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Nano-encapsulation of phase change materials: from design to thermal performance, simulations and toxicological assessment

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12 Abstract

13 The paper presents the results of an experimental activity aimed at producing and 14 characterizing a nano-encapsulated PEG600 (PCMs) into a silica shell. The nano-15 encapsulation was meant to be useful to improve the material's suitability to integration in 16 building components. The (300 ± 15) nm nanoparticles that were produced underwent a full 17 characterization of their thermal performances. An enthalpy of fusion as high as 66.24 kJ/kg, 18 in a tight melting temperature range (20-21°C) was obtained, making the material suitable 19 for thermal energy storage in buildings. In order to demonstrate the benefits of such as this 20 technology on the reduction of heating and cooling demand of buildings, a concentration of 21 50% in weight of nanoparticles was, then, embedded into a gypsum plasterboard and used for all indoor plastered surfaces of a reference residential buildings. A saving of respectively 22 23 up to 4.3% and up to 1.1% of heating and cooling energy demand was predicted in 24 comparison to the ones of a building without PCM. Finally, the material underwent a full 25 toxicological characterization exposing human alveolar basal epithelial cells to 26 nanoparticles. The results showed that there were no toxic effects on cell morphology.

27 Nomenclature

28 PCM Phase Change Material
29 NP Nanoparticle
30 USD United States Dollars
31 PEG Polyethylene glycol
32 SiO₂ Silicon Dioxide

33	TEOS	Tetraethyl orthosilicate
34	NH₄OH	Ammonium hydroxide
35	SiO2@PEG600	Nanoencapsulated PEG600 in SiO2 shells
36	TEM	Transmission Electron Microscopy
37	EDS	Energy Dispersive X-ray Spectroscopy
38	DLS	Dynamic Light Scattering
39	SEM	Scanning Electron Microscopy
40	IR	Infrared
41	FT-IR	Fourier-Transform IR Spectroscopy
42	DSC	Differential Scanning Calorimetry
43	T _m	Melting Temperature of a PCM (°C)
44	ΔH_m	Total Enthalpy of fusion (kJ)
45	Δh _m	Enthalpy of fusion per unit mass (kJ/kg)
46	U _f	Frame global heat transfer coefficient (W/m ² K)
47	Ug	Glazing global heat transfer coefficient (W/m ² K)
48	S	Superscript indicating the solid phase
49	L	Superscript indicating the liquid phase

50 **1. Introduction**

51 In the last decade, the potential integration of PCMs in building components, as suitable 52 latent thermal energy storage systems, has become a goal for several research activities. 53 PCMs can store large amounts of latent heat in their phase transitions [1], achieving 54 significant energy savings and comfort in buildings. If, on the one hand, sensible heat refers 55 to heat that can be sensed by means of a thermometer, latent heat storage refers to the 56 undetectable heat transfer, intrinsically associated with a phase transition [2]. PCMs can 57 utilise their high latent heat storage, corresponding to the number of chemical bonds to be broken to activate the full isothermal phase transition at constant pressure. For this reason, 58 59 within the tight temperature range in which the phase transition occurs, PCMs show higher efficiency than any other sensible heat storage material [3]. PCMs are classified in three 60 61 classes: organic, inorganic and eutectic [3]: organic PCMs are paraffins, fatty acids, esters, and alcohols [4] while the most used inorganic PCMs are salt hydrates. Metallic PCMs, that 62 63 are classified as inorganic, are rarely used in buildings due to their weight and high melting temperature. Generally, higher melting temperatures are reported for metals and inorganic 64 65 PCMs, whereas they are lower in organic, salt hydrate and eutectic PCMs [5]. The main

figures of merit affecting PCMs effectiveness are the melting temperature, the amount of 66 latent heat of transition per unit weight, thermal conductivity (λ) and the specific heat. 67 Shape-stabilized PCMs, by means of micro- and nano-encapsulation processes, avoid any 68 69 leakage risk in the liquid phase, but also maximize heat transfer due to larger available 70 surface area, compared to macrocapsules [6]. Micro-encapluation is a technology to 71 encapsulate and shape-stabilize PCMs in spheres at microscale range (> 1µm); the current 72 research trend is aimed at reducing the encapsulation size within the nanoscale range, so as to maximize size effects and surface area involved in heat transfer [7]. 73

74 PCMs can help customizing the redistribution of thermal loads in buildings. A recent review 75 showed that generally PCMs are embedded in building elements and materials, especially 76 in walls and floor elements, because they can provide energy storage by means of latent 77 heat accumulation, resulting in higher heating storage with respect to typical sensible heat 78 processes of building materials [8]. The improvement observed in PCM-embodying 79 elements is due to this enhanced latent heating storage, even if no variation of specific heats takes place. For instance, the application of PCM capsules with paraffinic wax in lime plaster 80 81 enhanced the apparent specific heat capacity, compared to the reference material [9]. PCMenhanced plasters have been investigated as a suitable chance in the refurbishment of 82 building envelopes, in the Mediterranean climate [10], in the hypothesis of adopting 3.0 cm 83 84 thick plaster on all exposures and in different climatic conditions. The heat storage capacity 85 of a special composite plaster was compared to a commercially produced lime-cement 86 mortar, reporting an increase from 0.4 kJ/(kg·K) to about 2.1 kJ/(kg·K) after the addition of 87 24% PCM [11]. Pavlick et al. [12], in 2014, reported the enhanced performance of a PCM-88 modified plaster exhibiting specific heat capacity of 1.6 kJ/(kg·K) against 0.77 kJ/(kg·K) 89 observed in the reference plaster. The integration of PCMs in lightweight building 90 components was investigated by Fiorito [13], employing EnergyPlus for simulating the use 91 of PCMs in a naturally ventilated test room. In that study, higher benefits were obtained by adding PCMs in walls or partitions, linearly with PCM thickness. Lee and Medina [14] 92 93 simulated a frame wall embodying hydrated salt (melting and solidification temperatures 94 between 27.6 °C–29.6 °C) macroencapsulated in containers larger than 1 mm. The aim was 95 to reduce the cooling on-peak demand in California. Total energy saving reached values of 9.21 kWh/(m²·yr) due to PCM-enhanced frame walls. Energy saving between 30% and 55% 96 97 in the HVAC system were registered by Navarro et al. [15], who used an internal slab as a storage unit and as an active cooling supply in Spain. They used 52 kg of RT-21 paraffin 98 99 macro-encapsulated in 1456 aluminium tubes of 12 mm diameter. Kenisarin et al. observed

100 that further research activities on PCMs should, among the other objectives, achieve the 101 narrowest possible temperature range for the phase change process in PCMs and reduce 102 their costs [16]. Several research groups used paraffin, as reviewed by Zalba et al. [17] for 103 its melting temperature (for instance, paraffin wax has a melting temperature of 28 °C) and 104 its high latent heat (244 kJ/kg), highly compatible with uses in constructions.

105 Nanotechnologies can help enhancing PCMs performance, as a natural evolution, since 106 abrupt changes may occur, at nanoscale level, in thermophysical and physicochemical 107 properties. Then nano-enhanced features of PCM materials could be suitably exploited and 108 several research activities are currently working on this point, as reviewed by 109 Parameshwaran [18]. It has been observed that the inclusion of nanomaterials could 110 improve some PCMs figures of merit, overcoming some of their limitations, such as a low 111 value of thermal conductivity [19]. To this aim, several nano-enhanced PCMs have been proposed, embodying, for instance, copper, titania, alumina, silica and zinc oxide 112 113 nanoparticles (NPs), thoroughly investigated by Teng et al. [20]: they showed that titania 114 NPs are more effective than other additives in order to enhance heat conduction and thermal 115 storage capacity in paraffins, also affecting both melting onset temperature and the 116 solidification temperature. A completely different route to nano-enhancement of PCMs 117 consists in their encapsulation within a nano-shell [21] or a nanofiber [22]. In addition, nano-118 shells protect PCMs from the surrounding environment. Liu et al. [23] described the different 119 routes to synthetize different kinds of nano-PCMs (sol-gel, miniemulsion, emulsion and in 120 situ polymerization) and the respective advantages and disadvantages. Sari et al. [24] 121 synthetized polystyrene and n-heptadecane micro-/nanocapsules adopting the emulsion 122 polymerization route with capsule sizes ranging from 10 nm to 40 mm for a 1:2 ratio of 123 polystyrene and n-heptadecane. However, among different materials to be used for 124 encapsulation, amorphous silica shows high heat storage capacity and thermal conductivity 125 [25]. In addition, it is biocompatible, nontoxic for living organisms and the environment 126 [26,27], and in its core it is easy to confine active molecules acting as reservoir [28]. Zhang 127 et al. [29] synthesized silica spheres $(7-16 \mu m)$ with *n*-octadecane core (melting temperature) 128 range of 23-28 °C) using TEOS as an inorganic precursor by a sol-gel process, with 129 different steps. The obtained nanomaterials showed a good thermal conductibility. Similarly, 130 Belessiotis et al. [30] obtained silica spheres with paraffin core via sol gel method showing 131 a latent heat of ~ 156 kJ/kg. Latibari et al. [31] obtained nano-PCMs with palmitic acid core 132 and silica shell, using multistep sol-gel method and investigated their thermal figures. The 133 efficiency of encapsulation, defined as the percent ratio of the latent heat of the

encapsulated PCM and that of the pure PCM, ranged from 83.25% to 89.55% (with a particle
size between 183.7 nm and 722 nm) depending on pH of the chemical mix solution.
Nevertheless, since palmitic acid has a melting temperature of 61°C, the application in
buildings is quite difficult. The same limitation (high melting temperature ranging from 142.1
°C to 166.2 °C) concerned mannitol, chosen by Wu et al. [32] and Pethurajan et al. [33].

139 The cost-effectiveness of PCMs inclusion in building envelopes was investigated by Kosny 140 et al. [34], who found that the commercial cost of a PCM with a latent heat as high as 116 141 kJ/kg, while produced commercially, can be projected to be 4.4-6.6 USD/kg. On the other 142 hand, PCMs for building applications should be produced by means of environmental-143 friendly processes and raw materials. Among the possible PCMs, paraffin is inflammable 144 and it is classified as a doubtful carcinogen (source: Sigma-Aldrich), while PEG is an inert 145 inexpensive and versatile polymer for customizing nanostructured materials due to its 146 intrinsic biocompatibility and water solubility [35]. It is also widely used in the biomedical field 147 and it has been approved by Food and Drug Administration for many applications [36]. In 148 addition, the range of melting temperature is between 17 and 22°C (Source: Sigma-Aldrich). 149 that is well within the range of comfortable indoor environment temperatures and, for this 150 reason, its application in buildings is preferable.

151 In this work, we obtained (300 ± 15) nm SiO₂@PEG600NPs by means of a one step, easy 152 and reproducible synthetic route. The obtained nanostructures were then fully characterized, 153 before undergoing a toxicological assessment. The SiO₂@PEG600NPs showed a good 154 thermal performance, with an enthalpy of fusion as high as 66.24 kJ/kg, in the tight melting 155 temperature range (20-21°C): such feature makes it a good candidate for thermal energy 156 storage in building applications, especially to reduce energy uses during winter season 157 HVAC. As will be seen hereafter, it is precisely during the winter season that the 158 incorporation of the PCM inside the plaster performs its effectiveness. On the contrary, 159 during the summer season, it shows no particular benefit, mainly because the temperature 160 of the internal surface of the vertical walls is almost always above the T_m of the PCM. 161 Following the experimental design and synthesis activities of the nanostructures 162 incorporating PCMs, we performed dynamic simulations able to show the possible extent of 163 energy savings obtained by integrating a certain percentage of SiO₂@PEG600NP_S (50%) 164 in building gypsum plasters, comparing a reference case, devoid of PCM, with another one, 165 containing the proposed material applied over all internal vertical plastered surfaces. It is 166 the case to specify that the choice of PEG600 as a suitable PCM was carried out following

a tight comparison aimed at identifying a material having, at the same time, different specificities. Firstly, the compatibility with a low-cost synthesis mode of the hosting SiO₂ shell; secondly, it represented a biocompatible PCM and, finally, a series of preventive simulation activities (not reported in the text) provided an ideal range of melting temperatures to make the maximum contribution during the winter season, associated to the maximum benefit in terms of energy saving. After all these considerations and activities, we decided to adopt the PEG600 as a material able to guarantee a satisfactory compromise.

174 **2. Materials and Methods**

175 In this experimental activity we have reported the results of a cross-disciplinary design and 176 the full characterization of a novel nanostructured material, showing the advantage of 177 achieving full shape stabilization of a biocompatible PCM (PEG600) within the nanoscale, 178 inside a non-toxic amorphous SiO₂ shell. The main properties of this specially designed 179 material were fully characterized after the chemical synthesis. To this aim, at first microscopy 180 characterization was carried out to observe shape and size of nanoparticles; then, thermal 181 characterization was experienced in order to know the main figures of merit describing 182 PCMs behaviour. The last step was an in vitro toxicological assessment: this last 183 characterization activity was carried out in order to learn if the material presented significant 184 toxicity and, therefore, risk profiles for human health. Data thus obtained were used as an 185 input for the subsequent simulation activities.

186 2.1 Synthesis and characterization of SiO₂@PEG600

187 The synthesis was carried out adopting the so called Stöber method, following the procedure 188 described in Stöber et al. [37] with some modifications in order to encapsulate PCMs 189 materials. An amount of PEG600 was dissolved in 5 mL of ethanol to obtain a solution of 190 PEG600 concentrated at 1 mM in final volume of reaction. To ethanol-PEG600 solution was 191 added TEOS (100 µL), milliQ water (20 mL) and NH₄OH solution (28.0-30.0%, 10 mL) for 2 192 hours at 25°C (this temperature was optimal to maintain PEG600 in the liquid phase). Then, 193 the reaction was blocked with acetone and the solution is centrifuged at 4000 rpm for 20 194 minutes. The SiO₂@PEG600 NPs were rinsed with milliQ water and ethanol (1:1) 5 times 195 and successively dried under reduced pressure and then at 100 °C for 2 h in order to obtain 196 a white nano-powder. The yield for this synthesis was about 80%.

197 TEM characterization was carried out by means of a JEOL Jem 1011 microscope, operating
198 at an accelerating voltage of 100 kV (JEOL USA, Inc). TEM samples were prepared by

- dropping a dilute solution of NPs in water on carbon-coated copper grids (Formvar/Carbon300 Mesh Cu).
- DLS and ζ-potential measurements were performed on a Zetasizer Nano-ZS equipped with
 a 4.0 mW HeNe laser operating at 633 nm and an avalanche photodiode detector (Model
- 203 ZEN3600, Malvern Instruments Ltd., Malvern, UK).
- 204 SEM and EDS Measurements were recorded using a Phenom ProX microscope (Phenom-
- 205 World B. V., Eindhoven, Germany), at an accelerating voltage of 10 kV. The samples were
- 206 prepared by dropping a solution of NPs in water on monocrystalline silicon wafer.

FT-IR analysis on SiO₂@PEG600 were recorded on a JASCO 660 plus infrared spectrometer (Jasco, Gross-Umstadt, Germany). Spectral manipulations were performed using the spectral analysis software provided by Jasco and spectra were acquired in the number wave range of 4000-650 cm⁻¹ (resolution of 4 cm⁻¹) at room temperature on a square micro aperture of 100 μ m, with the accumulation of 100 repeated scans in reflectance mode. An isopropanol-treated silicon wafer was used, as background.

213 Thermal properties of SiO₂@PEG600, such as T_m , T_f and ΔH_m , were measured by means 214 of a DSC instrument (Mettler Toledo 822, Greifensee, Switzerland). The analysis was 215 performed on dried samples under a constant stream of nitrogen (60 mL • min⁻¹) at 216 atmospheric pressure, applying a first isothermal step at -10 °C for 5 minutes, followed by a 217 heating scan between -10 °C and 90 °C at 1 °C • min⁻¹. Then the samples were submitted to a further isothermal step at 90°C for 5 minutes, followed by a cooling scan from 90 °C to 218 219 -10°C at 1°C • min⁻¹. The phase change temperatures (melting and freezing points) were 220 evaluated as the intersection between the tangent to the maximum rising slope of the peak 221 and the sample baseline. The enthalpies related to the phase changes were determined by integration of the area under the peaks versus time. 222

223 **2.2 Dynamic simulations in a case study**

In order to test the potential benefits resulting from the use of the proposed PCM, a typical Italian dwelling, located in a multi-storey building, was modelled in EnergyPlus (Figure 1). The overall floor surface is 78 m² and the internal height is 2.9 m. Its East and West walls are shared with other apartments. The dividing walls are made of 20 cm thick tufa blocks, with plaster on both faces (U=0.622 W/m²K). Internal walls are made of 10 cm thick tufa blocks with plaster on both sides. Floor and ceiling are 30 cm thick and are made of hollow clay blocks covered with concrete and plaster on the bottom face (U_{floor}=0.819 W/m²K,

U_{ceil}=0.857 W/m²K). Heat exchange takes place through the North and South walls, made 231 232 of hollow clay blocks (30 cm thick), and through the west wall facing the stairway, which is 233 also made of clay blocks (U=0.736 W/m²K). Windows embody a 70 mm PVC frame (Uf=1.2 234 W/m²K) and a glazing system made of two 4 mm panes, divided by a 20 mm air gap ($U_{g}=2.7$ 235 W/m²K). The values of global coefficient of heat exchange have been kept constant both in the reference case and in the model embodying PCMs within the internal plasters of vertical 236 237 walls. This was done precisely in order to highlight the contribution of the PCMs on energy 238 consumption for HVAC. Moreover, ceiling and internal floor did not include PCMs and the 239 only non-adiabatic surfaces, therefore involved in the heat transmission mechanisms, were 240 the vertical walls respectively exposed to North and South, as shown in Figure 1.



241

242

Figure 1. Plan of the apartment used to create the EnergyPlus model

243 2.2.1 The EnergyPlus model

244 A 3D model of the case study was first made in SketchUp using the OpenStudio plugin, 245 and subsequently exported to EnergyPlus v. 8.8, a free simulation tool by the U.S. Department of Energy's Building Technology Office, in order to perform the dynamic energy 246 247 analysis. To assess the heating and cooling energy uses in a simplified way, an 248 "IdealLoadAirSystem" with no outdoor air was considered. Adopting this approach, 249 EnergyPlus provides heating and cooling energy required to meet the temperature at the 250 selected setpoints (20.5 °C in winter, 26 °C in summer). This approach allows to calculate 251 the thermal energy strictly necessary to achieve the comfort objectives represented by the

temperature setpoints. In this way, we obtain the advantage of highlighting the freecontribution of the material, neglecting the optimization effects of real HVAC systems.

However, in order to better simulate the actual transients that typically occur in real houses, the duty cycle of the heating thermostat was simulated by means of an Energy Management System so that the heating was turned off when air temperature was above $T_{setpoint}+0.5$ °C, while it was turned on when air temperature fell below $T_{setpoint}-0.5$ °C.

For ventilation, in order to simulate the actual opening cycles of windows in real conditions of use, the "Wind and Stack Open Area" approach was adopted in EnergyPlus, which allows to provide the effective opening surface on the respective exposures. Windows (having an open area of 0.4 m² for both Northern and Southern façades) were supposed to be open half an hour per day (from 7.30 to 8.00) during workdays, and one hour per day during weekends. In addition, for fixed openings and windows cracks (summing up to 0.03 m² area) an "always on" schedule was applied.

265 Simulations were carried out in three Italian cities belonging to different climatic zones: 266 Brindisi (climatic zone C, 1083 heating degree days), Rome (climatic zone D, 1415 heating 267 degree days), and Milan (climatic zone E, 2404 heating degree days). The heating schedule 268 was adapted to each location, according to the climatic zone they belonged to, depending 269 on national regulations (Figure 2). The definition of such climatic zones is carried out 270 according to the concept of heating degree day, i.e. the sum of the daily thermal excursions 271 extended to the winter heating period. The latter period is established by regulations in force. 272 According to the Italian standard, all municipalities with a number of degree days between 273 900 and 1400 are in zone C; the range of values for the D climatic zone is between 1400 274 and 2100 and between 2100 and 3000 for the E climatic zone.

Thus, in Brindisi heating worked from November 15th to March 31st, with up to 8 hours per day. In Rome heating worked from November 1st to April 15th with a maximum of 10 hours per day, and in Milan from October 15th to April 15th with a maximum of 12 hour per day. Cooling was considered to be turned on from July 1st to August 31st in all the locations. Envelope thermal resistance was considered unvaried, although climate zones were significantly different.

Among the different output variables that can be returned by the software, 60 seconds timestep simulations (using conduction finite difference method ConFD, required for simulations involving PCMs) and hourly values of surface temperature were considered as more useful and instructive for the case under investigation. Overall values were employed for heating energy.



286 287 288

Figure 2. Monthly averages of climatic parameters for the three selected cities: a) Dry bulb outdoor temperature; b) Relative humidity; c) Global irradiation on horizontal surface; d) Rainfall. (Data source: Meteonorm 7.2)

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289

The two horizontal surfaces as well as the Eastern and Western walls were considered adiabatic, so that all the other surfaces were modelled to simulate a room laying in an intermediate floor. However, although not involved in heat exchange, their density, heat capacity and conductivity were provided, in order to take into account their contribution to internal mass and heat storage.

In order to evaluate the thermal and energy benefits attainable including nano-PCMs in building components and materials, a typical masonry in hollow clay blocks covered with plaster on both sides was used for modelling external walls. PCMs were included in the internal plaster layer (with a thickness of 5 cm) of vertical surfaces, also in partitions. The total enthalpy of fusion of nano-encapsulated PCMs embodied in plasters, used for the simulations, was calculated adding the sensitive and latent contributions of the specific enthalpy. The total enthalpy of nano-enahnced plaster was then calculated as follows:

303
$$\Delta H_{tot} = m \left(\Delta h_{mortar} + \Delta h_{PCM} \right)$$
 [kJ]

(1)

304 where:

305
$$\Delta h_{mortar} = (1-f) \int_{T_1}^{T_2} c \, dT$$
 (2)

306
$$\Delta h_{PCM} = f \left[\int_{T_1}^{T_M} c^S dT + L + \int_{T_M}^{T_2} c^L dT \right]$$
 (3)

with *m* being the plaster mass, *f* representing the mass fraction of PCMs in plaster, which was 0.5 in our case study, and T_M the melting temperature of PCMs. No variation on plaster conductivity with and without the PCM was considered in order to only tale into account the effect in terms of increased heat storage.

311 Finally, the effect of embodied PCM on thermal comfort was investigated by assuming the 312 presence of at least one occupant starting from 3 PM to 8 AM, and calculating occupants' comfort conditions according to Fanger's model. In the specific "People" Energyplus object 313 314 both "work efficiency" and "air velocity" were assumed to be always zero, while clothing 315 insulation was assumed to be 1 clo during the heating season and in the two weeks before 316 and after, 0.5 clo during the cooling season and in the two weeks before and after, and 0.75 in the remaining periods. Results were finally analysed in terms of hours in which the 317 318 percentage of dissatisfied according to Fanger's model exceeded 15%.

319 2.3 Toxicological assays

320 Human alveolar basal epithelial cells (A549, ATCC® CCL-185[™]) were maintained in 321 Dulbecco's Modified Eagle Medium supplemented with 10% FBS, 50 µM glutamine, 322 supplemented 100 U/mL penicillin and 100 mg/mL streptomycin. Cells were incubated in a 323 humidified controlled atmosphere with a 95 % to 5 % ratio of air/CO₂, at 37 °C. For viability 324 assay, A549 cells were seeded in 96 well microplates (Constar) at concentration of 5.10³ 325 cells/well after 24 h of stabilization. The SiO2@PEG600 NPs were added in order to obtain a final concentration of 10 µg/mL and 40 µg/mL. The exposure was conducted for 24 h, 48 326 h. 72 h 96 h and the viability of cells expressed as percentage of living cells respect to control 327 328 was performed using the WST-8 assay (Sigma-Aldrich) following the procedure described 329 previously [38]. For confocal acquisitions, A549 cells were incubated with NPs at different 330 time points following the procedure described in Ref. [39]. All confocal images were acquired 331 using LSM700 (Zeiss, Germany) confocal microscope mounted on an Axio Observer Z1 332 (Zeiss, Germany) inverted microscope, by using the Alpha Plan-Apochromat (Zeiss) 100 x oil-immersion with 1.46 NA. 333

334 **3. Results and Discussion**

335 3.1 PCM properties

SiO₂@PEG600 nano-particles were firstly characterized in water by TEM in order to analyze their morphology, showing that NPs were spherical and monodispersed with a size of (300 \pm 15) nm (Figure 3a). DLS measurements confirmed the size of NPs (Figure 3b) showing a

uniform size distribution. SEM-EDS analysis confirmed the morphology and smooth surface
of NPs and further corroborated the presence of confined PCM (PEG600) in the SiO₂ NPs
core. Indeed, the silicon, oxygen and carbon element peaks appeared in the graph (silicon
and oxygen for silica and carbon and oxygen for PEG600) (Figure 3c,d). FT-IR analysis was
conducted on the NPs samples in order to verify the presence of bonds corresponding to
PEG600 and SiO₂.



345

Figure 3. Characterization of SiO₂@PEG600 core/shell NPs. a. Representative image of NPs acquired by Transmission Electron Microscopy (TEM) b. Dynamic Light Scattering (DLS)
 measurement c. Representative image of NPs acquired by Scanning Electron Microscopy (SEM) d.
 Energy Dispersive X-ray Spectrometry (EDS) curve e. Fourier Transform Infrared Spectroscopy
 (FITR) analysis.

Figure 3e showed a broad band in the region of \sim 3375 cm⁻¹ that corresponds to -OH stretching vibration. The vibrational bands observed at \sim 2917 cm⁻¹ and \sim 2849 cm⁻¹, related to the stretching of –CH. The peak obtained at \sim 1633 cm⁻¹ was assigned to the stretching of -OH band while the peak at \sim 1403 cm⁻¹ was attributed to the vibration of -CH. The very

strong and broad IR band at ~1111 cm⁻¹, with a shoulder at ~1188 cm⁻¹ represented the SiO-Si asymmetric stretching vibrations. The IR band at ~800 cm⁻¹ and 956 cm⁻¹ can be
assigned to symmetric stretching vibrations of Si-O-Si and silanol groups respectively. The
peak at ~474 cm⁻¹ was due to O-Si-O bending vibrations.

Thermal performance of novel SiO₂@PEG600 was studied using a Differential Scanning Calorimetry (DSC) testing, providing the thermogram showed in Figure 4. The melting temperature (Tm) of the SiO₂@PEG600 NPs was found to be 21°C, with a smooth transition starting from about 10 °C, which was similar to that of the pure PEG600 as described in a previous work [40]. Moreover, the encapsulation efficiency (*R*) is an important index, which was defined as follows [41,42]:

$$365 \quad R = \frac{\Delta h_m}{\Delta h_{mPCM}} * 100 \, [\%] \tag{4}$$

where Δh_m is the specific melting enthalpy of NPs and Δh_{mPCM} is the specific melting enthalpy of the PCM in pure state. As the latter is 108.4 kJ/kg for PEG600 as measured by DSC [43] and the melting enthalpy of core shell PCMs reaches a maximum of 66.24 kJ/kg (using a concentration of 1M of PEG600) in the melting process, the resulting encapsulation efficiency was 61%. Such result was in agreement with other works [44]. The resulting enthalpy referred to core/shell NPs was used in the all the simulations that were carried out subsequently.



373

374

Figure 4. Differential scanning calorimetry (DSC) curve.

375 3.2 Energy saving potentials

The heating energy consumption per unit area was calculated, on an annual basis, using the results of the simulation process. This activity was carried out with reference to three

different climatic contexts, as mentioned previously (Brindisi, Rome, Milan). The outcome of 378 379 performance comparisons among the plaster containing PCMs and the standard reference 380 plaster demonstrated that energy savings for heating could be attained in all the cases 381 although a strong dependence on climatic conditions appeared. In fact, in Brindisi savings 382 were 4.3%, in Rome they reduced to 2.3%, and in Milan they dropped to 1.6%. Such climatic dependence is strongly related to the different profile of outdoor temperatures and radiation 383 384 patterns which finally influence the rate interior surfaces get colder. A comparison of the 385 absolute values of yearly energy demand per unit area, as shown in Table 1, showed that 386 that in absolute terms the largest decrease was found in Milan (0.44 kWh/m²yr), while Rome 387 (0.26 kWh/m²yr) and Brindisi (0.29 kWh/ m²yr) showed more similar results. This was due 388 to the higher annual heating consumption in Milan and Rome as the first two cities belong 389 to climate zones with a longer heating period, more heating hours, and lower outdoor 390 temperatures.

391

Table 1. Specific energy use for heating on a yearly basis

	Brindisi		Rome		Milan		
	Ref.	PCM	Ref.	PCM	Ref.	PCM	
Specific heating energy [kWh/m ² .yr]	6.92	6.63	11.64	11.38	26.80	26.36	
Percent Variation [%]		-4.3%		-2.3%		-1.6%	
Specific cooling energy [kWh/m².yr]	4.86	4.85	3.69	3.68	2.68	2.65	
Percent variation [%]		-0.2%		-0.3%		-1.1%	

392

393 The energy consumption for summer air-conditioning was barely affected by PCMs 394 embodied in plaster, as shown by cooling energy comparisons (Table 1). In fact, results 395 showed negligible effects due to PCMs because set-point temperatures of the cooling 396 system was 26°C, well above the melting temperature of SiO₂@PEG600, taking place 397 around 21 °C. Quite predictably, the same behavior was found in all the locations under 398 investigation in this study. Figure 5 clearly shows this effect, by plotting a scatterplot of the 399 surface temperatures (on the Southern wall, in Brindisi), of the wall with the reference 400 treatment and the wall with PCM. Clearly, above 24 °C the temperatures converge, while 401 they show significant variations (within a ±1°C range) between 18 and 23 °C.



402

Figure 5 Scatterplot of the interior surface temperature of the Southern wall of the referenceconditions vs. the same parameter on the wall treated with PCM.

405 In order to better understand how the surface temperature changes, depending on the 406 season, Figure 6 shows the variation of the internal surface temperature reported on 407 the South wall on a yearly basis for all three locations comparing the wall finished with 408 plaster embodying PCM with the reference one. The material is completely melted in 409 the summer season, while the difference in temperature shows a positive value in 410 winter and autumn, in all three locations. Average difference in temperature undergoes 411 a change of sign in spring (with a slight shift depending on the location) because the 412 presence of the PCM delays the heating of the interior surfaces, achieving a reduction 413 of temperatures on the wall surface, ranging around 0.5 °C in all the locations.

414 It can be observed that, in all cases, the main effect of the embodied PCMs consisted 415 in a positive variation of surface temperature, particularly evident in the Autumnal 416 period, meaning that the wall with PCM showed a higher temperature compared to the 417 reference wall, in concurrence with the heating system activation periods. Moreover, 418 the higher temperature of the surface of the plaster containing the PCM translated into 419 a benefit in terms of energy saving, as found in the analysis of the heating system 420 consumption, as well as in terms of indoor environment quality, as the mean radiant 421 temperature gets higher and more similar to air temperature.



422

Figure 6 Variation of internal surface temperature on the South wall calculated with and without PCMin the plaster. a) Brindisi; b) Rome; c) Milan

425 In order to better investigate this aspect, Figure 7 shows the trends of the internal 426 surface temperature, with and without the PCMs, along one week for each location. 427 The week showing the highest differences between the two configurations (the 428 reference case and the one considering plasters embodying PCMs) was selected for 429 each location. In this way, the week with the largest temperature deviation in Brindisi 430 was found to be from November 26th to December 2nd, with maximum differences of 431 about 0.5°C (Figure 7a,b). Similar trends were observed for both exposed and 432 unexposed walls, with the first having lower temperatures and being clearly affected 433 by radiation effects. In all the cases, the PCM made the variations in temperature 434 smoother when compared to the reference plaster. Similar conditions were observed 435 in Rome, in the week from November 4th to November 11th (Figure 7c,d). On the other 436 hand, in Milan, the week reporting higher surface temperature deviations was the one 437 from October 3rd to October 10th, with temperature differences in the same range observed in the other locations (Figure 7e,f). In all cases, it was observed that the 438 439 period of maximum effectiveness of the proposed phase change materials is the 440 Autumn, with shifts depending on the climatic conditions. This fact indeed confirmed

- 441 that the PCM performed best at lower latitudes and milder climates, where such
- 442 increased temperatures, combined with positive effects due to radiation, may lead to a
- shift in the time at which the heating system becomes effective.



444

Figure 7. Graphs showing the difference in internal surface temperature of the plasters in the
reference case and the one containing the new SiO₂@PEG600 material. Southern wall exposed to
Sun radiation (a,c,e) and interior wall (b,d,f) were considered for the different locations: Brindisi
(a,b), Rome (c,d), and Milan (e,f). For each location the week showing the greatest deviations in
surface temperature was considered.

450 However, even in presence of the heating system, the addition of PCM to the interior walls 451 proved to induce positive effects, particularly at the beginning and at the end of the heating 452 season (when walls get warmer). As shown in Figure 8 in presence of a duty-cycle of the 453 heating system, the presence of the PCM may conveniently affect the time period of the 454 cycle, leading to a quantifiable reduction of the number of times the system is turned on. In 455 particular, at the beginning (and at the end) of the season the period is longer and the contribution from the PCM is clearer. In February, in presence of more extreme climate 456 457 conditions, the difference between the case with reference wall and that treated with PCM 458 becomes much smaller.



Figure 8. Plot of the indoor air temperature in Brindisi at two different times of the year: a) February 12th, b)
 December 22nd

462 Finally, the analysis of thermal comfort conditions showed (Table 2) that the addition of PCM 463 resulted in a generalized reduction of the number of hours in which discomfort conditions 464 are found. As expected, in Winter and Autumn a reduction of discomfort hours is observed, and it is particularly evident in Rome and Milan. During the Spring the inclusion of PCM 465 466 determines a slight increase in the number of discomfort hours, as an obvious consequence 467 of the slower increase of the interior wall temperature compared to the reference case. 468 However, this increase is comparatively smaller than the benefits obtained during Autumn. Finally, in Summer the smallest variations appeared, guite predictably considering that the 469 470 range of temperatures in which the PCM is effective is normally below room temperatures 471 in that season. Such results confirm the usefulness of the treatment with PCM, as even in 472 cities where their effect on energy saving is lower (like Milan), they nonetheless contribute 473 to improve indoor comfort conditions.

Table 2. Summary of the analysis of thermal comfort conditions expressed in terms of hour in which Fanger's
predicted percentage of dissatisfied (PPD) exceeds 15% as a function of season, for each of the locations
under analysis.

	BR_ref	BR_PCM	Var.	RM_ref	RM_PCM	Var.	MI_ref	MI_PCM	Var.
Overall	1389	1373	-14	781	745	-36	1529	1440	-89
Winter	12	3	-9	34	10	-24	466	441	-25
Spring	84	86	2	18	21	3	174	192	18
Summer	985	978	-7	567	563	-4	185	176	-9
Autumn	308	306	-2	162	151	-11	704	631	-73

459

This first round of simulations focused on the concise identification of the potential benefits obtainable through the integration of the newly formulated PCM nanomaterial in building plasters. Further activities will be aimed at demonstrating other possible uses of the innovative material produced in this experimental activity; on the other hand, they will aim at improving the efficiency of encapsulation and thermal performance. Moreover, it will be possible to identify further PCMs suitable for uses related to constructions.

484 **3.3. Toxicological analysis**

485 In order to verify if the new synthetized nanomaterial were toxic, we exposed human alveolar 486 basal epithelial cells (A549) to NPs since they effectively mimic the inhalation exposure. Cell 487 viability was evaluated by means of the WST-8 assay. The treatment with SiO₂@PEG600 488 did not induce a dose-dependent reduction of cells viability after the treatment with 10 µg/mL and 40 µg/mL of SiO₂@PEG600, for 24h, 48h, 72h, 96h. (Figure 9a). In addition, the 489 490 confocal analysis on A549 cells performed after incubation of cells with 40 µg/mL of SiO₂@PEG600 for 72h, clearly showed that there were no toxic effects on cell morphology 491 (Figure 9b). The toxicological profile is a critical point to the use of these new nanomaterials 492 in buildings. In this way, our new synthetized nanomaterials have not only a great thermal 493 494 performance, but they are safe and can be exposed to living organisms.



495

496 Figure 9. Toxicity assessment of SiO2@PEG600 core/shell NPs on A549 cells. Figure 9a. 497 Viability assay (WST-8) of A549 cells after 24 h, 48 h, 72 h and 96 h exposure to two doses (10 498 µg/mL and 40 µg/mL) SiO₂@PEG600 core/shell NPs. Viability of NPs-treated cells was normalized 499 to non-treated control cells. As positive control (P), cells were incubated with 5% DMSO (data not 500 shown). Data reported as mean ± SD from three independent experiments are considered 501 statistically significant compared with control (n= 8) for p value < 0.05 (<0.05 *, <0.01 ** and <0.005 502 ***). Figure 9b. A549 were treated with 10 μg/mL and 40 μg/mL of NPs for 72 h, fixed and then 503 stained with Phalloidin-ATTO 488 and DAPI. The 2D images of cortical actin were acquired by a 504 Zeiss LSM700 (Zeiss) confocal microscope equipped with an Axio Observer Z1 (Zeiss) inverted 505 microscope using a x100, 1.46 numerical aperture oil immersion lens. All data were processed by 506 ZEN software (Zeiss).

507 4. Conclusions

508 The nano-encapsulation of a PCM for potential use in the construction sector was 509 investigated in this paper. In particular, in order to enhance the potential of the PCM to

- 510 contribute to energy saving and thermo-regulation of the indoor temperature in buildings 511 PEG600 was chosen because its melting temperature is close to 20°C. In addition, in order 512 to embody this material in plasters and other building mixtures, the PCM was nano-513 encapsulated in a silica shell which may also contribute to enhance mechanical properties.
- 514 The resulting product was analyzed under different points of view.
- 515 Better results were obtained compared to commercial microencapsulated PCMs due to the 516 increased specific surface of silica nanoparticles, resulting in an increase of heat transfer 517 and due to the reduced thickness of silica shells, hosting higher amounts of PCM active 518 material in the nanoparticles core. Low-cost mass manufacturing techniques could take 519 advantage of the proposed low-cost, low temperature solgel approach, with further chances 520 to modify and improve nanoPCM performance, materials and morphology.
- 521 Further studies are under way in order to better understand the potential advantages 522 resulting from the use of this material, also including its influence on mechanical properties 523 of plasters.

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531 Conflict of interest

532 The authors declare that they have no conflict of interest.

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