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# High-Density Near-Ultraviolet Silicon Photomultipliers: characterization of photosensors for Cherenkov light detection

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# Abstract

In recent years, Silicon Photomultipliers (SiPMs) have proven to be highly suitable devices for applications where high sensitivity to low-intensity light and fast responses are required. Among their many advantages are their low operational voltage when compared with classical photomultiplier tubes, mechanical robustness, and increased photon detection efficiency (PDE).

Here we present a full characterization of a SiPM device technology developed in Italy by Fondazione Bruno Kessler, which is suitable for Cherenkov light detection in the Near-Ultraviolet (NUV) band. This device is a High-Density (HD) NUV SiPM, based on a microcell of 40  $\mu$ m × 40  $\mu$ m and with an area of 6 × 6 mm<sup>2</sup>, providing low levels of dark noise and high PDE peaking in the NUV band. This particular device has been selected to equip a part of the focal plane of the Schwarzschild-Couder Telescope (SCT) prototype proposed for the Cherenkov Telescope Array (CTA) Observatory.

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Keywords:

silicon photomultipliers, Cherenkov telescopes, very-high-energy astrophysics

# 1. Introduction

		9
2	In recent years, Silicon Photomultipliers (SiPMs) are being	, 10
3	widely tested and used for applications in which response to	) <sub>11</sub>
4	fast and low-intensity light signals is required. The small pixel	L 12
5	size and the relatively low cost per channel make these devices	, 12
6	interesting also for applications in which a deep imaging reso-	- 14
7	lution is necessary.	14
	•	10

\*Corresponding authors *Email addresses:* aramo@na.infn.it (C. Aramo), elisabetta.bissaldi@ba.infn.it (E. Bissaldi), leonardo.divenere@ba.infn.it (L. Di Venere) high-energy gamma-ray astrophysics field. Major Imaging Atmospheric (or Air) Cherenkov Telescopes (IACTs) cameras operating since the mid-'80s have been employing photomultiplier tubes (PMTs). However, over the past 10 years, the great advancement in solid-state photosensors has proven those SiPMs whose efficiency is maximized for the detection of nearultraviolet (NUV) wavelengths to represent a valid alternative to the PMT technology. Their single-photon-counting capability represents a powerful tool for signal calibration, and their compactness and low operational voltage (<100 V) allow a significant simplification of the camera design.

This is particularly true for cameras employed in the very-

The first such sensors to be mounted on an IACT were 73 20 Geiger-mode Avalanche Photodiodes (G-APDs), which of-74 21 fered a high gain and demonstrated the crucial capability to be 75 22 operated under much brighter light conditions, allowing ob-76 23 servations during moon time. A fully equipped camera based 77 24 on G-APDs was successfully mounted on the First G-APD 78 25 Cherenkov Telescope (FACT), installed in 2011 at the Ob-79 26 servatorio del Roque de los Muchachos in La Palma (Canary 80 27 Islands, Spain) [1]. Over the past years, the excellent and stable 81 28 performance of FACT's camera allowed for consistent mea- 82 20 surements and increased the observation time around the full 83 30 moon, for a total of ~14000 hours of physics data recorded [2]. 84 31 The Cherenkov Telescope Array (CTA) project is a multina- 85 32 tional, worldwide project to build a new generation of ground- 86 33 based gamma-ray instruments<sup>1</sup>. Currently, the CTA Consor-34 tium includes ~1500 members from more than 150 institutes in 87 35 25 countries. The first concept behind CTA was born in 2005. 88 36 Right from the start, it aimed to achieve a factor of 5-10 im-37 provement in sensitivity in the 100 GeV to 10 TeV range with ... 38 respect to operating facilities, and to extend to energies well be- at 39 low 100 GeV and above 100 TeV by means of tens of IACTs 202 40 [3]. These will be arranged in two arrays, one in the Northern  $_{qq}$ 41 hemisphere, at the Observatorio Roque de Los Muchachos in a 42 La Palma on the Canary Islands, and the other one in the South-43 ern Hemisphere next to the European Southern Observatory's on 44 (ESO's) existing Paranal Observatory in the Atacama Desert, <sub>97</sub> 45 in Chile. The plan is to operate CTA as an open observatory 98 46 [4]. 47

In October 2013, the Italian Ministry approved the fund-100 48 ing of a Research and Development (R&D) study, within, 49 the Progetto Premiale TElescopi CHErenkov made in Italy<sub>102</sub> 50 (TECHE.it), devoted to the development of a demonstrator for<sub>103</sub> 51 a camera for CTA. A joint venture between several Universities, 52 the Italian National Institute for Nuclear Physics (INFN), the,105 53 Italian National Institute for Astrophysics (INAF), and various 54 industrial partners designed and constructed a very compact 55 camera demonstrator, made by nine photo-sensor modules 56 equipped with NUV SiPMs produced by Fondazione Bruno 57 Kessler (FBK)<sup>2</sup> in Italy, Front-End Electronics (FEE) based on 58 the TARGET-7 ASIC, a 16-channel fast sampler (1 GS/s) with 59 deep buffer, self-trigger, and on-demand digitization capabili-60 ties [5]. 61

In 2015, the Italian venture joined the consortium of US 62 institutions organized to construct a prototype Schwarzschild-63 Couder telescope  $(pSCT)^3$  with a 9.7 m aperture to test the fea-64 sibility of an innovative SC Medium Sized Telescope (MST) 65 design for the CTA observatory [6]. The pSCT construction 66 started in fall 2015 at the Fred Lawrence Whipple Observatory 67 in Arizona (USA). At that time, an agreement was reached to 68 equip a section of the focal plane of the pSCT telescope with 69 Italian SiPMs produced by FBK [7]. The design of the camera 70 foresees 11328  $6 \times 6 \text{ mm}^2$  SiPM pixels, arranged in 64-pixel 71 detection modules. Currently, the only equipped sector of the 72

camera hosts 1536 pixels, out of which 576 are FBK SiPMs while the rest are Hamamatsu S12642-0404PA-50(X) SiPMs. For further details on the pSCT camera, the reader is addressed to [8].

Assembly and testing of the camera were completed in early May 2018, and it was installed on the telescope at the end of the same month. The pSCT was inaugurated in January 2019 [9, 10]. A few days later, the telescope recorded its first light, including its first detection of particle showers. Even with the reduced number of installed pixels, the telescope successfully proved the feasibility of the design. Recently, the SCT collaboration announced the detection of TeV gamma rays from the Crab Nebula, proving the viability of the novel telescope design in gamma-ray astrophysics [11].

# 1.1. Evolution of FBK SiPM devices and the synergy with INFN

FBK has been developing SiPMs since 2006 [12]. Different technologies and designs have been implemented since that time to improve the device performance. The optimization process involved simulations, design, fabrication, production, and characterization [13]. The synergy between FBK and INFN contributed to the development of an ad-hoc research line of highly sensitive photon detectors dedicated to Cherenkov applications. The basic structure of these SiPMs consists of a  $p^+$ -n junction whose design was optimized by FBK for the detection in the blue-NUV region of the electromagnetic spectrum [14, 15]. In particular, FBK progressively improved NUV SiPM technology focusing mainly on the optimization of the active high-field region with the aim of increasing the avalanche triggering probability, decreasing the dark count rate (DCR), and enhancing the uniformity and temperature stability [16]. Maintaining the same device structure, they finally optimized the silicon material to mitigate the delayed correlated noise [17]. In



Figure 1: Picture of a FBK NUV-HD SiPM (HD3) with an area of  $6 \times 6 \text{ mm}^2$  and a 40  $\mu$ m-cell pitch. The SiPM is covered by an UV-transparent epoxy resin which acts as a shielding.

<sup>&</sup>lt;sup>1</sup>For more details please visit https://www.cta-observatory.org/ <sup>2</sup>https://srs.fbk.eu/

<sup>&</sup>lt;sup>3</sup>https://cta-psct.physics.ucla.edu/

Table 1: Summary of FBK NUV-HD SiPMs tested for this work and their characteristics

Device type	Size $\left[ mm^2 \right]$	Cell pitch [ $\mu$ m]
HD1	6 × 6	30
HD3	6 × 6	40

<sup>106</sup> order to further improve the characteristics of the NUV tech-<sup>107</sup> nology in terms of optical cross-talk (CT), photodetection ef-<sup>108</sup> ficiency (PDE), and dynamic range, FBK introduced in 2016 <sup>109</sup> new SiPMs with a cell pitch down to 15  $\mu$ m, optical trenches <sup>152</sup> to better isolate the microcells and fill factor (FF) much higher <sup>153</sup> compared to the previous generation of SiPMs, the so-called <sup>154</sup> NUV-HD (high-density) SiPMs [18, 19].

Different types of NUV-HD devices were tested between 113 2016 and 2018 at the INFN laboratories in Italy, including those 114 of the Bari, Napoli, Perugia, and Pisa units, in order to verify 115 and compare their performances. The first results on the first 116 generation of NUV-HD SiPMs (which we refer to as HD1) with 117 an area of  $6 \times 6 \text{ mm}^2$  and pitch of 30  $\mu$ m are reported in [20]. 118 The evolution of the technology led to the production of SiPMs 119 with an area of  $6 \times 6 \,\mathrm{mm^2}$  and an increased pitch of 40  $\mu\mathrm{m,}_{_{163}}$ 120 which have been employed for the pSCT camera [8]. We refer 121 to these devices as HD3 (see Table 1). A picture of a FBK 122 NUV-HD SiPM (HD3) is shown in Figure 1. 123

In order to characterize the SiPMs and to determine their 166 124 main properties, we separately tested devices with an active 125 area of  $1 \times 1 \text{ mm}^2$  and  $6 \times 6 \text{ mm}^2$ . In this work we focus on<sup>167</sup> 126 the performance of the SiPM selected for the pSCT camera,168 127 the FBK NUV-HD3  $6 \times 6 \text{ mm}^2$  SiPMs, specifically developed<sup>169</sup> 128 for CTA applications. We report the characterization measure-170 129 ments of the HD3 devices, comparing their performances with<sup>171</sup> 130 the first generation of  $6 \times 6 \text{ mm}^2$  NUV-HD (HD1) devices, fo-<sup>172</sup> 131 cusing on the results available for both technologies. 132

The paper is organized as follows. In Section 2 we present<sup>174</sup> 133 the results of the static (DC) measurements performed at INFN<sup>175</sup> 134 Bari, leading to the estimation of the breakdown voltage at dif-135 ferent temperatures, a parameter which is fundamental for sub-136 sequent measurements. Results of the dynamic characterization 137 are given in Section 3. These parameters provide guidance on 138 defining the operational conditions when using SiPMs and are 139 extracted during the normal operation of the device. They in-140 clude gain and its dependence on the bias voltage, correlated 141 noise, recovery time and DCR. The photon detection efficiency 142 determination is presented in Section 4 and shows measure-143 ments performed at INFN Napoli at different wavelengths (from 144 400 to 880 nm) and various incident angles of the radiation. Fi-145 nally, in Section 5 we draw our conclusions. 146

#### 147 2. Static characteristics

We first discuss the current-voltage (IV) characteristics of the tested devices. The IV characteristic measurement can be achieved by acquiring the current measured by the power supply, i.e. measuring the current drain of the sensor as a function



Figure 2: Scheme of the setup used to measure the IV characteristics of the SiPMs.

of the bias voltage. We made use of a Keithley 2400 Source Meter Unit (SMU) in order to provide the bias voltage  $V_b$  and to measure the SiPM output current *I* in DC mode. We tested the temperature dependence of the IV characteristics by placing the devices in a light-proof climate chamber (ACS Compact Test Chamber Model DY16T) in total dark conditions. We placed a thermocouple right behind the SiPM in order to measure the temperature directly on the device. We connected a DAQ computer to both the SMU and the climate chamber, in order to set the desired chamber temperature and simultaneously check the thermocouple temperature during data acquisition. A scheme of the setup is shown in Figure 2. We sampled the forward and reverse IV curves in a temperature range between  $-15^{\circ}$ C and  $35^{\circ}$ C in steps of  $5^{\circ}$ C.

#### 2.1. Breakdown voltage

The reverse IV curve allows the measurement of the breakdown voltage  $V_{BD}$  of the device, which is needed to correctly polarize it in the G-APD regime. All the properties described in the following sections are given as a function of the applied over-voltage (OV), i.e. the difference between the bias voltage and the breakdown voltage:  $V_{OV} = V_b - V_{BD}$ . If  $V_{OV} > 0$ , the avalanche photodiodes in a SiPM are in Geiger mode. The over-voltage represents a key adjustable parameter affecting the operation and performance of a SiPM.



Figure 3: Reverse Current-Voltage (IV) curves measured at different temperatures for the NUV-HD3 SiPM.



Figure 4: Temperature dependence of the breakdown voltage between  $T = -15^{\circ}C$  and  $T = 35^{\circ}C$  for HD1 (orange stars) and HD3 (blue dots) devices. A linear fit is superimposed to the data (solid curves).

Figure 3 shows the acquired reverse IV curves at differ- $_{188}$  ent temperatures. In order to correctly derive the values of  $_{189}$  the breakdown voltage, we follow a method proposed by [21], $_{190}$  which is based on [22, 23]. As already noted by [12] and further  $_{191}$  explained by [21], the IV curve of individual SiPM cells (also  $_{192}$  called single-photon avalanche photodiodes or SPADs) can be  $_{193}$  described by a parabola above the breakdown. To obtain the  $_{194}$  breakdown voltage from an IV curve, [23] proposes using the  $_{195}$  voltage where  $(dI/dV_b)/I$  is maximal, whereas [22] proposes  $_{196}$  using the maximum of  $dln(I(V_b))/dV_b$ . [21] verified how both  $_{197}$  methods are equivalent and yield identical results:

$$\frac{dI/dV_{\rm b}}{dI} = \frac{dlnI(V_{\rm b})}{dI} = \frac{2}{(1)m}$$

$$\frac{V_{\rm b}}{I} = \frac{V_{\rm b}}{dV_{\rm b}} = \frac{V_{\rm b}}{V_{\rm b} - V_{\rm BD}} \,. \tag{1}_{201}$$

Results for the breakdown voltage determination at differ-202 176 ent temperatures for the tested HD1 and HD3 SiPMs are given<sup>203</sup> 177 in Figure 4. The slopes of the linear fits applied to the mea-204 178 surements reveal the same temperature dependence  $\beta$  for both 179 devices (within the error), namely  $\beta_{\text{HD1}} = (31.7 \pm 0.4) \text{ mV/}^{\circ}\text{C}$ 180 and  $\beta_{\text{HD3}} = (31.1 \pm 0.5) \text{ mV/}^{\circ}\text{C}$ . At room temperature (T = 181  $25^{\circ}$ C), we find that  $V_{BD, HD1} = (28.01 \pm 0.04)$  V and  $V_{BD, HD3} =$ 182  $(26.49 \pm 0.02)$  V. Uncertainties are obtained from the fit proce-183 dure taking into account the errors on individual measurements. 184 We note that these values are in perfect agreement with those 185

#### 187 2.2. Quenching resistor and saturation current

reported by FBK in [19].

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A SiPM consists of  $N_{cell}$  p-n junctions connected in parallel [24]. The microcells behave as diodes with a series resistor known as quenching resistor. The resulting forward IV curves shown in Figure 5 can be approximated with a Shockley diode law with an additional resistive component given by the parallel of the  $N_{cell}$  quenching resistors  $R_q$  [24]. We fitted the Voltage-Current (VI) curves with the following equation:

$$V = n_{\rm id} V_{\rm T} \cdot \ln\left(\frac{I}{I_{\rm s}} + 1\right) + R_{\rm s} \cdot I, \qquad (2)$$



Figure 5: Forward Current-Voltage (IV) curves measured at six different temperatures between T =  $5^{\circ}$ C and T =  $35^{\circ}$ C in steps of  $5^{\circ}$  for the NUV-HD3 SiPM. The solid curves represent the best fit curves obtained with Equation (2).

where V is the applied voltage,  $n_{id}$  is the ideality factor,  $V_T$  is the thermal voltage, I is the measured current,  $I_s$  is the saturation current, and  $R_s$  results from the parallel connection of  $N_{cell}$ quenching resistors, i.e.  $R_s = R_q/N_{cell}$ . Having a cell pitch of 40  $\mu$ m, we calculated the number of cells for the 6 × 6 mm<sup>2</sup> HD3 devices as  $N_{cell} = (6 \text{ mm}/40 \,\mu\text{m})^2 \approx 22500$ . We point out that we performed the analysis of the forward IV measurements only for positive temperatures above 5°C. At lower temperatures the effect of the device heating up due to the intense current (~ mA) prevented to keep a stable temperature on the SiPM surface.

For each temperature, we estimated  $n_{id}$ ,  $R_s$  and  $I_s$  from the fit reported in Figure 5. The value of  $n_{id}$  is weakly changing with temperature, ranging between 1.2 and 1.5, while the temperature dependence of  $R_q$  and  $I_s$  are shown in Figures 6 and 7, respectively. It can be seen that  $R_q$  decreases with temperature, from ~1.30 M $\Omega$  at 5°C to ~1 M $\Omega$  at 35°C. The dependence is



Figure 6: Quenching resistance  $R_q$  measured at different temperatures between  $T = 5^{\circ}$ C and  $T = 35^{\circ}$ C in steps of 5° for the NUV-HD3 SiPM. A linear fit is superimposed to the data (solid curve).

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Figure 8: Scheme of the setup used to measure the dynamic characteristics of the SiPMs.

Figure 7: Saturation current  $I_s$  measured at different temperatures between  $T = _{238}$ 5°C and T = 35°C in steps of 5° for the NUV-HD3 SiPM. Note that  $I_s$  is displayed in logarithmic scale. A linear fit is superimposed to the data (solid<sup>239</sup> curve). <sup>240</sup>

almost linear with a coefficient of  $(-10.2 \pm 0.4) \text{ k}\Omega/^{\circ}\text{C}$ . This<sup>242</sup> 205 behaviour is strictly related to the SiPM technology and de-206 pends on the internal structure of the poly-silicon quenching 207 resistor [19]. The saturation current displayed in logarithmic 208 scale in Figure 7 is exponentially increasing from  $\sim 6 \text{ pA}$  at 5°C<sup>246</sup> 209 to  $\sim$ 70 pA at 35°C, due to the increase in the carriers' intrinsic 210 248 concentration in the material. 211 249

## 212 3. Dynamic characteristics

In the following we present a dynamic characterization of the studied SiPMs, which extracts parameters that are crucial for the operation of a SiPM, such as the gain, the signal-tonoise ratio (SNR), the correlated noise, the recovery time, and the dark count rate.

Figure 8 shows the scheme of the experimental setup.  $We^{^{257}}$ 218 carried out all measurements in the same light-proof climate<sup>258</sup> 219 chamber used for the static characterization and repeated them<sup>259</sup> 220 at different temperatures. In order to illuminate the devices, 221 we employed a picosecond laser emitting at 375 nm operated in 222 pulse mode and controlled by a Pulse Diode Laser Driver (Pico-223 Quant PDL 800-B). The laser driver was controlled by a pulse 224 generator, whose signal was also sent to a 1 GHz Lecroy os-225 cilloscope to trigger the acquisitions (Lecroy HDO 6104). The 226 light intensity was set such that only a few photons per event 227 could be detected by the SiPM. The SiPM signal was amplified 228 with a commercial analog FEE board<sup>4</sup> consisting of an opera-229 tional amplifier in transimpedance configuration followed by a 230 gain stage. 231

Measurements were carried out in a temperature range between -10°C and 20° in steps of 10°C. For each temperature setting, we collected a few thousand waveforms over a wide bias voltage interval, approximately between 2 V and 13 V of OV. A collection of waveforms measured at  $V_{OV} = 4.9$  V and T = 10°C for the HD3 device is shown in Figure 9. It can be clearly seen how SiPM signals typically present a sharp rising edge of hundreds of ps followed by a slower tail from tens to hundreds of ns, which depends on several parameters such as the junction capacitance, the quenching resistor and its parasitic capacitance, the size of the device and the input resistance of the FEE. The amplitude and the integral of the signal are both related to the number of photons simultaneously detected (see section 3.2 for more details). Thanks to the very low noise of the devices tested and of the selected FEE, single photo-electron (p.e.) signals can be easily distinguished, highlighting the photon counting capabilities of these devices.

In our anaysis, we first evaluated the baseline of each waveform by averaging the signal over a 200 ns-long time interval before the onset of the laser induced signal. We then subtracted this baseline value from the whole waveform. We note that this procedure is crucial in order to get rid of the DC offset due to the pre-amplifier. Subsequently, we analyzed the SiPM signals in terms of amplitude, by determining the peak amplitude of the waveform, and in terms of charge, by integrating the waveform from the onset of the signal up to a certain integration time and dividing by the transimpedance gain of the FEE. Both methods



Figure 9: Collection of waveforms for the NUV-HD3 SiPM acquired at T =  $10^{\circ}$ C and  $V_{OV}$  = 4.9 V. Vertical dashed lines mark the beginning and the end of the 700-ns-long integration interval used for the charge analysis.

<sup>&</sup>lt;sup>4</sup>https://advansid.com/products/product-detail/ asd-ep-eb-n



Figure 10: Charge distribution obtained from the analysis of the waveforms<sup>299</sup> presented in Figure 9. The charge is calculated over an integration time of<sup>300</sup> 700 ns, starting at the onset of the signal. A multi-gaussian fit (red solid curve)<sub>301</sub> is superimposed on experimental data.

provide totally consistent results, thus in the following para graph we present parameters obtained from the charge analysis
 unless otherwise stated.

Figure 10 shows the charge distribution resulting from the 263 analysis of the waveforms presented in Figure 9. This distribu-264 tion was obtained by integrating all waveforms over a time in-265 terval long enough to cover the whole tail of the signal (700 ns, 266 indicated by the vertical dashed lines in Figure 9) and by divid-267 ing the result by the transimpedance gain of the FEE amplifier. 268 All spectra are characterized by equally spaced peaks which 269 represent the number of fired microcells. Although the response 270 of smaller devices has a better resolution than that of larger ones 271 [25],  $6 \times 6 \text{ mm}^2$  devices can also distinguish the number of pho-272 tons that started an avalanche in the silicon active areas down 273 to the single photo-electron. 274

Each peak can be modelled as a Gaussian function with a sigma driven by the fluctuations of the voltage at which the avalanche stops, structural differences between cells in the single SiPM, and noise introduced by the readout electronics. In order to quantify the performances of the devices, we fitted the histograms of amplitudes and charges using a parametrization based on the sum of Gaussian distributions. The fitting function used is given by:

$$\mathcal{M}(x) = \sum_{i=0}^{N} a_i \mathcal{G}(x; \mu_i, \sigma_i), \qquad (3)$$

where x represents the central value of each histogram bin, 275  $\mathcal{G}(x;\mu_i,\sigma_i)$  is a normalized Gaussian distribution with mean  $\mu_i$ 276 and width  $\sigma_i$ , and  $a_i$  is the normalization factor of the i-th com-277 ponent. The sum runs up to an arbitrary number N that depends 278 on the number of populations resolved in the distribution, or 279 equivalently to the maximum number of fired SiPM microcells 280 that are statistically significant. The number of events with i281 fired microcells is then evaluated by the integral of the *i*-th com-282 283 ponent  $a_i G(x; \mu_i, \sigma_i)$ .

#### 3.1. Gain and Signal-to-Noise Ratio

We evaluated the SiPM gain by analysing the  $6 \times 6 \text{ mm}^2$  devices. We integrated the full tail of the waveforms up to 700 ns after the signal onset, in order to estimate the full charge of each event. The absolute gain is obtained dividing the charge associated to a single p.e. by the electron charge.

The top panel of Figure 11 shows the gain as a function of the applied over-voltage of the HD3 SiPM at four different temperatures (from  $-10^{\circ}$ C to  $20^{\circ}$ C). Measurements at T =  $20^{\circ}$ C (light green crosses) are limited to a smaller OV range, given the excessively high SiPM noise at OV values greater than 8 V. All data points are compatible within statistical uncertainties, hence no temperature dependence of the gain is observed. The gain dependence on the OV is evaluated with a linear fit and ranges between  $(7.1 \pm 0.1) \cdot 10^5$ /V and  $(7.4 \pm 0.6) \cdot 10^5$ /V, corresponding to a total cell capacitance of  $(113.7 \pm 1.6)$  fF and  $(118.5 \pm 9.6)$  fF, respectively.

In order to highlight the improvement of the FBK NUV-



Figure 11: Gain as a function of the applied over-voltage for the HD3 SiPM at different temperatures (top panel) and for HD1 and HD3 SiPMs at a fixed temperature  $T = 10^{\circ}C$  (bottom panel). Linear fits (solid lines) are shown superimposed on experimental data.

HD3 SiPMs over the first generation of NUV devices (HD1).320 302 we compare their absolute gain at an exemplifying temperature<sub>321</sub> 303 of  $T = 10^{\circ}C$ , as can be seen in the bottom panel of Figure<sub>322</sub> 304 11. At the same OV, HD3 SiPMs exhibit an overall gain that<sub>323</sub> 305 is much larger than the HD1 SiPMs' one, reaching a value of<sub>324</sub> 306  $(4.01\pm0.02)\cdot10^6$  for 5 V of OV. This difference can be explained<sub>325</sub> 307 by the fact that the two devices have a different microcell area.326 308 Moreover, the gain dependence from the OV is smaller for HD1327 309 SiPMs ( $(1.6 \pm 0.1) \cdot 10^5$ /V) with respect to HD3 SiPMs ( $(7.0 \pm 328)$ 310  $(0.1) \cdot 10^5 / V$ ). 320 311

We note that the extrapolation of the linear fit to zero gain<sub>330</sub> 312 provides an alternative method to infer the breakdown voltage331 313 of the device [21]. The bottom panel of Figure 11 shows that<sub>332</sub> 314 this value  $(V_{BD}^{Gain})$  is smaller than the one derived in Section 2.1<sub>333</sub> 315  $(V_{\rm BD})$ , the difference  $\Delta V_{\rm BD} = V_{\rm BD} - V_{\rm BD}^{\rm Gain}$  being  $\Delta V_{\rm BD} = (0.59 \pm_{334})^{10}$ 316 0.02) V for HD3 SiPMs (~2.2%) and  $\Delta V_{BD} = (0.36 \pm 0.09) V_{335}$ 317 for HD1 SiPMs (~1.3%) at T =  $10^{\circ}$ C. This minor effect, exten-336 318 sively discussed in [21] and [26], where results of various meth-337 319



ods used to determine the breakdown voltages of various types of SiPMs are compared, might be due to systematic uncertainties in the calibration of the signal chain used in the dynamic characteristic setup.

Next we evaluated the single p.e. SNR for each device, defined as the ratio of the gain per p.e. divided by the standard deviation of the pedestal. Figure 12 shows the evolution of the SNR as a function of the applied over-voltage. In the top panel we investigate the SNR of the HD3 SiPM at four different temperatures (from  $-10^{\circ}$ C to  $20^{\circ}$ C). At lower temperatures the SNR significantly improves thanks to the reduced electronic noise of the system. As can be seen in the bottom panel of Figure 12, at a temperature T =  $10^{\circ}$ C HD3 SiPMs can reach a SNR as high as ~ 9 for  $V_{OV} > 5$  V, while HD1 SiPMs only reach a maximum value of SNR ~ 8 at  $V_{OV} > 10$  V. We point out that the quoted values are not an absolute indication of the SNR which finally depends on the different measurement conditions and distinct readout electronics (see [8] for more details regarding the NUV-HD3 SiPM application in the pSCT camera).

#### 3.2. Correlated noise

In order to evalute the SiPM's correlated noise, we analyzed the multi-gaussian charge distributions obtained by integrating the waveforms presented in Figure 9 over a shorter time interval of 30 ns, as shown in Figure 13. The choice of a shorter integration time is necessary in order to avoid the delayed correlated noise and dark pulses entering in the integration window.

Refering to equation 3, we extracted the area parameters  $a_i$  of the individual peaks, which represent the number of occurrence of zero, one, two, ..., *i* photo-electrons, whose distribution follows the photon statistics. Following the prescription suggested in [27], we parametrised it with a compound Poisson distribution, which takes into account the Poissonian behaviour of the number of photons detected in the SiPM microcells and



Figure 12: SNR as a function of the applied over-voltage for the HD3 SiPM at different temperatures (top panel) and for HD1 and HD3 SiPMs at a fixed temperature  $T = 10^{\circ}C$  (bottom panel). The dashed lines only connect experimental data and do not represent an analytical fit.

Figure 13: Charge distribution obtained from the analysis of the waveforms presented in Figure 9. The charge is calculated over an integration time of 30 ns, starting at the onset of the signal. A multi-gaussian fit (red solid curve) is superimposed on experimental data.

the cross-talk between microcells:

$$f_k(n_{pe}, p) = \frac{e^{-n_{pe}} \sum_{i=0}^k B_{i,k} \left[ n_{pe}(1-p) \right]^i \cdot p^{k-i}}{k!}$$

$$B_{i,k} = \begin{cases} 1 & \text{if } i = 0 \text{ and } k = 0 \\ 0 & \text{if } i = 0 \text{ and } k > 0 \\ \frac{k!(k-1)!}{i!(i-1)!(k-i)!} & \text{otherwise} \end{cases}$$
(4)

The distribution  $f_k(n_{pe}, p)$  for the number of events with *k* fired microcells depends on the average number of converting photons  $n_{pe}$  and on the cross-talk probability or correlated noise probability, *p*, and it reduces to a standard Poisson distribution with mean  $n_{pe}$  for the case p = 0. We refer to [27] for the details of the analytical parametrization.

The value of  $n_{pe}$  normalized to its maximum value gives an estimate of the relative photon detection efficiency (PDE). Figures 14 and 15 show the best fit values obtained for  $n_{pe}$  (normalized to its maximum) and for the cross-talk probability p, respectively, for the HD3 device at four different temperatures



Figure 14: Normalized photoelectron yield ( $n_{pe}$ , normalized to its maximum<sup>373</sup> value) as a function of the applied over-voltage for the HD3 SiPM at different<sup>374</sup> temperatures (top panel) and for HD1 and HD3 SiPMs at a fixed temperature<sub>375</sub> T = 10°C (bottom panel). The dashed lines only connect experimental data and<sub>376</sub> do not represent an analytical fit.



Figure 15: Cross-talk probability as a function of the applied over-voltage for the HD3 SiPM at different temperatures (top panel) and for HD1 and HD3 SiPMs at a fixed temperature  $T = 10^{\circ}C$  (bottom panel). Linear fits (solid lines) are shown superimposed on experimental data.

(top panels) and for the HD1 and the HD3 device at a fixed temperature  $T = 10^{\circ}C$  (bottom panels).

As can be seen in both panels of Figure 14, the relative PDE always increases up to a certain OV value and then saturates to a constant level above this voltage. This information is crucial when choosing the best operating voltage of the devices. The values measured at different temperatures (top panel) are all compatible within statistical errors, showing no significant temperature dependence for the detection efficiency. Moreover, results in the bottom panel indicate how NUV-HD3 devices reach a PDE saturation at lower values of OV (at  $V_{OV} \sim 4$  V) with respect to HD1 SiPMs (saturating at  $V_{OV} \sim 6 - 7$  V).

The dependence of the cross-talk probability p on the SiPM over-voltage is shown in Figure 15. As expected, p increases with the applied bias voltage, reflecting the larger number of secondary photons produced by the avalanche. For HD3 SiPMs  $p = (30 \pm 4)\%$  at  $V_{\rm OV} \sim 5$  V and T = 10°C, increasing by  $(5.5 \pm 0.4)\%/V$ . Measurements performed at different temperatures displayed in the top panel are compatible with each other within statistical errors, showing no significant temperature de-

pendence of the cross-talk probability. Results in the bottom panel indicate that HD1 devices exhibit slightly lower CT probability at the same over-voltage, even though values are compatible within statistical errors. The CT probability for HD1 SiPMs amounts to  $p = (19 \pm 7)\%$  at  $V_{OV} \sim 5$  V and T = 10°C, increasing by  $(5.7 \pm 0.7)\%/V$ . This value matches the HD3 one within the error.

#### 384 3.3. Recovery time

Waveforms collected for each value of the over-voltage al-385 lowed us to estimate the recovery time of the fired cell. In 386 order to get rid of the signal's long tail and of the afterpulse 387 contribution, we first filtered the waveforms applying the "dif-388 ferential leading edge discriminator" (DLED) method devel-389 oped by [28]. In this algorithm, a delayed copy of the signal 390 is inverted and then subtracted to the original waveform. We 391 used the filtered waveforms only to select those events without 392 any afterpulses or dark counts occurring in the tail time win-393 dow (i.e. 700 ns after the signal onset). We repeated the multi-394 gaussian fitting procedure on the 30-ns charge distribution of 395 the selected waveforms and identified those corresponding to 396 401 the single photo-electron. 397

The selected single photo-electron events were then used to calculate an average single p.e. waveform, which is shown in Figure 16 for T = 10°C and  $V_{OV} = 4.9$  V. The shaded area indicates the standard deviation calculated for each data point.<sup>405</sup> We note that the average waveform shows a small dip right be-<sup>406</sup> fore the signal onset, which is due to an external noise induced by the trigger signal and which we could not completely elim-<sup>408</sup> inate. We then fitted the average waveform  $\bar{v}_{Ampl}$  in an interval<sup>409</sup> of 485 ns, starting 50 ns after the signal onset, with a simple exponential in the form:

$$\bar{v}_{\text{Ampl}} = A_{\text{rec}} \cdot e^{-t/\tau_{\text{rec}}},$$
 (5)<sup>413</sup>

where  $A_{\rm rec}$  is a normalization constant and  $\tau_{\rm rec}$  represents the<sup>415</sup> recovery time of the fired cell. Here  $\tau_{\rm rec} = (194 \pm 6)$  ns. In order<sup>416</sup>



Figure 16: Average waveform obtained from all waveforms corresponding to<sup>433</sup> the single p.e., collected at  $T = 10^{\circ}$ C and  $V_{OV} = 4.9$  V. An exponential fit (red<sup>434</sup> solid line) is superimposed on the average waveform.



Figure 17: Recovery time for the HD3 SiPM as a function of the applied overvoltage at a fixed temperature  $T = 10^{\circ}C$ .

to investigate possible dependencies on the fitting procedure, we considered different fit ranges. The starting point was kept fixed at 50 ns after the signal onset, while the fit range was changed between 135 and 585 ns, in steps of 50 ns. We see that the fit provides stable results if the fit range is larger than  $\sim 300$  ns, i.e. once all the tail of the signal is taken into account and the waveform is back to the baseline level. Therefore, all results are quoted for a fit range of 485 ns.

Figure 17 presents the recovery time of the HD3 SiPM as a function of the applied over-voltage.  $\tau_{\rm rec}$  decreases from a value of ~ 190 ns to a minimum of ~ 120 ns in the explored OV range. This dependence might be ascribed to a non-constant microcell capacitance value with increasing OV, as already mentioned in section 3.1. Anyway, we point out that the recovery time cannot be directly correlated with the quenching resistor  $R_q$  and the juction capacitance of the microcell, since it depends also on the parasitic grid capacitance of the SiPM and on the input resistance of the readout circuit (see [24, 29]). This latter contribution can be neglected for small-sized SiPMs, while it is not marginal for the SiPMs studied in this work, given their relatively large dimension.

#### *3.4. Dark count rate*

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In order to measure random dark counts and pulses responsible for the dark count rate of the devices, we acquired waveforms under dark conditions. A passive shaping network was added to the readout electronics right after the gain stage, in order to avoid pile-up effects of the signals which may occur due to their long tails. This precaution simplifies the offline analysis, leading to more reliable results. In order to increase the statistics, waveforms were acquired over a much longer time window of 1 ms. We studied the DCR dependence with temperature in a wide range between  $T = -20^{\circ}C$  and  $T = 30^{\circ}C$ .

For each run we selected pulses exceeding a threshold set at approximately half the amplitude of the single photo-electron, obtaining the arrival time and amplitude of each pulse, and the



Figure 18: Density plot of the pulse amplitude in mV versus the time distance in ns between consecutive peaks measured at  $T = 20^{\circ}C$  and  $V_{OV} = 5.5 V$  for the HD3 SiPM. Lighter colours indicate regions with a higher number of events.

time difference to the following one. Figure 18 shows the den-435 sity plot of the pulse amplitude versus the time distance be-436 tween successive pulses, plotted in logarithmic time-difference 437 bins, at T = 20°C and  $V_{OV}$  = 5.5 V. The various groups of dark 438 events with approximately equal pulse amplitude correspond to 439 one, two, three, ..., *i* fired microcells, respectively. A similar<sub>445</sub> 440 representation of the spectrum from dark counts is given in Fig-446 441 ure 19 showing the first three peaks of the dark pulse amplitude<sub>447</sub> 442 distribution fitted with a multi-gaussian function of the form  $of_{448}$ 443 Equation 3 (red curve). 444

The temporal information regarding the arrival time of dif-450 ferent dark pulses allows us to estimate the dark count rate. Due to Poisson statistics, the distribution of the time difference  $\Delta t$  between two consecutive dark pulses follows an exponential of the form  $\propto e^{-(\Delta t \cdot DCR)}$  [21, 30]. The distribution of time delays



Figure 19: Distribution of the dark pulse amplitudes measured at  $T = 20^{\circ}C$  and  $V_{OV} = 5.5 V$  for the HD3 SiPM. A multi-gaussian fit of the first three peaks is <sup>462</sup> superimposed to the data (red curve).



Figure 20: Distribution of the logarithm of the time difference between successive pulses measured at  $T = 20^{\circ}C$  and  $V_{OV} = 5.5 V$  for HD3 devices.

for random dark counts can be expressed as

$$\frac{dN}{d(\log_{10}(\Delta t))} = A \cdot \Delta t \cdot e^{-\Delta t/\tau} = A \cdot \Delta t \cdot e^{-DCR \cdot \Delta t}, \quad (6)$$

where *A* is a normalization constant and  $\tau = \Delta t_{max} = 1/DCR$  represents the time at which the function reaches its maximum value. The distribution of the logarithm of the time delay between consecutive pulses,  $\log_{10}(\Delta t)$ , is shown in Figure 20, where the superimposed red curve is the best fit given by equation 6 with  $DCR = (2.6 \pm 0.1)$  MHz.

We performed the same analysis procedure for the whole set of temperatures previously discussed and evaluated the DCR evolution as a function of the applied over-voltage. Results are presented in the top panel of Figure 21. The DCR exhibits a strong dependence from the temperature, as expected, increasing from ~ 160 kHz at T =  $-20^{\circ}$ C to ~ 5 MHz at T =  $30^{\circ}$ C (for  $V_{OV} \sim 5$  V). We applied an exponential function to the DCR values in the form:

$$DCR = B \cdot e^{bV_{\rm OV}},\tag{7}$$

where *B* is a normalization factor strongly dependent on the temperature, ranging from ~ 60 kHz at  $T = -20^{\circ}C$  to ~ 3 MHz at  $T = 30^{\circ}C$ , and *b* represents the exponential coefficient, which remains almost constant within the error at all temperatures ( $b \sim 0.15/V$ ).

A comparison of the DCR values as a function of the applied over-voltage for the HD1 and HD3 SiPMs at a fixed temperature T = 20°C is given in the bottom panel of Figure 21. At the same OV, HD1 SiPMs display a slightly smaller DCR than HD3 SiPMs, e.g.  $DCR_{HD1} = 2.1 \pm 0.1$  MHz at  $V_{OV} \sim 5$  V. This is attributed to the smaller cell size (30 $\mu$ m vs 40 $\mu$ m) which leads to a smaller fill factor.

An equivalent representation of the temperature dependence of the DCR for the HD3 SiPM is given in Figure 22, showing the DCR evolution as a function of the temperature in a range of



Figure 21: DCR as a function of the applied over-voltage for the HD3 SiPM at  $_{474}$  different temperatures (top panel) and for the HD1 and HD3 SiPM at T =  $20^{\circ}C_{475}$  (bottom panel).



Figure 22: DCR as a function of the temperature for different bias voltages. Data were fitted with an exponential function.

bias voltages between 30 and 36 V. We applied an exponential function to the DCR values in the form

$$DCR = C \cdot e^{cT} \,. \tag{8}$$

where *C* is a normalization factor increasing from ~ 0.6 MHz at  $V_b = 30$  V to ~ 1.6 MHz at  $V_b = 36$  V, and *c* represents the exponential coefficient, which is independent of the bias voltage  $(c \sim 0.07/^{\circ}C)$ .

# 4. PDE measurements

The PDE is defined as the probability that a SiPM detects an incoming photon. It can be expressed as the number of photon-discharged microcells  $(N_F)$  divided by the number of incident photons  $(N_I)$ :

$$PDE = \frac{N_F}{N_I}.$$
(9)

In general, at a given temperature, the PDE is a function of the bias voltage  $V_b$  and the incident photon wavelength  $\lambda$ , and it is usually expressed as the factorization of three quantities: the Quantum Efficiency  $QE(\lambda)$ , i.e. the probability for an incident photon to create an electron-hole pair, the avalanche initiation probability  $\eta(V_b)$ , i.e. the probability for a primary carrier to trigger an avalanche in the cell, and the fill factor of the device  $\epsilon_g$  [31], which takes into account the probability for an electronhole pair to be created in a position in the depletion layer from which a carrier can reach the high electric field region:

$$PDE(V_{\rm b},\lambda) = QE(\lambda) \cdot \eta(V_{\rm b}) \cdot \epsilon_g \,. \tag{10}$$

We determined the PDE of HD3 SiPMs as a function of the applied over-voltage, the wavelength, and the incident photon angle, according to the procedures described below. All the measurements were performed in a dark box at a room temperature of  $T = 20^{\circ}C$ .

### 4.1. PDE versus wavelength measurement

In order to perform PDE measurements as a function of the wavelength we followed the procedure described in [32]. The layout of the experimental setup is shown in Figure 23. We employed a Xenon lamp as continuous light source, which emits in a range from 250 nm to 2700 nm, in combination with an Andover optical band-pass filter, which is needed to select a 10-nm band around different wavelengths at 50% transmission. The light was guided by means of an optical fiber through the 90°



Figure 23: Scheme of the PDE measurement setup.



Figure 24: Picture of the integrating sphere, showing the entry port  $(90^{\circ})$  and the two exit ports, P1  $(0^{\circ})$  and P2 (North pole), all oriented perpendicular to each other.

port of an integrating sphere Newport 819D, which is shown 486 in Figure 24. The whole setup was placed inside a dark box in 487 order to isolate it from all possible light sources that could inter-488 fere with the SiPM light detection. Data were acquired using a 489 Teledyne Lecroy HDO9494 High-Definition Oscilloscope and 100 a different readout electronics with respect to the one used in 491 Section 3. All measurements were performed at  $V_{\text{OV}} = 5.5 \text{ V}$ .<sup>522</sup> 492 We note that this procedure does not allow us to differentiate523 493 cross-talk, afterpulse and dark pulses contributions, thus the<sup>524</sup> 494 PDE value will be overestimated with respect to the one de-525 495 termined under pulsed light conditions (described later in this526 496 Section). 527 497

We placed the HD3 SiPM in front of the 0° port (hereafter<sup>528</sup>
P1 port) of the integrating sphere, and positioned a calibrated<sup>529</sup>
PMT Hamamatsu R9880U-01 at the North pole port (hereafter<sup>530</sup>
P2 port), in order to determine the optical power. The PMT<sup>531</sup>
signal was read out using the oscilloscope. <sup>532</sup>

In order to compensate for possible differences of the signal<sup>533</sup> 503 amplitude measured at different ports (P1 and P2) of the inte-534 504 grating sphere, we first placed the PMT at both positions and<sup>535</sup> 505 determined a calibration factor R given by  $R = V_2/V_1$ , where 536 506  $V_1$  and  $V_2$  are the signals amplitudes measured by the PMT at<sup>537</sup> 507 the P1 and P2 ports, respectively. Such a correction is particu-538 508 larly needed when a diaphragm is placed in front of the SiPM<sup>539</sup> 509 in order to reduce the amount of incident light and to make540 510 sure that the light spot is smaller than the SiPM's sensitive area.541 511 We note that the calibration ratio R determined experimentally<sup>542</sup> 512 strongly depends on the wavelength of the impinging radiation.543 513 The values obtained for R partially compensate for the differ-544 514 ence in sensitivity between the PMT and the SiPM, since the545 515 SiPM benefits from a higher gain. 546 516

In order to achieve the best possible conditions for opti-<sup>547</sup> mally operating both the SiPM and the PMT, we conveniently<sup>548</sup> adjusted the diaphragm aperture and moved the support of the<sup>549</sup> SiPM along the transverse directions until the signal amplitude<sup>550</sup> observed was maximal.

We derived the absolute PDE as a function of the wave-<sup>552</sup> length of the incident radiation following the photo-current<sup>553</sup> method presented in [32]: <sup>554</sup>

$$PDE(\lambda) = \frac{I_{\text{SiPM}} \cdot R \cdot h\nu}{q_e \cdot G' \cdot P_{\text{opt}}},$$
(11)<sup>556</sup>

555



Figure 25: PDE for the HD3 SiPM measured as a function of the photon wavelength at an over-voltage of 5.5 V, using the Xenon lamp (black data points) and using the LEDs (green data points). The Cherenkov spectrum (blue line) and the night sky background (NSB, taken from [33]) under dark conditions (red line) are also shown.

where  $I_{SiPM}$  is the SiPM output current, obtained by first dividing the measured voltage by the oscilloscope impedance (50  $\Omega$ ) and then subtracting the SiPM output current obtained in dark conditions; R is the calibration ratio, G' is the gain of the system (including the front-end amplifier gain) at the operating point, v is the frequency of the incident radiation, h is the Planck constant, and  $P_{opt}$  is the incident optical power measured with the calibrated PMT mounted onto the P2 port behind the diaphragm. We derived the gain from the amplitude spectrum obtained using pulsed LED light at 410 nm at the same over-voltage used for the measurements. We note that this is a distinct quantity with respect to the gain values presented in Section 3.1. The PDE's uncertainty is calculated by means of error propagation taking into account the corresponding errors of all measured quantities. The uncertainties of the gain and QE of the PMT are neglected.

As already stated, these measurements overestimate the PDE, since they include the contribution from the correlated noise. Therefore, we should refer to this quantity as relative PDE. The absolute scale of the PDE can be then obtained by dividing the measurements by the Excess Charge Factor (in-ECF), which can be estimated as (1 + CT) [27, 34], being *CT* the cross-talk value reported in Figure 15.

Figure 25 shows the relative PDE as a function of the wavelength in the range 254–900 nm obtained using the Xenon lamp, calculated through Equation (11) and normalized by the factor (1 + CT) (black data points). The uncertainty on the PDE data points include the error on the *CT* (taken from Fig. 15).

In order to determine the absolute PDE, we repeated our measurements as a function of the wavelength with a set of pulsed LED sources emitting at 400, 410, 465, 540, and 590 nm. Details of this method are reported in Section 4.2. Results are presented in Figure 25 as green points. It is worth noting that results obtained with the two methods are in general agreement, suggesting that the normalized relative PDE well

reproduces the PDE dependence on the wavelength. Results at
400 and 410 nm show a non-smooth variation, which can be
ascribed to oscillations in the PDE due to interference caused
by the thin passivation layer and by the lack of a coating on top
of the SiPM, similarly to what is reported in [15, 21].

# 562 4.2. Absolute PDE measurement

The layout of the experimental setup used to measure the 563 PDE as a function of the applied over-voltage is the same de-564 scribed in Section 4.1 and shown in Figure 23. The LED flasher 565 is driven by an Agilent 33250 in a pulse mode to produce a 40 566 ns pulse width with a 1 kHz pulse frequency. We employed the 567 calibrated PMT again in order to determine the correct power 568 ratio, which is wavelength dependent. We used in turn LEDs 569 with fixed wavelengths of 400, 410, 465, 510, and 590 nm. 570

Following the pulsed-light counting method described in [35], the PDE is determined by the ratio between the number of photons recorded by the SiPM,  $n_{pe}$ , and the number of incident photons at the SiPM position,  $n_{inc,SiPM}$ . This latter value is obtained from the number of incident photons on the calibrated PMT placed in front of the P2 port of the integrating sphere,  $n_{inc,PMT}$ , divided by the calibration ratio *R*:

$$PDE = \frac{n_{\rm pe}}{n_{\rm inc, SiPM}} = R \cdot \frac{n_{\rm pe}}{n_{\rm inc, PMT}}.$$
 (12)

As explained in Sections 3.1 and 3.2, both the amplitude<sub>577</sub> and the charge distribution of the SiPM signal are connected to<sub>578</sub> the number of observed photo-electrons, distributed according<sub>579</sub> to a Poisson law, which is affected by cross-talk and afterpulses.<sub>580</sub> However, the area of the first peak is unaffected by the corre-<sub>581</sub> lated noise events. Indeed, the area of the first peak is propor-<sub>582</sub> tional to the number of events,  $N_{ped}$ , in which no photons have<sub>583</sub> been detected. Following the prescription in [32], we used  $N_{ped_{584}}$  to estimate the PDE without any bias from the correlated noise<sub>585</sub> effects. The number  $n_{pe}$  of detected photons can be determined<sub>586</sub> from N<sub>ped</sub> using the relation:

$$P(0, n_{\rm pe}) = e^{-n_{\rm pe}}$$
 589

$$\Rightarrow n_{\rm pe} = -\ln\left(P(0, n_{\rm pe})\right) \tag{13}$$

$$= -\ln\left(\frac{N_{\text{ped}}}{N_{\text{tot}}}\right) + \ln\left(\frac{N_{\text{ped}}^{\text{dark}}}{N_{\text{tot}}^{\text{dark}}}\right), \qquad (13)_{591}$$

588

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where  $P(0, n_{\rm pe})$  is the probability to detect 0 photons given by a Poisson distribution with mean value  $n_{\rm pe}$ ,  $N_{\rm ped}^{\rm dark}$  is the number of pedestal events in the spectrum obtained in the absence of light<sup>595</sup> pulses, and  $N_{\rm tot}$  and  $N_{\rm tot}^{\rm dark}$  are the total number of events in the laser-induced and dark spectrum, (~ 20000 events).

We calculated  $N_{\text{ped}}$  and  $N_{\text{ped}}^{\text{dark}}$  by fitting the pedestal peak of <sup>598</sup> the charge distribution (in a 40 ns time window) with a Gaussian <sup>599</sup> function and integrating it around the mean value in an interval <sup>600</sup> of  $\pm 3 \sigma$  in light and dark conditions, respectively. The number <sup>601</sup> of photons incident on the PMT was calculated by integrating <sup>602</sup> the mean PMT signal U(t) measured with the oscilloscope, and <sup>603</sup> by dividing this number by the oscilloscope impedance (50  $\Omega$ ), <sup>604</sup>



Figure 26: PDE as a function of the applied over-voltage for the HD3 SiPM, measured at different wavelengths from 400 nm to 590 nm. The dashed lines only connect experimental data and do not represent an analytical fit.

the electron charge, the gain G and the quantum efficiency QE of the PMT:

$$n_{\rm PMT} = \frac{1}{50\Omega \cdot q_e \cdot G \cdot QE} \int U(t)dt.$$
(14)

We extracted the last two numbers from the PMT reference table for the correspondent power supply (at 700 V the *QE* is 22% and the gain is  $2 \cdot 10^5$ ). The calibration ratio *R* is obtained from the ratio between the area in the P1 and in the P2 ports. Finally, the PDE was calculated according to Equation (12) at different bias voltages. Results are presented in Figure 26 for different wavelengths of the LED flasher.

The NUV-HD3 SiPM exhibits maximum values at wavelengths around 410 nm, in agreement with what we previously derived with a continuous wave source (see Figure 25 for comparison). Moreover, we note that for short wavelengths the PDE saturates above 4 V of OV, thus providing a confirmation of the results shown in the top panel of Figure 14. For longer wavelengths the saturation occurs at higher OV values. This is due to the fact that longer wavelengths penetrate deeper in the p-n junction, where the avalanche is triggered by the holes, which reach saturation for higher OV values [36].

#### 4.3. Angle-dependent PDE measurements

Finally, we measured the response of the SiPM as a function of the angle of incidence. The experimental setup consists of a set of pulsed LED flashers with wavelengths ranging from 410 nm to 880 nm, placed inside the integrating sphere. In order to take measurements at different incidence angles of the light, we placed the SiPM and its front-end board on a rotary stage (Thorlabs PRMTZ8/M), as shown in Figure 27.

We varied the angle of incidence starting from  $0^{\circ}$ , i.e. placing the axis of the light beam direction perpendicular to the SiPM surface, up to  $80^{\circ}$ , where the light beam direction is almost parallel to the SiPM surface. Angle variation was set in steps of  $10^{\circ}$ . The LED light source was placed in an integrating sphere which acted as a diffusor. The light was guided by

659

an optical fibre to the SiPM, mounted behind a collimator. We 607 acquired the amplified output signal of the SiPM by means of 608 the same oscilloscope used in Sections 4.1 and 4.2. From the 609 charge spectrum, we evaluated the number of photons detected 610 at each angle of incidence of the light as we did in the previ-611 ous Section, using Equation (13). Results of all measurements 612 performed in a wavelength range from 410 nm to 880 nm are 613 reported in the top panel of Figure 28. The absolute PDE mea-614 sured values were normalized to a cosine law in order to take 615 into account the projection of the light beam on the detector's 616 surface. 617

We then normalized the PDE measurements to the value ob-618 tained at  $\Theta = 0^{\circ}$  and report them in the bottom panel of Figure 619 28. It can be noted that PDE values do not show a strong depen-620 dence on the incident angle and decrease by less than 20% for 621 angles smaller than 60°, with the exception of the longest wave-622 length (880 nm). We point out that we did not take into account 623 possible losses induced by Fresnel reflections on the SiPM sur-624 625 face. These could explain the deviation from the cosine dependence observed at the longest wavelength and at incident an-626 gles above  $60^{\circ}$  (see for example [37]), while we prove that they 627 are negligible with respect to statistical errors for wavelengths 628 < 600 nm. 629

#### 630 5. Conclusions

In this work we focused on the characterization of devices 631 specifically designed for astroparticle physics applications. Re-632 cent developments of SiPM technologies led to an improvement 633 of their performances in the NUV domain, making these de-634 vices mature and suitable for Cherenkov light detection. As 635 proven by FACT's long-term stability and observations per-636 formed with strong moon light, SiPMs can safely be operated 637 under bright light conditions, thus allowing a significant in-638 crease in the Cherenkov telescopes duty cycle [38, 39, 40]. 639

A long-term synergy work between FBK and INFN led to the recent development of an *ad-hoc* research line of highly sen-650 641 sitive photon detectors dedicated to Cherenkov light detection.651 642 Different technologies and designs have been implemented over652 643 the years in order to improve the NUV SiPM technology. Ma-653 644 jor advancements include the optimization of the active high-654 645 field region, with the aim of increasing the avalanche triggering655 646 probability and the PDE. Many SiPM generations of the NUV-656 647 HD technology were tested since 2016 at the INFN laboratories657 648 leading to the optimization of the devices for CTA applications.658 649



Figure 27: Scheme of the set-up used for angle-dependent PDE measurements.<sup>669</sup>



Figure 28: PDE (top panel) and PDE normalized to its highest value (bottom panel) for the HD3 SiPM as a function of the radiation's incident angle, ranging from  $0^{\circ}$  to  $80^{\circ}$ . Wavelengths range from 410 nm to 880 nm. The dashed lines only connect experimental data and do not represent an analytical fit.

In this work, we presented a full characterization of a specific SiPM produced by FBK which has been chosen to equip the pSCT camera [8]. Devices with a  $6 \times 6 \text{ mm}^2$  area and  $40 \,\mu\text{m}$ cell pitch, labelled as HD3, were tested and their performance was compared with devices of a previous generation (HD1). All results obtained prove the excellent performance of the device in terms of gain, cross-talk probability, PDE, and SNR, and are summarized in Table 2. We point out that independent measurements previously conducted on devices with the same HD3 technology and a smaller  $1 \times 1 \text{ mm}^2$  area [25, 41] provide consistent results with the ones presented in this work in terms of the properties of the individual microcell, i.e. gain, CT and PDE.

In Section 1, we discussed the many benefits of SiPMs over PMTs including their much lower operating voltage, the lower production costs, insensitivity to magnetic fields, and possibility to operate them also in partial moonlight, thus significantly increasing the duty cycle. Here we discuss in detail how the FBK NUV-HD technology satisfies CTA's main requirements [3]:

$V_{\rm BD} (T = 25^{\circ} \rm C)$	$(26.49 \pm 0.02) \mathrm{V}$
Change in $V_{\rm BD}$ with T	$(31.1 \pm 0.5) \mathrm{mV/^{\circ}C}$
$R_{\rm q} \ ({\rm T}=25^{\circ}{\rm C})$	$(1.11 \pm 0.01) \mathrm{M}\Omega$
Change in $R_q$ with T	$(-10.2 \pm 0.4) \mathrm{k\Omega/^{\circ}C}$
$I_s (T = 25^{\circ}C)$	$(32 \pm 9)  pA$
Gain ( $V_{\rm OV} \sim 5 \rm V,  T = 10^{\circ} \rm C$ )	$(4.01 \pm 0.02) \cdot 10^6$
Change in gain with OV	$(7.0 \pm 0.1) \cdot 10^5/V$
SNR ( $V_{\rm OV} \sim 5 \text{ V}, \text{T} = 10^{\circ}\text{C}$ )	$9.08 \pm 0.24$
Saturation of detected photons	$V_{\rm OV} \ge 5  { m V}$
$p (V_{\rm OV} \sim 5 \text{ V}, \text{T} = 10^{\circ} \text{C})$	$(30 \pm 4)\%$
Change in <i>p</i> with OV	$(5.5 \pm 0.4)\%/V$
$\tau_{\rm rec} (V_{\rm OV} \sim 5 {\rm V}, {\rm T} = 10^{\circ}{\rm C})$	$(194 \pm 6)  \text{ns}$
DCR ( $V_{\rm OV} \sim 5 \text{ V}, \text{T} = 20^{\circ}\text{C}$ )	$(2.6 \pm 0.1) \mathrm{MHz}$
PDE (400 nm, $V_{\rm OV} \sim 5 \text{ V}, \text{ T} = 20^{\circ}\text{C}$ )	$(55 \pm 7)\%$

Table 2: Main characteristics of the FBK NUV-HD3 SiPM device chosen to equip the camera of pSCT, tested in this work.

• Spectral sensitivity – In Figure 25 we showed that the 670 peak of the NUV-HD3 response lies within 50 nm of the725 671 672 peak of the Cherenkov light spectrum, which is cut off be-726 low 300 nm due to atmospheric transmission effects and<sub>727</sub> 673 falls off towards longer wavelengths as  $1/\lambda$ . The FBK<sub>728</sub> 674 NUV-HD3 device reaches a peak PDE of  $(55 \pm 7)\%$  at<sub>729</sub> 675 ~400 nm and does not fall under ~ 20% up to wave-730 676 lengths of about 650 nm, as requested by CTA. In gen-731 677 eral, SiPMs show higher sensitivities with respect to cur-732 678 rent PMT devices at wavelengths  $\gtrsim 600$  nm, where the<sub>733</sub> 679 NSB is more relevant. However, this effect can be eas-734 680 ily mitigated with appropriate optical windows placed in735 681 front of the telescope focal plane, which are optimized<sub>736</sub> 682 to filter out long wavelengths and reduce the background737 683 rate due to the NSB. 684 738

• Sensor area – The Medium Size Telescopes for CTA in  $\frac{1}{740}$ 685 single mirror optics are required to have a sensor dimen-686 sion of 50 mm, corresponding to a pixel size of 0.18°. 687 In the case of a secondary-optics design, as proposed for 688 SCT, a consequently smaller angular pixel size of 0.07°742 689 is achieved, corresponding to a physical size of 6 mm. 690 Thus, the NUV-HD3 SiPM devices presented in this work<sup>743</sup> 691 744 have been developed accordingly to this requirement. 692 745

• Dynamic range and linearity – As shown in this work,<sup>746</sup> 694  $6\times6 \text{ mm}^2 \text{ NUV-HD3}$  SiPMs proved to be able to detected<sup>747</sup> light down to the single photon. Having a cell pitch of<sup>748</sup> 40  $\mu$ m, they also provide a very large number of micro-<sup>749</sup> cells ( $N_{\text{pix}} \approx 22500$ ), thus yielding a dynamic range way<sup>750</sup> higher than the requested 5,000 photo-electrons. The linearity of the SiPM response and the dynamic range do depend also on the read-out electronics chosen to equip the camera. The detailed study of these features for the specific CTA application will be performed in dedicated works.

• Temporal response - Cherenkov light flashes produced in the atmosphere are  $\sim 10 - 15$  ns long, with a leading edge of  $\sim 2 - 3$  ns, and the time dispersion of Cherenkov photons across a camera image is of the order of the nanosecond, depending on the energy of the primary particle. Indeed, it becomes crucial for the signal produced by the chosen photo-sensors to preserve the time structure, and in particular the rising edge, of the Cherenkov light pulse. In Figure 9 we showed a collection of waveforms acquired with the NUV-HD3 devices. We note that in general the leading edge rise time is almost instantaneous, driven by the charge multiplication duration. In the end, the main limiting factor is given by the characteristics of the read-out electronics and of the measuring instrument. A detailed investigation of the FBK SiPM rise times can be found in [42], and is found to be  $\sim 120 \, \text{ps}$ , satisfying the sub-nanosecond resolution at sensor level. Still, the time resolution of the full chain to be implemented in CTA applications should take into account the read-out electronics, which goes beyond the scope of this paper.

We conclude that the devices presented in this work, which are the product of many years of iterative improvements in a constant synergy between INFN and FBK, nicely match the criteria for photo-detectors required by the CTA project listed in [3], which actually represented general guidelines for the development of various types of sensors (both PMTs and SiPMs) in the following years.

The FBK NUV-HD3 presented in this work were assembled in 16 pixels arrays in the camera of the pSCT telescope [8]. They were successfully installed on the first sector of the pSCT camera in 2018. More details regarding the performance of these devices arranged in arrays developed at the INFN laboratories and to their sample-to-sample performance variations are reported in a dedicated publication [43]. As discussed in [8] and [11], the commissioning phase of the pSCT showed very encouraging results, representing an important milestone in the project development.

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