

# Repository Istituzionale dei Prodotti della Ricerca del Politecnico di Bari

# Uncertainty Evaluation of the Unified Method for Thermo-Electric Module Characterization

This is a pre-print of the following article

# Original Citation:

Uncertainty Evaluation of the Unified Method for Thermo-Electric Module Characterization / D'Aucelli, G. M.; Giaquinto, N.; Guarnieri Calò Carducci, C.; Spadavecchia, M.; Trotta, A.. - In: MEASUREMENT. - ISSN 0263-2241. - ELETTRONICO. - 131:(2019), pp. 751-763. [10.1016/j.measurement.2018.08.070]

Availability:

This version is available at http://hdl.handle.net/11589/139300 since: 2022-06-07

Published version

DOI:10.1016/j.measurement.2018.08.070

Terms of use:

(Article begins on next page)

# Uncertainty Evaluation of the Unified Method for Thermo-Electric Module Characterization

G.M. D'Aucelli, N. Giaquinto, C. Guarnieri Calò Carducci, M. Spadavecchia, A. Trotta

#### 5 Abstract

In this paper the uncertainty evaluation of the recently proposed Unified Method for Thermo-Electric Module characterization is carried out. The measurement model is detailed and individual uncertainty contributions are highlighted, with close reference to the instrumentation and measurement setup. The uncertainty evaluation is performed by means of a Monte Carlo Simulation. The same algorithm is used to perform a sensitivity analysis, giving a comprehensive insight into the most critical issues of the proposed method and assessing the performance of the two adopted electrical stimuli. The experimental results thereby obtained are discussed and improvements to the measurement setup and technique are finally proposed.

- 6 Keywords: Thermoelectric devices, Estimation, Monte Carlo methods, Mea-
- surement uncertainty, Sensitivity analysis

### 8 1. Introduction

- Thermoelectricity is an emerging technology capable of harvesting electrical
- energy from waste heat, thus potentially increasing the energy-efficiency in many
- applications ranging from aerospace [1-3], to industrial ones [4-7] with low
- environmental impact.
- Thermo-Electric Modules (TEMs), due to their high reliability and com-
- pactness, are well suited to work alongside other energy harvesting technologies

such as photovoltaic [8, 9], to recover the otherwise dissipated heat from the rear of solar panels. As generator, a TEM or an array of TEMs, could be also a valid alternative to batteries in giving an autonomous source of energy to sensor nodes [10–12] and wireless sensor networks [2, 9, 13, 14]. TEMs have also been extensively considered, as heating/cooling devices, to control the operating temperature of microelectronic devices to allow higher clock rates [15], in air conditioning systems [16] and for refrigeration applications [4, 17].

Performances of TEMs are generally given from manufacturers in some standard operating conditions (for example at maximum heat flux) but, in real applications, a TEM-based system rarely works at such conditions. For this reason, since a couple of years, a great research effort is being directed into TEM performance assessment under a broader range of ambient temperature and heat fluxes [18–21].

A typical specification provided by TEM manufacturers is the largest temperature difference  $\Delta T_{max}$  obtainable between the two faces of the module when
cooling capacity is zero at cold side. This parameter is often specified in correspondence of no more than a couple of hot side temperature values (for instance
300 K and 323 K) [22]. Conversely, when the TEM is designed for energy harvesting, the power, voltage and maximum efficiency at matched load condition
(i.e. load resistance equals the internal electrical resistance of the module  $R_{in}$ )
are usually given. Clearly, all the values provided as product specifications are
related only to ideal use cases and merely useful as general design criteria, but
heavily inaccurate in most real applications.

In a recent work, the authors have developed a testbed to perform an Unified Method (UM) to quickly estimate the TEM's equivalent electrical and thermal model parameters, i.e. the Seebeck coefficient  $\alpha_S$ , the internal electric resistance  $R_{in}$  and the thermal equivalent resistance  $\Theta_{in}$ , in a wide range of temperature differences, ambient temperatures and electric loads [23]. In [23], two different current profiles, namely Current Sweep (CS) and Small Signal (SS) were applied to the TEM to derive all the parameters in a single test, using a quite simple configuration. In that paper a first analytical comparison among the standard

uncertainties obtained from the two proposals has been reported. The uncertainty assessment was based on the study of the linear regression problem in determining the internal resistance  $R_{in}$  and the Seebeck voltage  $V_{th}$  whereas the uncertainty on the estimation of the  $\Theta_{in}$  was evaluated by applying the standard uncertainty propagation proposed by the Guide to the Expression of Uncertainty in Measurement (GUM) [24]. The application of the GUM ap-51 proach is straightforward, however its application to the sensitivity analysis requires calculating the sensitivity coefficients through partial derivatives of the measurement model [25]. In most practical cases, the correlation coefficients and high-order uncertainty components are supposed negligible [26], but still the complexity of the measurement model makes this approach unfeasible. As 56 better justified in Section 4, a good alternative to the GUM method are Monte Carlo (MC) simulations, like those described in [27], and [28]. The method simulates a high number of measurements by randomly sampling all input quantities from known probability distributions, thus numerically obtaining the distributions of output quantities by straightforwardly applying the measurement model 61 [25, 29]. 62 In [30] all uncertainty sources are identified for both electrical resistivity and

In [30] all uncertainty sources are identified for both electrical resistivity and Seebeck coefficient; the former was measured using a potentiometric configuration, and the latter by applying the differential Seebeck method. As probes, two thermocouples mechanically clamped on the sample were used. The test was conducted in a furnace with temperature ranging from room temperature up to 1200 K, whereas  $\Delta T$  was varied from 0 up to 10 K using a heater. Using the GUM approach, the authors have obtained, for the Seebeck coefficient, a temperature dependent and asymmetric uncertainty between  $\pm 1.0\%$  and  $\pm 1.0\%$  of the nominal value at high temperature and  $\pm 1.0\%$  near room temperature. The electrical resistivity was determined to be  $\pm 7.0\%$  across any measurement temperature [30].

A similar approach was applied in [31] where uncertainties less than 5% and 4% were found for the measurement of the Seebeck coefficient and electrical resistivity respectively, but the characterization was made near room tempera-

ture with a small temperature gradient ( $\sim 3$  K). Another uncertainty analysis of a thermoelectric materials characterization procedure near room temperature can be found in [32], where some of the measurement systems developed at Fraunhofer Institute for Physical Measurement Techniques are summarized and a 10% accuracy is estimated for Seebeck coefficient, electrical conductivity 81 and thermal conductivity, whereas the uncertainty for the measurement of the 82 figure of merit was estimated to about 40%.

Whereas the aforementioned works are mainly oriented to describe, in terms of uncertainty, only the electrical parameters in samples of bulk thermoelec-85 tric materials, this paper focuses on the detailed uncertainty evaluation and on the sensitivity analysis to individual input uncertainties of both electrical and thermal parameters of a TEM.

In Section 2, the mathematical models with the main inputs, outputs and the UM are described, pointing out the advantages introduced by the proposed method. Afterwards, in Section 3, the testbed for electrical and thermal charac-91 terization is briefly outlined and the uncertainty contributions from each source 92 and known parameters are detailed. In Section 4 the approach to the metrological characterization of the proposed technique is introduced by specifying the input uncertainty contributions considered in the Monte Carlo Simulation and 95 explaining how the sensitivity of each output quantity to such contributions has been assessed. Finally, in Section 5, experimental results are presented and, 97 consequently, conclusions are drawn.

#### 2. The TEM Unified Method 99

87

100

101

102

104

To characterize the electrical behavior of a TEM, the Seebeck coefficient  $\alpha_S$ and the internal resistance  $R_{in}$  must be estimated. The whole measurement procedure is outlined in Figure 1. First, the Kirchhoff's voltage law at the terminals of the module can be considered:

$$V = R_{in}I + \alpha_S \Delta T \tag{1}$$

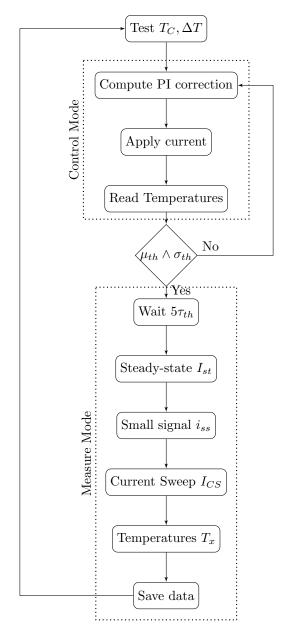


Figure 1: Measurement process flow chart

Conversely, the thermal behavior of a TEM can be described by the thermal resistance  $\Theta_{in}$  of the module. Its value is derived by measuring the emitted and absorbed heat fluxes  $q_{em}$  and  $q_{abs}$ , and solving the energy balance between the Peltier effect, the heat conduction and the Joule effect, averaging the respective equations

$$\begin{cases}
q_{em} = \alpha_S I T_h - \frac{T_h - T_c}{\Theta_{in}} + \frac{I^2 R_{in}}{2} \\
q_{abs} = \alpha_S I T_c - \frac{T_h - T_c}{\Theta_{in}} - \frac{I^2 R_{in}}{2}
\end{cases}$$
(2)

where  $T_h$  and  $T_c$  are, respectively, the temperature of the hot and cold side.

The thermoelectric performance relies directly on the dimensionless thermoelectric figure of merit  $Z\bar{T}$  which summarizes the bulk material properties and allows comparisons between different TEMs.

$$Z\bar{T} = \frac{\alpha_S^2 \Theta_{in}}{R_{in}} \bar{T} \tag{3}$$

where  $\bar{T}$  is the average temperature between the hot and the cold side of the module, as defined in Section 3.1.

From equation (3),  $Z\bar{T}$  is a function of the electrical resistance, the Seebeck-coefficient and the thermal resistance and can be determined by measuring  $\alpha_S$ ,  $R_{in}$  and  $\Theta_{in}$  separately [33] or directly using the Harman Method (HM) [34] or other transient methods [35]. An example of direct measurement of the Figure of Merit is the "Z meter", a technique for rapid pass/fail test of TEMs with moderate accuracy [36].

However, all three quantities in (3) give information about electric and thermal processes in a material. Consequently, in thermoelectric research but also in commercial devices, it is most common to estimate each parameter individually to obtain the figure of merit and to determine the transport properties of material samples. It is to be noted that the HM can only be carried out under small temperature differences.

For example,  $\alpha_S$  and  $\Theta_{in}$  are usually measured under a temperature difference of 10-20 K without an electric current flowing through the material sample, while  $R_{in}$  is measured at isothermal conditions by applying a small excitation current (in the order of a few milliamperes) [33]. Similarly, the HM is only valid if the Joule heat generation is negligible, thus a weak current and a small temperature difference should be imposed [34].

Generally, a TEM is usually operated under much larger temperature differences, with significant electric currents flowing in the module; this is the case of the characterization of thermoelectric power generators. In such scenarios, the above-mentioned techniques do not provide measurement results relevant to actual operating conditions.

A lot of research has already been published reporting characterization techniques for TEMs under such operating conditions [37–39]. However, the presented techniques adopt complex setups, requiring mechanical components to compress the test stack and a combination of heat sink/sources with both vacuum and circulating pumps to keep the thermal gradient constant and to avoid heat dissipation phenomena. A comprehensive survey of different approaches and testbeds is given in [40].

Another interesting procedure implementing a transient method is proposed by McCarty [41], where a V-I curve tracing method is described that estimates also the average thermal resistance of a TEM. This method requires only four data points obtained by switching a relay (short circuit condition, open circuit condition and the two transitions at near thermal steady state). No reproducibility nor accuracy information is, however, provided for the method.

The UM for TEM characterization has been introduced to overcome the limitations of the previous methods. Using this method, it is possible to fully characterize a TEM in two different quadrants of the P-I plane, i.e. in both energy-generating and heating-cooling mode [42] with a good accuracy. Many different operating conditions, typical in temperature control scenarios, can be explored by setting the room temperature  $T_a$  (i.e. the cold side temperature of the TEM) and the temperature difference  $\Delta T$  between the two sides. Measured module parameters can then be used to accurately simulate TEM performance in real life scenarios. 

The UM is based on a measurement scheme whose complexity can be adapted

according to the required uncertainty or to the available instrumentation, using two different measurement techniques. As detailed in [23], the UM, constist in applying first a constant current to reach a given steady state, which results in a temperature difference  $\Delta T$  between the two sides obtained using a Proportional-Integrative (PI) controller in a closed-loop feedback; then, a stimulus signal is applied and the resulting current flowing in the module and voltage at its terminal are acquired. Two different stimuli are proposed:

- the small signal (SS) consists in sinusoidal stimulus with an amplitude of approximately 12 mA that is added to the steady state current. It requires no previous assumption and is generally faster because the bias point is not altered. This stimulus requires a simple power driver, but produces worse results in terms of uncertainty for increasing values of  $\Delta T$ ;
- the *sweep signal* consists in a current sweep (CS) from the bias value to its opposite. It requires a previous identification of the dynamic model of the module and a 4-quadrant power amplifier, but produces far more better results in terms of accuracy.

## 3. Experimental setup

171

172

173

174

175

176

177

178

190

191

192

The above described steps have been implemented in an automatic test pro-181 cedure that carries out measurements over customizable combinations of the 182 cold side temperature  $T_c$  and the working  $\Delta T$ . This last parameter is, in par-183 ticular, set by imposing a steady state current  $I_{st}$  to the TEM and exploiting 184 the Peltier effect. The whole test was conducted inside a Discovery Es 250 (DY-185 250) climate chamber by Angelantoni Group S.p.A., that brings the cold side of 186 the module to the ambient temperature. The developed testbed automatically 187 sweeps along a wide range of electrical load conditions using only a DAQ board 188 and a 4-quadrant transconductance amplifier as shown in Figure 2. 189

Measurements for thermal characterization have been performed by using two heat flux sensors. Each one was implemented by means of three layers:

• Aluminum (2 mm)

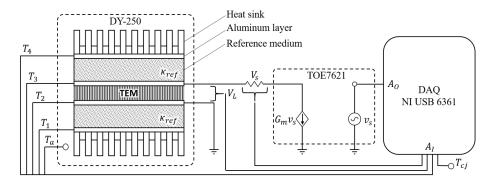


Figure 2: Synoptic diagram of the measurement system for the UM

• Glass (8 mm)

• Aluminum (2 mm)

Metal layers have been equipped with J-type thermocouples inserted in suitably drilled holes. A thin layer of high thermal conductivity silver-based thermal paste was interposed between layers to minimize the thermal contact resistance.

#### 3.1. Setup and Measurement Model

post-processing in MATLAB environment:

The proposed method consists in placing the TEM under test between two heat-flux sensors (Figure 2); when the desired operating conditions are met, i.e. when the thermal steady state has been reached, the driving current is locked to the last current value, and the supervisory software waits for all transients to run out. Then, the SS profile is applied: a small 10 Hz sinusoidal current stimulus is added to the steady state current  $I_{st}$ ; finally, the CS profile is applied and the driving current  $I_{st}$  is swept to its symmetric value  $-I_{st}$  with a ramp-like signal. The duration of each described current profile is set to a value that is sufficiently low with respect to the thermal time constant of the module [23]. When the test procedure is completed, the following quantities are obtained: the temperatures at each layer, the voltage  $V_L$  and the current I at its terminals. At the end of each test, all raw data (acquired voltages) are stored for further

•  $V_L$  is not processed

213

223

225

226

227

228

229

233

234

- $V_s$  is divided by  $R_s$  to obtain I.  $R_s$  is a 1  $\Omega \pm 0.0035\%$  shunt resistor measured with an Agilent 3458A  $8^{1/2}$  digital multimeter in 4-wires configuration
- $T_a$  and  $T_{cj}$  are obtained from respective voltages using two LM35A integrated thermal sensors by Texas Instruments, with nominal sensitivity S = 10 mV/(K)
- $T_1$ ,  $T_2$ ,  $T_3$ ,  $T_4$  are computed using NIST coefficients for J-type thermocouples and applying a software cold-junction compensation as described in subsection 3.4
  - $q_{em}$  and  $q_{abs}$  are computed as described in [23]

$$\begin{cases}
q_{em} = \frac{T_3 - T_4}{\Theta_{ref}} \\
q_{abs} = \frac{T_2 - T_1}{\Theta_{ref}}
\end{cases}$$
(4)

where  $\Theta_{ref} = 8.15 \text{ K/W}$ 

•  $T_c$  and  $T_h$  are derived by computing the temperature drop on the ceramic layers induced by the heat fluxes  $(\Delta T = T_h - T_c, \bar{T} = (T_h + T_c)/2)$ 

$$\begin{cases}
T_h = T_3 + q_{em}\Theta_{cer} \\
T_c = T_2 + q_{abs}\Theta_{cer}
\end{cases}$$
(5)

where  $\Theta_{cer} = 0.02 \text{ K/W}$ 

- $V_{th} = \alpha_S \Delta T$  and  $R_{in}$  are derived using equation (1), applying a mixed least squares linear regression [43] to the acquired values  $I, V_L$
- $\alpha_S$  is then obtained as ratio of  $V_{th}$  to  $\Delta T$ 
  - $\Theta_{in}$  is computed using equation (6) already obtained in [23]

$$\Theta_{in} = \frac{2(T_h - T_c)}{\alpha_S I_{st}(T_h + T_c) - (q_{em} + q_{abs})}$$
(6)

Table 1: Rated specifications at matched load conditions for TES1-12730 Thermoelectric Module by Thermonamic

$T_h$ Hot side $[\mathbf{K}]$	$\begin{array}{c c} \Delta T_{max}^{3} \\ \hline \textbf{Cold side} \\ [\textbf{K}] \end{array}$	$V_{max}$ $ ext{Voltage}^1$ $ ext{[V]}$	$I_{max}$ $\mathbf{Current^2}$ $[\mathbf{A}]$	$R_{max}$ Resistance <sup>4</sup> $[\Omega]$
300	70	16.2	3.5	3.47
323	79	16.9	3.5	3.74

#### Notes:

- $^{1}$  Maximum voltage at  $\Delta T_{max}$  and respective  $T_{h}$
- <sup>2</sup> Maximum current to achieve  $\Delta T_{max}$
- <sup>3</sup> Maximum temperature difference occurs at  $I_{max}$ ,  $V_{max}$ , and Q = 0 W
- <sup>4</sup> Maximum resistance rated at AC conditions

#### 3.2. TEM module 235

The characterization was performed using a TES1-12730 from Thermonamic 236 Electronics Corporation [22], a low-cost commercial module designed for cooling 237 applications. The module has an area of 30x30 mm<sup>2</sup> and a thickness of 3.6 mm, 238 with Alumina (Al2O3) ceramic wafers. Its performances are declared by the 239 manufacturer for two different working conditions, reported in Table 1. Also a 240 couple of performance curves that provide the user with the qualitative trend 241 of some parameters when  $\Delta T$  varies are reported. 242

For such parameters a 10% tolerance is given with respect to product specifications.

# 3.3. Data acquisition system

250

All the voltages have been acquired using a National Instruments (NI) USB-246 6361 X Series data acquisition (DAQ) board, with eight 16-bit fully differential 247 analog input channels able to provide sample rates up to 2 MS/s for single-248 channel acquisitions and up to 125 kS/ch/s for eight-channel acquisitions [44], 249 extended with a BNC2110 DAQ accessory.

The adopted sampling frequency  $f_S = 160$  Hz guarantees that the settling 251 time requirements for multichannel measurements are largely met for each mea-252 surement range and for different source impedances as reported in the datasheet. 253 For the sake of clarity, all information relative to each data channel have been 254 reported in Table 2.

Table 2: NI USB-6361 channels resume

Table 2. 111 ODB OOT ORGANICE TESTING						
Symbol	Source	Description		Range (V)	Settling time $(\mu s)^b$	
$V_L$	Load	Load voltage		± 10	1.5	
$V_S$	$R_S$	Shunt voltage		± 5	1.5	
$T_a$	LM35A	ė	Room	± 1	1.5	
$T_{cj}$	LM35A	tur	Cold Junction	± 1	1.5	
$T_4$	J-type ThC	era	Layer 4	$\pm 0.1$	8	
$T_3$	J-type ThC	ďu	Layer 3	$\pm 0.1$	8	
$T_2$	J-type ThC	Temperature	Layer 2	$\pm 0.1$	8	
$T_1$	J-type ThC		Layer 1	$\pm 0.1$	8	

 $<sup>^{\</sup>rm b}$  ±15 ppm of Step (±1 LSB for Full Scale Step)

Firstly, the DAQ board has undergone a self-calibration procedure to reduce the relative standard uncertainties in the interval 0.0046 - 0.0079 % of the full-scale as reported in Table 3. The specifications given in the Table are obtained following the worst case rule [45], as specified in the DAQ datasheet [44].

### 3.4. Temperature Sensors

The temperatures of the stacked layers are acquired using four grounded J-type bare thermocouples by RS Pro, with 1 m wires and suitable for temperature measurement in the range 223 K to 523 K. The manufacturer also provides a standard tolerance for thermocouples "J" class 1 of  $\pm 1.5$  K in the range 233 K to 648 K.

The cold-junction compensation, as well as the measurement of the temperature inside the climate chamber, are both performed using two LM35A sensors by Texas Instruments, which provide a typical accuracy of  $\pm 0.2$  K at 300 K room temperature and a worst-case accuracy of  $\pm 0.5$  K at 423 K.

Table 3: NI USB-6361 uncertainty specifications (as percentage of  $V_{FS}$ , at full scale)

$V_{FS}$	$u_r(G)_{\%}$	$u_r(O)_{\%}$	$u_r(INL)_{\%}$	$u_r(Q)_{\%}$	$u_r(DAQ)_{\%}$
0.1	0.0063	0.0031	0.00346	0.00044	0.0079
1	0.0038	0.0011	0.00346	0.00044	0.0053
5	0.0033	0.0009	0.00346	0.00044	0.0049
10	0.0029	0.0009	0.00346	0.00044	0.0046

# 4. Metrological Characterization

277

278

279

280

In general, in this discussion, the term *uncertainty* will be a shorthand for standard uncertainty, as defined in the GUM (clause 2.3.1). Also the definition of Type A and Type B uncertainties is that provided by the GUM (clauses 2.3.2 and 2.3.3).

The mathematical models for the relevant output quantities are not easy to treat analytically for three main reasons:

- The number of input uncertainty contributions is high (n = 21,considering Type A and B contributions)
  - Models are complicated and involve many intermediate results with which uncertain quantities are combined
- $\alpha_S$  and  $R_{in}$  are measured by means of linear regression performed on measured voltages and currents that are, in turn, uncertain.

While the first and the second issue may be overcome by means of symbolic computation engines, the last one poses a methodological concern that cannot be addressed by straightforward application of the GUM approach [24]. In fact, while the voltage across the TEM is directly measured by DAQ board channel, the current is measured indirectly as the ratio of voltage and resistance on a shunt resistor; all three quantities involved introduce uncertainty contributions of which only Type B evaluations are available.

Such a complex statistical model, however, can be straightforwardly implemented in a suitable Monte Carlo Simulation, which is a powerful tool for uncertainty analysis, relieving from the need of complicated analytical computations. On the other hand, some pitfalls in the Monte Carlo approach must be avoided: in particular, the simulation implemented here does not follow the scheme described in GUM Supplement 1 and 2 [46, 47], since it has some recognized issues.

In short, the Supplement 1 method (extended by Supplement 2 for the case of multiple output quantities [48]) aims at evaluating the posterior distribution of

Table 4: Individual Monte Carlo runs for the sensitivity analysis

Class	Monte Carlo run	Uncertain quantities	Description		
	<i>—</i> 1	$DAQ(T_a)$	Type A and B on DAQ channel for ambient		
	$\#1$ $DAQ(T_a)$		temperature		
	#2	$LM35(T_a)$	Type B on LM35 for ambient temperature		
Thermal	#3	$DAQ(T_j)$	Type A and B on DAQ channel for cold junction		
			temperature		
	#4	$LM35(T_j)$	Type B on LM35 for cold junction temperature		
	#5	DAQ(T)	Type A and B on DAQ channel for thermocouples		
	#6	TC	Type B on temperature from thermocouples		
	#7	$DAQ(V_{Sh})$	Type B on DAQ channel for voltage on shunt		
Electrical		$DAQ(V_{Sh})$	resistor		
	#8	$DAQ(R_S)$	Type B on shunt resistance		
	#9	$DAQ(V_{Ld})$	Type B on DAQ channel for load voltage		

the measurand, in a Bayesian sense, and reaches its goal with a straightforward propagation of state-of-knowledge distributions. This procedure is equivalent to a simplified Bayesian analysis, and as such can give inconsistent results, corresponding to an erroneous choice of the prior distribution of the measurements. Theoretical analyses of the approach are, for example, in [49–52], and practical examples of clearly unsatisfactory results obtained by this approach in common problems are, for example, in [51, 53].

In this paper, instead, the Monte Carlo approach is used to perform numerical sensitivity analysis, by simulating "physical" measurement errors in the input quantities, and propagating them through the mathematical model of the measurement system. In particular, one uncertainty contribution at a time is considered [28]. This method, sometimes called "one at a time" (OAT) [27], consists in performing a separate Monte Carlo run for each of the n uncertain input quantities. Each run results in a specific partial uncertainty  $\hat{u}_i(y)$ , generated by a single input uncertainty contribution. In the end, therefore, a set of n distinct partial uncertainties  $\hat{u}_i(y)$  for the measurement model  $y = f(x_1, x_2, \ldots, x_n)$  is computed.

This Monte Carlo algorithm has been implemented in the MATLAB environment. The evaluations under every considered value of ambient temperature and temperature gradient are performed in parallel to speed up the computation. To perform the OAT sensitivity analysis, in each run only a single uncertainty contribution has been activated, except from the last run where all contribu-

tions were active to compute the global combined uncertainty. To evaluate the contribution of each source in a meaningful way, individual uncertainty contri-322 butions have been grouped as in Table 4. Basically, for DAQ channels, Type A and Type B uncertainties have been combined as suggested in the GUM ([24], 324 Clause 4.3.7, Example 2) so that a more compact representation of sensitivities 325 could be given. 326

327

329

330

331

332

334

337

338

330

340

341

342

347

All Type A uncertainty contributions have been simulated with random errors drawn from normal distributions with zero mean and  $\hat{u}_A(x_i)$  standard deviation. On the other hand, all Type B uncertainty contributions have been simulated with random errors drawn from uniform distributions with zero mean and range  $2u_B(x_i)$ , since no further detail was given by sensors and DAQ manufacturers.

It is also worth observing that the last three runs deal with measurement data that, following the mathematical model, are used for a mixed least squares regression (Section 3.1). This means that runs #7, #8 and #9 include the 335 statistical contribution of 160 measurements each that, however, are indepen-336 dent of each other and, consequently, are independently perturbed in the Monte Carlo algorithm.

A clear and interesting way to compare the contribution of input uncertainties is to express the sensitivities as partial contributions to the total output variance. That is, as suggested by Sobol [54]:

$$u = \frac{u_i^2(Y)}{u^2(Y)} \tag{7}$$

where  $\hat{u}_i^2(Y)$  are the output uncertainties from each OAT step and  $\hat{u}^2(Y)$  is the 343 total variance, estimated in the last Monte Carlo run. 344

Finally, as a simple yet effective validation step, for each output quantity y it has been verified that

$$\sum_{i=1}^{N_{run}} u_i^2(y) \simeq u^2(y)$$
 (8)

where u(y) is the standard uncertainty computed in the Monte Carlo run where 348 all contributions are active. This verification step has also highlighted that correlations between uncertainty components are negligible.

#### 5. TEM Parameters Results

#### 352 5.1. Operating conditions

Measurements have been performed under different operating conditions of the TEM, defined by an equally spaced grid in the  $(T_C, \Delta T)$  space for 283 K  $\leq$   $T_C \leq 323$  K and 10 K  $\leq \Delta T \leq 45$  K. As discussed in Section 2, the  $T_C$  and  $\Delta T$  steady states have been set by means of a PI controller and, thereafter, their values have been measured. Therefore, the uncertainty on the operating conditions themselves must be investigated before the sensitivity analysis can be carried out.

The Monte Carlo algorithm shows that the most critical of the two parameters is  $\Delta T$ , resulting from the combination of two temperature measurements performed with thermocouples. The relative standard uncertainty  $u(\Delta \hat{T})/\Delta \hat{T}$ , in fact, rapidly grows as  $\Delta T$  decreases under 15 K.

For example, by applying the DAQ board specification for  $\Delta T = 45 \text{K}$ , the differential voltage is about 2.4 mV measured on a full scale range of 0.1 V.

The relative standard uncertainty is, in this case, about 40 times higher than at full-scale range, and for lower values of  $\Delta T$  it is even worse.

The trend, as shown in Figure 3, is the same for all  $T_c$  values. The uncertainty on  $T_c$ , on the other hand, obviously increases for low temperatures, however it does not rise over 1.2% even for  $T_c$  close to 283 K. This is also due to the fact that  $\Theta_{cer}$  in Equation (5) is small, and so is the temperature drop on the ceramic layers.

This preliminary analysis suggests in which region of the investigated steady states the uncertainty evaluation can give consistent results. Conventionally, results will be given for  $\Delta T$  values whose uncertainty is not greater than  $\sim 10\%$ , i.e.  $\Delta T \geq 12$  K.

This does not invalidate at all the characterization procedure, since the TEM used as Devices Under Test are commonly designed to be operated when the

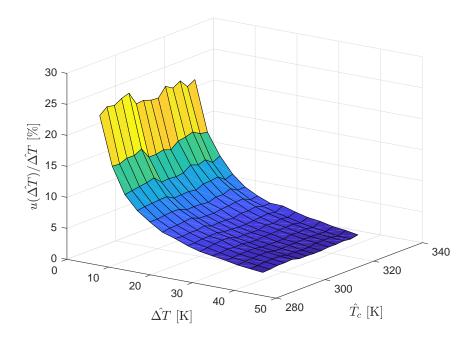


Figure 3: Relative uncertainty on  $\Delta T$  measurements in the  $(T_c, \Delta T)$  space

temperature gradient is in the order of some from few tens up to hundreds
Kelvin degrees [22, 23].

Nevertheless, it is worth examining the uncertainty contributions to  $\Delta T$  measurements in slightly more detail:

• Thermocouple coefficients ( $\sim 78.45\%$  of total variance)

383

384

• DAQ measurements on 4 separate channels ( $\sim 21.55\%$  of total variance)

Among the two, the effect of thermocouple coefficients is predominant. It is an exclusively sensor-related contribution, suggesting that using more accurate sensors than thermocouple would allow achieving significant results from the characterization procedure also for lower values of  $\Delta T$  than those discussed in the next Section.

#### 5.2. Sensitivity analysis

391

392

394

300

400

401

403

404

405

407

408

409

410

411

412

413

414

415

416

417

418

In this Section, the results from OAT sensitivity analysis are presented. As thoroughly discussed in Section IV, ten MC runs have been performed, one for each input uncertainty contribution, plus a run with every contribution active at once.

The first result of the analysis is that five of the nine uncertainty components 395 outlined in Table 4 give almost no contribution to the total output variance for 396 every output parameter, i.e. their contribution is several orders of magnitude lower than the others. Therefore, only the relevant contributions will be shown in the following bar graphs for the sake of readability.

Moreover, for all the parameters that are measured with both SS and CS methods, an error figure will be given to represent how much the estimates coming from each method deviate from each other. Such error is computed, for all y outputs, as follows:

$$e_Y = \frac{\overline{|Y_{SS} - Y_{CS}|}}{\max_{T_C, \Delta T} Y - \min_{T_C, \Delta T} Y}$$
(9)

where the double bar operator defines the average taken over both  $T_c$  and  $\Delta T$ . The global range of Y is chosen as reference for this error figure.

One may also immediately observe that results obtained with the SS method appear more "irregular" than those coming from the CS method (see, e.g. the figure of merit  $Z\overline{T}$  in Figure 8). This is a consequence of electrical parameters  $(V_{th} \text{ and } R_{in})$  being estimated by means of a linear regression computed on narrowly spaced points, that is exactly the shortcoming of the SS method.

As last remark, it can be shown that, on the  $(T_c, \Delta T)$  space, the uncertainties shows relevant trends only with respect to  $\Delta T$  for every output parameter. A slice of the mesh plot taken for a conventional value of  $T_c$ , therefore, contains all relevant information about how the uncertainties change in the space of operating conditions, also with enhanced readability. Thence, the experimental results will be presented as follows:

 $\bullet$  Parameter values: mesh plots (283 K  $\leq T_c \leq$  323 K and 12 K  $\leq \Delta T \leq$ 

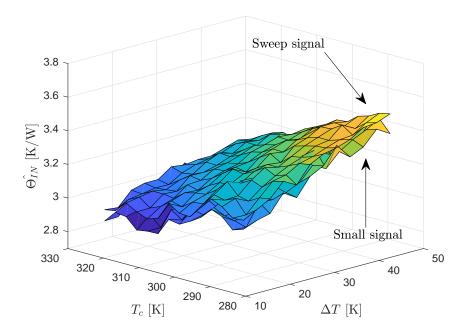


Figure 4: Thermal resistance of the TEM measured with both proposed methods ( $e_{\Theta_{in}}=0.21$ )

419 45 K)

420

421

• Total relative uncertainties: 2D plots ( $T_c = 300$  K and 12 K  $\leq \Delta T \leq$  45 K).

422 5.2.1.  $\Theta_{in}$  thermal resistance

The SS and CS methods exhibit the same performance in terms of relative uncertainty and the maximum SS deviation from the CS value is less than 10%. This suggests that the proposed methods can be considered equivalent with respect to  $\Theta_{in}$  measurement.

427 5.2.2.  $\alpha_S$  Seebeck coefficient

As for  $\Theta_{in}$ , the SS and CS methods exhibit the same performance in terms of total uncertainty, thermocouple coefficients giving the highest contribution. As visible in Figure 6(b), the Seebeck's coefficient measurement with the SS

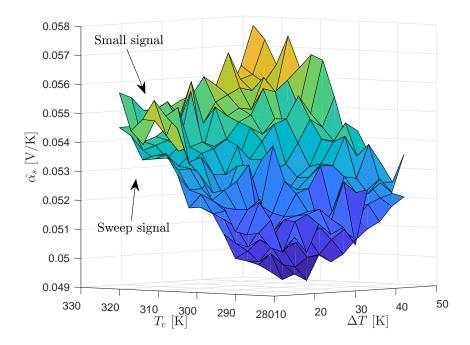


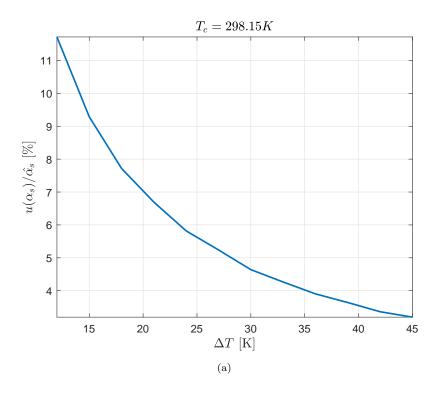
Figure 5: Seebeck coefficient measured with both proposed methods ( $e_{\alpha_S}=0.26$ )

method is slightly more sensible to  $V_s$  and  $V_L$  uncertainties than  $\Theta_{in}$ ; this difference propagates sensibly to  $Z\bar{T}$  (Figure 9(a)).

# 5.2.3. Seebeck voltage and electrical resistance

These two parameters are computed from the same set of measurements, thence they are hereby jointly discussed. Although, as shown in Figure 7, measurement results provide sensibly different values in the operating conditions space, the measurement model for both  $R_{in}$  and  $V_{th}$  does not directly depend on any temperature measurement. The shunt resistor has been measured with an Agilent 3458A 8½ digits multimeter, therefore its contribution to current measurements can be neglected.

The outcome of the Monte Carlo analysis, on one hand shows that relative uncertainties are low if compared to those affecting the other outputs, and on the other hand, recalling the last paragraph of Section 5.2, they do not show any visible trend as for  $\Theta_{in}$ ,  $\alpha_S$  and  $Z\bar{T}$ , for the SS method. The uncertainties



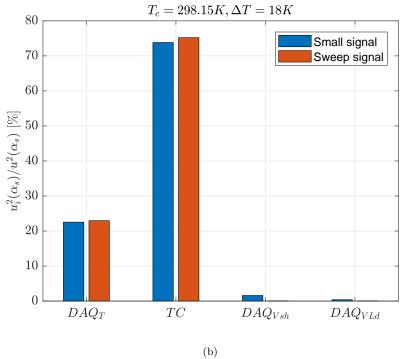


Figure 6: Relative uncertainty on  $\alpha_S$  measurements (a) and uncertainty contributions from direct measurements as absolute standard uncertainties (b)

Table 5: Uncertainty evaluation for  $R_{in}$  and  $V_{th}$ 

Parameter	Error figure [%]	Method	$\begin{array}{c} {\bf Maximum} \\ {\bf uncertainty} \\ {[\%]} \end{array}$	$\begin{array}{c} \textbf{Average} \\ \textbf{uncertainty} \\ [\%] \end{array}$
$R_{in}$	0.10	CS	0.01	0.01
		SS	0.63	0.01
$V_{th}$	0.03	CS	0.02	0.01
		SS	1.03	0.97

obtained from the CS method, instead, exhibit the same increasing trend as the

other output parameters, plus a (way weaker) linear trend in the  $T_c$  direction. 446 This may be due to the fact that the applied sweep signal was not fast enough 447 to satisfy the steady state condition [55]. For these two parameters, results are expressed synthetically in Table 5, so that a global and comparative insight on the performance of the two methods is 450 given. From the Table, it clearly appears that the two methods exhibit a good 451 matching for  $R_{in}$ , but yield seemingly different estimates of  $V_{th}$ . The relative 452 uncertainty on  $V_{th}$  is also two orders of magnitude greater for the SS method. 453 This method, in fact, relies on measurement points that are much closer to 454

# 5.2.4. $Z\bar{T}$ figure of merit

uncertainties.

445

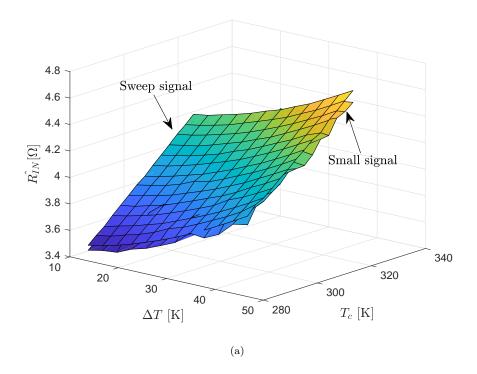
455

456

As already pointed out in [55], the linear dependence of the dimensionless figure of merit  $Z\bar{T}$  is sensibly stronger on  $\Delta T$  than on  $T_C$  (Figure 8). The CS method exhibits lower total uncertainty, enhancing this feature for high  $\Delta T$ . This is clearly shown in Figure 9(a).

each other than in the CS method, therefore the linear regression yields greater

Looking at the sensitivity analysis (Figure 9(b)), the CS method is substantially insensitive to  $V_s$  and  $V_L$  uncertainties, while the contributions to  $Z\bar{T}$ total uncertainty for the SS method come non-negligibly also from such measurements.



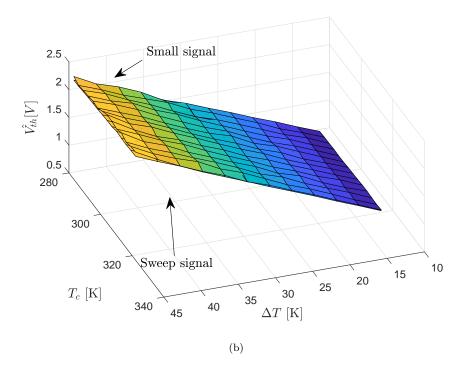


Figure 7: Electrical resistance  $R_{23}$ (a) and Seebeck voltage  $V_{th}$  (b)

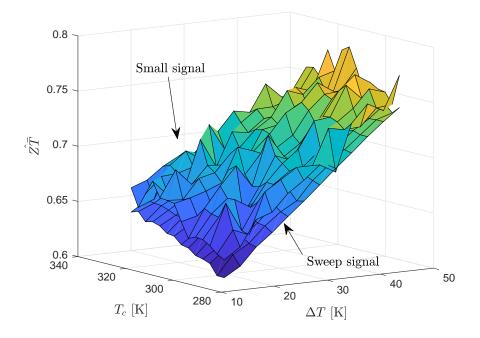


Figure 8: Dimensionless figure of merit  $Z\bar{T}~(e_{Z\bar{T}}=0.23)$ 

### 6. Conclusions

In this paper a methodology for the metrological analysis of the Unified Method for Thermo-Electric Module characterization has been developed and experimental results from a real testbed have been discussed. The individual uncertainty components have been outlined and a sensitivity analysis with respect to each component of the measurement setup has been performed with regard to both applied electrical stimuli.

The experimental results show that Current Sweep and Small Signal methods provide compatible estimates of every parameter and exhibit good results in terms of overall uncertainty, with Current Sweep performing better at the cost of employing more expensive and complex instrumentation. The sensitivity analysis, on the other hand, clearly highlights that the greatest contribution to overall uncertainty on the estimation of thermal parameters is given by tem-

peratures measured with thermocouples, that are as a matter of fact widely employed in Thermo-Electric Module characterization practice.

Even so, the outcome of the sensitivity analysis points out that, if thermocouples are kept into the setup, cheaper acquisition devices can be employed without affecting the metrological performances

The results suggest that the proposed measurement setup can be dramatically improved by employing more accurate temperature sensors instead of standard thermocouples, without making any adjustment to the core characterization technique. By doing so, also Thermo-Electric Modules designed for low temperature gradients can be reliably characterized.

#### 489 References

- [1] Pérez-Izquierdo J, Sebastián E, M Martínez G, Bravo A, Ramos M and Rodríguez Manfredi J A 2017 Measurement ISSN 0263-2241 URL http: //www.sciencedirect.com/science/article/pii/S0263224117307753
- [2] Allmen L V, Bailleul G, Becker T, Decotignie J D, Kiziroglou M E, Leroux
   C, Mitcheson P D, Müller J, Piguet D, Toh T T, Weisser A, Wright S W
   and Yeatman E M 2017 IEEE Transactions on Industrial Electronics 64
   7284–7292 ISSN 0278-0046
- <sup>497</sup> [3] Andria G, Cavone G, Carducci C G C, Spadavecchia M and Trotta A 2016 <sup>498</sup> A PWM temperature controller for themoelectric generator characteriza-<sup>499</sup> tion 2016 IEEE Metrology for Aerospace (MetroAeroSpace) pp 291–296
- [4] Solbrekken G L, Yazawa K and Bar-Cohen A 2008 IEEE Transactions on
   Advanced Packaging 31 429–437 ISSN 1521-3323
- [5] Li C, Jiao D, Jia J, Guo F and Wang J 2014 IEEE Transactions on Industry
   Applications 50 3995–4005 ISSN 0093-9994
- [6] Reznikov M and Wilkinson P 2014 *IEEE Transactions on Industry Appli-*cations **50** 4233–4238 ISSN 0093-9994

- [7] Aranguren P, Araiz M, Astrain D and Martínez A 2017 Energy Conversion and Management 148 680-691 ISSN 0196-8904 URL http://www.sciencedirect.com/science/article/pii/S019689041730585X
- [8] Attivissimo F, Di Nisio A, Lanzolla A M L and Paul M 2015 *IEEE Transactions on Instrumentation and Measurement* **64** 1158–1169 ISSN 0018-9456
- [9] Li Y, Yu H, Su B and Shang Y 2008 IEEE Sensors Journal 8 678–681
   ISSN 1530-437X
- [10] Zoller T, Nagel C, Ehrenpfordt R and Zimmermann A 2017 IEEE Trans actions on Components, Packaging and Manufacturing Technology 7 1043–
   1049 ISSN 2156-3950
- [11] Dalola S, Ferrari V, Guizzetti M, Marioli D, Sardini E, Serpelloni M and
   Taroni A 2009 IEEE Transactions on Instrumentation and Measurement
   58 1471–1478 ISSN 0018-9456
- 519 [12] Korhonen I and Lankinen R 2014 Measurement **58** 241-248 ISSN 520 02632241 URL http://linkinghub.elsevier.com/retrieve/pii/ 521 S026322411400356X
- [13] Adamo F, Attivissimo F, Carducci C G C and Lanzolla A M L 2015 IEEE
   Sensors Journal 15 2514-2522 ISSN 1530-437X
- [14] Brunelli D, Passerone R, Rizzon L, Rossi M and Sartori D 2016 Sensors
   16 57 URL http://www.mdpi.com/1424-8220/16/1/57
- [15] Rizzon L, Rossi M, Passerone R and Brunelli D 2014 Energy neutral hybrid cooling system for high performance processors *International Green*Computing Conference pp 1–6
- [16] Jose A, D'souza A, Dandekar S, Karamchandani J and Kulkarni P 2015 Air
   conditioner using Peltier module 2015 International Conference on Tech nologies for Sustainable Development (ICTSD) pp 1–4

- 532 [17] Sayyad S Y and Wankhede U S 2015 Experimental Analysis on Vapour 533 Compression-Thermoelectric Hybrid Refrigeration System 2015 7th In-534 ternational Conference on Emerging Trends in Engineering Technology 535 (ICETET) pp 82–84
- [18] Sandoz-Rosado E and Stevens R J 2009 Journal of Electronic Materials
   38 1239-1244 ISSN 0361-5235, 1543-186X URL http://link.springer.
   com/article/10.1007/s11664-009-0744-0
- [19] Carmo J P, Antunes J, Silva M F, Ribeiro J F, Goncalves L M and Correia
  J H 2011 Measurement 44 2194-2199 ISSN 0263-2241 URL http://www.
  sciencedirect.com/science/article/pii/S0263224111002338
- [20] Russel M K, Ewing D and Ching C Y 2013 Applied Thermal Engineering 50 652-659 ISSN 1359-4311 URL http://www.sciencedirect.com/ science/article/pii/S1359431112003523
- [21] Izidoro C L, Ando Junior O H, Carmo J P and Schaeffer L 2016 Measure ment ISSN 0263-2241 URL http://www.sciencedirect.com/science/
   article/pii/S0263224116000117
- 548 [22] Thermonamic Electronics(Jiangxi) Corp, Ltd Ther High Performance 549 and High Reliable Solution - TES1-12730-English.pdf URL http://www. 550 thermonamic.com/TES1-12730-English.pdf
- [23] Attivissimo F, Guarnieri Calò Carducci C, Lanzolla A M L and Spadav ecchia M 2016 Sensors 16 2114 URL http://www.mdpi.com/1424-8220/
   16/12/2114
- [24] Joint Committee for Guides in Metrology (JCGM) 2008 Evaluation of
   measurement data Guide to the expression of uncertainty in measurement URL https://www.bipm.org/utils/common/documents/jcgm/
   JCGM\_100\_2008\_E.pdf
- [25] Ren X, Ding W, Long Z, Li W, Li F and Zhang G J 2016 IEEE Transactions
   on Instrumentation and Measurement 65 1605–1613 ISSN 0018-9456

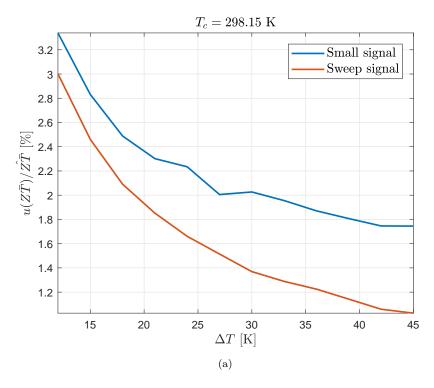
- 560 [26] Wübbeler G, Krystek M and Elster C 2008 Measurement Sci-561 ence and Technology 19 084009 ISSN 0957-0233, 1361-6501 URL 562 http://stacks.iop.org/0957-0233/19/i=8/a=084009?key=crossref. 563 323bc8914d880a2599b95679b332ad34
- [27] Saltelli A (ed) 2008 Global sensitivity analysis: the primer (Chichester, England; Hoboken, NJ: John Wiley) ISBN 978-0-470-05997-5 oCLC: ocn180852094
- [28] Cox M and Harris Ρ 2006 Software Support for Metrology Guide No. - Uncertainty Evaluation Best Practice 6 568 Rep. DEM-ES-011 URL http://www.npl.co.uk/publications/ 569 software-suuport-for-metrology-best-practice-guide-no.-6. 570 -uncertainty-evaluation. 571
- [29] Adamo F, Attivissimo F, Di Nisio A and Spadavecchia M 2011 IEEE Trans actions on Instrumentation and Measurement 60 1613–1622 ISSN 0018 9456
- [30] Mackey J, Dynys F and Sehirlioglu A 2014 Review of Scientific Instru ments 85 085119 ISSN 0034-6748 URL http://aip.scitation.org/doi/
   10.1063/1.4893652
- [31] García-Cañadas J and Min G 2014 The Review of Scientific Instruments
   85 043906 ISSN 1089-7623
- [32] Jacquot A, Pernau H F, König J, Nussel U, Bartel M and Jaegle M 2010
   Measurement uncertainties in thermoelectric research
- [33] Min G 2014 Measurement Science and Technology **25** 085009 ISSN 0957-0233 URL http://stacks.iop.org/0957-0233/25/i=8/a=085009
- [34] Harman T C, Cahn J H and Logan M J 1959 Journal of Applied Physics
   30 1351-1359 ISSN 0021-8979, 1089-7550 URL http://scitation.aip.
   org/content/aip/journal/jap/30/9/10.1063/1.1735334

- [35] RichardJ Buist 1995 Methodology for Testing Thermoelectric Materials and Devices CRC Handbook of Thermoelectrics (CRC Press) ISBN 978-0-8493-0146-9 URL http://www.crcnetbase.com/doi/abs/10.1201/9781420049718.ch18
- [36] Gromov G, Kondratiev D, Rogov A and Yershova L 2001 Z-meter: Easyto-use Application and Theory Proc. of VI European Workshop on Thermoelectrics, Freiburg
- [37] Rauscher L, Fujimoto S, Kaibe H T and Sano S 2005 Measurement
   Science and Technology 16 1054-1060 ISSN 0957-0233, 1361-6501 URL
   http://stacks.iop.org/0957-0233/16/i=5/a=002?key=crossref.
   0a95cffa4b1cb46c8978a81a5812f130
- [38] Kolodner P 2014 The Review of Scientific Instruments 85 054901 ISSN
   1089-7623
- [39] Zybała R, Schmidt M, Kaszyca K, Ciupiński u, Kruszewski M J
   and Pietrzak K 2016 Journal of Electronic Materials 45 5223-5231
   ISSN 0361-5235, 1543-186X URL http://link.springer.com/10.1007/
   s11664-016-4712-1
- [40] Wang H, McCarty R, Salvador J R, Yamamoto A and König J 2014 Journal
   of Electronic Materials 43 2274–2286 ISSN 0361-5235, 1543-186X URL
   https://link.springer.com/article/10.1007/s11664-014-3044-2
- [41] McCarty R and Piper R 2015 Journal of Electronic Materials 44 1896–1901 ISSN 0361-5235, 1543-186X URL https://link.springer.com/article/ 10.1007/s11664-014-3585-4
- 610 [42] Chimchavee W 2011 Journal of Electronic Materials 40 707-715 ISSN 611 0361-5235, 1543-186X URL http://link.springer.com/article/10. 1007/s11664-011-1523-2
- [43] Van Huffel S and Vandewalle J 1991 The Total Least Squares Problem:
   Computational Aspects and Analysis Frontiers in Applied Mathematics

```
(Society for Industrial and Applied Mathematics) ISBN 978-0-89871-275-9
URL http://epubs.siam.org/doi/book/10.1137/1.9781611971002
```

- [44] National Instruments NI-6361 Device Specification URL http://www.ni. com/pdf/manuals/374650c.pdf
- [45] Fabbiano L, Giaquinto N, Savino M and Vacca G 2016 Journal of Instru mentation 11 ISSN 1748-0221 URL http://stacks.iop.org/1748-0221/
   11/i=02/a=P02001
- [46] Joint Committee for Guides in Metrology (JCGM) 2008 Evaluation of measurement data Supplement 1 to the "Guide to the expression of uncertainty in measurement" Propagation of distributions using a Monte Carlo method URL https://www.bipm.org/utils/common/documents/jcgm/JCGM\_101\_2008\_E.pdf
- [47] Joint Committee for Guides in Metrology (JCGM) 2011 Evaluation of measurement data Supplement 2 to the "Guide to the expression of uncertainty in measurement" Extension to any number of output quantities
- [48] Ramos P M, Janeiro F M and Girão P S 2016 Measurement 78 397–
   411 ISSN 0263-2241 URL http://www.sciencedirect.com/science/
   article/pii/S0263224115004753
- [49] Elster C and Toman B 2009 Metrologia **46** 261 ISSN 0026-1394 URL http: //stacks.iop.org/0026-1394/46/i=3/a=013
- [50] Attivissimo F, Giaquinto N and Savino M 2012 Measurement 45 2194–
   2202 ISSN 0263-2241 URL http://www.sciencedirect.com/science/
   article/pii/S0263224112000371
- [51] Willink R and White R 2012 Disentangling classical and Bayesian approaches to uncertainty analysis Tech. rep. Technical Report No. CCT/12-08 URL http://www.bipm.info/cc/CCT/Allowed/26/Disentangling\_uncertainty\_v14.pdf

- [52] Elster C 2014 Metrologia 51 S159 ISSN 0026-1394 URL http://stacks.
   iop.org/0026-1394/51/i=4/a=S159
- [53] Giaquinto N and Fabbiano L 2016 Metrologia 53 S65 ISSN 0026-1394 URL
   http://stacks.iop.org/0026-1394/53/i=2/a=S65
- [54] Sobol I M 1993 Mathematical Modelling and Computational Experiments
   1 407-414 URL http://max2.ese.u-psud.fr/epc/conservation/MODE/
   Sobol%200riginal%20Paper.pdf
- [55] Attivissimo F, Nisio A D, Carducci C G C and Spadavecchia M 2017 IEEE
   Transactions on Instrumentation and Measurement 66 305–314 ISSN 0018 9456



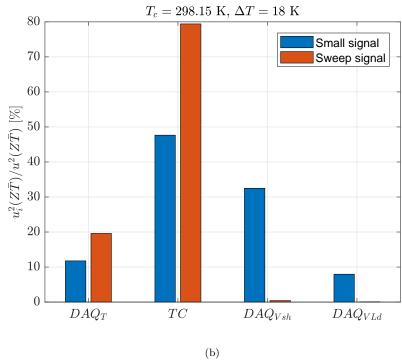


Figure 9: Relative uncertainty on  $Z\bar{T}$  measu $\Omega$ nents (a) and uncertainty contributions from direct measurements as percentage of total variance (b)