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# Nano-encapsulation of phase change materials: from design to thermal performance, simulations and toxicological assessment

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## Abstract

The paper presents the results of an experimental activity aimed at producing and characterizing a nano-encapsulated PEG600 (PCMs) into a silica shell. The nano-encapsulation was meant to be useful to improve the material's suitability to integration in building components. The (300 ± 15) nm nanoparticles that were produced underwent a full characterization of their thermal performances. An enthalpy of fusion as high as 66.24 kJ/kg, in a tight melting temperature range (20-21°C) was obtained, making the material suitable for thermal energy storage in buildings. In order to demonstrate the benefits of such as this technology on the reduction of heating and cooling demand of buildings, a concentration of 50% in weight of nanoparticles was, then, embedded into a gypsum plasterboard and used for all indoor plastered surfaces of a reference residential buildings. A saving of respectively up to 4.3% and up to 1.1% of heating and cooling energy demand was predicted in comparison to the ones of a building without PCM. Finally, the material underwent a full toxicological characterization exposing human alveolar basal epithelial cells to nanoparticles. The results showed that there were no toxic effects on cell morphology.

## Nomenclature

PCM	Phase Change Material
NP	Nanoparticle
USD	United States Dollars
PEG	Polyethylene glycol
SiO <sub>2</sub>	Silicon Dioxide

33	TEOS	Tetraethyl orthosilicate
34	NH <sub>4</sub> OH	Ammonium hydroxide
35	SiO <sub>2</sub> @PEG600	Nanoencapsulated PEG600 in SiO <sub>2</sub> shells
36	TEM	Transmission Electron Microscopy
37	EDS	Energy Dispersive X-ray Spectroscopy
38	DLS	Dynamic Light Scattering
39	SEM	Scanning Electron Microscopy
40	IR	Infrared
41	FT-IR	Fourier-Transform IR Spectroscopy
42	DSC	Differential Scanning Calorimetry
43	T <sub>m</sub>	Melting Temperature of a PCM (°C)
44	ΔH <sub>m</sub>	Total Enthalpy of fusion (kJ)
45	Δh <sub>m</sub>	Enthalpy of fusion per unit mass (kJ/kg)
46	U <sub>f</sub>	Frame global heat transfer coefficient (W/m <sup>2</sup> K)
47	U <sub>g</sub>	Glazing global heat transfer coefficient (W/m <sup>2</sup> K)
48	S	Superscript indicating the solid phase
49	L	Superscript indicating the liquid phase

## 50 **1. Introduction**

51 In the last decade, the potential integration of PCMs in building components, as suitable  
52 latent thermal energy storage systems, has become a goal for several research activities.  
53 PCMs can store large amounts of latent heat in their phase transitions [1], achieving  
54 significant energy savings and comfort in buildings. If, on the one hand, sensible heat refers  
55 to heat that can be sensed by means of a thermometer, latent heat storage refers to the  
56 undetectable heat transfer, intrinsically associated with a phase transition [2]. PCMs can  
57 utilise their high latent heat storage, corresponding to the number of chemical bonds to be  
58 broken to activate the full isothermal phase transition at constant pressure. For this reason,  
59 within the tight temperature range in which the phase transition occurs, PCMs show higher  
60 efficiency than any other sensible heat storage material [3]. PCMs are classified in three  
61 classes: organic, inorganic and eutectic [3]: organic PCMs are paraffins, fatty acids, esters,  
62 and alcohols [4] while the most used inorganic PCMs are salt hydrates. Metallic PCMs, that  
63 are classified as inorganic, are rarely used in buildings due to their weight and high melting  
64 temperature. Generally, higher melting temperatures are reported for metals and inorganic  
65 PCMs, whereas they are lower in organic, salt hydrate and eutectic PCMs [5]. The main

66 figures of merit affecting PCMs effectiveness are the melting temperature, the amount of  
67 latent heat of transition per unit weight, thermal conductivity ( $\lambda$ ) and the specific heat.  
68 Shape-stabilized PCMs, by means of micro- and nano-encapsulation processes, avoid any  
69 leakage risk in the liquid phase, but also maximize heat transfer due to larger available  
70 surface area, compared to macrocapsules [6]. Micro-encapsulation is a technology to  
71 encapsulate and shape-stabilize PCMs in spheres at microscale range ( $> 1\mu\text{m}$ ); the current  
72 research trend is aimed at reducing the encapsulation size within the nanoscale range, so  
73 as to maximize size effects and surface area involved in heat transfer [7].

74 PCMs can help customizing the redistribution of thermal loads in buildings. A recent review  
75 showed that generally PCMs are embedded in building elements and materials, especially  
76 in walls and floor elements, because they can provide energy storage by means of latent  
77 heat accumulation, resulting in higher heating storage with respect to typical sensible heat  
78 processes of building materials [8]. The improvement observed in PCM-embodying  
79 elements is due to this enhanced latent heating storage, even if no variation of specific heats  
80 takes place. For instance, the application of PCM capsules with paraffinic wax in lime plaster  
81 enhanced the apparent specific heat capacity, compared to the reference material [9]. PCM-  
82 enhanced plasters have been investigated as a suitable chance in the refurbishment of  
83 building envelopes, in the Mediterranean climate [10], in the hypothesis of adopting 3.0 cm  
84 thick plaster on all exposures and in different climatic conditions. The heat storage capacity  
85 of a special composite plaster was compared to a commercially produced lime-cement  
86 mortar, reporting an increase from 0.4 kJ/(kg·K) to about 2.1 kJ/(kg·K) after the addition of  
87 24% PCM [11]. Pavlick et al. [12], in 2014, reported the enhanced performance of a PCM-  
88 modified plaster exhibiting specific heat capacity of 1.6 kJ/(kg·K) against 0.77 kJ/(kg·K)  
89 observed in the reference plaster. The integration of PCMs in lightweight building  
90 components was investigated by Fiorito [13], employing EnergyPlus for simulating the use  
91 of PCMs in a naturally ventilated test room. In that study, higher benefits were obtained by  
92 adding PCMs in walls or partitions, linearly with PCM thickness. Lee and Medina [14]  
93 simulated a frame wall embodying hydrated salt (melting and solidification temperatures  
94 between 27.6 °C–29.6 °C) macroencapsulated in containers larger than 1 mm. The aim was  
95 to reduce the cooling on-peak demand in California. Total energy saving reached values of  
96 9.21 kWh/(m<sup>2</sup>·yr) due to PCM-enhanced frame walls. Energy saving between 30% and 55%  
97 in the HVAC system were registered by Navarro et al. [15], who used an internal slab as a  
98 storage unit and as an active cooling supply in Spain. They used 52 kg of RT-21 paraffin  
99 macro-encapsulated in 1456 aluminium tubes of 12 mm diameter. Kenisarin et al. observed

100 that further research activities on PCMs should, among the other objectives, achieve the  
101 narrowest possible temperature range for the phase change process in PCMs and reduce  
102 their costs [16]. Several research groups used paraffin, as reviewed by Zalba et al. [17] for  
103 its melting temperature (for instance, paraffin wax has a melting temperature of 28 °C) and  
104 its high latent heat (244 kJ/kg), highly compatible with uses in constructions.

105 Nanotechnologies can help enhancing PCMs performance, as a natural evolution, since  
106 abrupt changes may occur, at nanoscale level, in thermophysical and physicochemical  
107 properties. Then nano-enhanced features of PCM materials could be suitably exploited and  
108 several research activities are currently working on this point, as reviewed by  
109 Parameshwaran [18]. It has been observed that the inclusion of nanomaterials could  
110 improve some PCMs figures of merit, overcoming some of their limitations, such as a low  
111 value of thermal conductivity [19]. To this aim, several nano-enhanced PCMs have been  
112 proposed, embodying, for instance, copper, titania, alumina, silica and zinc oxide  
113 nanoparticles (NPs), thoroughly investigated by Teng et al. [20]: they showed that titania  
114 NPs are more effective than other additives in order to enhance heat conduction and thermal  
115 storage capacity in paraffins, also affecting both melting onset temperature and the  
116 solidification temperature. A completely different route to nano-enhancement of PCMs  
117 consists in their encapsulation within a nano-shell [21] or a nanofiber [22]. In addition, nano-  
118 shells protect PCMs from the surrounding environment. Liu et al. [23] described the different  
119 routes to synthesize different kinds of nano-PCMs (sol-gel, miniemulsion, emulsion and *in*  
120 *situ* polymerization) and the respective advantages and disadvantages. Sari et al. [24]  
121 synthesized polystyrene and n-heptadecane micro-/nanocapsules adopting the emulsion  
122 polymerization route with capsule sizes ranging from 10 nm to 40 mm for a 1:2 ratio of  
123 polystyrene and n-heptadecane. However, among different materials to be used for  
124 encapsulation, amorphous silica shows high heat storage capacity and thermal conductivity  
125 [25]. In addition, it is biocompatible, nontoxic for living organisms and the environment  
126 [26,27], and in its core it is easy to confine active molecules acting as reservoir [28]. Zhang  
127 et al. [29] synthesized silica spheres (7–16 µm) with *n*-octadecane core (melting temperature  
128 range of 23–28 °C) using TEOS as an inorganic precursor by a sol–gel process, with  
129 different steps. The obtained nanomaterials showed a good thermal conductivity. Similarly,  
130 Belessiotis et al. [30] obtained silica spheres with paraffin core via sol gel method showing  
131 a latent heat of ~ 156 kJ/kg. Latibari et al. [31] obtained nano-PCMs with palmitic acid core  
132 and silica shell, using multistep sol-gel method and investigated their thermal figures. The  
133 efficiency of encapsulation, defined as the percent ratio of the latent heat of the

134 encapsulated PCM and that of the pure PCM, ranged from 83.25% to 89.55% (with a particle  
135 size between 183.7 nm and 722 nm) depending on pH of the chemical mix solution.  
136 Nevertheless, since palmitic acid has a melting temperature of 61°C, the application in  
137 buildings is quite difficult. The same limitation (high melting temperature ranging from 142.1  
138 °C to 166.2 °C) concerned mannitol, chosen by Wu et al. [32] and Pethurajan et al. [33].

139 The cost-effectiveness of PCMs inclusion in building envelopes was investigated by Kosny  
140 et al. [34], who found that the commercial cost of a PCM with a latent heat as high as 116  
141 kJ/kg, while produced commercially, can be projected to be 4.4-6.6 USD/kg. On the other  
142 hand, PCMs for building applications should be produced by means of environmental-  
143 friendly processes and raw materials. Among the possible PCMs, paraffin is inflammable  
144 and it is classified as a doubtful carcinogen (source: Sigma-Aldrich), while PEG is an inert  
145 inexpensive and versatile polymer for customizing nanostructured materials due to its  
146 intrinsic biocompatibility and water solubility [35]. It is also widely used in the biomedical field  
147 and it has been approved by Food and Drug Administration for many applications [36]. In  
148 addition, the range of melting temperature is between 17 and 22°C (Source: Sigma-Aldrich),  
149 that is well within the range of comfortable indoor environment temperatures and, for this  
150 reason, its application in buildings is preferable.

151 In this work, we obtained (300 ± 15) nm SiO<sub>2</sub>@PEG600NP<sub>s</sub> by means of a one step, easy  
152 and reproducible synthetic route. The obtained nanostructures were then fully characterized,  
153 before undergoing a toxicological assessment. The SiO<sub>2</sub>@PEG600NP<sub>s</sub> showed a good  
154 thermal performance, with an enthalpy of fusion as high as 66.24 kJ/kg, in the tight melting  
155 temperature range (20-21°C): such feature makes it a good candidate for thermal energy  
156 storage in building applications, especially to reduce energy uses during winter season  
157 HVAC. As will be seen hereafter, it is precisely during the winter season that the  
158 incorporation of the PCM inside the plaster performs its effectiveness. On the contrary,  
159 during the summer season, it shows no particular benefit, mainly because the temperature  
160 of the internal surface of the vertical walls is almost always above the T<sub>m</sub> of the PCM.  
161 Following the experimental design and synthesis activities of the nanostructures  
162 incorporating PCMs, we performed dynamic simulations able to show the possible extent of  
163 energy savings obtained by integrating a certain percentage of SiO<sub>2</sub>@PEG600NP<sub>s</sub> (50%)  
164 in building gypsum plasters, comparing a reference case, devoid of PCM, with another one,  
165 containing the proposed material applied over all internal vertical plastered surfaces. It is  
166 the case to specify that the choice of PEG600 as a suitable PCM was carried out following

167 a tight comparison aimed at identifying a material having, at the same time, different  
168 specificities. Firstly, the compatibility with a low-cost synthesis mode of the hosting SiO<sub>2</sub>  
169 shell; secondly, it represented a biocompatible PCM and, finally, a series of preventive  
170 simulation activities (not reported in the text) provided an ideal range of melting  
171 temperatures to make the maximum contribution during the winter season, associated to the  
172 maximum benefit in terms of energy saving. After all these considerations and activities, we  
173 decided to adopt the PEG600 as a material able to guarantee a satisfactory compromise.

## 174 **2. Materials and Methods**

175 In this experimental activity we have reported the results of a cross-disciplinary design and  
176 the full characterization of a novel nanostructured material, showing the advantage of  
177 achieving full shape stabilization of a biocompatible PCM (PEG600) within the nanoscale,  
178 inside a non-toxic amorphous SiO<sub>2</sub> shell. The main properties of this specially designed  
179 material were fully characterized after the chemical synthesis. To this aim, at first microscopy  
180 characterization was carried out to observe shape and size of nanoparticles; then, thermal  
181 characterization was experienced in order to know the main figures of merit describing  
182 PCMs behaviour. The last step was an in vitro toxicological assessment: this last  
183 characterization activity was carried out in order to learn if the material presented significant  
184 toxicity and, therefore, risk profiles for human health. Data thus obtained were used as an  
185 input for the subsequent simulation activities.

### 186 **2.1 Synthesis and characterization of SiO<sub>2</sub>@PEG600**

187 The synthesis was carried out adopting the so called Stöber method, following the procedure  
188 described in Stöber et al. [37] with some modifications in order to encapsulate PCMs  
189 materials. An amount of PEG600 was dissolved in 5 mL of ethanol to obtain a solution of  
190 PEG600 concentrated at 1 mM in final volume of reaction. To ethanol-PEG600 solution was  
191 added TEOS (100 µL), milliQ water (20 mL) and NH<sub>4</sub>OH solution (28.0-30.0%, 10 mL) for 2  
192 hours at 25°C (this temperature was optimal to maintain PEG600 in the liquid phase). Then,  
193 the reaction was blocked with acetone and the solution is centrifuged at 4000 rpm for 20  
194 minutes. The SiO<sub>2</sub>@PEG600 NPs were rinsed with milliQ water and ethanol (1:1) 5 times  
195 and successively dried under reduced pressure and then at 100 °C for 2 h in order to obtain  
196 a white nano-powder. The yield for this synthesis was about 80%.

197 TEM characterization was carried out by means of a JEOL Jem 1011 microscope, operating  
198 at an accelerating voltage of 100 kV (JEOL USA, Inc). TEM samples were prepared by

199 dropping a dilute solution of NPs in water on carbon-coated copper grids (Formvar/Carbon  
200 300 Mesh Cu).

201 DLS and  $\zeta$ -potential measurements were performed on a Zetasizer Nano-ZS equipped with  
202 a 4.0 mW HeNe laser operating at 633 nm and an avalanche photodiode detector (Model  
203 ZEN3600, Malvern Instruments Ltd., Malvern, UK).

204 SEM and EDS Measurements were recorded using a Phenom ProX microscope (Phenom-  
205 World B. V., Eindhoven, Germany), at an accelerating voltage of 10 kV. The samples were  
206 prepared by dropping a solution of NPs in water on monocrystalline silicon wafer.

207 FT-IR analysis on SiO<sub>2</sub>@PEG600 were recorded on a JASCO 660 plus infrared  
208 spectrometer (Jasco, Gross-Umstadt, Germany). Spectral manipulations were performed  
209 using the spectral analysis software provided by Jasco and spectra were acquired in the  
210 number wave range of 4000-650 cm<sup>-1</sup> (resolution of 4 cm<sup>-1</sup>) at room temperature on a square  
211 micro aperture of 100  $\mu$ m, with the accumulation of 100 repeated scans in reflectance mode.  
212 An isopropanol-treated silicon wafer was used, as background.

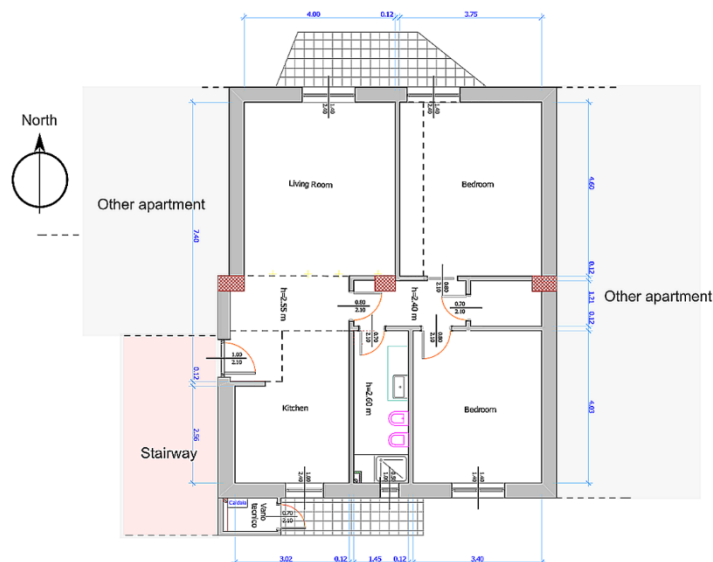
213 Thermal properties of SiO<sub>2</sub>@PEG600, such as T<sub>m</sub>, T<sub>f</sub> and  $\Delta H_m$ , were measured by means  
214 of a DSC instrument (Mettler Toledo 822, Greifensee, Switzerland). The analysis was  
215 performed on dried samples under a constant stream of nitrogen (60 mL  $\cdot$  min<sup>-1</sup>) at  
216 atmospheric pressure, applying a first isothermal step at -10 °C for 5 minutes, followed by a  
217 heating scan between -10 °C and 90 °C at 1 °C  $\cdot$  min<sup>-1</sup>. Then the samples were submitted  
218 to a further isothermal step at 90°C for 5 minutes, followed by a cooling scan from 90 °C to  
219 -10°C at 1°C  $\cdot$  min<sup>-1</sup>. The phase change temperatures (melting and freezing points) were  
220 evaluated as the intersection between the tangent to the maximum rising slope of the peak  
221 and the sample baseline. The enthalpies related to the phase changes were determined by  
222 integration of the area under the peaks *versus* time.

## 223 **2.2 Dynamic simulations in a case study**

224 In order to test the potential benefits resulting from the use of the proposed PCM, a typical  
225 Italian dwelling, located in a multi-storey building, was modelled in EnergyPlus (Figure 1).  
226 The overall floor surface is 78 m<sup>2</sup> and the internal height is 2.9 m. Its East and West walls  
227 are shared with other apartments. The dividing walls are made of 20 cm thick tufa blocks,  
228 with plaster on both faces ( $U=0.622$  W/m<sup>2</sup>K). Internal walls are made of 10 cm thick tufa  
229 blocks with plaster on both sides. Floor and ceiling are 30 cm thick and are made of hollow  
230 clay blocks covered with concrete and plaster on the bottom face ( $U_{\text{floor}}=0.819$  W/m<sup>2</sup>K,



231  $U_{\text{ceiling}}=0.857 \text{ W/m}^2\text{K}$ ). Heat exchange takes place through the North and South walls, made  
232 of hollow clay blocks (30 cm thick), and through the west wall facing the stairway, which is  
233 also made of clay blocks ( $U=0.736 \text{ W/m}^2\text{K}$ ). Windows embody a 70 mm PVC frame ( $U_f=1.2$   
234  $\text{W/m}^2\text{K}$ ) and a glazing system made of two 4 mm panes, divided by a 20 mm air gap ( $U_g=2.7$   
235  $\text{W/m}^2\text{K}$ ). The values of global coefficient of heat exchange have been kept constant both in  
236 the reference case and in the model embodying PCMs within the internal plasters of vertical  
237 walls. This was done precisely in order to highlight the contribution of the PCMs on energy  
238 consumption for HVAC. Moreover, ceiling and internal floor did not include PCMs and the  
239 only non-adiabatic surfaces, therefore involved in the heat transmission mechanisms, were  
240 the vertical walls respectively exposed to North and South, as shown in Figure 1.



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**Figure 1.** Plan of the apartment used to create the EnergyPlus model

### 243 **2.2.1 The EnergyPlus model**

244 A 3D model of the case study was first made in SketchUp using the OpenStudio plugin,  
245 and subsequently exported to EnergyPlus v. 8.8, a free simulation tool by the U.S.  
246 Department of Energy's Building Technology Office, in order to perform the dynamic energy  
247 analysis. To assess the heating and cooling energy uses in a simplified way, an  
248 "IdealLoadAirSystem" with no outdoor air was considered. Adopting this approach,  
249 EnergyPlus provides heating and cooling energy required to meet the temperature at the  
250 selected setpoints (20.5 °C in winter, 26 °C in summer). This approach allows to calculate  
251 the thermal energy strictly necessary to achieve the comfort objectives represented by the

252 temperature setpoints. In this way, we obtain the advantage of highlighting the free  
253 contribution of the material, neglecting the optimization effects of real HVAC systems.

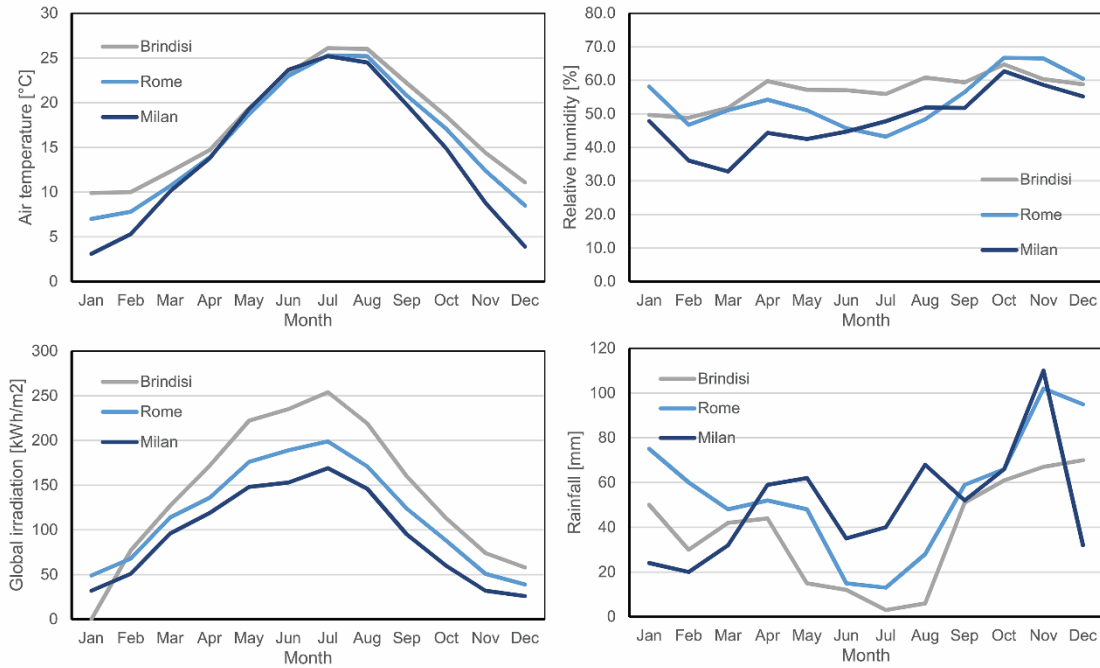
254 However, in order to better simulate the actual transients that typically occur in real  
255 houses, the duty cycle of the heating thermostat was simulated by means of an Energy  
256 Management System so that the heating was turned off when air temperature was above  
257  $T_{\text{setpoint}}+0.5\text{ }^{\circ}\text{C}$ , while it was turned on when air temperature fell below  $T_{\text{setpoint}}-0.5\text{ }^{\circ}\text{C}$ .

258 For ventilation, in order to simulate the actual opening cycles of windows in real conditions  
259 of use, the "Wind and Stack Open Area" approach was adopted in EnergyPlus, which allows  
260 to provide the effective opening surface on the respective exposures. Windows (having an  
261 open area of  $0.4\text{ m}^2$  for both Northern and Southern façades) were supposed to be open  
262 half an hour per day (from 7.30 to 8.00) during workdays, and one hour per day during  
263 weekends. In addition, for fixed openings and windows cracks (summing up to  $0.03\text{ m}^2$  area)  
264 an "always on" schedule was applied.

265 Simulations were carried out in three Italian cities belonging to different climatic zones:  
266 Brindisi (climatic zone C, 1083 heating degree days), Rome (climatic zone D, 1415 heating  
267 degree days), and Milan (climatic zone E, 2404 heating degree days). The heating schedule  
268 was adapted to each location, according to the climatic zone they belonged to, depending  
269 on national regulations (Figure 2). The definition of such climatic zones is carried out  
270 according to the concept of heating degree day, i.e. the sum of the daily thermal excursions  
271 extended to the winter heating period. The latter period is established by regulations in force.  
272 According to the Italian standard, all municipalities with a number of degree days between  
273 900 and 1400 are in zone C; the range of values for the D climatic zone is between 1400  
274 and 2100 and between 2100 and 3000 for the E climatic zone.

275 Thus, in Brindisi heating worked from November 15th to March 31<sup>st</sup>, with up to 8 hours  
276 per day. In Rome heating worked from November 1st to April 15th with a maximum of 10  
277 hours per day, and in Milan from October 15th to April 15<sup>th</sup> with a maximum of 12 hour per  
278 day. Cooling was considered to be turned on from July 1st to August 31st in all the locations.  
279 Envelope thermal resistance was considered unvaried, although climate zones were  
280 significantly different.

281 Among the different output variables that can be returned by the software, 60 seconds  
282 timestep simulations (using conduction finite difference method ConFD, required for  
283 simulations involving PCMs) and hourly values of surface temperature were considered as  
284 more useful and instructive for the case under investigation. Overall values were employed  
285 for heating energy.



**Figure 2.** Monthly averages of climatic parameters for the three selected cities: a) Dry bulb outdoor temperature; b) Relative humidity; c) Global irradiation on horizontal surface; d) Rainfall. (Data source: Meteonorm 7.2)

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The two horizontal surfaces as well as the Eastern and Western walls were considered adiabatic, so that all the other surfaces were modelled to simulate a room laying in an intermediate floor. However, although not involved in heat exchange, their density, heat capacity and conductivity were provided, in order to take into account their contribution to internal mass and heat storage.

In order to evaluate the thermal and energy benefits attainable including nano-PCMs in building components and materials, a typical masonry in hollow clay blocks covered with plaster on both sides was used for modelling external walls. PCMs were included in the internal plaster layer (with a thickness of 5 cm) of vertical surfaces, also in partitions. The total enthalpy of fusion of nano-encapsulated PCMs embodied in plasters, used for the simulations, was calculated adding the sensitive and latent contributions of the specific enthalpy. The total enthalpy of nano-enhanced plaster was then calculated as follows:

$$\Delta H_{tot} = m (\Delta h_{mortar} + \Delta h_{PCM}) \quad [\text{kJ}] \quad (1)$$

where:

$$\Delta h_{mortar} = (1 - f) \int_{T_1}^{T_2} c \, dT \quad (2)$$

$$\Delta h_{PCM} = f \left[ \int_{T_1}^{T_M} c^S \, dT + L + \int_{T_M}^{T_2} c^L \, dT \right] \quad (3)$$

307 with  $m$  being the plaster mass,  $f$  representing the mass fraction of PCMs in plaster, which  
308 was 0.5 in our case study, and  $T_M$  the melting temperature of PCMs. No variation on plaster  
309 conductivity with and without the PCM was considered in order to only take into account the  
310 effect in terms of increased heat storage.

311 Finally, the effect of embodied PCM on thermal comfort was investigated by assuming the  
312 presence of at least one occupant starting from 3 PM to 8 AM, and calculating occupants'  
313 comfort conditions according to Fanger's model. In the specific "People" Energyplus object  
314 both "work efficiency" and "air velocity" were assumed to be always zero, while clothing  
315 insulation was assumed to be 1 clo during the heating season and in the two weeks before  
316 and after, 0.5 clo during the cooling season and in the two weeks before and after, and 0.75  
317 in the remaining periods. Results were finally analysed in terms of hours in which the  
318 percentage of dissatisfied according to Fanger's model exceeded 15%.

## 319 **2.3 Toxicological assays**

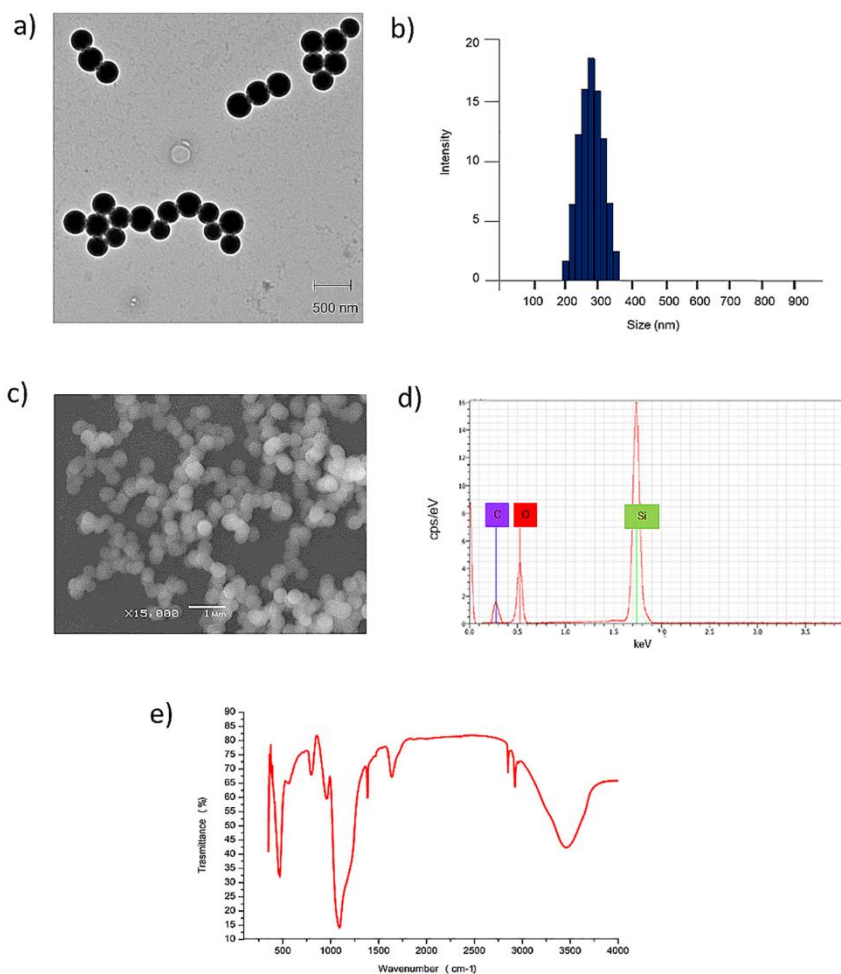
320 Human alveolar basal epithelial cells (A549, ATCC® CCL-185™) were maintained in  
321 Dulbecco's Modified Eagle Medium supplemented with 10% FBS, 50  $\mu$ M glutamine,  
322 supplemented 100 U/mL penicillin and 100 mg/mL streptomycin. Cells were incubated in a  
323 humidified controlled atmosphere with a 95 % to 5 % ratio of air/CO<sub>2</sub>, at 37 °C. For viability  
324 assay, A549 cells were seeded in 96 well microplates (Corning) at concentration of  $5 \cdot 10^3$   
325 cells/well after 24 h of stabilization. The SiO<sub>2</sub>@PEG600 NPs were added in order to obtain  
326 a final concentration of 10  $\mu$ g/mL and 40  $\mu$ g/mL. The exposure was conducted for 24 h, 48  
327 h, 72 h 96 h and the viability of cells expressed as percentage of living cells respect to control  
328 was performed using the WST-8 assay (Sigma-Aldrich) following the procedure described  
329 previously [38]. For confocal acquisitions, A549 cells were incubated with NPs at different  
330 time points following the procedure described in Ref. [39]. All confocal images were acquired  
331 using LSM700 (Zeiss, Germany) confocal microscope mounted on an Axio Observer Z1  
332 (Zeiss, Germany) inverted microscope, by using the Alpha Plan-Apochromat (Zeiss) 100 x  
333 oil-immersion with 1.46 NA.

## 334 **3. Results and Discussion**

### 335 **3.1 PCM properties**

336 SiO<sub>2</sub>@PEG600 nano-particles were firstly characterized in water by TEM in order to analyze  
337 their morphology, showing that NPs were spherical and monodispersed with a size of  $(300$   
338  $\pm 15)$  nm (Figure 3a). DLS measurements confirmed the size of NPs (Figure 3b) showing a

339 uniform size distribution. SEM-EDS analysis confirmed the morphology and smooth surface  
340 of NPs and further corroborated the presence of confined PCM (PEG600) in the SiO<sub>2</sub> NPs  
341 core. Indeed, the silicon, oxygen and carbon element peaks appeared in the graph (silicon  
342 and oxygen for silica and carbon and oxygen for PEG600) (Figure 3c,d). FT-IR analysis was  
343 conducted on the NPs samples in order to verify the presence of bonds corresponding to  
344 PEG600 and SiO<sub>2</sub>.



345

346 **Figure 3.** Characterization of SiO<sub>2</sub>@PEG600 core/shell NPs. a. Representative image of NPs  
347 acquired by Transmission Electron Microscopy (TEM) b. Dynamic Light Scattering (DLS)  
348 measurement c. Representative image of NPs acquired by Scanning Electron Microscopy (SEM) d.  
349 Energy Dispersive X-ray Spectrometry (EDS) curve e. Fourier Transform Infrared Spectroscopy  
350 (FITR) analysis.

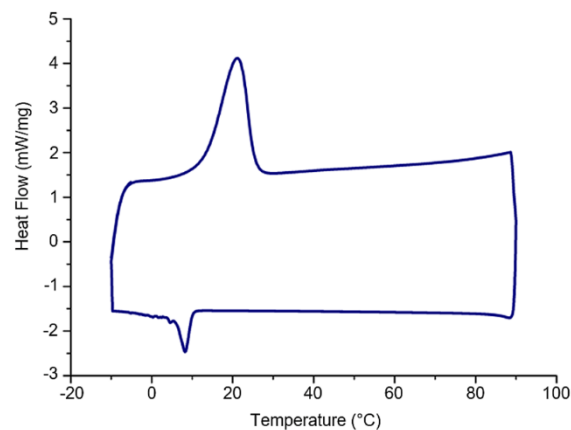
351 Figure 3e showed a broad band in the region of ~3375 cm<sup>-1</sup> that corresponds to -OH  
352 stretching vibration. The vibrational bands observed at ~2917 cm<sup>-1</sup> and ~2849 cm<sup>-1</sup>, related  
353 to the stretching of -CH. The peak obtained at ~1633 cm<sup>-1</sup> was assigned to the stretching  
354 of -OH band while the peak at ~1403 cm<sup>-1</sup> was attributed to the vibration of -CH. The very

355 strong and broad IR band at  $\sim 1111\text{ cm}^{-1}$ , with a shoulder at  $\sim 1188\text{ cm}^{-1}$  represented the Si-  
356 O-Si asymmetric stretching vibrations. The IR band at  $\sim 800\text{ cm}^{-1}$  and  $956\text{ cm}^{-1}$  can be  
357 assigned to symmetric stretching vibrations of Si-O-Si and silanol groups respectively. The  
358 peak at  $\sim 474\text{ cm}^{-1}$  was due to O-Si-O bending vibrations.

359 Thermal performance of novel SiO<sub>2</sub>@PEG600 was studied using a Differential Scanning  
360 Calorimetry (DSC) testing, providing the thermogram showed in Figure 4. The melting  
361 temperature (T<sub>m</sub>) of the SiO<sub>2</sub>@PEG600 NPs was found to be 21°C, with a smooth transition  
362 starting from about 10 °C, which was similar to that of the pure PEG600 as described in a  
363 previous work [40]. Moreover, the encapsulation efficiency (*R*) is an important index, which  
364 was defined as follows [41,42]:

$$365 \quad R = \frac{\Delta h_m}{\Delta h_{mPCM}} * 100 [\%] \quad (4)$$

366 where  $\Delta h_m$  is the specific melting enthalpy of NPs and  $\Delta h_{mPCM}$  is the specific melting  
367 enthalpy of the PCM in pure state. As the latter is 108.4 kJ/kg for PEG600 as measured by  
368 DSC [43] and the melting enthalpy of core shell PCMs reaches a maximum of 66.24 kJ/kg  
369 (using a concentration of 1M of PEG600) in the melting process, the resulting encapsulation  
370 efficiency was 61%. Such result was in agreement with other works [44]. The resulting  
371 enthalpy referred to core/shell NPs was used in the all the simulations that were carried out  
372 subsequently.



373

374 **Figure 4.** Differential scanning calorimetry (DSC) curve.

### 375 **3.2 Energy saving potentials**

376 The heating energy consumption per unit area was calculated, on an annual basis, using  
377 the results of the simulation process. This activity was carried out with reference to three

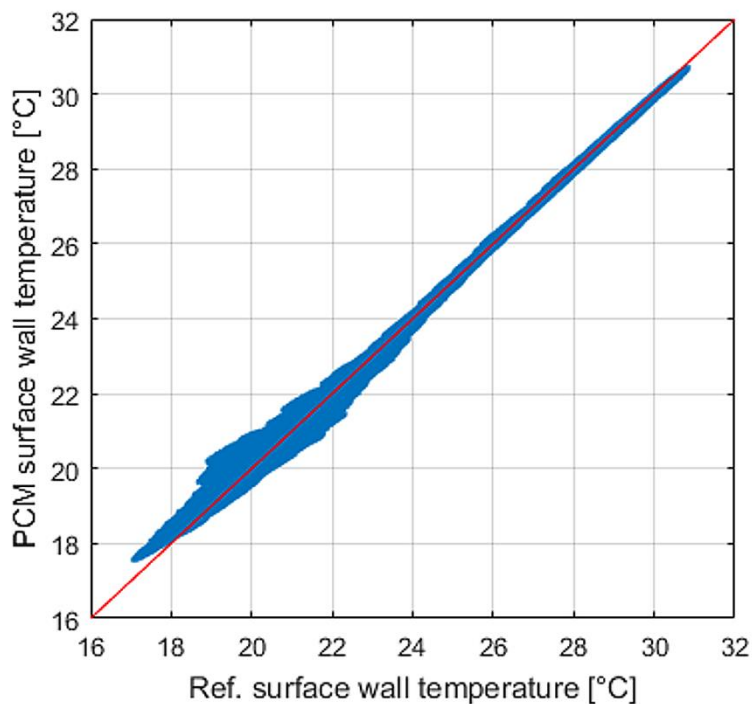
378 different climatic contexts, as mentioned previously (Brindisi, Rome, Milan). The outcome of  
 379 performance comparisons among the plaster containing PCMs and the standard reference  
 380 plaster demonstrated that energy savings for heating could be attained in all the cases  
 381 although a strong dependence on climatic conditions appeared. In fact, in Brindisi savings  
 382 were 4.3%, in Rome they reduced to 2.3%, and in Milan they dropped to 1.6%. Such climatic  
 383 dependence is strongly related to the different profile of outdoor temperatures and radiation  
 384 patterns which finally influence the rate interior surfaces get colder. A comparison of the  
 385 absolute values of yearly energy demand per unit area, as shown in Table 1, showed that  
 386 that in absolute terms the largest decrease was found in Milan (0.44 kWh/m<sup>2</sup>yr), while Rome  
 387 (0.26 kWh/m<sup>2</sup>yr) and Brindisi (0.29 kWh/ m<sup>2</sup>yr) showed more similar results. This was due  
 388 to the higher annual heating consumption in Milan and Rome as the first two cities belong  
 389 to climate zones with a longer heating period, more heating hours, and lower outdoor  
 390 temperatures.

391 **Table 1.** Specific energy use for heating on a yearly basis

	Brindisi		Rome		Milan	
	Ref.	PCM	Ref.	PCM	Ref.	PCM
Specific heating energy [kWh/m <sup>2</sup> .yr]	6.92	6.63	11.64	11.38	26.80	26.36
Percent Variation [%]		-4.3%		-2.3%		-1.6%
Specific cooling energy [kWh/m <sup>2</sup> .yr]	4.86	4.85	3.69	3.68	2.68	2.65
Percent variation [%]		-0.2%		-0.3%		-1.1%

392

393 The energy consumption for summer air-conditioning was barely affected by PCMs  
 394 embodied in plaster, as shown by cooling energy comparisons (**Table 1**). In fact, results  
 395 showed negligible effects due to PCMs because set-point temperatures of the cooling  
 396 system was 26°C, well above the melting temperature of SiO<sub>2</sub>@PEG600, taking place  
 397 around 21 °C. Quite predictably, the same behavior was found in all the locations under  
 398 investigation in this study. Figure 5 clearly shows this effect, by plotting a scatterplot of the  
 399 surface temperatures (on the Southern wall, in Brindisi), of the wall with the reference  
 400 treatment and the wall with PCM. Clearly, above 24 °C the temperatures converge, while  
 401 they show significant variations (within a ±1°C range) between 18 and 23 °C.



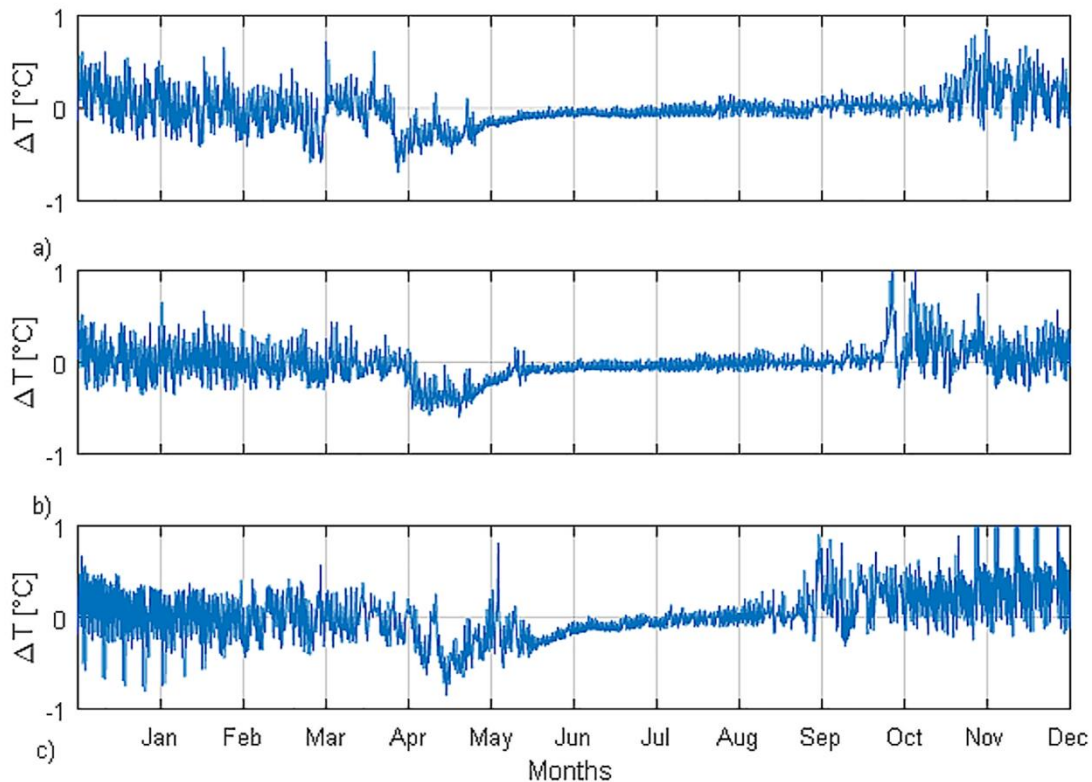
402

403 **Figure 5** Scatterplot of the interior surface temperature of the Southern wall of the reference  
404 conditions vs. the same parameter on the wall treated with PCM.

405 In order to better understand how the surface temperature changes, depending on the  
406 season, Figure 6 shows the variation of the internal surface temperature reported on  
407 the South wall on a yearly basis for all three locations comparing the wall finished with  
408 plaster embodying PCM with the reference one. The material is completely melted in  
409 the summer season, while the difference in temperature shows a positive value in  
410 winter and autumn, in all three locations. Average difference in temperature undergoes  
411 a change of sign in spring (with a slight shift depending on the location) because the  
412 presence of the PCM delays the heating of the interior surfaces, achieving a reduction  
413 of temperatures on the wall surface, ranging around 0.5 °C in all the locations.

414 It can be observed that, in all cases, the main effect of the embodied PCMs consisted  
415 in a positive variation of surface temperature, particularly evident in the Autumnal  
416 period, meaning that the wall with PCM showed a higher temperature compared to the  
417 reference wall, in concurrence with the heating system activation periods. Moreover,  
418 the higher temperature of the surface of the plaster containing the PCM translated into  
419 a benefit in terms of energy saving, as found in the analysis of the heating system  
420 consumption, as well as in terms of indoor environment quality, as the mean radiant  
421 temperature gets higher and more similar to air temperature.



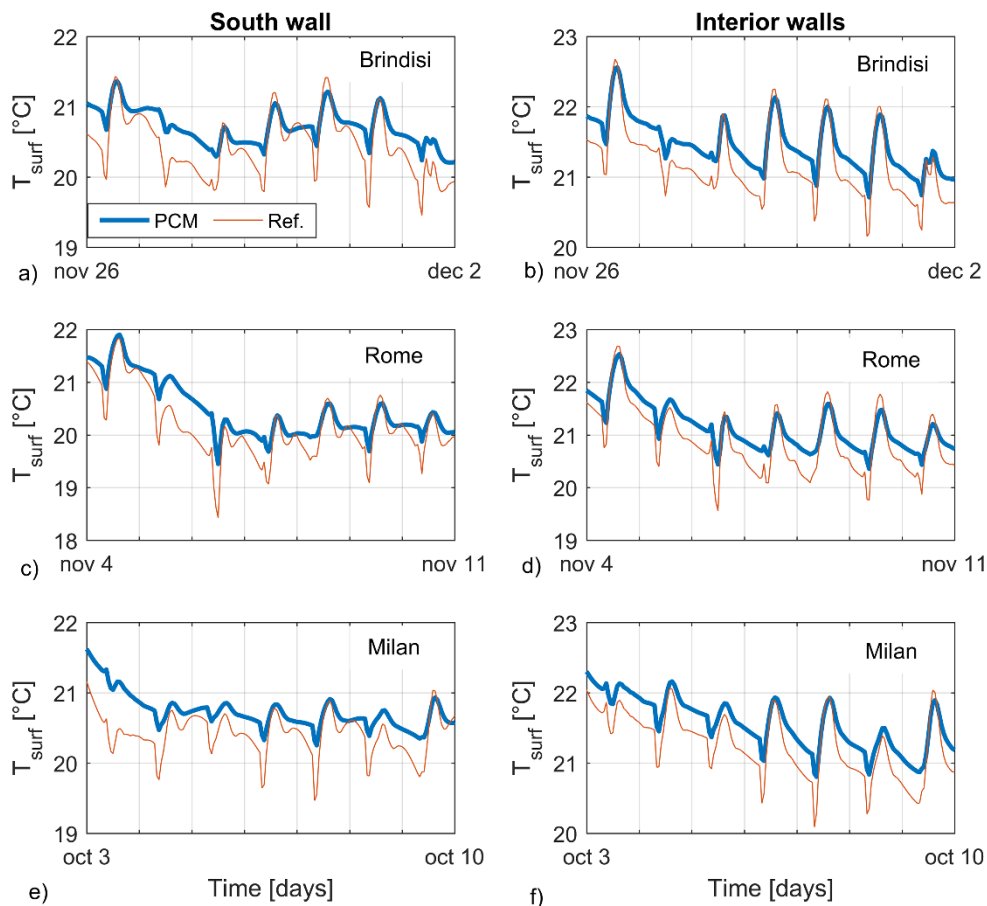


422

423 **Figure 6** Variation of internal surface temperature on the South wall calculated with and without PCM  
424 in the plaster. a) Brindisi; b) Rome; c) Milan

425 In order to better investigate this aspect, Figure 7 shows the trends of the internal  
426 surface temperature, with and without the PCMs, along one week for each location.  
427 The week showing the highest differences between the two configurations (the  
428 reference case and the one considering plasters embodying PCMs) was selected for  
429 each location. In this way, the week with the largest temperature deviation in Brindisi  
430 was found to be from November 26th to December 2nd, with maximum differences of  
431 about 0.5°C (Figure 7a,b). Similar trends were observed for both exposed and  
432 unexposed walls, with the first having lower temperatures and being clearly affected  
433 by radiation effects. In all the cases, the PCM made the variations in temperature  
434 smoother when compared to the reference plaster. Similar conditions were observed  
435 in Rome, in the week from November 4th to November 11th (Figure 7c,d). On the other  
436 hand, in Milan, the week reporting higher surface temperature deviations was the one  
437 from October 3rd to October 10th, with temperature differences in the same range  
438 observed in the other locations (Figure 7e,f). In all cases, it was observed that the  
439 period of maximum effectiveness of the proposed phase change materials is the  
440 Autumn, with shifts depending on the climatic conditions. This fact indeed confirmed

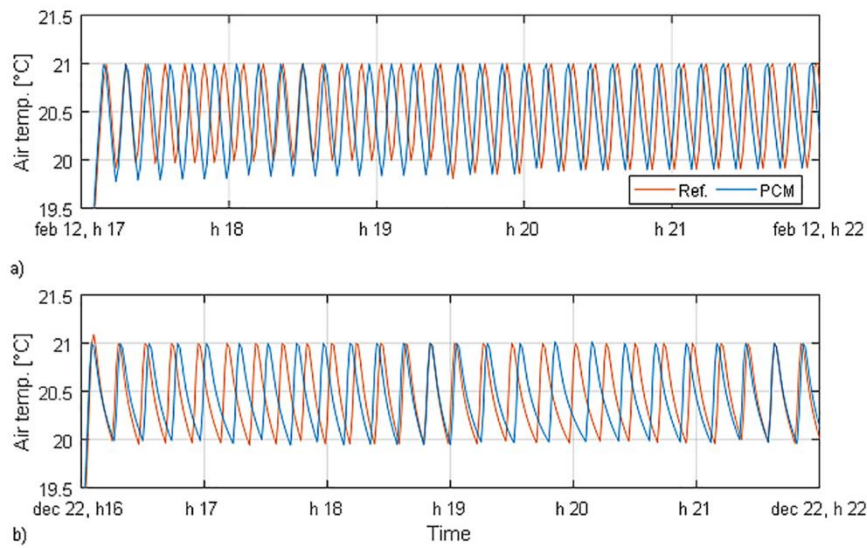
441 that the PCM performed best at lower latitudes and milder climates, where such  
442 increased temperatures, combined with positive effects due to radiation, may lead to a  
443 shift in the time at which the heating system becomes effective.



444

445 **Figure 7.** Graphs showing the difference in internal surface temperature of the plasters in the  
446 reference case and the one containing the new  $\text{SiO}_2\text{@PEG600}$  material. Southern wall exposed to  
447 Sun radiation (a,c,e) and interior wall (b,d,f) were considered for the different locations: Brindisi  
448 (a,b), Rome (c,d), and Milan (e,f). For each location the week showing the greatest deviations in  
449 surface temperature was considered.

450 However, even in presence of the heating system, the addition of PCM to the interior walls  
451 proved to induce positive effects, particularly at the beginning and at the end of the heating  
452 season (when walls get warmer). As shown in Figure 8 in presence of a duty-cycle of the  
453 heating system, the presence of the PCM may conveniently affect the time period of the  
454 cycle, leading to a quantifiable reduction of the number of times the system is turned on. In  
455 particular, at the beginning (and at the end) of the season the period is longer and the  
456 contribution from the PCM is clearer. In February, in presence of more extreme climate  
457 conditions, the difference between the case with reference wall and that treated with PCM  
458 becomes much smaller.



459

460 **Figure 8.** Plot of the indoor air temperature in Brindisi at two different times of the year: a) February 12<sup>th</sup>, b)  
461 December 22<sup>nd</sup>

462 Finally, the analysis of thermal comfort conditions showed (Table 2) that the addition of PCM  
463 resulted in a generalized reduction of the number of hours in which discomfort conditions  
464 are found. As expected, in Winter and Autumn a reduction of discomfort hours is observed,  
465 and it is particularly evident in Rome and Milan. During the Spring the inclusion of PCM  
466 determines a slight increase in the number of discomfort hours, as an obvious consequence  
467 of the slower increase of the interior wall temperature compared to the reference case.  
468 However, this increase is comparatively smaller than the benefits obtained during Autumn.  
469 Finally, in Summer the smallest variations appeared, quite predictably considering that the  
470 range of temperatures in which the PCM is effective is normally below room temperatures  
471 in that season. Such results confirm the usefulness of the treatment with PCM, as even in  
472 cities where their effect on energy saving is lower (like Milan), they nonetheless contribute  
473 to improve indoor comfort conditions.

474 **Table 2.** Summary of the analysis of thermal comfort conditions expressed in terms of hour in which Fanger's  
475 predicted percentage of dissatisfied (PPD) exceeds 15% as a function of season, for each of the locations  
476 under analysis.

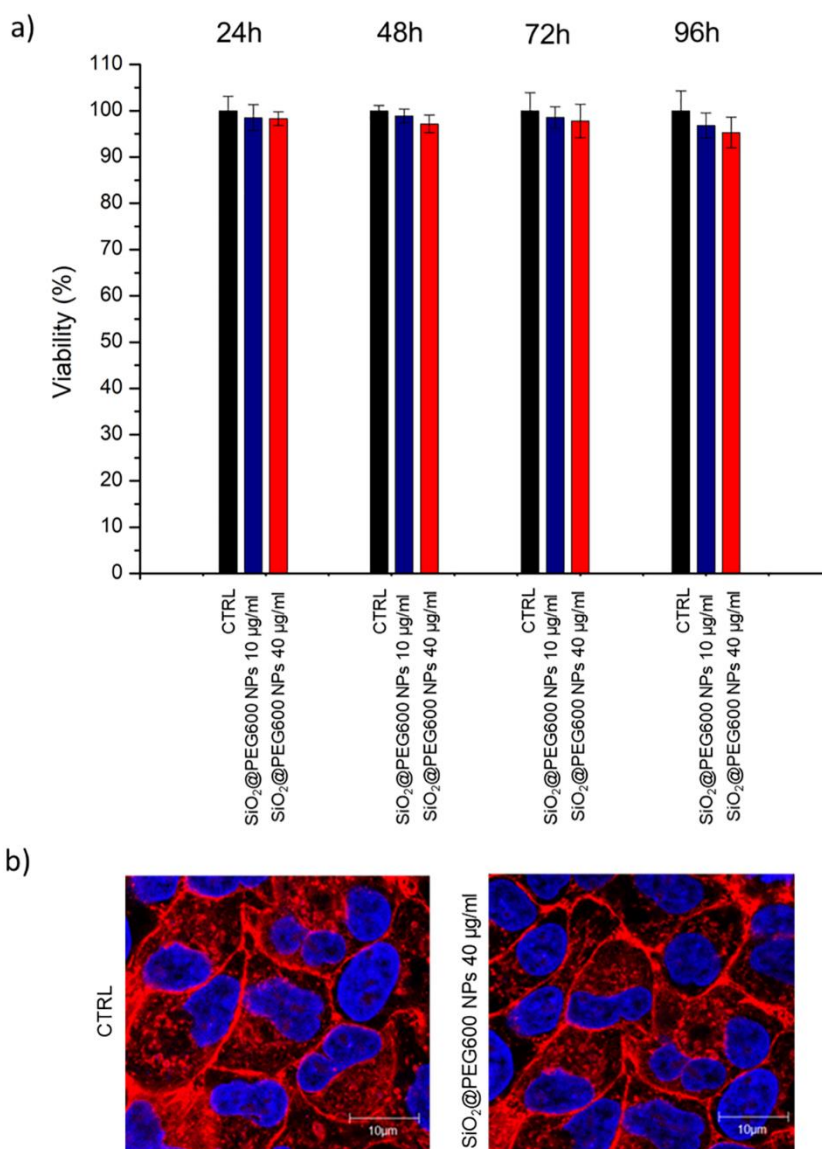
	BR_ref	BR_PCM	Var.	RM_ref	RM_PCM	Var.	MI_ref	MI_PCM	Var.
Overall	1389	1373	-14	781	745	-36	1529	1440	-89
Winter	12	3	-9	34	10	-24	466	441	-25
Spring	84	86	2	18	21	3	174	192	18
Summer	985	978	-7	567	563	-4	185	176	-9
Autumn	308	306	-2	162	151	-11	704	631	-73

477

478 This first round of simulations focused on the concise identification of the potential benefits  
479 obtainable through the integration of the newly formulated PCM nanomaterial in building  
480 plasters. Further activities will be aimed at demonstrating other possible uses of the  
481 innovative material produced in this experimental activity; on the other hand, they will aim at  
482 improving the efficiency of encapsulation and thermal performance. Moreover, it will be  
483 possible to identify further PCMs suitable for uses related to constructions.

### 484 **3.3. Toxicological analysis**

485 In order to verify if the new synthesized nanomaterial were toxic, we exposed human alveolar  
486 basal epithelial cells (A549) to NPs since they effectively mimic the inhalation exposure. Cell  
487 viability was evaluated by means of the WST-8 assay. The treatment with SiO<sub>2</sub>@PEG600  
488 did not induce a dose-dependent reduction of cells viability after the treatment with 10 µg/mL  
489 and 40 µg/mL of SiO<sub>2</sub>@PEG600, for 24h, 48h, 72h, 96h. (Figure 9a). In addition, the  
490 confocal analysis on A549 cells performed after incubation of cells with 40 µg/mL of  
491 SiO<sub>2</sub>@PEG600 for 72h, clearly showed that there were no toxic effects on cell morphology  
492 (Figure 9b). The toxicological profile is a critical point to the use of these new nanomaterials  
493 in buildings. In this way, our new synthesized nanomaterials have not only a great thermal  
494 performance, but they are safe and can be exposed to living organisms.



495

496 **Figure 9. Toxicity assessment of SiO<sub>2</sub>@PEG600 core/shell NPs on A549 cells.** Figure 9a.  
497 Viability assay (WST-8) of A549 cells after 24 h, 48 h, 72 h and 96 h exposure to two doses (10  
498 µg/mL and 40 µg/mL) SiO<sub>2</sub>@PEG600 core/shell NPs. Viability of NPs-treated cells was normalized  
499 to non-treated control cells. As positive control (P), cells were incubated with 5% DMSO (data not  
500 shown). Data reported as mean ± SD from three independent experiments are considered  
501 statistically significant compared with control (n= 8) for p value < 0.05 (<0.05 \*, <0.01 \*\* and <0.005  
502 \*\*\*). Figure 9b. A549 were treated with 10 µg/mL and 40 µg/mL of NPs for 72 h, fixed and then  
503 stained with Phalloidin–ATTO 488 and DAPI. The 2D images of cortical actin were acquired by a  
504 Zeiss LSM700 (Zeiss) confocal microscope equipped with an Axio Observer Z1 (Zeiss) inverted  
505 microscope using a ×100, 1.46 numerical aperture oil immersion lens. All data were processed by  
506 ZEN software (Zeiss).

#### 507 4. Conclusions

508 The nano-encapsulation of a PCM for potential use in the construction sector was  
509 investigated in this paper. In particular, in order to enhance the potential of the PCM to

510 contribute to energy saving and thermo-regulation of the indoor temperature in buildings  
511 PEG600 was chosen because its melting temperature is close to 20°C. In addition, in order  
512 to embody this material in plasters and other building mixtures, the PCM was nano-  
513 encapsulated in a silica shell which may also contribute to enhance mechanical properties.  
514 The resulting product was analyzed under different points of view.

515 Better results were obtained compared to commercial microencapsulated PCMs due to the  
516 increased specific surface of silica nanoparticles, resulting in an increase of heat transfer  
517 and due to the reduced thickness of silica shells, hosting higher amounts of PCM active  
518 material in the nanoparticles core. Low-cost mass manufacturing techniques could take  
519 advantage of the proposed low-cost, low temperature sol-gel approach, with further chances  
520 to modify and improve nanoPCM performance, materials and morphology.

521 Further studies are under way in order to better understand the potential advantages  
522 resulting from the use of this material, also including its influence on mechanical properties  
523 of plasters.

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## 531 **Conflict of interest**

532 The authors declare that they have no conflict of interest.

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