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Optimal operation planning of V2G-equipped Microgrid in the presence of EV aggregator

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Abstract: An optimal day-ahead operation planning procedure for Microgrids (MGs) integrating Electric Vehicles (EVs) in vehicle-to-grid (V2G) configuration is described in this work. It aims to determine the day-ahead operation plan by solving a non-linear optimization procedure involving daily cost and subject to dynamic operating constraints. The day-ahead operation plan aims to minimize MG operation daily costs, according to suitable load demand and source availability forecast, in the presence of an EV aggregator. In order to account for possible economic relationships between the EV aggregator and the MG operator, two different objective functions are considered. In order to investigate the influence of EV aggregator role on MG optimal operation management in different frameworks, the proposed approach is applied to a test MG taking into account residential or commercial customers' load and EV exploitation profiles.

Keywords: Microgrid, Operation Planning, Vehicle-to-grid, Electric vehicle aggregator.

1. Introduction

The integration of large amount of distributed energy resources and of Electric Vehicles (EVs) has introduced several challenges to the planning and operation of modern electric

26 power system [1]. The lack of coordination of Distributed Generation (DG) sources and EV
27 charge/discharge can give rise to issues such as reverse flows, unintentional islanding,
28 overloads. To cope with these concerns, Microgrids (MGs) able to integrate DG technologies,
29 Energy Storage Systems (ESSs) and charging station, as well as electric and thermal loads,
30 are more and more employed [2][3]. The recent diffusion of EVs in Vehicle-to-Grid (V2G)
31 configuration, along with station technological improvement, has also shifted EV role from
32 heavy loads to small-sized distributed virtual generator. V2G scheme can be adopted for
33 providing regulation services to the distribution grid, as illustrated in [4]-[9], whereas the
34 influence of V2G system on MG operation is evaluated in [10]-[13].

35 The EV charging process (or energy exchange in V2G configuration) can be optimally
36 managed in order to provide economic benefit to EV owners and to support MG operation,
37 particularly when a fleet constituted by several EVs is plugged into the grid simultaneously at
38 the same connection point [14]. In this case, the MG operator interacts with vehicle fleets
39 through EV aggregators, which provide appropriate control of the parked vehicles and their
40 interaction with the grid [15]. The EV aggregator is in charge of performing the “smart
41 charging” service, by exploiting time flexibility given by the difference between needed
42 charging time and parking time to provide grid services and meet the needs of the driver [16].
43 The EV aggregator performs the smart charging service by determining how and when each
44 vehicle is to be charged, thereby providing a demand-dispatch service to a utility or grid
45 operator [17].

46 One of the main goals of MG operator is to elaborate a suitable operation plan in the day-
47 ahead horizon, in order to program its units accounting for economic burdens, environmental
48 impact and reliability issues [18][19][20]. For the development of procedures for MG day-
49 ahead operation planning, different approaches are present in literature to take into account
50 the variability of PV and wind production, load demand, energy prices, EV parking intervals

51 and energy requirements. A distinction can be made among stochastic methods accounting for
52 possible deviations of forecasts with proper probability distribution functions (pdfs) [21]-[23],
53 procedures based on the generation of different scenarios with relevant probability [24]-[28],
54 and methodologies based on deterministic data [33]-[39]. The proposed approach falls in this
55 last field, i.e. considering as inputs the most probable value of the forecasts of different
56 quantities subject to prediction instead of decision, since it represents the most realistic form
57 to determine plans to be implemented by actual SCADA in MGs. For this reason, advanced
58 prediction methods should be accounted [40][41][42], that are beyond the scope of the paper.
59 Moreover, even exploiting stochastic methods (e.g. chance constrained, robust optimization,
60 ...), the presence of variation during operation outside the pdfs or not considered in scenarios
61 will cause a different behaviour with respect to the plan. It should be observed that the risk
62 reduction due to stochastic optimization is not remarkable in this case with respect to a
63 deterministic procedure with suitable operation margins of the devices [36]. Therefore a
64 second-stage procedure, closer to real time operation and entrusted to cope with those
65 variations, operating over shorter time intervals (e.g. an hour or a group of hours) and
66 accounting for even faster variations (down to minutes or some seconds), should be carried
67 out as indicated also in [11], [29]-[32], [33], [35], [38], [43].

68 In this paper, a procedure for MG operation planning able to integrate EV fleet management
69 is carried out adopting a non-linear daily cost minimization subject to dynamic operating
70 constraints. With respect to analogous multiobjective approaches, based on suitably
71 forecasted generation of renewable-based sources and load demand [44]-[47], the proposed
72 optimization procedure further allows to assess benefits from EV fleet in V2G configuration,
73 by accounting the EV aggregator role. In particular, it is envisaged that MG operator and EV
74 aggregator could be either separate entities or represent a unique entity, implying different
75 objectives for MG operator during the elaboration of the optimal plan. The methodology is

76 applied to a test MG including several devices for electric and thermal power production and
77 storage, along with V2G systems, in charge to satisfy energy needs at premises of residential
78 or commercial users, where the presence of EVs is creating new opportunities for the
79 implementation of MG [48][49] and the constitution of EV aggregator can be conceived.

80 The following novelty issues of this work can be pointed out:

- 81 - The influence of V2G technology for EV fleet in the frame of MG for thermal and
82 electric energy supply;
- 83 - The adoption of realistic models for energy storage devices and combined heat and
84 power systems;
- 85 - The analysis of different interactions between EV aggregator and MG operator on
86 techno-economic basis;
- 87 - A particular care on EV use patterns according to the different users.

88 The remainder of the paper is organized as follows. In Section 2, a formalization of device
89 models and of MG operation planning problem is included. Section 3 is devoted to the
90 explanation of test system and to the illustration and discussion of simulation results.
91 Concluding remarks are discussed in Section 4.

92

93 **2. MG Operation Planning**

94 *2.1. Modeling of MG devices*

95 The setup of a proper formulation starts from modelling of the involved energy equipment.
96 Different kinds of devices can be individuated: fuel-based energy production systems (caring
97 for electricity or thermal energy, or even both in cogeneration layout), renewable-based
98 generation devices, energy storage systems, grid connection, EVs, energy consumption. In
99 order to represent the time variation of energy flows, the daily horizon is divided in N_t time
100 steps with a time width of Δt each, typically ranging between 5 minutes to 1 hour [50],

101 compatibly with granularity of day-ahead forecast methods ensuring acceptable uncertainty
 102 levels [40][41][42]. Since MG-sized devices react to power reference variations reaching the
 103 required condition in some seconds [51][52], the adoption of static models allows a powerful
 104 representation of the devices in the described time steps without losing accuracy.

105 In particular, the i -th fuel-based production device can work at any electric production level
 106 $P(i,t)$, within its technical features, although fuel procurement is incurred and local
 107 emissions are produced. Therefore, its operation can be characterized by determining fuel
 108 consumption $F(i,t)$ and emission amount $E(i,t)$ and bounding production level through the
 109 following relations:

$$110 \quad F(i,t) = \frac{\Delta t \cdot P(i,t)}{fv(i) \cdot \eta_E(P(i,t))} \quad (1.a)$$

$$111 \quad E(i,t) = \varepsilon(P(i,t)) \cdot \Delta t \cdot P(i,t) \quad (1.b)$$

$$112 \quad P^m(i) \leq P(i,t) \leq P^M(i) \quad (1.c)$$

113 where $fv(i)$ represents fuel heating value, and electric efficiency $\eta_E(P(i,t))$ depends on
 114 power production level through a polynomial function. Since emissions are related to fuel
 115 energy consumption, emission factor $\varepsilon(P(i,t))$ is inversely proportional to electric
 116 efficiency, i.e. $\varepsilon(P(i,t)) = k\varepsilon(i)/\eta_E(P(i,t))$, where $k\varepsilon(i)$ is a constant factor depending of
 117 the technology of the i -th fuel-based production device. Moreover $P^m(i)$ and $P^M(i)$ stand
 118 for minimum and maximum power output, respectively. The use of discrete variables for on-
 119 off status of generators accounting for experimental nonlinear efficiency functions would
 120 involve MINLP formulation, although it could not lead to feasible results [53] and does not
 121 involve remarkable advantage with respect to NLP. Therefore, the proposed NLP formulation
 122 allows to ensure convergence and to lose as low information as possible.

123 In the case of simple thermal energy production, the previous formulations keep valid by
 124 expressing the quantities in terms of heat production level $Q(i,t)$:

$$125 \quad F(i,t) = \frac{\Delta t \cdot Q(i,t)}{fv(i) \cdot \eta_T(Q(i,t))} \quad (2.a)$$

$$126 \quad E(i,t) = \varepsilon_T(Q(i,t)) \cdot \Delta t \cdot Q(i,t) \quad (2.b)$$

$$127 \quad Q^m(i) \leq Q(i,t) \leq Q^M(i) \quad (2.c)$$

128 where thermal efficiency, $\eta_T(Q(i,t))$, unitary emission factor per thermal energy
 129 $\varepsilon_T(Q(i,t))$ (inversely proportional to thermal efficiency) and minimum and maximum
 130 thermal power, $Q^m(i)$ and $Q^M(i)$ are considered.

131 For the representation of MG-sized cogeneration systems, a direct correlation between electric
 132 power production $P(i,t)$ and heat production $Q(i,t)$ is adopted, as suggested in
 133 [43][54][55], that proves more appropriate than other possible representations, such as
 134 feasible electricity-heat operating region reported in [56]. Therefore, either electric or thermal
 135 power represent the decision variable, since the following relation holds:

$$136 \quad Q(i,t) = \frac{\eta_T(Q(i,t))}{\eta_E(P(i,t))} \cdot P(i,t) \quad (3)$$

137 As regards the r -th technology based on a non-programmable renewable energy source (RES),
 138 e.g. wind, solar radiation, water flow, relevant power production level $P(r,t)$ or thermal
 139 production level $Q(r,t)$ can be obtained by forecasting source availability, and accounting
 140 for the specific function of energy conversion, e.g. wind turbine power related to speed and
 141 PV panel conversion according to solar radiation and temperature effects [57].

142 Energy storage devices are characterized by internal state of charge $S(s,t)$. For the s -th
 143 storage system, this quantity is related to electric power charge/discharge of the device

144 ($P_c(s,t)$ and $P_d(s,t)$, respectively) and to technical features of the system through the
 145 following expressions:

$$146 \quad S(s,t) = S(s,t-1) + \psi_c(s) \cdot \Delta t \cdot P_c(s,t) - \frac{P_d(s,t)}{\psi_d(s)} \cdot \Delta t - \rho(s) \cdot S^M(s) \quad (4.a)$$

$$147 \quad S^m(s) \leq S(s,t) \leq S^M(s) \quad (4.b)$$

$$148 \quad 0 \leq P_c(s,t) \leq P_c^M(s) \quad (4.c)$$

$$149 \quad 0 \leq P_d(s,t) \leq P_d^M(s) \quad (4.d)$$

$$150 \quad P_c(s,t) \cdot P_d(s,t) = 0 \quad (4.e)$$

$$151 \quad S(s,0) - \psi_c(s) \cdot \Delta t \cdot P_c^M(s) \leq S(s,N_t) \leq S(s,0) + \frac{P_d^M(s)}{\psi_d(s)} \cdot \Delta t \quad (4.f)$$

152 In (4.a), $S(s,t-1)$ is the state of charge at previous time step. The initial state of charge of
 153 the considered day $S(s,0)$ corresponds to the final state of charge at the end of the previous
 154 day, that is a known value for the operation planning of the considered day. Moreover, $\psi_c(s)$
 155 and $\psi_d(s)$ are charge and discharge efficiency, respectively, $S^M(s)$ and $S^m(s)$ are the
 156 maximum and minimum charge capacity, respectively, $P_c^M(s)$ and $P_d^M(s)$ are the maximum
 157 charge and discharge rates, respectively, and $\rho(s)$ is the self-discharge rate. Equation (4.e)
 158 avoids charge and discharge of the device in the same period. Constraint (4.f) bounds the final
 159 state of charge of the considered day in a narrow range around the initial state of charge of
 160 the considered day. This assumptions ensures more flexibility to the use of ESS and allows to
 161 account for self-discharge. In other works as [20][39][58] initial and final values in the
 162 considered day are equal, whereas in [59][60] this range is considered as a defined percentage
 163 of maximum charge capacity. In the proposed constraint (4.f), range limits correspond to
 164 maximum variation of the state of charge that the ESS can perform in a single time step. ESS

165 parameters depend on the lifetime of the device due to degradation phenomena, however, for
 166 the investigation of a single day, they are univocally determined referring to the specific ESS
 167 lifetime condition.

168 Electric connection to the distribution grid is characterized by amounts of power purchase
 169 $P_{Pur}(t)$ and power injection $P_{Inj}(t)$. Since the connection is usually only one for a MG,
 170 power exchange can occur in only one direction per each time step. Including technical limits,
 171 the following relations hold:

$$172 \quad 0 \leq P_{Pur}(t) \leq P_{Pur}^M(t) \quad (5.a)$$

$$173 \quad 0 \leq P_{Inj}(t) \leq P_{Inj}^M(t) \quad (5.b)$$

$$174 \quad P_{Pur}(t) \cdot P_{Inj}(t) = 0 \quad (5.c)$$

175 where $P_{Pur}^M(t)$ and $P_{Inj}^M(t)$ are the maximum electric power purchasable and deliverable at
 176 grid connection, respectively.

177 The behavior of an EV fleet in V2G configuration, managed by an aggregator, can be
 178 modelled analogously to an ESS, although the EV fleet is connected to the grid only in
 179 selected time periods. Let j be the interval (set of time steps) for which the v -th EV fleet is
 180 parked, and therefore for that j -th interval, define $t_A(v, j)$ as the forecasted time step when
 181 the v -th EV fleet arrives to the station with energy content $S(v, t_A(v, j))$, and $t_L(v, j)$ as the
 182 forecasted time step at which the v -th EV fleet leaves the station with energy content
 183 $S(v, t_L(v, j))$. Hence, the following relations are valid for each time step of the j -th stationing
 184 interval, considering that the energy exchange process can start a time step after the arrival
 185 [24], i.e. $t_A(v, j) + 1 \leq t \leq t_L(v, j)$.

$$186 \quad S(v, t) = S(v, t-1) + \psi_c(v) \cdot \Delta t \cdot P_c(v, t) - \Delta t \cdot \frac{P_d(v, t)}{\psi_d(v)} \quad (6.a)$$

$$187 \quad S^m(v) \leq S(v,t) \leq S^M(v) \quad (6.b)$$

$$188 \quad 0 \leq P_c(v,t) \leq P_c^M(v) \quad (6.c)$$

$$189 \quad 0 \leq P_d(v,t) \leq P_d^M(v) \quad (6.d)$$

$$190 \quad P_c(v,t) \cdot P_d(v,t) = 0 \quad (6.e)$$

191 All the terms assume the same meaning with respect to (4.a)-(4.e), but referred to the v -th EV
 192 fleet instead of the s -th energy storage. Self-discharging effect can be neglected for the EVs
 193 [61]. The presence of several parking intervals of the same EV fleet during the day can be
 194 considered. In particular, the case of a night parking of the EV fleet is dealt with by assuming
 195 at least two intervals, one starting at the first time step of the day and one ending at the last
 196 time step of the day. In order to account for a continuity of EV charging, it is assumed that the
 197 energy state of EV fleet at the extreme time steps in the day, pertaining to different parking
 198 intervals, is the same, i.e. $S(v,1) = S(v,N_t)$. Moreover, the maximum power amount in
 199 charge and discharge phase ($P_c^M(v)$ and $P_d^M(v)$, respectively) are affected by technical
 200 features of the EVs, of the charging/V2G stations and of the connection network.

201 The energy demand of final users in the MG is represented by electricity loads $P_L(k,t)$ and
 202 thermal loads $Q_L(h,t)$. It is assumed that in MG context all the loads are connected to the
 203 same electric distribution system, that is mainly realized in MG context by means of radial
 204 schemes covering at most distances of few hundred meters. In this framework, if the network
 205 is well-designed, voltage magnitudes are close to nominal value and angle displacements are
 206 small, therefore no power flow violations are expectable and power losses can be negligible
 207 [20][21][25]. Analogously, all thermal loads are considered to refer to a well-designed heat
 208 distribution system with negligible losses. Therefore, the satisfaction of the internal demand
 209 can be represented by means of copperplate balance relations, as follows:

$$\begin{aligned}
210 \quad & \sum_{k=1}^{N_L} P_L(k,t) + \sum_{s=1}^{N_S} P_c(s,t) + \sum_{v=1}^{N_V} P_c(v,t) + P_{Inj}(t) = \\
& = \sum_{i=1}^{N_G} P(i,t) + \sum_{r=1}^{N_R} P(r,t) + \sum_{s=1}^{N_S} P_d(s,t) + \sum_{v=1}^{N_V} P_d(v,t) + P_{Pur}(t)
\end{aligned} \tag{7}$$

$$211 \quad \sum_{j=1}^{N_H} Q_L(h,t) \leq \sum_{i=1}^{N_G} Q(i,t) + \sum_{r=1}^{N_R} Q(r,t) \tag{8}$$

212 In (7), N_L represents the total number of electric loads, N_S is the number of energy storage
213 systems, N_V represents the number of EV fleets, whereas in (8) N_H is the number of thermal
214 loads. In both equations, N_G stands for the number of fuel-based generation facilities, and N_R
215 represents the number of RES-based energy production devices.

216 It should be remarked that the electric power balance is expressed by an equality, since the
217 electricity cannot be wasted, whereas thermal balance is expressed by an inequality, allowing
218 the flexibility to release excess heat in the atmosphere (useful for CHPs) or leaving room to
219 thermal energy storage devices, that are beyond the scope of this work.

220

221 2.2. Problem formulation and objective functions

222 The goal of the operation planning is to minimize an objective function according to
223 feasibility constraints, as per the following expression:

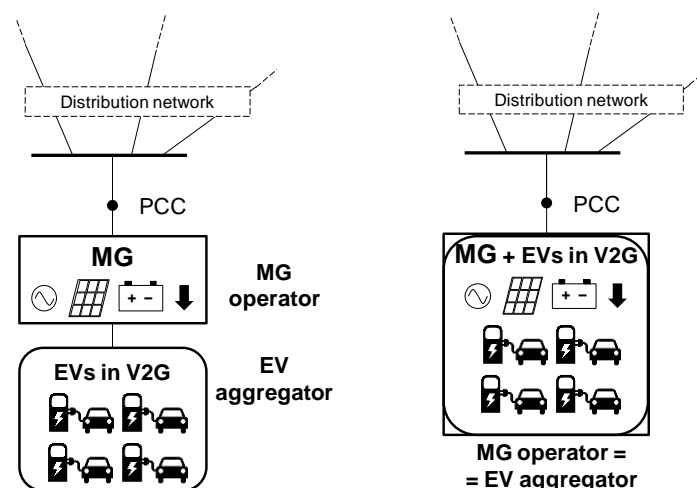
$$\begin{aligned}
224 \quad & \min f(\mathbf{x}) \\
& \text{s.t.} \begin{cases} g(\mathbf{x}) = 0 \\ h(\mathbf{x}) \leq 0 \end{cases}
\end{aligned} \tag{9}$$

225 The state variable vector \mathbf{x} includes power production/exchange profiles for the controllable
226 sources (fuel-based generators, energy storage, EV fleets, grid connection) over the daily
227 horizon, along with the state of charge of energy storage devices and EVs. The set of equality
228 constraints $g(\mathbf{x})=0$ includes (3), (4.a), (4.e), (5.c), (6.a), (6.e) and (7), whereas inequality
229 constraints $h(\mathbf{x})\leq 0$ include (1.c), (2.c), (4.b)-(4.d), (4.f), (5.a)-(5.b), (6.b)-(6.d) and (8).

230 Several objectives can be posed to MG operation planning, optimizing economic,
 231 environmental and technical aspects [61]. In this paper, a hybrid objective function is
 232 accounted, including total variable costs for MG operation and properly weighed equivalent
 233 CO₂ emission cost, to provide for feasible production programs with limited environmental
 234 impact.

235 The objective function can be expressed as the sum of different terms related to the devices
 236 described in the previous section. In particular, actualized investment cost is neglected, along
 237 with variable costs for RES-based technologies, since maintenance is quite inexpensive [62],
 238 and for ESSs, as the effort for keeping the system in correct operation is already taken into
 239 account by the self-discharge amount.

240 The role of EV aggregator is to manage the process of energy exchange of EVs. It is assumed
 241 that the EV stations are physically connected to the MG and not directly linked to the
 242 distribution network. Variable cost related to EV management depends on the relationship
 243 between EV aggregator and MG operator, as described in Fig. 1.



244

245 Fig. 1. Different relations between MG operator and EV aggregator

246 In the first case, the EV aggregator is a distinct entity that has concluded a specific contract
 247 for the electricity exchange in each direction with the MG operator. The MG operator is in
 248 charge of exchanging power with the distributor (see left side of Fig. 1). This condition can

249 reproduce the presence of an EV management entity at residential premises or for EV parking
 250 lot adjacent to a commercial or tertiary activity. Under these assumptions, the objective
 251 function of MG operator in the first case $f_1(\mathbf{x})$ can be defined as follows:

$$252 \quad f_1(\mathbf{x}) = \sum_{t=1}^{N_t} \Delta t \cdot \left\{ \left[\pi(t) P_{Pur}(t) + \sum_{i=1}^{N_G} \varphi(i) F(i,t) \right] + \sigma \left[\varepsilon_p P_{Pur}(t) + \sum_{i=1}^{N_G} E(i,t) \right] \right. \\ \left. - \beta(t) P_{Inj}(t) + \sum_{v=1}^{N_V} \left[\xi(t) P_c(v,t) - \chi(t) P_d(v,t) \right] \right\} \quad (10)$$

253 where $\pi(t)$ and $\beta(t)$ represent electricity purchase cost and electricity delivery price,
 254 respectively, in the t -th time step. Moreover, $\xi(t)$ and $\chi(t)$ are the electricity purchase cost
 255 for EV charging and the electricity delivery price for V2G discharging, respectively. Finally,
 256 $\varphi(i)$ is the fuel price for the i -th fuel-based generator, σ is the penalty cost applied to the
 257 CO₂ emissions and ε_p is the average emission factor related to electricity coming from the
 258 external grid.

259 In the second case, the role of EV aggregator is in charge to the MG operator. With this
 260 outline, the EV fleet is considered and managed as a MG energy source. Therefore, one of the
 261 major interests of the unique managing subject would be to preserve lifetime of EVs avoiding
 262 deep cycling operation, along with supplying energy to the MG and to EVs for covering travel
 263 needs, at reasonable cost (see right side of Fig. 1). This scheme can be realized by the energy
 264 management body of a residential complex detaining EVs as well, or in the presence of
 265 service vehicles owned by a factory or a public body. The objective function of MG operator
 266 in this second case $f_2(\mathbf{x})$ can be written as:

$$267 \quad f_2(\mathbf{x}) = \sum_{t=1}^{N_t} \Delta t \cdot \left\{ \left[\pi(t) P_{Pur}(t) + \sum_{i=1}^{N_G} \varphi(i) F(i,t) \right] + \sigma \left[\varepsilon_p P_{Pur}(t) + \sum_{i=1}^{N_G} E(i,t) \right] \right. \\ \left. - \beta(t) P_{Inj}(t) + \sum_{v=1}^{N_V} \omega(v) P_c(v,t) \right\} \quad (11)$$

268 where $\omega(v)$ is the wearing cost of the v -th EV fleet, taking into account the actualization of
269 EV battery cost over the forecasted throughput during the provided battery lifetime
270 [36][63][64], obtained as the product of nominal capacity by the provided number of cycles at
271 the target depth of discharge $S^M(v) - S^m(v)$ [65], Therefore, the wearing cost is determined
272 *a priori*, as an input of the problem, once the technology of EV battery and the desired depth
273 of discharge are defined, and it is applied to the equivalent cycle given by charge power
274 $P_c(v, t)$.

275 It should be remarked that both $f_1(\mathbf{x})$ and $f_2(\mathbf{x})$ represent the total daily cost of MG operator
276 with different economic treatment of EV energy. In $f_1(\mathbf{x})$, the exchange of energy between
277 MG operator and EV aggregator is ruled by a contract with different rates for EV charge and
278 discharge. In $f_2(\mathbf{x})$, EVs are dealt with just as other internal MG sources, and MG operator
279 aims to minimize the production costs, that for EVs are represented by wearing costs. Each
280 function is therefore minimized in the presence of the same inputs, and relevant results are
281 compared in order to investigate the effectiveness of different relations between MG operator
282 and EV aggregator. Having MG a size of some hundreds of kW, MG operator is not called to
283 actively participate in market sessions and to deal with relevant risk, but it acts as a price
284 taker, in accordance with various analogous approaches [22][58][67]. According to this
285 assumption, $\pi(t)$ and $\beta(t)$ account for a proper forecast of market prices and for additional
286 burdens according to specified tariff schemes.

287

288 **3. Case Study and Results**

289 *3.1. Test system characterization*

290 In order to investigate the performance of the proposed procedure along with its possible
291 application, a case study is carried out, based on a test MG reproducing the features of an

292 experimental facility realized at the Power and Energy System Laboratory of Politecnico di
 293 Bari [66] with provisional enhancements. Site-dependent data, i.e. renewable source
 294 availability and meteorological data affecting energy demands and yields, are referred to
 295 forecasts derived from historical data at the laboratory location.

296 The MG includes as generation sources a gas-based Internal Combustion Engine (ICE) in
 297 cogeneration mode, a Microturbine (MT) in cogeneration mode, a PV plant, a wind emulator
 298 to replicate various wind turbine (WT) response to wind speed. Moreover, an energy storage
 299 system and a fast-charging V2G station are provided, and programmable loads can simulate
 300 the presence of different consumers as well. A boiler section is considered in order to
 301 underpin the thermal demand coverage. The features of the components are reported in the
 302 following Tables 1, 2 and 3 for RES devices, energy production and energy storage,
 303 respectively, along with the relevant name exploited in the test. The parameters are derived
 304 from nameplate data of the devices included in the experimental facility, or obtained by
 305 relevant characterization tests, or taken from literature references where indicated. The case
 306 study includes a daily horizon subdivided in 96 time steps with a duration of 15 minutes. For
 307 CHP1 and CHP2, trends of electric efficiency are reported in Fig. 2. Thermal efficiencies for
 308 CHPs and Boilers are considered constant, since no remarkable variation is observed.
 309 Therefore, as can be derived from comments to (1.b) and (2.b), emission factor is inversely
 310 proportional to electric efficiency for CHPs, whereas for boilers it is constant at rated value.
 311 Moreover, minimum production level for CHPs is set to zero, compatibly with observed low
 312 minimum stable production (roughly 1 kW).

313

314

Table 1. Test MG – Renewable Based Generator Features

Device name	Device type	Rated electric power [kW]
PV 1	Mono-crystalline silicon	20
PV 2	Poly-crystalline silicon	20
PV 3	Amorphous thin film PV	20
WT 1	Horizontal axis WT	40
WT 2	Vertical axis WT	20

315

316

Table 2. Test MG – Energy Production Devices Features

Device name	Device type	Rated electric/thermal power [kW]	Rated electric/thermal efficiency [%]	Rated emission factor [kg/kWh]
CHP 1	ICE	105 / 185	31.5 / 56	0.594
CHP 2	MT	28 / 57	25 / 50	0.725
HB 1	Wood boiler	--- / 75	--- / 82.5	0.02
HB 2	Pellet boiler	--- / 20	--- / 88.2	0.00
Grid	Power exchange	80 / ---	---	0.309 [68]

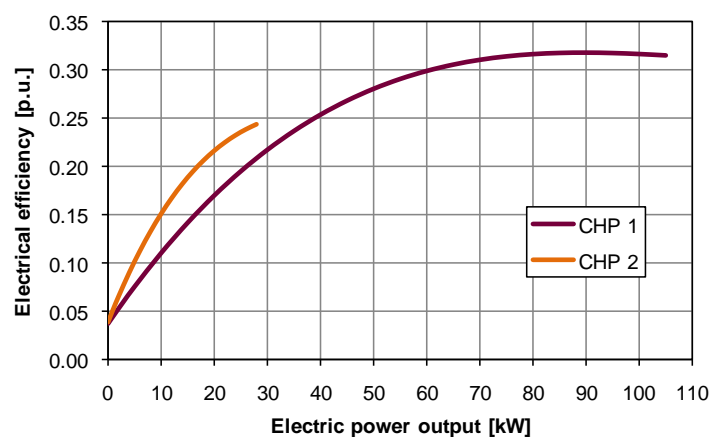
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318

Table 3. Test MG – Energy Storage device features

Device name	Device type	Rated capacity [kWh]	Rated electric power [kW]	Charge/discharge efficiency [%]	Self-discharge rate [%/h]
ESS	Na-Ni battery	180	48	85	1.36
EVs	10-EV fleet with 10 V2G stations	240	100	90.9 [69]	---

319



320

321

Fig. 2. Electrical efficiency curve of the CHPs.

322

323 The case study considers a typical winter day with residential and commercial load supplied
 324 by the MG. Power and heat demand curves for users are taken from U.S. data in [70] and
 325 accounting for similar climate conditions with respect to the location of the experimental
 326 facility. User features are reported in Table 4.

327 In the considered day, the total forecasted RES production is equal to 334.4 kWh, covering
 328 14.7% of the residential load and 19.3% of the commercial one. It is worth to remark that
 329 wind contribution is higher than PV yield.

330

Table 4. Test MG – User features

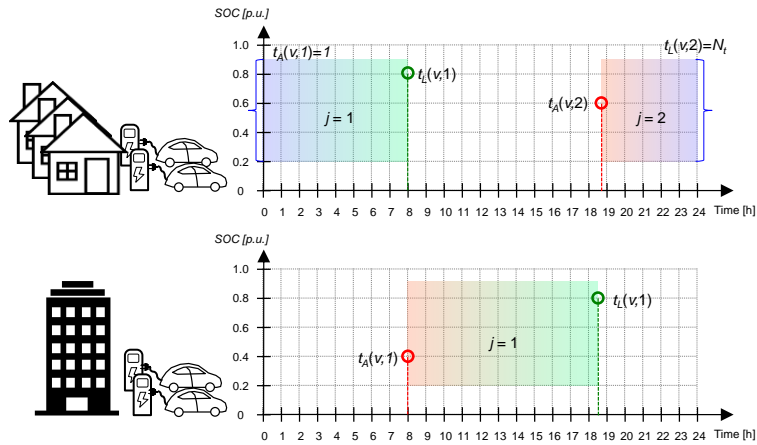
User Description	Electric / thermal peak power [kW]	Electric / thermal daily demand [kWh]
Residential 50 twin apartments	160 / 250	2,278.5 / 3,911.5
Commercial Medium-size office	140 / 250	1,731.5 / 2,167.3

331

332 In order to best exploit the potential of MG components and not to jeopardize the security of
333 system in emergency cases, as involuntary islanding, the allowed exchange of electric power
334 with the distribution network is limited at 80 kW.

335 In the EV parking lot 10 V2G charging stations are installed. In Figure 2 the EV fleet
336 connection to the V2G charging station is characterized by time intervals depending on
337 dwellers and employees behaviour for residential and commercial building, respectively. In
338 particular, in the residential case (upper part of Fig. 3), parking interval starts at 6:45 p.m. at
339 60% charge (red) and ends at 8 a.m. at 80% (green), therefore two intervals are included in
340 simulation, as described in Section II, involving the continuity of energy content variation at
341 extremes of the day (blue). Whereas, for the office building (lower part of Fig. 3), clerks
342 arrive at workplace at 8 a.m. with 40% charge (red) and leave at 6:30 p.m. at 80% (green),
343 with a single parking interval.

344 The energy content of the EVs at station leaving is supposed at 80% of rated capacity, to
345 decrease the effects of range anxiety [71] as well as to ensure the presence of suitable margins
346 for V2G exploitation and for successive adjustments in the framework of second-stage
347 procedure for real time management. The state of charge at fleet arrival is accounted
348 according to average routes of the EV drivers. The choice of the variation range of state of
349 charge between 20% and 90% helps extending EVs lifetime preventing full charge and deep
350 discharge [34][72][73]. It has to be pointed out that the link of EV exploitation of the two
351 users is beyond the scope of the paper.



352

353

Fig. 3. EV fleet characterization for the considered users.

354 The electricity purchase price $\pi(t)$ is determined as sum of hourly spot market prices and

355 additional burdens to cover transport and distribution service included in tariffs for domestic

356 and non-domestic users, whereas electricity delivery cost $\beta(t)$ is characterized by three

357 levels for peak, average and off-peak hours respectively, according to Italian energy service

358 operator [74]. Fuel cost $\varphi(i)$ derives from tariffs of an Italian fuel distribution company [75]

359 and are equal to 0.51 €/Sm³ for gas, 0.172 €/kg for wood, 0.32 €/kg for pellet, supposed

360 constant over the whole day. Moreover, emission cost σ is equal to 0.57 c€/kg [76].

361 The two formulations of the objective function of the day-ahead scheduling problem, as

362 defined in the previous section, are both applied to the two user profiles. In particular, while

363 considering $f_1(\mathbf{x})$, different tariff schemes are analysed, and the best solution is obtained

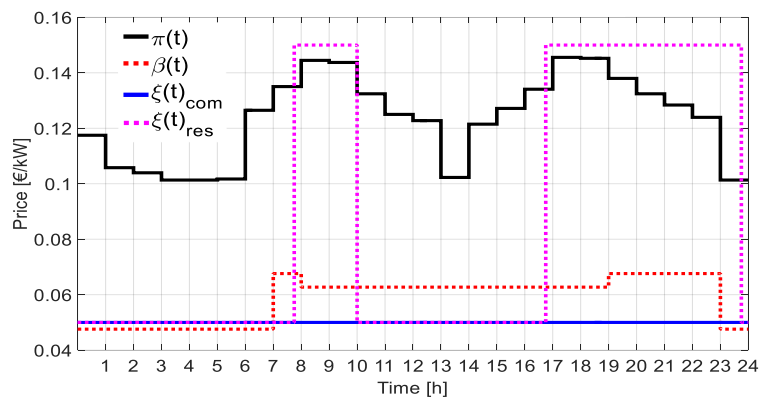
364 with a flat EV discharge cost $\chi(t)$ equal to 18 c€/kW and with an EV charge price $\xi(t)$

365 having two levels for residential user and a constant value for the commercial one. Cost trends

366 are reported in Fig. 4, indicating with subscribed *res* and *com* the costs for residential

367 commercial users, respectively. When $f_2(\mathbf{x})$ is minimized, EV wearing cost $\omega(v)$ is equal to

368 5 c€/kWh, as in [77].



369

370

Fig. 4. Cost diagram for each time step.

371 *3.2. Solution procedure*

372 The optimization is performed in MatLAB® environment, exploiting *fmincon* function by
 373 means of the SQP algorithm [78]. It is a Newton-type method, characterized by super-linear
 374 convergence, and proved robust for the solution of nonlinear optimization problems, even in
 375 non-convex formulations [79][80]. Details of SQP method are reported in the Appendix.

376 The relative tolerance levels on decision variables, constraints and objective function are all
 377 set to $1 \cdot 10^{-4}$.

378 As most of the methods for nonlinear problem solution, the algorithm efficiently searches for
 379 a local minimum, therefore a proper initial condition is provided [81]. This is done through
 380 the solution of the linearized formulation of problem (9), by accounting for rated efficiencies
 381 of fuel-based devices (see Table 2) in (1.a), (1.b), (2.a), (2.b) and (3), and discarding non-
 382 contemporaneity constraints for bidirectional power exchanges (4.e), (5.c), (6.e). These
 383 constraints are verified *a posteriori*, and where they are not satisfied, the solution is corrected
 384 by subtracting to both values the minimum one. The proposed procedure to solve the non-
 385 linear problem (9) is managed automatically in all its parts, as explicated in the flowchart
 386 reported in Fig. 5, where the stages of initial solution determination (through the linearized
 387 problem) and of solution of complete NLP problem (9) are illustrated.

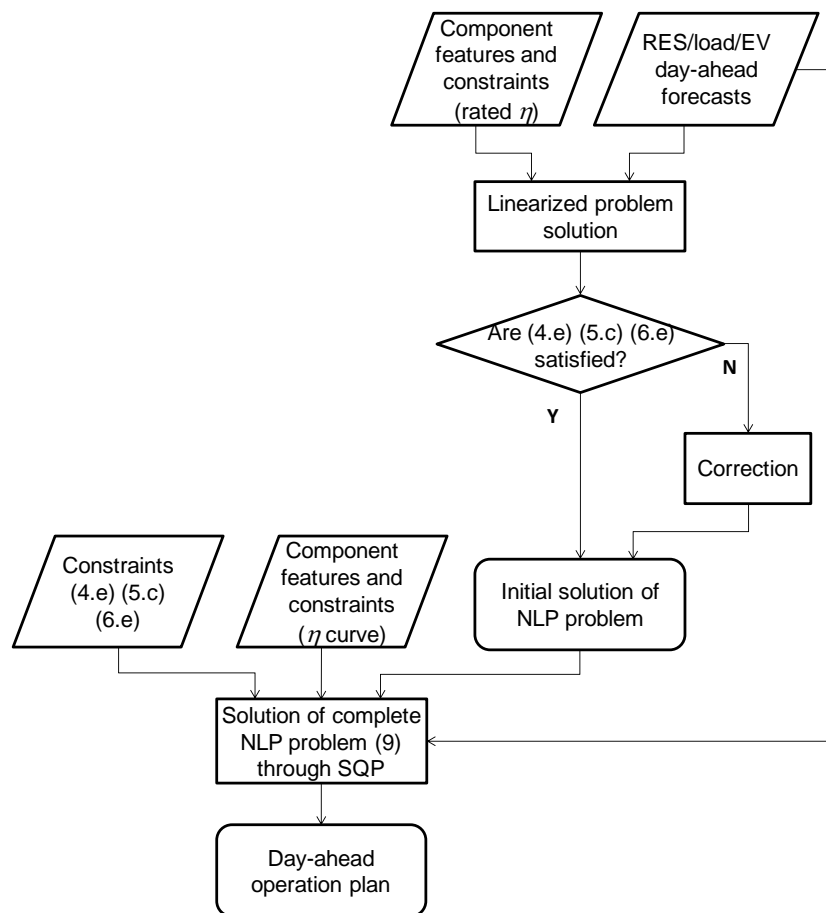


Fig. 5. Solution flowchart of the proposed day-ahead procedure.

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390

391 3.3. Test cases and discussion

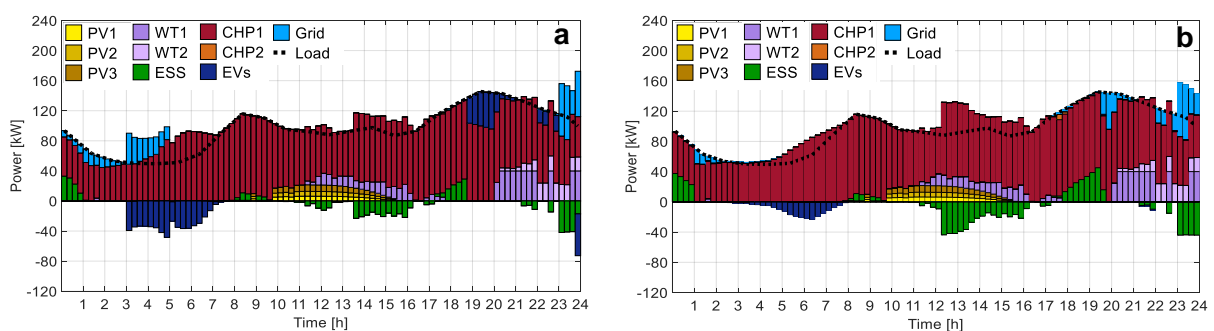
392 The results of optimal operation planning of the test MG in the presence of the described
 393 residential load are presented.

394 In particular, the application of the objective function $f_1(\mathbf{x})$, defined in (10), yields the
 395 results reported in Figs 6.a, 7.a and 8.a, where electric balance, thermal balance and SOC of
 396 storage devices are shown, respectively. In Fig. 8.a, positive values of power by ESS and EVs
 397 correspond to discharge power, whereas negative values stand for charge power. As regards
 398 grid power, positive values represent power purchase and negative ones correspond to
 399 delivery. It can be seen that the EV discharge guarantees the coverage of the electric load in
 400 periods when production by RES is low, for instance between hours 19 and 22, as remarked

401 by the SOC trend of EVs in Fig. 8.a. Moreover, the excess power production by CHPs with
 402 respect to the original load trend, along with grid withdrawal, is addressed at charging ESS
 403 and EVs. In particular, ESS is charged in central hours of the day, in the presence of
 404 remarkable RES production. EVs are charged in late evening and early morning, when
 405 electricity purchase price is lower and thermal demand drives CHP extra production. Due to
 406 low delivery price, no power injection to the main network is observed.

407 Whereas, the application to residential user of $f_2(\mathbf{x})$ objective, defined in (11), leads to
 408 results depicted in Figs 6.b, 7.b and 8.b. In this case, the EV SOC experiences less
 409 fluctuations, keeping constant for most of the parking interval. This is ascribable to the
 410 presence of the wearing cost, that prevents V2G to occur. EV charge is observed only in early
 411 morning, when thermal load trend involves an excess of electricity production by CHP1 with
 412 respect to the demand. The ESS is more deeply employed in the presence of EV wearing cost
 413 due to lack of EV discharge.

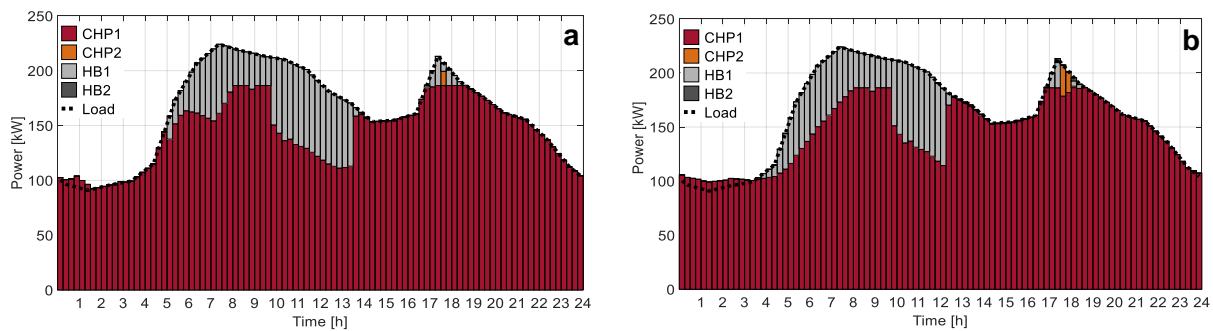
414 As regards thermal energy, the demand is covered mostly by CHP1 in both cases (see Fig. 7.a
 415 and 7.b), respecting the technical limits, whereas the CHP2 and HBs are exploited during
 416 peak demand periods.



417

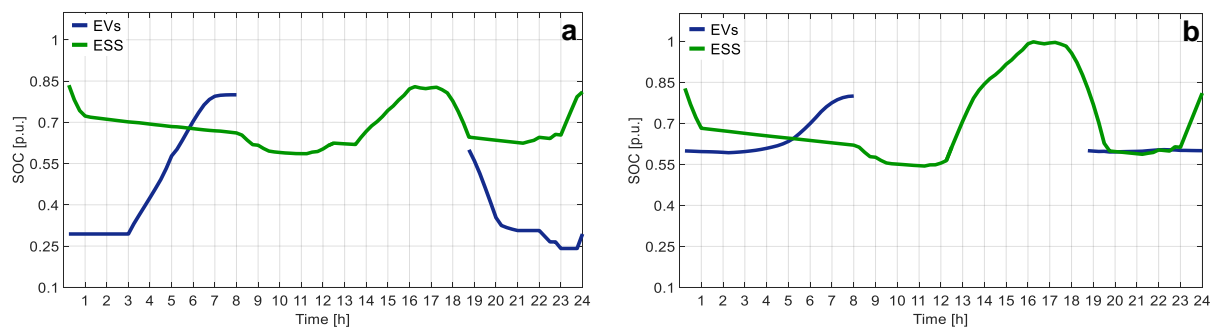
418

Fig. 6. Electric power balance of Residential user with $f_1(\mathbf{x})$ objective (a) and $f_2(\mathbf{x})$ objective (b).



419

420

Fig. 7. Thermal power balance of Residential user with $f_1(\mathbf{x})$ objective (a) and $f_2(\mathbf{x})$ objective (b).

421

422

Fig. 8. Storage state-of-charge of Residential user with $f_1(\mathbf{x})$ objective (a) and $f_2(\mathbf{x})$ objective (b).

423

424 The optimal MG operation plan in the presence of commercial load is illustrated in Figs. 9.a,

425 10.a and 11.a in the case of $f_1(\mathbf{x})$ objective, and in Figs. 9.b, 10.b and 11.b in the case of

426 $f_2(\mathbf{x})$ minimization. It can be noted that the different load profiles of this user involve a

427 different exploitation of internal sources. In particular, in the first and last hours of the day,

428 when heat is not needed, the CHPs are not fired on, since it reveals more convenient to

429 purchase energy from the grid at low price levels rather than exploiting CHP at partial load,

430 with low efficiency. The pursuit of $f_1(\mathbf{x})$ objective implies a deeper EV employment due to

431 the difference of price levels. In particular, EVs are intensively charged at arrival, in central

432 hours of the day and at the end of parking time, even withdrawing additional electricity from

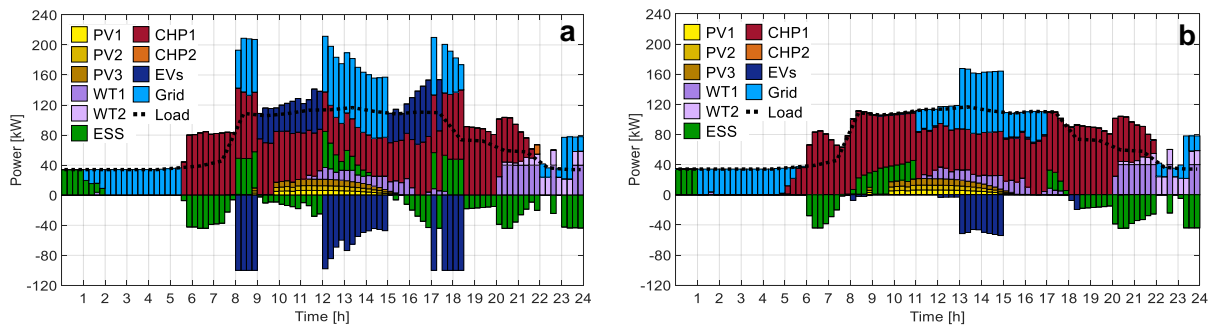
433 the grid. This trend is supported by the ESS as well, in fact ESS discharges in periods when

434 EVs require to charge and vice versa, as shown in Fig. 11.a. However, the described curves

435 entail a peak of total demand up to 210 kW, almost doubling the predicted load. As regards

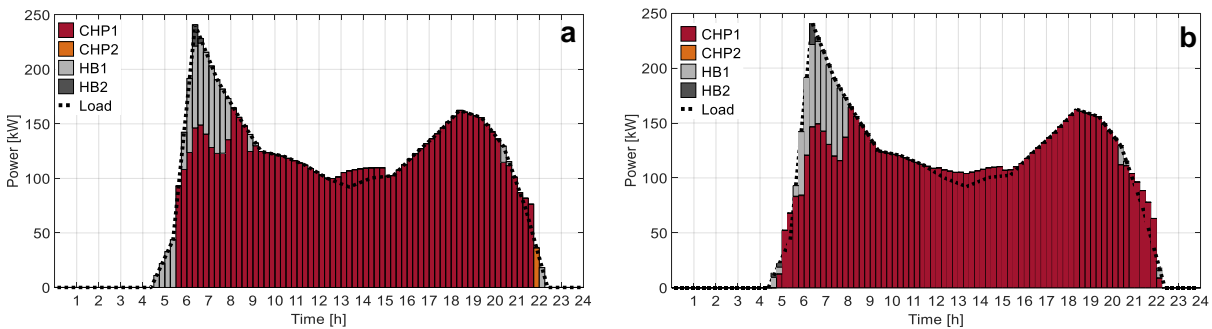
436 thermal load (Fig. 10.a), according to economic and environmental merit order, the baseload
 437 is covered by the CHP1, and HBs and CHP2 are called to produce in peak periods. When the
 438 demand is low, CHP1 is less convenient than HB1.

439 When $f_2(\mathbf{x})$ is minimized, EVs charge only in central hours of the day, as reported in Fig.
 440 9.b. Indeed, the saddle in thermal demand (Fig. 10.b) does not allow for intense CHP
 441 exploitation, therefore further grid withdrawal is necessary for EV charging in low-price
 442 periods. This involves a deeper discharge of ESS during hours 8-11 to cover the demand in
 443 peak price period. In all cases, self-discharge effect of ESS in idle state is observed.



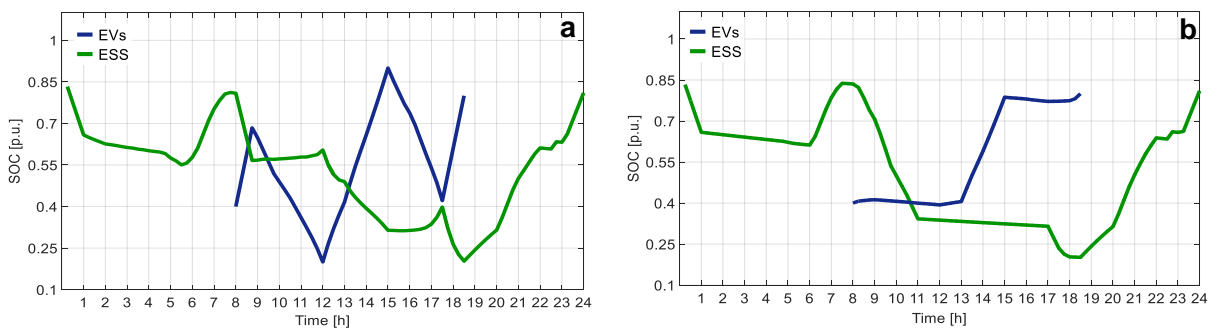
444

445 Fig. 9. Electric power balance of Commercial user with $f_1(\mathbf{x})$ objective (a) and $f_2(\mathbf{x})$ objective (b).



446

447 Fig. 10. Thermal power balance of Commercial user with $f_1(\mathbf{x})$ objective (a) and $f_2(\mathbf{x})$ objective (b).



448

449 Fig. 11. Storage state-of-charge of Commercial user with $f_1(\mathbf{x})$ objective (a) and $f_2(\mathbf{x})$ objective (b).

450

451 It can be seen that the adoption of realistic electric efficiency curve, that has remarkably low
 452 values at low production levels, avoids operation of CHPs below the minimum stable
 453 generation level in all cases. In this way, analogous results are obtained with respect to other
 454 approaches introducing integer on/off variables.

455 The comparison of total daily costs and relevant main contributions is reported in Table 5. It
 456 allows to state that the $f_1(\mathbf{x})$ objective reveals cheaper than the $f_2(\mathbf{x})$ for both users (3% in
 457 residential case and 7% in commercial case), revealing that an *ad hoc* tariff scheme applied by
 458 the aggregator for EV charge and discharge can encourage their use for MG optimal operation
 459 purposes. Grid purchase has a higher impact for the commercial user (18-24% with respect to
 460 3-4% for the residential one), due to the different demand trend, whereas emission costs do
 461 not affect significantly the total expenses, contributing in each case to less than 2%.

462

463

464

Table 5. Test MG – Daily Cost contributions [€]

	Residential		Commercial	
	$f_1(\mathbf{x})$	$f_2(\mathbf{x})$	$f_1(\mathbf{x})$	$f_2(\mathbf{x})$
Grid purchase cost	15.0	13.6	63.1	52.5
Grid delivery revenue	0.0	0.0	0.1	0.0
Fuels cost	376.4	379.6	214.6	218.6
EV charging cost	7.4	2.8	18.0	5.7
EV discharging revenue	14.1	0.0	37.8	0.0
Grid emission cost	0.3	0.2	0.9	0.8
Generators emission cost	6.6	6.7	3.7	3.7
Total cost	391.6	402.9	262.5	281.3

465

466 Computational efforts obtained by running the procedure on a 64-bit workstation equipped
 467 with 3.50 GHz processor and 16 MB RAM and exploiting virtual parallel calculus on 4
 468 processors are synthetically compared in Table 6. It can be seen that the problem solution
 469 takes from 3 min to 22 min to reach an optimal solution where error levels are below selected
 470 thresholds, and this time is well compatible with day-ahead programming horizon. The

471 solution involves heavier computations (either number of iterations or computation time) in
 472 the presence of $f_1(\mathbf{x})$ objective, due to the involvement of conflicting terms in the objective
 473 function, see positive and negative cost contribution due to EV charge and discharge,
 474 respectively, in (10). The determination of initial solution is quite fast and does not affect
 475 remarkably the total solution time.

476 Table 6. Computational effort and solution convergence.

	Residential		Commercial	
	$f_1(\mathbf{x})$	$f_2(\mathbf{x})$	$f_1(\mathbf{x})$	$f_2(\mathbf{x})$
Computation time initial condition [s]	0.048	0.162	0.046	0.161
Computation time solution procedure [s]	685.2	797.3	1323.0	197.1
Iteration n.	19	53	182	45
Relative error on variables	$8.50 \cdot 10^{-5}$	$8.08 \cdot 10^{-5}$	$9.05 \cdot 10^{-5}$	$8.88 \cdot 10^{-5}$
Relative error on constraints	$7.12 \cdot 10^{-5}$	$4.82 \cdot 10^{-5}$	$6.94 \cdot 10^{-6}$	$6.37 \cdot 10^{-5}$

477

478 4. Conclusions

479 In this paper, strategies for optimal day-ahead operation planning of MG integrating V2G-
 480 based EV fleets have been proposed. The procedure, involving electric and thermal load
 481 coverage, has been tested on a selected MG configuration, where typical load profiles of
 482 residential and commercial users have been considered. The presence of different goals,
 483 according to various interaction frameworks between EV aggregator and MG operator, have
 484 yielded different operation plans, with particular regard to EV exploitation. Indeed, the
 485 presence of suitable cost schemes for EV charge and discharge, in the presence of EV
 486 aggregator relating with MG operator, have led to a deeper EV exploitation and a more
 487 efficient operation of MG resources, achieving lower total MG cost. Whereas, wearing cost
 488 drives the preservation of EVs lifetime, preventing their depletion and providing a service
 489 only by defining optimal charging intervals. V2G behavior has allowed the coverage of
 490 demand peaks, and can be efficiently utilized when high electricity price occurs. Moreover,
 491 the presence of CHPs and ESS has brought to reduce the need of electricity purchase from the

492 external grid to charge EVs. The proposed methodology has proved powerful to deal with the
 493 operation planning problem in a suitable time for day-ahead horizon. In addition, the method
 494 can be further extended to take into account several EV fleets, characterized by different
 495 exigencies, and can be exploited to enhance the integration of V2G in different locations. The
 496 implementation and test of obtained results in the envisaged extended configuration of the
 497 MG testbed facility is planned to be object of future developments, as well as the setup of the
 498 short-term operation management procedure to deal with real time variations to the plan.

499

500 Appendix

501 SQP algorithm solves a sequence of optimization sub-problems, characterized by a quadratic
 502 model of the main problem. The basis of the algorithm consists of the calculation of
 503 Lagrangian function $L(\mathbf{x})$ related to problem (9), defined as follows:

$$504 \quad L(\mathbf{x}) = f(\mathbf{x}) + \sum_w \lambda_w \cdot g_w(\mathbf{x}) + \sum_b \mu_b \cdot h_b(\mathbf{x}) \quad (\text{A.1})$$

505 where w is the generic equality constraint and λ_w is the correspondent Lagrangian multiplier,
 506 whereas b is the generic inequality constraint and μ_b is the correspondent Lagrangian
 507 multiplier. It is assumed that bound constraints are expressed as inequality constraints.
 508 Therefore, Karush-Kuhn-Tucker (KKT) conditions are posed and approximated by means of
 509 second-term truncated Taylor series, thus obtaining, for the κ -th iteration, the following
 510 quadratic subproblem:

$$511 \quad \begin{aligned} & \min_{\mathbf{d}} \nabla f(\mathbf{x}^\kappa)^T \cdot \mathbf{d}^\kappa + \frac{1}{2} \mathbf{d}^\kappa \cdot \mathbf{H}^\kappa \cdot \mathbf{d}^\kappa \\ & \text{s.t.} \begin{cases} \nabla g_w(\mathbf{x}^\kappa)^T \cdot \mathbf{d}^\kappa + g_w(\mathbf{x}^\kappa) = 0 \\ \nabla h_b(\mathbf{x}^\kappa)^T \cdot \mathbf{d}^\kappa + h_b(\mathbf{x}^\kappa) \leq 0 \end{cases} \end{aligned} \quad (\text{A.2})$$

512 where $\mathbf{H}^\kappa = \nabla_{\mathbf{x}}^2 L(\mathbf{x}^\kappa, \boldsymbol{\lambda}^\kappa, \boldsymbol{\mu}^\kappa)$ is the Hessian matrix of KKT conditions at the κ -th iteration
 513 and \mathbf{d}^κ is the solution search direction. For each iteration, the algorithm updates the Hessian
 514 matrix through an approximate gradient evaluation method, therefore solves the quadratic
 515 subproblem (A.2), that can be modified in order to account for feasibility limits (for instance
 516 by means of a quadratic approximation of constraints instead of linear) and updates the
 517 solution as follows:

$$518 \quad \mathbf{x}^{\kappa+1} = \mathbf{x}^\kappa + \alpha^\kappa \cdot \mathbf{d}^\kappa \quad (\text{A.3})$$

519 where α^κ is the step-length parameter, determined in order to decrease a merit function, with
 520 larger penalty contribution of active constraints.

521

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