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This is a post print of the following article Original Citation: Chiral Switchable Catalysts for Dynamic Control of Enantioselectivity / Romanazzi, Giuseppe; Degennaro, Leonardo; Mastrorilli, Pietro; Luisi, Renzo. - In: ACS CATALYSIS. - ISSN 2155-5435. - 7:6(2017), pp. 4100-4114. [10.1021/acscatal.7b00827] Availability: This version is available at http://hdl.handle.net/11589/106120 since: 2022-06-21 Published version DOI:10.1021/acscatal.7b00827 Publisher: Terms of use:

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29 June 2024



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Perspective

## Chiral Switchable Catalysts for Dynamic Control of Enantioselectivity

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5 ABSTRACT: Among the artificial switchable catalysts, those

6 catalysts whose activity can be switched by an external stimulus,

only a few cases offer the possibility to develop an effective chiral 7 switchable system that could selectively accelerate the formation

8 of a given enantiomer in one state, whereas in the other state it 9

prefers accelerating the formation of the opposite enantiomer. 10

Many pharmacological investigations need both enantiomers to 11

12 study potentially different activities and side effects. Thus, chiral

switchable catalysts could be a very important tool to achieve 13

this goal because their use will eliminate the need to have the 14

two enantiomers of a designed catalyst. This perspective summarizes, discusses, and emphasizes important developments in the 15

Reactants

chiral switchable catalyst area for the dynamic control of enantioselectivity, highlighting their advantages and showing some 16

perspectives of this field that is still in its infancy. 17

KEYWORDS: chiral catalyst, switchable catalyst, enantioselectivity, dynamic control, enantiocontrol 18

#### 1. INTRODUCTION

19 Nowadays, one demanding task in chemistry is the design and 20 synthesis of artificial catalysts that can compete with the catalytic 21 proficiency of enzymes. Within this framework, in the past 22 decade, the studies on synthesis and activity of artificial 23 switchable metal catalysts and organocatalysts have become an 24 intense, fervid, and challenging field of research. The peculiarity 25 of these catalysts is that they can be generally triggered in the "on" or "off" states by several external stimuli such as light, heat, 26 27 solvents, pH change, coordination events or ion influxes, redox 28 processes, mechanical forces, or other changes in reaction 29 conditions.

However, among artificial switchable catalysts, only a few cases 30 31 offer the possibility to develop an effective chiral switchable 32 system that could selectively accelerate the formation of a given 33 enantiomer in one state, whereas in the other state it accelerates 34 the formation of the opposite enantiomer. In fact, achieving dual 35 stereocontrol in asymmetric reactions<sup>2</sup> using a chiral switchable 36 catalyst could be a very important goal in enantioselective 37 synthesis, since it will eliminate the need to have available the two 38 enantiomers of the designed catalyst. Such a case would 39 practically avoid all the necessary steps for the preparation of 40 opposite configured catalysts, thus improving the chemical 41 sustainability of the whole catalytic process under study.

An exhaustive review by Hayashi et al. addressed the topic of 42 43 enantiocontrol by changing factors other than stimuli-respond-44 ing structural change of catalysts such as catalyst substituents or 45 substrate substituents or central metal or solvents or additives or 46 other factors.<sup>2c</sup>

In this Perspective, we will focus on the work on asymmetric 47 48 synthesis achieved by means of the dynamic control of 49 enantioselectivity through a chiral switchable catalyst. It is 50 noteworthy that the time-span in which results of this work have



#### 2. LIGHT- AND/OR HEAT-DRIVEN CHIRAL SWITCHABLE CATALYSTS

Chiral S

In 2011, a seminal and paradigmatic work on dynamic chiral 56 switchable catalysts was described by Wang and 2016 Nobel 57 Laureate Feringa.<sup>3</sup> On the basis of their previous experience in 58 the synthesis of chiral crowded alkenes,<sup>4</sup> they designed and used 59 the molecular motor 1 as a chiral switchable organocatalyst 60 (Scheme 1) in the sulfa-Michael addition to  $\alpha_{,\beta}$ -unsaturated 61 s1 ketones, efficiently modulating both its enantioinduction and 62 activity (Scheme 2). 63 \$2

The catalyst 1 is decorated with Brønsted acidic thiourea and 64 Brønsted basic DMAP groups, which are known to cooperate in 65 the catalysis of the sulfa-Michael addition.<sup>5</sup> The chiral crowded 66 alkene moiety in 1 can move through a unidirectional rotatory 67 cycle with a series of two photoisomerizations and two thermal 68 isomerizations (Scheme 1). Thus, the rotation of the molecular 69 motor can control the relative orientations of the two catalytic 70 moieties. In particular, starting from  $(R_{,R})-(P_{,P})-E-1$  and 71 irradiating at 312 nm at 20 °C, an E-Z photoisomerization 72 and a helix inversion<sup>6</sup> from P to M take place to give (R,R)- 73 (M,M)-Z-1, in which the two catalytic units are brought into 74 close proximity. A thermal helix inversion at 70 °C gives the 75 (R,R)-(P,P)-Z-1 isomer, in which the two catalytic groups are still 76

Received: March 15, 2017 Revised: May 4, 2017 Published: May 5, 2017



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#### Scheme 1. Light- and Heat-Driven Chiral Switchable Catalyst 1



Scheme 2. (A) Control of the Enantioselectivity of a Sulfa-Michael Addition Employing Chiral Switchable Catalyst 1 and (B) Plausible Ternary Complex Involved in the Mechanism of Thiol Addition to Enone Catalyzed by 1



<sup>77</sup> in close proximity. A photochemical step followed by thermal <sup>78</sup> isomerization regenerates the original structure (R,R)-(P,P)-E-1<sup>79</sup> through the intermediate (R,R)-(M,M)-E-1, which was not <sup>80</sup> employed as a catalyst due to its low thermal stability. All steps of <sup>81</sup> this 360° rotation of molecular motor 1 were fully studied and confirmed by a combination of chiral HPLC, circular dichroism  $_{82}$  (CD), UV–vis, and <sup>1</sup>H NMR spectroscopy. When (*R*,*R*)-(*P*,*P*)-  $_{83}$  *E*-1 was used to catalyze the sulfa-Michael addition of 2-methoxy  $_{84}$  thiophenol **2** to cyclohexenone **3**, the adduct **4** was obtained as a  $_{85}$  racemate in very low yield (7% yield in 15 h) due to the 86

Scheme 3. (A) Light- and Heat-Driven Chiral Switchable Catalyst 5and (B) Control of the Enantioselectivity of a Henry Reaction Employing Chiral Switchable Catalyst 5



87 unfavorable orientation of the thiourea and DMAP catalytic moieties. On the other hand, when the catalyst (R,R)-(M,M)-Z-1 88 was employed under the same conditions, a higher catalytic 89 activity was achieved affording, after 15 h, the sulfa-Michael 90 adduct (S)-4 in 50% yield with an enantiomeric ratio (er) up to 91 75:25. By using the Z isomer of opposite helicity, (R,R)-(P,P)-Z-92 93 1, the sulfa-Michael addition proceeded even faster, giving a 94 higher yield (83% in 15 h) and exhibiting a similar degree of enantioselectivity (er up to 77:23) but in favor of opposite 95 enantiomer (R)-4 (Scheme 2A). Taking into account previous 96 mechanistic studies on bifunctional organocatalysts for sulfa-97 Michael addition<sup>5,7</sup> as well as performing basic molecular 98 modeling studies, the authors proposed that the helicity of the 99 catalyst determined the absolute stereochemistry of the product 100 4, depending on the face (Si or Re) of cyclohexenone 3 on which 101 <sup>102</sup> the attack of 2-methoxy thiophenol (2) took place (Scheme 2B). Following this approach, the same group developed a 103 104 molecular motor-based dynamic organocatalyst 5 (Scheme 3A) 105 able to accelerate the Henry reaction of nitromethane 6 with  $_{106} \alpha_{,} \alpha_{,} \alpha$ -trifluoroketones such as trifluoroacetophenone 7, with <sup>107</sup> high control of the enantioinduction (Scheme 3B).<sup>8</sup> For this

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second-generation catalyst **5**, the same kind of isomerization <sup>108</sup> steps were necessary to obtain its 360° rotation, but the phenyl <sup>109</sup> spacers between the motor core and catalytic moieties were <sup>110</sup> removed with respect to **1**. In fact, catalyst **1** showed poor <sup>111</sup> catalytic performance in the Henry reactions both in terms of <sup>112</sup> activity and stereoselectivity. Thus, it was decided to bring the <sup>113</sup> catalytically active units (i.e., thiourea and DMAP) in closer <sup>114</sup> proximity in order to achieve a more effective cooperative action <sup>115</sup> between these two functionalities. <sup>116</sup>

As in the case of 1, the *E*-state of **5** proved to be almost inactive <sup>117</sup> and without stereocontrol as a catalyst for the Henry reaction <sup>118</sup> between nitromethane and  $\alpha, \alpha, \alpha$ -trifluoroketones. On the other <sup>119</sup> hand, the two *Z*-states ((*R*,*R*)-(*M*,*M*)-*Z*-**5** and (*R*,*R*)-(*P*,*P*)-*Z*-**5**), <sup>120</sup> in which the catalytic active sites are in closer proximity, were <sup>121</sup> once again effective in catalysis, affording the corresponding <sup>122</sup> products with opposite enantioselectivity (*er* up to 86:14 for (*R*)- <sup>123</sup> **8** and *er* up to 79:21 for (*S*)-**8**) in excellent yields (93% for (*R*)-**8** <sup>124</sup> and 92% for (*S*)-**8**). For catalyst (*R*,*R*)-(*M*,*M*)-*Z*-**5**, the use of <sup>125</sup> toluene as reaction media was mandatory both in catalysis and in <sup>126</sup> its isomerization to (*R*,*R*)-(*P*,*P*)-*Z*-**5**, because only in this solvent <sup>127</sup>

# Scheme 4. (A) Light- and Heat-Driven Chiral Switchable Ligand 9 and (B) Control of the Enantioselectivity of a Pd-Catalyzed Desymmetrization Reaction Using Chiral Switchable Ligand 9



128 was (R,R)-(M,M)-Z-**5** endowed with a long half-life (156 h at 129 room temperature).

The rotary molecular motor system 9 similar to 1 or 5, but decorated with diphenylphosphine groups (Scheme 4A), has been successfully employed in asymmetric catalysis.<sup>9</sup>

In fact, light and heat-induced changes in geometry and 133 134 helicity of 9 furnished a switchable ligand that exhibited excellent 135 selectivity in the Pd-catalyzed desymmetrization reaction of 136 meso-biscarbamate 10 (Scheme 4B). In particular, under optimized conditions, the Pd complex of ligand (R,R)-(M,M)-137 Z-9 catalyzed the desymmetrization reaction of 10 with excellent 138 139 stereocontrol, affording product (3R,4S)-11 (er up to 93:7), 140 whereas the Pd-complex of ligand (R,R)-(P,P)-Z-9 afforded the opposite enantiomer (3S,4R)-11 also with excellent enantiose-141 142 lectivity (er up to 94:6). In addition, it should be pointed out that 143 the obtained enantioselectivities using the two Z-states of 9 as a 144 ligand were comparable to those reachable with conventional 145 chiral biphosphine ligands, such as the Trost ligand.<sup>10</sup> However, 146 it should be noted that in situ switching experiments were 147 possible only for free ligands because, in the presence of Pd, the 148 formed active palladium complexes were photosensitive and led 149 to a significant decrease in enantioselectivity.

In 2017, Chen et al. reported the synthesis of the chiral light- or 150 heat-switchable helicene **12** that was used as an organocatalyst 151 for accelerating the enantiodivergent Steglich rearrangement of 152 O-carboxylazlactones (Scheme 5).<sup>11</sup> 153 s5

The effective catalytic moiety in **12** is the 4-aminopyridine <sup>154</sup> unit, but the helical sense (P or M) of the molecule which could <sup>155</sup> be switched photochemically or thermally was found essential for <sup>156</sup> achieving dual enantiocontrol in this catalysis. Irradiating (P)-**12** <sup>157</sup> at 290 nm led to the exclusive formation of (M)-**12** [(P)-**12**/ <sup>158</sup> (M)-**12**, <1:>99]. Photoisomerization of almost pure (M)-**12** <sup>159</sup> was then performed at 340 nm, which resulted in predominant <sup>160</sup> enrichment of (P)-**12** [(P)-**12**/(M)-**12**, 91:9]. The diastereo- <sup>161</sup> merically pure (P)-**12** can be regenerated either by unidirectional <sup>162</sup> thermo-rotation of the 91:9 (P)-**12**/(M)-**12** mixture or by <sup>163</sup> unidirectional thermo-rotation of almost pure (M)-**12** in p- <sup>164</sup> xylene at 130 °C for 2 h.

Both pseudoenantiomeric helicenes (P)-12 and (M)-12 were 166 investigated in their diastereomerically pure forms as chiral 167 organocatalysts in the Steglich rearrangement of several O- 168 carboxylazlactones. In particular, under a nitrogen atmosphere 169 using as a solvent a 1:1 mixture of 1,2-dimethoxyethane (1,2- 170 DME)/t-amyl alcohol (tAA) at -40 °C, (P)-12 revealed to be a 171





172 very efficient chiral catalyst for the Steglich rearrangement of 13, 173 giving the corresponding C-carboxylated product (R)-14 in 85% 174 yield with a high level of enantioselectivity (er up to 95:5). On the other hand, almost pure (M)-12 catalyzed in good yield (82%) 175 176 the rearrangement of 13 to give the opposite product (S)-14 with the same level of enantioselectivity (er up to 97:3). To gain 177 further insights into the origin of enantiocontrol of this 178 asymmetric catalytic process, the authors carried out DFT 179 calculations on the transition state assembly of the incipient ion 180 pair, which would be formed between pyridinium cation 12a and 181 enolate anion 13a (Scheme 6A), as postulated in previous 182 mechanistic studies of the Steglich rearrangement.<sup>12</sup> The 183 molecular simulations indicated that synclinal Re-face attack is 184 favored with catalyst (P)-12 due to greater HOMO-LUMO 185 interactions with minimal stereoelectronic repulsion (Scheme 186 6B). 187

In 2015, Storch and Trapp reported an example of a 188 stereochemically flexible diastereomeric rhodium(I) catalyst 189 based on a *tropos* (i.e., chirally flexible) ligand.<sup>13</sup> Such a catalyst 190 provided access to both enantiomeric products of asymmetric 191 hydrogenations of prochiral (Z)- $\alpha$ -acetamidocinnamates by 192 changing solely the applied temperature. The catalytic system 193 was thought to be complementary to the one introduced by 194 Mikami and Aikawa<sup>14</sup> in an attempt to overcome some of its 195 196 limitations (Scheme 7).

197 Mikamiand Aikawa's approach of asymmetric (de)activation 198 of racemic catalysts bearing a *tropos* ligand is based on the use of 199 catalysts with stereochemically flexible ligands, for example, 200 *tropos* biphenyls, in combination with a suitable chiral activator

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that forms a diastereomeric metal complex with the catalyst and 201 shifts the equilibrium toward one diastereoisomer. In this way, 202 the chiral activator could not only control the chirality of racemic 203 catalysts but also lead to the formation of a catalytic species with 204 higher enhanced catalytic properties. However, a limitation of 205 this elegant approach is that the activator with opposite 206 configuration is required to obtain the opposite enantiomeric 207 product, because the absolute configuration of the activator 208 determines the chirality of the product. To overcome this 209 limitation, Storch and Trapp proposed to functionalize a tropos 210 biphenyl ligand core with a homochiral auxiliary substituent as 211 the chiral directing group. By binding this auxiliary to the ligand's 212 core, the chiral information is continuously transferred to the 213 stereochemically flexible chiral axis of the tropos biphenyl, which 214 shifts the stereoisomeric ratio away from the 1:1 equilibrium. The 215 stereogenic center of the chiral auxiliary has to be located in 216 proximity to the linking group in order to maximize stereo- 217 selective interactions. In addition, the chiral auxiliary has to 218 possess an expanded aryl group that aligns the adjacent phenyl 219 rings of the metal bound diphenylphosphine group. The effective 220 catalyst is then obtained by coordination of a metal precursor to 221 the pair of diastereomeric ligand cores, thus freezing the 222 equilibrium ratio of the stereoisomers. Eventually, the ratio of 223 epimerizing diastereomers could be, in principle, controlled by 224 external parameters such as temperature. 225

Following this principle, the authors synthesized the stereo-  $^{226}$  chemically flexible ligand  $^{2,2'}$ -bis(diphenylphosphino)-[ $^{1,1'}$ -  $^{227}$  biphenyl]- $^{3,3'}$ -diol **15** as the chiral ligand core (as a racemate,  $^{228}$  Scheme 8A). Ligand **15** was functionalized with ( $^{S}$ )-naproxen as  $^{229}$  s8

Scheme 6. (A) Formation of a Stabilized Ion Pair between Pyridinium Cation 12a and Enolate Anion 13a and (B) Plausible Transition State Assemblies of Steglich Rearrangement Employing Catalyst (P)-12 As Supported by DFT Calculations



230 the chiral auxiliary to afford the modified diastereomeric ligands  $_{231}$  ( $R_{ax}$ , S, S)-16 and ( $S_{ax}$ , S, S)-16, thus shifting the ligand equilibrium 232 ratio from 50:50 (for 15) to 61:39 (for 16) as a result of a central-233 to-axial chirality transfer of the chiral auxiliary to the axially chiral 234 biphenyl core. Binding the  $(R_{ax}S,S)$ -16 and  $(S_{ax}S,S)$ -16 ligands 235 to rhodium afforded the diastereomeric catalysts ( $R_{ax}$ , S, S)-17 and  $(S_{ax},S,S)$ -17, respectively. Complexation of the rhodium resulted 236 in the freezing of the diastereomeric ratio, which did not undergo 237 any change at room temperature. Interestingly, by heating at 70 238 °C for 6 h the diastereomeric mixture  $(R_{ax}S,S)-17/(S_{ax}S,S)-17$ 239 (61:39) yielded the minor isomer  $(S_{ax}, S, S)$ -17 with a purity 240 greater than 99%. The reaction progress was followed by  ${}^{31}P{}^{1}H$ 241 and <sup>1</sup>H NMR spectroscopy. Hence, according to this behavior, to 242 come back to the initial diastereometric  $(R_{ax}, S, S)$ -17/ $(S_{ax}, S, S)$ -17 243 ratio of 61:39, the system would require decomplexation of 244 rodhium, ligand re-equilibration, and recomplexation with 245 246 rodhium, because the diasteromeric ratio catalyst  $(R_{ax}S,S)-17/$  $(S_{axt}S,S)$ -17 remains <1:>99 upon cooling. 247

248 The catalytic properties of either the  $(R_{axr}S_rS)-17/(S_{axr}S_rS)-17$ 249 (61:39) mixture or almost pure complex  $(S_{axr}S_rS)-17$  were 250 assessed in the asymmetric hydrogenation of a prochiral 251 substrate such as the (*Z*)-methyl- $\alpha$ -acetamidocinnamate 252 (MAC, **18**; Scheme 8B). Upon optimization of hydrogenation 253 conditions, the use of the mixture complexes  $(R_{axr}S_rS)-17/$   $(S_{axy}S,S)$ -17 (61:39) as catalysts in CDCl<sub>3</sub> at -40 °C and under 254 an initial hydrogen pressure of 10 atm gave the complete 255 conversion of substrate 18 in the N-acetylphenylalanine methyl 256 ester 19 enriched in the enantiomer R (*er* up to 87:13). This 257 enantiomeric excess of 74% is equivalent to an amplification 258 factor  $(f_{amp})$  of 3.4,  $f_{amp}$  being defined as the ratio of the 259 enantiomeric excess of the reaction product and the diastereo-260 meric excess of the catalyst. This nonlinear chiral amplification<sup>15</sup> 261 is a significant result because it demonstrates that small 262 deviations from a 1:1 equilibrium of a proposed catalytic system 263 seems to be enough to generate high stereoselectivity. In 264 contrast, using the almost pure  $(S_{axy}S,S)$ -17 as a catalyst, under 265 similar conditions but at a higher temperature (-10 °C), the 266 opposite enantiomer (S)-19 was obtained with an *er* up to 96:4. 267

Although the chiral switchability of catalyst 17 is "unidirec- 268 tional," the concept proposed by Trapp would in principle be 269 directly transferable to other kinds of asymmetric catalyzed 270 reactions. Moreover, the achievement of a reversal enantiose- 271 lectivity by using solely a temperature control is a rather rare 272 phenomenon in asymmetric synthesis or catalysis.<sup>16</sup> 273

#### 3. REDOX-DRIVEN CHIRAL SWITCHABLE CATALYSTS

In 2012, a redox-driven chiral switchable catalyst was reported by 274 Canary and co-workers (Scheme 9).<sup>17</sup> Inspired by their previous 275 s9

#### Scheme 7. (A) Principle of Asymmetric (De-)activation Proposed by Mikami and Aikawa and (B) Principle of Temperature-Controlled Bidirectional Enantioselectivity Proposed by Storch and Trapp



276 work,<sup>18</sup> the catalyst design is based on a complex derived from 277 multidentate ligand 20, which comes from the commercially available L-methioninol. Ligand 20 is able to complex either 278 Cu(II) or Cu(I) salts, affording respectively the bisurea 279 complexes  $\Delta$ -21 and  $\Lambda$ -21, which might undergo innersphere 280 281 ligand rearrangement upon one-electron reduction or oxidation of copper. The metal complex catalysts 21 were able to deliver 282 opposite enantiomers for a nitro-Michael addition reaction, 283 depending on the oxidation state of the copper atom (that is  $\Delta$ -284 21 or  $\Lambda$ -21). 285

When the copper atom is in its oxidized state (Cu<sup>II</sup>), catalyst 286 287  $\Delta$ -21 promotes the addition of diethyl malonate 22 to *trans-\beta*nitrostyrene 23 to form the nitro-Michael adduct (S)-24 in 55% 288 yield (75% conversion) with er up to 86:14. On the other hand, 289 when the copper atom in its Cu<sup>I</sup> reduced state,  $\Lambda$ -21 catalyzed 2.90 the formation of the opposite enantiomer (R)-24 in 40% yield 291 with *er* up to 85:15. In situ reduction of Cu<sup>II</sup> catalyst  $\Delta$ -21 to Cu<sup>II</sup> 292  $\Lambda$ -21 was possible by L-ascorbic acid reduction. Employing the 293 product of in situ reduction in the nitro-Michael addition 294 afforded the opposite product enantiomer (R)-24. Moreover, it is 295 remarkable that the same er(85:15) of the product (*R*)-24 was 296 obtained with a slightly higher yield (43%) with respect to that 297 obtained via the preformed Cu(I) complex. However, in the 298 discussion of this work there is not a clear explanation on how the 299 helix inversion of 21 could influence the handedness of the 300 products of catalysis. In this regard, in a following paper,<sup>19</sup> 301 Canary and co-workers pointed out that the urea groups were the 302 only active functionalities influencing catalysis while the copper 303 ion was used to control the handedness of the scaffold's helical 304 structure. By combining molecular modeling, crystal structure, 305 and kinetic data, they suggested that only one urea moiety of 21 306 likely binds the nitrostyrene substrate while blocking the Re-face 307 of the nitrostyrene in the transition state. The ability to guide the 308 309 handedness of the nitro-Michael product based on the helical 310 state of catalyst 21 was also demonstrated with other solvents,

bases, and nitrostyrene/malonate substrates, even though the 311 achieved enatioselectivities were not improved. 312

Canary's group demonstrated that catalyst **21** is capable of <sup>313</sup> independent, dial-in control of the absolute stereochemistry <sup>314</sup> when two similar nitrostyrenes were used for the Michael <sup>315</sup> addition reactions. In fact, by in situ oxidation and reduction of <sup>316</sup> the catalyst, it was possible to access all four possible <sup>317</sup> stereoisomers for the nitroalkane products (Scheme 10).<sup>20</sup> <sup>318</sup> s10

By choosing a pair of nitrostyrenes such as 23 and trans-2,3- 319 dimethoxy- $\beta$ -nitrostyrene 25 having a large difference in reaction 320 rate with diethyl malonate (22), using  $\Delta$ -21 as a catalyst, it was 321 possible to obtain products of opposite absolute stereochemistry 322 such as (S)-24 and (R)-26. In particular it was ascertained that, 323 after 3 h, nitrostyrene 23 was mostly consumed and the  $\Delta$ -21 324 catalyst state could be instantaneously switched in situ to  $\Lambda$ -21 325 employing L-ascorbic acid. Allowing then the system to react for 326 an additional 24 h, the product (S)-24 was obtained in 52% yield 327 with *er* up to 77.5:22.5 while product (R)-26 was obtained in 90% 328 yield with er up to 57:43. In contrast, by starting the reaction with 329  $\Lambda$ -21 as a catalyst and switching it to  $\Delta$ -21 in situ, after 3 h, using 330 the ceric ammonium nitrate (CAN) as an oxidizing agent, the 331 other two possible stereoisomers were obtained: (R)-24 in 51% 332 yield with er up to 82:18 and (S)-26 in 48% yield but only er 333 51:49. Although the er of (S)-26 was very low, the change in er 334 was however appreciable, confirming at least the principle that 335 through those two dynamic catalysis it is possible to have access 336 to all four stereochemical permutations for a nitro-Michael 337 addition. The low yields of both products were ascribed to side 338 reactions of the substrate with the oxidizing agent CAN.<sup>21</sup> 339

#### 4. SOLVENT-DRIVEN CHIRAL SWITCHABLE CATALYSTS

Suginome and co-workers reported in 2010 that a high- $_{341}$  molecular-weight (about 1000-mer) random copolymer of  $_{342}$  poly(quinoxaline-2,3-diyl) **27**, bearing both coordinating  $_{343}$  diphenylphosphino pendants and (*R*)-2-butoxymethyl side  $_{344}$ 

340

Scheme 8. (A) Synthesis of Heat-Driven Chiral Catalyst 17 and (B) Control of the Enantioselectivity in the Asymmetric Hydrogenation of (Z)-Methyl- $\alpha$ -acetamidocinnamate (18) to N-Acetylphenylalanine Methyl Ester (19) Using Catalyst 17



345 chains, showed a solvent-driven switch of its own helicity 346 (Scheme 11) and could serve as a chiral ligand in the asymmetric <sup>347</sup> hydrosilylation of styrene (Scheme 12).<sup>22</sup> In fact, these kinds of copolymers generally do not have prefixed chiral axes or 348 stereogenic centers in the main-chains but adopt a right- or 349 left-handed helical structure on the basis of homogeneous axial 350 chirality between the quinoxaline rings, which is induced 351 thermodynamically by chiral side chains or pendants.<sup>23</sup> In 352 particular, copolymer 27 adopted an almost pure P-helical 353 structure in chloroform, but dissolving it in a mixture of 1,1,2-354 trichloroethane(TCE)/toluene (2:1) induces a gradual inversion 355 356 of the helical sense that turned it almost entirely to an M-helix after 6 h at 60 °C. 357

By employing ligand (P)-27 in palladium catalyzed hydro-358 silvlation of styrene, the product (S)-31 was obtained in 94% 359 yield with er up to 98.5:1.5 without the use of a solvent. In 360 contrast, using ligand (M)-27 for the same reaction but in a 361 mixture of 1,1,2-TCE/toluene (3:1) as a solvent, the opposite 362 enantiomer (R)-31 was produced in 93% yield with *er* up to 363 96.5:3.5. In this case, the use of a 1,1,2-TCE/toluene mixture 364 (3:1) as reaction media was found to be mandatory for 365 366 maintaining the left-handed helical structure of ligand (M)-27 <sup>367</sup> during the longer reaction time (72 h) required for the reaction. In the hydrosilylation reaction carried out under neat 368 369 conditions, polymeric ligand (P)-27 formed with palladium an 370 insoluble complex, which was found to be easily separable from

the reaction mixture. The complex could be reused seven times 371 without a loss of enantioselectivities and with almost complete 372 retention of the initial palladium load. 373

High-molecular-weight helically chiral polyquinoxaline-based 374 phosphines (*P*)-**28** (see Scheme 11) have been employed for 375 other palladium-catalyzed reactions such as asymmetric Suzuki– 376 Miyaura cross-coupling (SMC) of 1-bromo-2-naphthalenephos- 377 phonic esters and *o*-substituted phenylboronic acids to afford 378 axially chiral biaryls such as biarylphosphinates (Scheme 13).<sup>24</sup> 379 s13

In particular, employing ligand (P)-28 in SMC of 1-bromo-2- 380 naphthalenephosphonic dimethyl ester 32 and (2,3-381 dimethylphenyl)boronic acid 33, at 40 °C in a mixture of 382 THF/water (10:1) with K<sub>3</sub>PO<sub>4</sub> and  $[PdCl(\pi-allyl)]_2$ , the axially 383 chiral biaryl (S)-34 was achieved in 78% yield with er up to 99:1. 384 Of note, the enantioselectivities were higher than those obtained 385 by Yin and Buchwald in one of the first examples of a catalytic 386 enantioselective cross-coupling procedure for the preparation of 387 functionalized biaryls, using KenPhos as a chiral ligand.<sup>25</sup> 388 Moreover, enantioselectivities were comparable to those 389 reported in other related works.<sup>26</sup> As in (P)-27, the helical 390 sense of (P)-28 was found to be switchable, and the left-handed 391 helical polymer ligand (M)-28 was obtained by heating at 60 °C 392 for 24 h a 1,1,2-TCE/THF (3:1) solution of (P)-28. Using ligand 393 (M)-28 in SMC of 32 and 33 with  $K_3PO_4$  and  $Pd_2dba_3$  as a base 394 and a Pd source, respectively, the opposite enantiomer (R)-34 395 was obtained with an er of 90:10, even though the reaction yield 396

Scheme 9. (A) Synthesis of Redox-Driven Chiral Switchable Catalyst 21 and (B) Control of the Enantioselectivity of a Nitro-Michael Addition Using Catalyst 21



397 was moderate (59%). Also in this case, the use of a chlorinated 398 solvent such as 1,1,2-TCE was necessary to maintain the left-399 handed helical structure of ligand (M)-**28** during the course of 400 the reaction.

The chiral switchable ligand **29** (see Scheme 11) was active in 402 Pd-catalyzed asymmetric silaborative cleavage of *meso*-methyl-403 enecyclopropanes (Scheme 14).<sup>27</sup>

s14

By reacting, at 50 °C in toluene, the bicyclic meso-404 methylenecyclopropane 35 (7-methylenebicyclo [4.1.0]heptane) 405 with the silylborane 36 in the presence of a catalytic amount of 406 407 both palladium source and ligand (P)-29, the silaboration 408 product  $(1S^*, 2S^*)$ -37 was obtained in good yield (84%) and 409 high enantioselectivity (er up to 98:2). Hence, ligand (P)-29 was 410 switched to a left-handed helical ligand (M)-29 by dissolving it in 411 a 3:1 mixture of 1,1,2-TCE and toluene at 60 °C for 24 h. Upon 412 the use of a palladium complex of the inverted (M)-29, under the  $_{413}$  same reaction conditions employed for (*P*)-29, the asymmetric 414 silaborative cleavage of 35 with 36, leading to the opposite 415 enantiomer  $(1R^*, 2R^*)$ -37, was found to be significantly slower.

The reaction rate could be reasonably improved under solvent- 416 free reaction conditions, but enantioselectivity decreased (*er* 417 87.5:12.5), likely due to an *M*-to-*P* helix reinversion during the 418 reaction at 50 °C. Eventually, by using a small amount of 1,1,2- 419 TCE as an additive, which was necessary to maintain the left- 420 handed helical structure of (*M*)-**29** during the reaction, the 421 enantiomer  $(1R^*,2R^*)$ -**37** was obtained in similar yield (80%) 422 and higher enantioselectivity (*er* up to 95.5:4.5) with respect to 423  $(1S^*,2S^*)$ -**37**.

The chiral switchable ligand (*P*)-**29** bearing  $4-CF_3C_6H_4$  425 groups (see Scheme 11) was found effective in the asymmetric 426 SMC of 1-bromo-2-naphthoate derivatives and arylboronic acid 427 (Scheme 15).<sup>28</sup> 428 s15

This asymmetric SMC of the naphthyl bromide bearing a 2,4- 429 dimethyl-3-pentyl ester **38** and 1-pyreneboronic acid **39** afforded 430 the corresponding axially chiral biaryl product (S)-**40** in high 431 yield (96%) and high enantioselectivity (*er* up to 97.5:2.5). 432 However, the presence of a bulky ester group such as 2,4- 433 dimethyl-3-pentyl ester in naphthoate substrates was found 434

Scheme 10. Dynamic Catalysis Performed with Catalysts 21: (A) Dynamic Catalysis Starting from  $\Delta$ -21 (Which Was Switched after 3 h to  $\Lambda$ -21) to Obtain Products of Opposite Absolute Stereochemistry: (S)-24 and (R)-26 and (B) Dynamic Catalysis Starting from  $\Lambda$ -21 (Which Was Switched after 3 h to  $\Delta$ -21) to Obtain Products of Opposite Absolute Stereochemistry: (R)-24 and (S)-26



Scheme 11. Structure of Solvent-Driven Chiral Switchable Polymeric Ligands 27, 28, 29, and 30



<sup>435</sup> crucial to achieving high enantioselectivities. In addition, this <sup>436</sup> ester functionality can be readily converted into other functional <sup>437</sup> groups. Stereocomplementary synthesis of the opposite <sup>438</sup> enantiomer product (R)-**40** was achieved by employing the <sup>439</sup> helically inverted ligand (M)-**30**, which was obtained by heating <sup>440</sup> (P)-**30** in a mixture of 1,1,2-TCE/THF (3:1) at 60 °C for 24 h. (R)-37 was obtained in high yield (93%) with high 441 enantioselectivity (*er* up to 95.5:4.5). The presence of 1,1,2- 442 TCE in the reaction medium was found again to be mandatory to 443 maintaining the left-handed helical structure of (*M*)-30. 444

As the use of halogenated solvents has to be avoided in Pd- 445 catalyzed reactions such as SMC, it was demonstrated that a 446

Scheme 12. Control of the Enantioselectivity of a Palladium Catalyzed Asymmetric Hydrosilylation Using Solvent-Driven Chiral Switchable Ligand 27



Scheme 13. Control of the Enantioselectivity of an Asymmetric Suzuki–Miyaura Cross-Coupling Using the Solvent-Driven Chiral Switchable Ligand 28







<sup>447</sup> random copolymer (about 1050-mer) of poly(quinoxaline-2,3-<sup>448</sup> diyl) bearing L-lactic acid groups with pentyl esters as side chains <sup>449</sup> and diphenylphosphino pendants **41** could be used as a chiral <sup>450</sup> switchable polymeric ligand for asymmetric SMC also in ethereal <sup>451</sup> solvents (Scheme 16).<sup>29</sup>

The ligand 41 showed an effective solvent-dependent helix 452 453 inversion between 1,2-dimethoxyethane (1,2-DME, M-helix) and methyl tert-butyl ether (MTBE, P-helix) by heating it for 24 h 454 at 60 °C. Thus, by carrying out the SMC of compound 32 and 1-455 456 naphthyl-boronic acid 42 at 40 °C with K<sub>3</sub>PO<sub>4</sub> and Pd<sub>2</sub>dba<sub>3</sub>, both 457 enantiomeric biaryls were obtained in high er employing 1,2-DME (*er* up to 95.5:4.5 for (*R*)-43) and MTBE (*er* up to 96.5:3.5 458  $_{459}$  for (S)-43) as solvents, albeit the yields were moderate (45% for  $_{460}$  (R)-43, and 71% for (S)-43). However, the enantioselectivities are comparable to those previously obtained with ligands 28 and 461 30 using as solvents either THF or a mixture 1,1,2-TCE/THF. 462

<sup>463</sup> The solvent-dependent helical inversion of copolymers of <sup>464</sup> poly(quinoxaline-2,3-diyl) was proven also in hydrocarbon <sup>465</sup> solvents. In particular, the random copolymer (about 1000-<sup>466</sup> mer) of poly(quinoxaline-2,3-diyl) containing (S)-3-octylox-<sup>467</sup> ymethyl side chains and diphenylphosphino pendants **44**  exhibited solvent-dependent helical inversion switching from n-  $_{468}$  octane to cyclooctane (Scheme 17).<sup>30</sup> 469 s17

By employing ligand (M)-44 in palladium catalyzed hydro- 470 silylation of styrene in *n*-octane, the product (R)-31 was afforded 471 in 88% yield with *er* up to 97:3. When the same reaction was 472 carried out in cyclooctane, causing a switch of the helical sense 473 from (M)-44 to (P)-44, the product (S)-31 with opposite 474 stereochemistry was obtained in 89% yield with *er* up to 95:5. 475

Last but not least, Suginome and co-workers demonstrated 476 that the supramolecular approach of helical majority rules<sup>31</sup> is 477 applicable to the random copolymer (about 1000-mer) of 478 poly(quinoxaline-2,3-diyl) containing octan-2-yloxy side chains 479 and diphenylphosphino pendants **45**, in which the chiral side 480 chains were derived from (*R*)-2-octanol with a low enantiomeric 481 purity (23%; Scheme 18).<sup>32</sup> 482 s18

Measurement of the CD spectrum in chloroform of **45**  $_{483}$  revealed that the amplification of chirality due to majority rules  $_{484}$  was enough for adopting a right-handed helical structure: (*P*)-**45**  $_{485}$  (screw-sense excess >99%). As in the case previously discussed,  $_{486}$  the screw-sense was easily inverted to the left (*M*)-**45** by heating  $_{487}$  (*P*)-**45** in a mixture (3:1) of 1,1,2-TCE and toluene or 1,1,2-TCE  $_{488}$ 

Scheme 15. Control of the Enantioselectivity of an Asymmetric Suzuki–Miyaura Cross-Coupling Using the Solvent-Driven Chiral Switchable Ligand 30



Scheme 16. (A) Structure of the Solvent-Driven Chiral Switchable Polymeric Ligand 41 and (B) Control of the Enantioselectivity of an Asymmetric Suzuki–Miyaura Cross-Coupling Using Ligand 41





(A)

489 and THF at 60 °C for 24 h. The polymeric ligand 45 was 490 efficiently employed in both asymmetric hydrosilylation of  $\beta$ -<sup>491</sup> methylstyrene 46 and asymmetric SMC of 32 and 42. By using (P)-45 in hydrosilylation of 46 without solvent, the product (S)-492 47 was afforded in 89% yield with er up to 97:3, while when the 493 494 helical sense was switched from (P)-45 to (M)-45 the opposite enantiomer (R)-47 was afforded in 90% yield with er up to 495 496 97.5:2.5 employing 1,1,2-TCE/toluene (3:1) as a reaction 497 medium. As to the asymmetric SMC of 32 and 42, such a 498 reaction carried out in the presence of (P)-45 or (M)-45 499 confirmed the obtainment of an asymmetric amplification. In 500 particular, in the presence of (P)-45, the axially chiral product (S)-43 was obtained in 41% yield with high enantioselectivity (*er* 501 502 up to 96.5:3.5). When (M)-45 with inverted helical chirality was so3 used, the opposite product (R)-43 was afforded in 74% yield with <sup>504</sup> the same level of enantioselectivity (*er* up to 96:4). As expected, 505 the assistance of 1,1,2-TCE in the reaction media was found to be 506 mandatory to maintain the left-handed helical structure of the 507 ligand.

<sup>508</sup> Finally, it should be noted that other catalytic systems have <sup>509</sup> been demonstrated to exhibit solvent-dependent stereoselectiv-<sup>510</sup> ity,<sup>33</sup> but in all of these cases the nature of the catalyst is not <sup>511</sup> switchable. In some cases, the origin of solvent-dependent <sup>512</sup> stereodiscrimination has been attributed to effects of the <sup>513</sup> enthalpy–entropy compensation or addition of other additives <sup>514</sup> or charge stabilization factor of solvents.

#### 5. SUMMARY AND OUTLOOK

515 In this Perspective, we addressed the very recent achievement 516 reached in chiral switchable catalysis to obtain dynamic dual 517 enantiocontrol in asymmetric synthesis. Since many pharmacological investigations often need both enantiomers to study 518 potentially different activities and side effects,<sup>34</sup> attaining dual 519 enantiocontrol using this approach could become very attractive, 520 and moreover it could eliminate the need for a parallel synthesis 521 of the opposite enantiomer of the designed catalyst. 522

Three different conceptual approaches have been presented 523 according to the trigger employed to obtain the stereochemical 524 control of the reaction. In the first one, based on light- and/or 525 heat- driven chiral switchable catalysts, Wang and Feringa's 526 catalysts **1**, **5**, and **9** have been dealt with. These catalysts are in 527 principle the most elegant candidates as chiral switchable 528 catalysts because they possess a three-way switch: in the first 529 one the catalyst is in its *off* state (no activity), while in the other 530 two the catalyst is in its *on* state, giving opposite stereochemistry 531 of the products. However, these catalysts sometimes showed 532 complications in their isomerization steps necessary for the 533 switchability. Nevertheless, there are no doubts that their design 534 paved the way to the dynamic control of enantioselectivity using 535 a single switchable catalytic source.

In the second approach, based on redox-driven chiral 537 switchable catalysts, the metal complex **21** proposed by Canary 538 and co-workers has offered a new way to think about chiral 539 switchable catalysts. Compound **21** represents simultaneously an 540 organocatalyst and transition metal complex. In fact, the urea 541 groups are the active functionalities influencing the catalytic 542 activity, while the oxidation state of metal is the tool to control 543 the helical preference allowing the desired dual stereocontrol. 544

In the third approach, based on solvent-driven chiral 545 switchable catalysts, several copolymers of poly(quinoxaline- 546 2,3-diyl) bearing chiral side chains and diarylphosphino pendants 547 proposed by Suginome's group have been discussed as chiral 548

Perspective

Scheme 18. (A) Structure of the Solvent-Driven Chiral Switchable Polymeric Ligand 45, (B) Control of the Enantioselectivity of a Palladium Catalyzed Asymmetric Hydrosilylation Using Ligand 45, and (C) Control of the Enantioselectivity of an Asymmetric Suzuki–Miyaura Cross-Coupling Using Ligand 45



switchable ligands for accelerating asymmetric palladium 549 catalyzed reactions. The results achieved with the ligand 45, in 550 which the chiral side chains derived from (R)-2-octanol with low 551 enantiomeric purity (23%), are noteworthy because the dynamic 552 ature of its helical preference not only allows for the dual 553 enantiocontrol of the reaction but also leads to enantiomerically 554 enriched products with er higher than that of the chiral catalyst (a 555 phenomenon referred to as amplification of chirality). 556

In conclusion, the chemistry dealt with herein represents today ss8 only a proof of principle. Nevertheless, the progress in the field of metal catalysis, organocatalysis, organic synthesis, and supramolecular chemistry will hopefully stimulate and inspire "brave" s61 researchers to put forth further efforts aimed at broadening the s62 availability of chiral switchable catalysts. The door is open; it will s63 need to open the way further!

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### ACKNOWLEDGMENTS 575

G.R. and P.M. acknowledge Politecnico di Bari for Fondi di 576 Ricerca di Ateneo (FRA2016). L.D. and R.L. thank the 577 578 University of Bari for Fondi di Ricerca di Ateneo (FRA-uniba-579 2016).

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