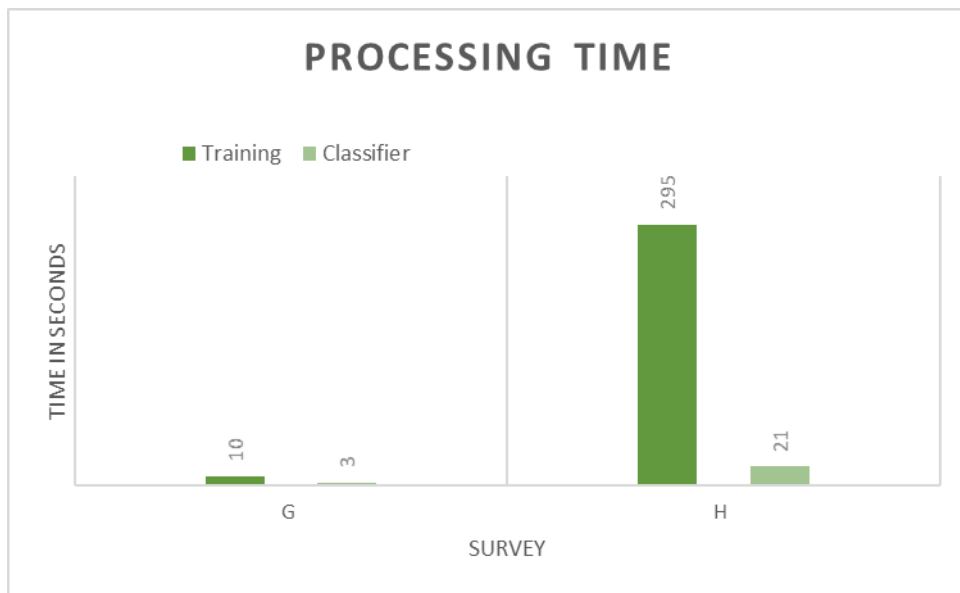
Table 4. Distances taken to process the retablo.

436

	Min. Distance (m)	Step (m)	Max. Distance (m)
Survey G	0.01	0.025	0.50
Survey H	0.01	0.01	1.50

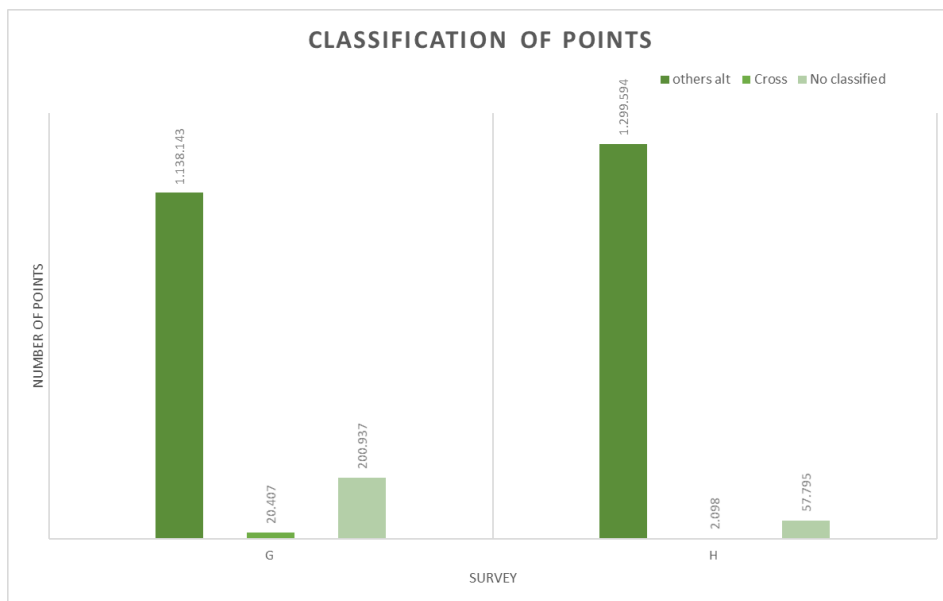
437

438 Next, comparative tests were carried out, and the processing time is shown in Figure 15.



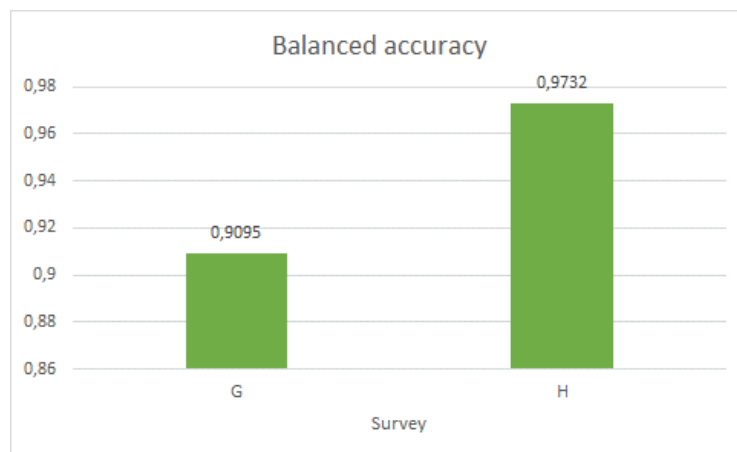
439

440 **Figure 15.** Processing time for training and classification tests E and F through the CANUPO
441 algorithm.



442

443 **Figure 16.** Point classification on the façade portico in Tests G and H.



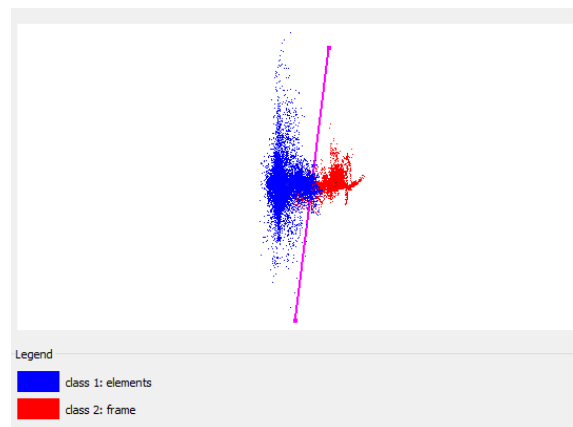
444

445 **Figure 17.** The accuracy achieved in tests G and H. Sampling over 10,000 points of
446 survey items

447

448 The point classification percentage in the retable (different types of marble in various colours)
449 was also determined. 85.22% was achieved in test G, and 95.75% in test H.

450 CloudCompare software using the CANUPO algorithm allows the operator to obtain a
451 probabilistic classifier. This classifier firstly defines the projection of the data onto a plane of
452 maximum separability to, secondly, separate the classes. The main advantage of this is that an
453 immediate and intuitive visualisation of the classification process (Figure 18) is obtained. The
454 reliability level is set on the abscissa axis and the ordinate axis sets the range.

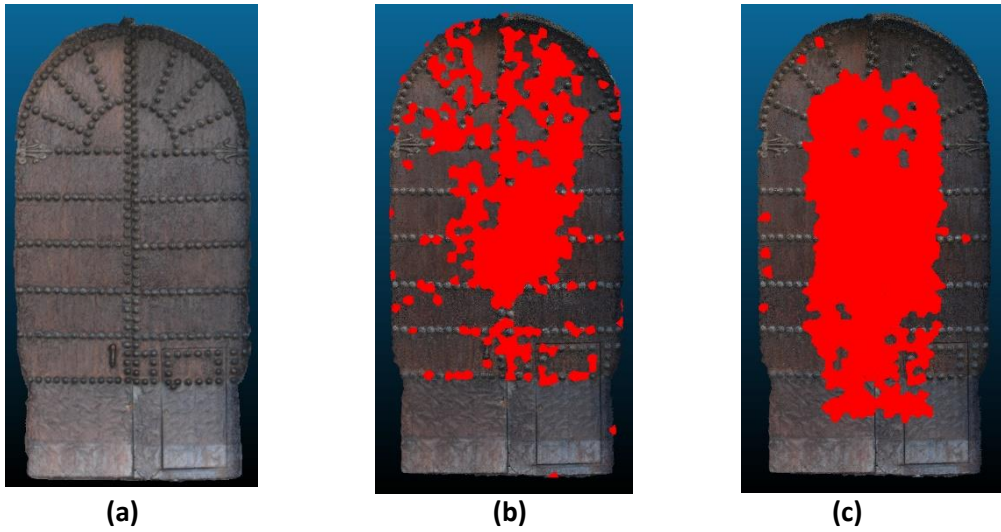


455

456 **Figure 18.** Classifier definition in the plane of separability.

457 Once the manual segmentation through the scalar field and the point classification process
458 with the CANUPO algorithm have been carried out, the modelling of the gate was undertaken
459 (tests E and F). The gate was segmented according to Figure 19. For the manual semantic
460 segmentation of the point set and its segmentation using the CANUPO algorithm, a reference
461 was established in percentages of the number of points captured; next, the creation of the
462 BIM took place. In this case, the manual segmentation was taken as a reference, with which

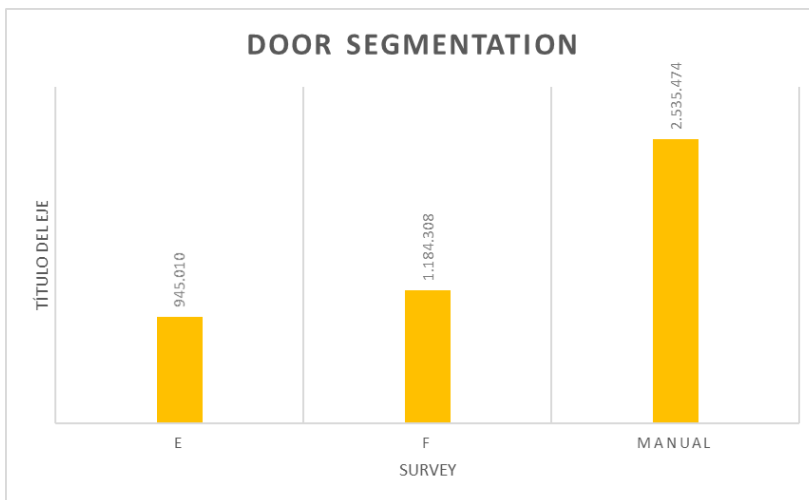
463 2,535 points were obtained. Figure 19a shows (red colour) the projection of the point set
 464 selected by the algorithm in tests E and F compared to the total number of manually
 465 segmented points of the gate.



466 (a) Manually segmented point set, (b) test E, and (c) test F.
 467 **Figure 19.** (a) Manually segmented point set, (b) test E, and (c) test F.

468 The point set taken as a reference was considered 100% of the points (Figure 19 a). 37.27% of
 469 the manually segmented points were classified in test E, and 46.71% in test F. Figure 20 shows
 470 the number of points of each test.

471



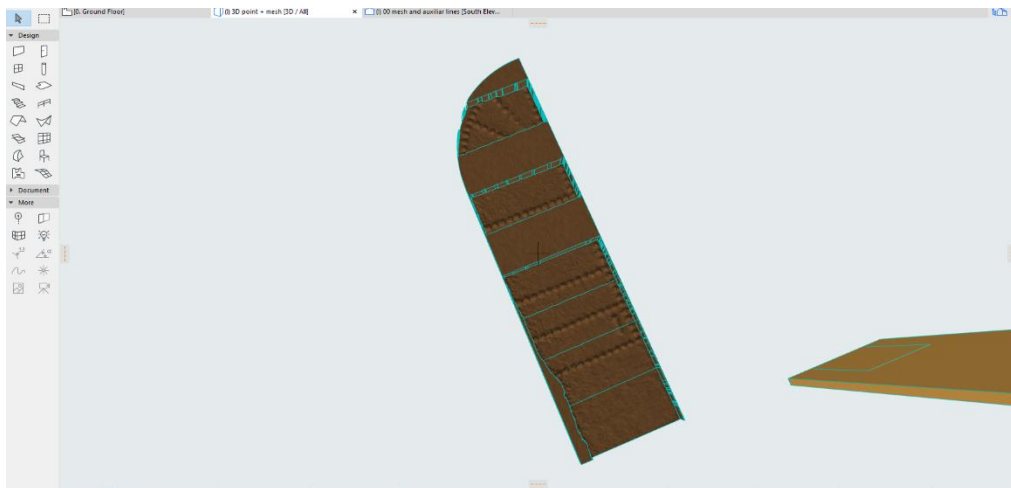
472
 473 **Figure 20.** The number of points obtained from segmentation tests E and F against manual
 474 segmentation (M).

475 *5.- Modelling the tests in BIM*

476 An important factor in the parameterisation process is determining the geometry. The process
 477 of transferring TLS or SfM to BIM is known as Scan-to-BIM [67]. The Scan-to-BIM framework is
 478 specifically designed to ensure that BIM meets the applicability requirements for CH, whether
 479 architectural or archaeological, by efficiently managing the information provided by data
 480 capture techniques. Wang et al. [68] determined four fundamental steps in the process,

481 including the identification of information requirements, scan data quality, data acquisition,
482 and BIM reconstruction. This involves creating the BIM with the geometric parameters
483 provided by the MDCSs. In practice, the MDCS files are imported into the BIM tools and serve
484 as a reference template for modelling. Nevertheless, this procedure can be prone to errors. To
485 overcome these issues, previous research has addressed the semi-automatic generation of
486 parametric objects from TLS or SfM point clouds. Antón et al [41] evaluated the accuracy of
487 the 3D meshing from remote sensing products to later propose a semi-automatic three-stage
488 procedure to create an as-built HBIM. For their part, Andriasyan et al [40] explored the
489 combination of Rhino+Grasshopper-ArchiCAD combination to automate the Scan-to-BIM
490 process. In this paper, effective procedures to automatically build parametric objects are
491 explored, which is a knowledge gap in the field. To validate the data obtained (the points
492 segmented using the CANUPO plug-in), the workflow by Moyano et al. [69] for complex
493 surfaces common in architecture and archaeology is used.

494 Surveys M (Manual), E, and F were exported to Rhinoceros in .ASCII or .e57 formats for their
495 conversion into meshes, in the same way as the subset obtained by segmentation through the
496 scalar field. The meshes were inserted into the BIM software (ArchiCAD) to be transformed
497 into .gsm parametric objects, in such a way that the actual geometry of the wooden door was
498 generated. However, the meshes can also be subsequently transformed into 'Morph' elements
499 for editing and customisation in the HBIM project. In this case, the Boolean operations
500 between elements was the procedure chosen. To define the surface faces of the door with a
501 thickness of 8 centimetres, division surfaces were used, thus performing a subtraction with
502 extrusion upwards as an operation between solid elements in the BIM platform. This
503 procedure was carried out for both faces to achieve a model as shown in Figure 21. The model
504 used the manually segmented TLS point cloud, as it was the most complete of all surveys. This
505 procedure verified the Scan-to-BIM methodology for the system requirements. Hence, the
506 parametric modelling was validated for the case of the manually segmented point set from the
507 scalar field of the main façade.



508 **Figure 21.** Relief of the wooden door solid object.

509

510 5.1 Modelling results

511 3D modelling has been particularly conducted for civil engineering applications and games
512 based on real-world environments [70]. With a view to approximate real shapes, BIM

513 technology has implemented processes to manually and automatically create geometric
514 models from point cloud data. The point cloud representing complex architectural shapes can
515 be translated into triangulated meshes before generating the parametric objects. This is a
516 common workflow established by specialists in the field, a further stage involved in the Scan-
517 to-BIM to the Mesh-to-BIM process. That transformation requires using different programmes.
518 Yang et al. [71] followed a three-step process: the extraction of basic primitives in 3D in
519 Rhinoceros software, the transformation of surfaces to volumetric components using extrusion
520 and NURBS functions in the same programme, and the generation of Dynamo visual
521 programming algorithm packages. This process is rather complex and would require that the
522 BIM operators specialise in various software. In this paper, the whole process was carried out
523 using Rhinoceros and ArchiCAD. The result of the tests is shown in Figure 22.

524



525

526 **Figure 22.** Global point cloud of the façade and BIM of the door.

527

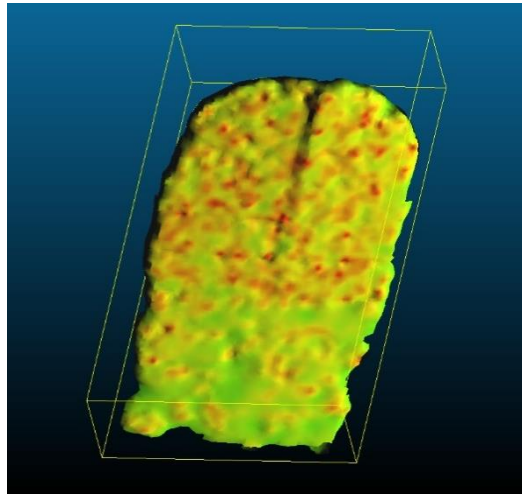
528 *5.2.- Point cloud decimation validation within the Mesh-to-BIM process*

529 To validate the expected point density of a parametric model, the point set of the manually
530 segmented wooden door was decimated. The data were next taken to the C2C software to
531 reach the desired resolution. Optimal values of the segmentation parameters were also sought
532 so that the smallest number of points would provide representative data for the Mesh-to-BIM
533 process. Test work was carried out starting from 100 points per square metre to know the
534 scope of the work. This point density was initially established by Pu and Vosselman [30] for
535 their experimental work on an automatic method for the reconstruction of building façades. In
536 this paper, the data consist of a point subset of 2,535 points. Using the subsample command
537 with random parameters, a decimated subset of 2,000 points was obtained, which entailed
538 approximately 110 points per square metre (Figure 21). In the second phase, further
539 decimation of 10,000 points spread over the entire surface of the wooden door was carried
540 out, resulting in approximately 600 points per square metre (Figure 22). Afterwards, the Mesh-
541 to-BIM process was performed using Surface Poisson Reconstruction [72].

542 As a result, through a scalar function adjustment, a bubble was obtained by connecting all the
543 related points. By reducing the density in the SF display parameters, part of the bubble was

544 removed. The decimation results are shown in Figures 22 and 23, and the histograms of the
545 achieved point densities are presented in Figure 24.

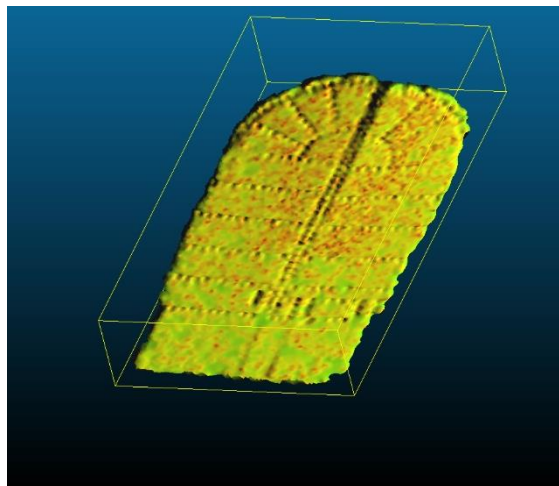
546



547

548 **Figure 22.** Mesh reconstruction of the survey M point set at 110 points/m2 density.

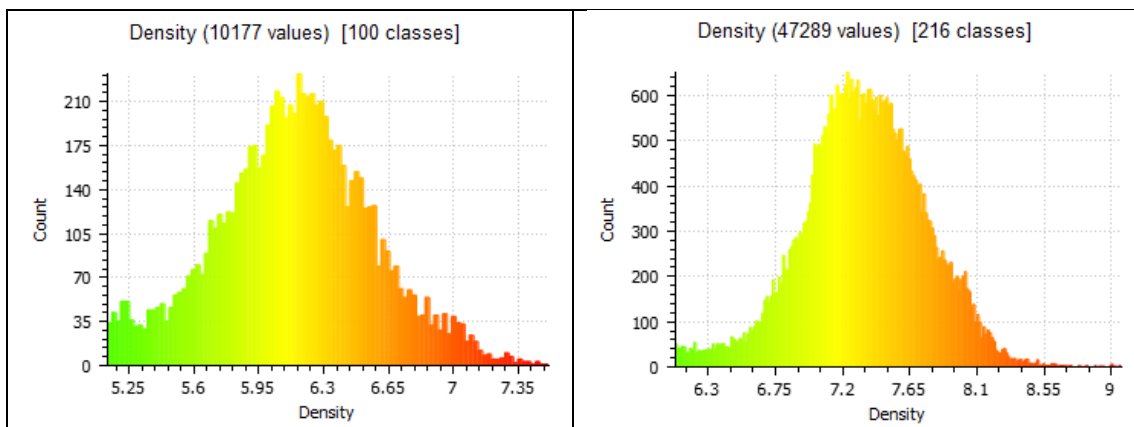
549



550

551 **Figure 23.** Mesh reconstruction of the survey M point set at 600 points/m2 density.

552



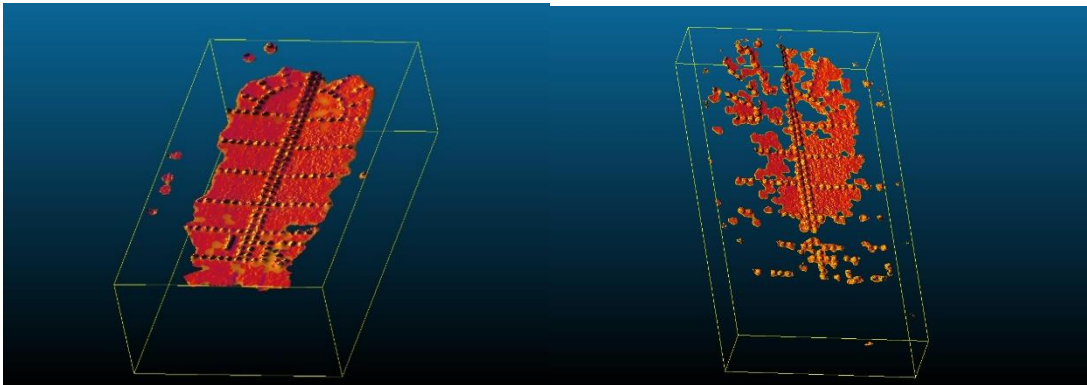
553 **Figure 24** a) Histogram of the survey M point set at 110 points/m² density. b) Histogram of the
554 survey M point set at 600 points/m² density.

555 **Discussion of results**

556 Most studies on semantic segmentation use programming algorithms beyond the software
557 available to BIM operators. This work advances upon the applicability of the CANUPO
558 software, which is a plug-in to C2C, well known within the scientific community. This algorithm
559 was tested to verify that semantic segmentation can provide a representative sample point set
560 to mesh and subsequently build parametric BIM objects. To do this, point cloud data from
561 elements analysed within the façade of the 16th-century Casa de Pilatos Palace in Seville,
562 Spain, were considered. Brodu and Lague's natural surface classification algorithm cannot
563 detect the presence of changing materials on the same surface but works by the degree of
564 geometric heterogeneity, where a single scale can rarely classify a scene [7]. To test its
565 applicability to CH, the aforementioned façade was taken, which presents several complex
566 geometries of different architectural styles, thus being a suitable example for experimentation.
567 Three testbeds were carried out; first, the architectural elements comprising the entire façade;
568 second, on the main gate; and third, on a small retable located on the left side of the façade
569 canvas. Results on the first testbed show that the classification is less dispersed (test A) by
570 considering low values (Table 2). In test D, the accuracy increases, as does the number of
571 unclassified points. The accuracy determines the number of points the algorithm can detect.
572 This could indicate that points may not be properly classified. To achieve an adequate
573 classification of the points, it was considered that the representativeness should be at least 75
574 % of the global set and sufficiently sparse for Mesh-to-BIM. The classification must be based
575 on a previous decimation of the point subsets (section 4 of this paper). In the second testbed,
576 test F shows a higher accuracy and marble and wood are above the unclassified ones. The
577 higher the accuracy, the more significant the points of the wooden door are. In the last testbed
578 (retable), the classification did not distinguish between architectural elements. Here, the
579 automatic segmentation process presented serious difficulties for comprehensive modelling as
580 in Figure 18. Therefore, the exact control of the geometry of the wooden entrance door is
581 questionable. In view of the results, the CANUPO classification algorithm is more successful on
582 large complex façades than on minor details such as the small marble retable. In this case, the
583 algorithm fails to classify the elements of the cross concerning the planes and elements of the
584 cornice. The tested scale yields a better classification when the base exceeds 10 metres in
585 length.

586 Another interesting parameter is the time the algorithm takes to classify the selected point
587 sets. This variable is of interest to the BIM operator, as it influences the operational
588 performance of the process. Generally speaking, the processing time in tests A, B and C for
589 both classification and training was a few minutes. Meanwhile, in test D, where the maximum
590 training distance was taken, the time was approximately 12 minutes and 6 minutes for
591 classification. In this case, the percentages of classified points were even lower than 70 %. For
592 the second testbed, the results could be improved, since the processing time was 14 minutes
593 for 61.91% of classified points. In the third testbed, the processing time was 5 minutes for
594 95.75% of classified points, although no positive classification results were obtained.
595 Therefore, a multiscale point cloud analysis was introduced to semantically segment
596 architectural elements through an open-source algorithm accessible to all researchers,
597 academics and professionals in the Architecture, Engineering and Construction (AEC) industry.

598 The creation of cross-sections of the wooden door parametric model revealed heterogeneity
599 of the points and the morphology achieved with respect to the TLS data and the semantic
600 segmentation model. The results determined the absence of points in important parts in the
601 point set post-processing for Mesh-to-BIM. The percentage of gaps is due to the partial
602 segmentation by the classification algorithm, thus losing part of the important elements for
603 meshing. Part of the classification point sets yielded non-representative data. Figure 25 shows
604 the results of two tests of the meshing of the wooden door using C2C and the CANUPO
605 algorithm.



606
607 **Figure 25.** The meshing of the wooden door in C2C. (a) Test E. (b) Test F.

608 The validation of the point set decimation for Mesh-to-BIM determines that a density of at
609 least 600 points per square metre in the segmentation is necessary to obtain a representative
610 sample of the mesh for BIM parameterisation. According to Pu and Vosselman [30], over-
611 segmentation is preferable to under-segmentation when large elements coexist. In this paper
612 (section 4.2), a minimum spacing of 6 centimetres is recommended as the optimal value for a
613 subset of segmented points.

614

615 **Conclusions**

616 In this work, the applicability of Brodu and Lague's algorithm [7] was explored in architectural
617 elements of heritage sites. Based on the acquisition of TLS data from a façade of the Casa de
618 Pilatos Palace, an experimental process was developed through three testbeds. A semantic
619 segmentation method was followed based on open-source software applications such as C2C
620 that are easy to use by operators, academics and BIM researchers, without the need for
621 programming. Therefore, the aim was to recognise common morphological features in
622 heritage buildings, so that complex geometries could be identified.

623 As explained above, the use of these algorithms is sometimes not within the reach of the usual
624 BIM operators. Firstly, the programmes used generally derive from mathematical work, which
625 requires a process and knowledge in computational mathematics and visual geometry.
626 Secondly, not all point cloud data captured by acquisition techniques such as TLS or SfM are
627 valid. Most segmentation algorithms work with structured or LiDAR files only.

628 Brodu and Lague developed the system on natural scenes of a subset to recognise rocks,
629 vegetation, water, and gravel in a riverbed. However, this work aimed to experiment with the
630 geometric fidelity that semantic segmentation can achieve for classifying architectural
631 elements under CANUPO plug-in training. Given that these tests have not been applied before,

632 the methodology adopted is original. Also, the validation analysis of the Mesh-to-BIM process
633 has not been presented before. In order to select the most suitable process to obtain data for
634 HBIM parameterisation, the results of the algorithm were compared with the manual
635 segmentation and the selected point set was evaluated. Examining these subsets is essential to
636 verify their suitability for accurate 3D geometric reconstruction. This paper also discusses an
637 optimisation framework to analyse other segmentation software to produce parametric BIM
638 objects.

639 In the experimental tests, the algorithm was found to be a classifier of morphological surfaces
640 since when there is no variation in morphology, the algorithm cannot classify the data, as
641 occurred in the retablo test. Furthermore, it is worth mentioning that, as shown in the results
642 of tests E and F from the 3D mesh reconstruction, the absence of point sets does not imply a
643 complete surface. As a result, a complete segmentation would yield better results for
644 transformation into parametric objects. It was also demonstrated that the classification
645 algorithm, previously implemented on surfaces more complex than those of traditional
646 architectural shapes, entails a reduction in accuracy for small scales.

647 On the other hand, optimal values of the segmentation parameters were sought so that
648 representative data for Mesh-to-BIM could be obtained with the smallest number of points.
649 Testbeds were carried out from 100 to 600 points per square metre to determine the required
650 segmentation point density for BIM. It was determined that the point spacing should be at
651 least 6 cm and uniform over the entire surface of the objects. Therefore, the results of the
652 automatic segmentation by the CANUPO algorithm are not optimal for parameterising
653 architectural elements in a BIM environment. The reason lies in the lack of essential points in
654 certain areas and the presence of excessive gaps caused by the non-classification of points.

655 One of the main issues of this classifier is that, in the testbed of the wooden door, the
656 algorithm determined points that did not belong to that subset (false positives) and
657 accordingly classified them outside the surface. This is when the BIM operator has to intervene
658 to analyse and interpret the data. Nevertheless, the algorithm yielded positive data of scale
659 proportionality. Regarding the third testbed, the results showed no classification subsets. The
660 aim was to segment the cross, but the uniformity of the points indicated that the algorithm
661 was unable to perform such segmentation.

662 Future work will not only adapt this algorithm to improve its applicability efficiency but also
663 conduct further research based on it to meet the requirements of integrating point sets into
664 BIM. These requirements are the uniformity in the point dispersion (which is related to the
665 resolution of the set) and that the decimation exceeds 85 % of the total number of source
666 points. Besides, geometric and colourimetric segmentation can be combined to classify TLS
667 and SfM point clouds, which are characterised by geometric and colourimetric features. The
668 algorithm was developed to classify terrain, vegetation, or gravel, achieving a classification
669 accuracy of 98% when separating vegetation from the soil. However, it was not possible to
670 achieve the same performance for less complex architectural features without excessive
671 roughness.

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