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# Prediction of home energy consumption based on gradient boosting regression tree



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#### ABSTRACT

Energy consumption prediction of buildings has drawn attention in the related literature since it is very complex and affected by various factors. Hence, a challenging work is accurately estimating the energy consumption of buildings and improving its efficiency. Therefore, effective energy management and energy consumption forecasting are now becoming very important in advocating energy conservation. Many researchers work on saving energy and increasing the utilization rate of energy. Prior works about the energy consumption prediction combine software and hardware to provide reasonable suggestions for users based on the analyzed results. In this paper, an innovative energy consumption prediction model is established to simulate and predict the electrical energy consumption of buildings. In the proposed model, the energy consumption data is more accurately predicted by using the gradient boosting regression tree algorithm. By comparing the performance index Root Mean Square Error of different prediction models through experiments it is shown that the proposed model obtains lower values on different testing data. More detailed comparison with other existing models through experiments show that the proposed prediction model is superior to other models in energy consumption prediction.

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#### 1. Introduction

With the development of society and industry, the consumption of energy is increasing. In 2008, Yang et al. (2008) reported that the offices consumed about 70-300 kWh/m<sup>2</sup> every year in China. It is estimated that buildings in Europe consume 40% of the total energy each year (Rosa et al., 2014). In Hong Kong, the energy consumption of buildings represents around over 60% of the total energy consumption (Hong Kong energy enduse data 2012, 2012). The above data shows that the energy consumed by the building is very huge, and the large amount of energy consumption will also affect the surrounding environment. Therefore, using different technologies to reduce energy consumption in buildings has become one of the topics of many researchers. Effective prediction of building energy consumption demand is one of the ways to avoid energy waste. In fact, it is difficult to predict the energy consumption of the building due to the different materials and complicated structure

of the buildings. To overcome the influencing factors of traditional energy modeling, prior researchers tried to translate these problems by using sensor-based machine learning method to statistically model energy consumption. Accurate prediction models can improve buildings energy performance and optimize buildings' heating, ventilation and air conditioning (HVAC) systems (Kusiak and Xu, 2012). In the past, people used energy management system to analyze the information of energy consumption (Fanti et al., 2014, 2015). In this process, many sensors will be used to collect data and build management system and the management system uses its own network system to analyze the collected data and provide users with scientific suggestions based on the analysis results (Eder and Nemov, 2017).

Subsequently, in order to predict energy consumption more accurately, some other predictive models of energy consumption have been used by other researchers. Support Vector Machine (SVM) is one of the algorithms in data mining. SVM is also categorized as a new machine learning algorithm for forecasting (Dong et al., 2005). It is used in research and industry due to its highly effective model in solving non-linear problems. Besides that, since it can be used to solve nonlinear regression estimation problems, SVM can be used to forecast time series. SVM so far has been widely used in various analyses such as regression, classification and nonlinear function approximation. The higher accuracy

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predictive results will be obtained with the advantages of the SVM algorithm. Hou and Lian (2009), in 2009, applied SVM to prediction cooling load for HVAC system. In addition, Paudel et al. (2017) use the SVM model to predict the energy consumption of the low energy buildings (LEBs). The researchers apply the SVM model to obtain better prediction results by selecting the relevant data and all the data of the energy consumption. Therefore, the SVM model is still widely used in energy consumption research and its predictive performance is still quite good. Edwards et al. (2012) use a Least Squares Support Vector Machine approach to predict energy consumption. This prediction model had the best performance compared with other machine learning models.

Recurrent neural network (RNN) is another widely applied to predict energy consumption of buildings. Rahman et al. (2018) use RNN to predict energy consumption in commercial and residential buildings. The predictive model had lower error when compared with the conventional multi-layered perceptron neural network. Ugurlu et al. (2018) propose a method to estimate and analysis the electrical prices using RNN. Zagrebina et al. (2019) use RNN model to forecast the Russian energy market and had a good performance. Subsequently, more research results are presented in (Fan et al., 2019; Kim and Cho, 2019; Kong et al., 2019). Hybrid models are that combining advantages of different predictive models, and sometimes it can obtain better prediction results than a single predictive model. Ullah et al. (2020) propose a hybrid model to forecast energy consumption. The results showed that the hybrid model that combines a convolutional neural network with a multi-layer bi-directional long-short term memory had improved comparing with other predictive models. The autoregressive integrated moving average (ARIMA) is established on the basis of a stationary time series and it is often used in time series forecasting (Zhang, 2003). Some researchers try to use some machine learning models or neural network models combined with ARIMA models to build new hybrid models for time series forecasting. Kumar et al. (2018) propose two hybrid models ARIMA-SVR and ARIMA-RNN to predict wind and the hybrid models had better performance.

In recent years, the application of ensemble learning models in prediction has attracted the attention of researchers and obtains great success. Gradient Boosting (GB) (Friedman, 2001) is a machine learning technique for regression and classification problems, which produces a prediction model in the form of an ensemble of weak prediction models. Touzani et al. (2018) applied gradient boosting model to energy consumption forecasting and achieved good results. More research results are presented in (Zhang and Haghani, 2015; Ayaru et al., 2015; Chen et al., 2013) by using the gradient boosting model. Gradient boosting decision trees (GBDT) models is another prediction model composed of gradient boosting model and decision trees that used in different fields. It also can be named gradient boosting regression trees (GBRT) when using the models for regression prediction. From some current literature (Xie and Coggeshall, 2010; Wang et al., 2016; Ma et al., 2017; Friedman and Meulman, 2003; Ding et al., 2016a), we can find that this model is very helpful for improving the prediction performance.

In this study, we propose a hybrid ARIMA-GBRT model and a GBRT model to predict energy consumption. The GBRT builds the model in a stage-wise fashion and updates it by minimizing the loss function. It may reduce training errors and improve the accuracy by fitting the trees and residuals. The ARIMA-GBRT is a hybrid model that is a combination of ARIMA (Ediger and Akar, 2007; Calheiros et al., 2015; Lee and Ko, 2011) and GBRT model. Both models here are presented and applied for the first time to the prediction of energy consumption.

This paper is organized as follows. In Section 2, we introduce the building energy consumption simulation system that we build

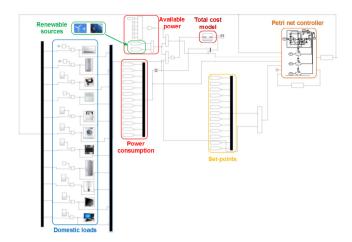


Fig. 1. The energy simulation and control architecture (Simulink).

and use for accurate data generation. A detailed description of the GBRT model and hybrid model is provided in Section 3. Some experiments are done to compare the proposed models with existing predictive models in Section 4. In addition, conclusions and future perspectives are drawn in Section 5.

#### 2. Energy consumption modeling and simulation

In this section, we recall the models to simulate home and building electrical energy consumption presented in Fanti et al. (2018). Such a simulation model is used to generate data set and energy consumption in order to set up the use case for showing the effectiveness of the methodologies developed in the following Section 3. The energy models are implemented by Matlab/Simulink software to simulate and control the electrical energy consumption of the most common domestic appliances such as, HVAC system, water heater, washing machine, dishwashers, computer, TV, refrigerator, oven and lights. Moreover, wind and solar renewable sources are also modeled and integrated in the system.

In Fig. 1, the high-level architecture of the energy simulation and control models is depicted. Here, different models can be distinguished: the domestic loads models; the power consumption model; the available power model that integrates the power from the grid, the wind and solar sources; the energy cost model; the set-point model and the control system model.

Each appliance is modeled based on the technical datasheets to accurately simulate the power consumption. For instance, the HVAC system is modeled to simulate both the heating and cooling functioning modes.

By selecting the HVAC system, it is evident that it includes the heating and cooling models as well as the building thermodynamics and energy cost models (see Fig. 2). Furthermore, the washing machine and dishwasher are modeled to simulate different working programs with different time duration and consumption. For more details on the appliance models and on the other components of the architecture refer to Fanti et al. (2018).

In this paper, the architecture of Fig. 1 is recalled in order to generate datasets of energy consumption. In the proposed architecture, there is a GUI Panel where it is possible to set the available power and to schedule the functioning of each appliance during 24 h by setting all the parameters and the on–off intervals, as it is shown in Fig. 3. After the settings are done, the 24 h simulation can be run and energy data can be plotted and recorded in Matlab. In particular, the aggregated energy consumption by

Fig. 2. The Simulink model of the HVAC system.

the appliances is needed. Moreover, several daily scenarios can be reproduced in order to generate the requested datasets to be used for energy profile prediction.

#### 3. Methodology

In this section, the proposed methodology to efficiently predict electrical energy consumption is presented. The proposed gradient boosting regression tree (GBRT) is a modification of the gradient boosting method by using a regression tree of fixed size as the weak learners. The modified version improves the quality of the model. It is an additive regression model consisting of an ensemble of regression trees. In this section, we mainly introduce the GBRT model and ARIMA-GBRT model that we use to forecast energy consumption. The GBRT algorithm is an iterative regression tree algorithm composed of multiple regression trees. The conclusions of all trees are accumulated as the final output. In the following, we first recall the gradient boosting algorithm and then provide the definition of the GBRT and ARIMA-GBRT algorithms.

#### 3.1. Gradient boosting algorithm

Boosting methods combine weak learners by iteratively focusing in the errors resulting at each step until a suitable strong learner is obtained as a sum of the successive weak ones.

Let us consider a response variable y and a set of random input variables  $\mathbf{x} = \{x_1, x_2, \ldots, x_3\}$ . Using a training data in the form of  $\{(\mathbf{x}_i, y_i)\}$  for  $i = 1, 2, \ldots, N$  with  $\mathbf{x}_i \in R^n$  and  $y_i \in R$ , the goal is finding an approximation  $\widetilde{F}(x)$  of the function F(x) mapping  $\mathbf{x}$  to y, to minimize loss function L(y, F(x)). Errors are inevitable when we expect to seek function  $\widetilde{F}(x)$ . In the process, the gradient boosting algorithm fits weak learners to loss function and each weak learner model aims to correct errors made by previous weak learner models. This can strengthen the prediction performance and reduce the prediction error of the model.

$$\widetilde{F}(x) = \underset{F(x)}{\arg\min} L_{y,x}(y, F(x)) \tag{1}$$

The squared error function is applied as the loss function to estimate the approximation function as  $L(y, F(x)) = (y - F(x))^2$ . The gradient boosting algorithm starts by setting an initial base learner  $F_0(x)$  that usually is a constant function (step 1), and then applies a steepest descent step for the minimization of the loss function. The steepest descent takes steps proportional to the negative gradient of the loss function in order to find the local minimum.

In particular, the gradient of loss function L(y, F(x)) can be calculated by using the following equation (step 3):

$$\widetilde{y}_i = -\left[\frac{\partial L(y_i, F(x_i))}{\partial F(x_i)}\right]_{F(x) = F_{m-1}(x)}, \quad i = 1, \dots, N.$$
(2)

It can generalize the calculation range of the gradient when we use regression trees  $h(x_i; \mathbf{a})$  with parameter  $\mathbf{a}$  as weak learners. It is usually a parameterized function of the input variables  $\mathbf{x}$ , characterized by parameters  $\mathbf{a}$  (Friedman, 2001). The tree can be obtained by solving the following equation (step 4):

$$\boldsymbol{a}_{m} = \underset{\boldsymbol{a},\beta}{\operatorname{arg\,min}} \sum_{i=1}^{N} [\widetilde{y}_{i} - \beta h(x_{i}; \boldsymbol{a})]^{2}$$
(3)

where  $a_m$  is the parameters obtained at iteration m,  $\beta$  is the weight value, also called expansion coefficient, of each weak learner. Each regression tree is fitted to the current negative gradient. Subsequently, the optimal length  $\rho_m$  is determined at step 5 and the model  $F_m(x)$  is updated at step 6, at each iteration m, with m = 1, ..., M. The Gradient boosting algorithm is formalized by Algorithm 1 proposed in Friedman (2001).

#### **Algorithm 1: Gradient boosting**

1. 
$$F_0(x) = \arg\min_{\rho} \sum_{i=1}^{N} L(y_i, \rho)$$

2. For m = 1 to M do;

3. 
$$\tilde{y}_i = -\left[\frac{\partial L(y_i, F(x_i))}{\partial F(x_i)}\right]_{F(x) = F_{x_i}(x)}, i = 1, ..., N$$

4. 
$$\boldsymbol{a}_m = \underset{\boldsymbol{a},\beta}{\operatorname{arg min}} \sum_{i=1}^{N} [\tilde{y}_i - \beta h(x_i; \boldsymbol{a})]^2$$

5. 
$$\rho_m = \arg\min_{\rho} \sum_{i=1}^{N} L(y_i - F_{m-1}(x_i) + \rho h(x_i; \boldsymbol{a}_m))$$

6. 
$$F_m(x) = F_{m-1}(x) + \rho_m h(x; \boldsymbol{a}_m)$$

#### 7. End for

End algorithm

#### 3.2. Gradient boosting regression tree algorithm

Classification and regression trees (CARTs) are proposed by Breiman et al. in 1984 (Breiman et al., 1984). CARTs can be used for both classification and regression models (Prasad et al., 2006; Ding et al., 2016b; Li et al., 2010). The trees used in these two models are called decision trees and decision trees generation is the use of recursive methods to generate binary trees. Since we are studying energy consumption forecasting, we mainly review

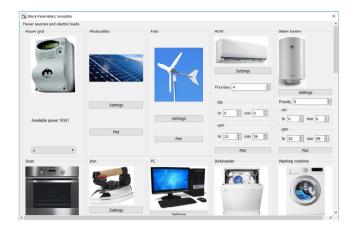


Fig. 3. The GUI panel of the Simulink model.

the algorithm that uses the square error minimization criterion to generate regression trees.

The GBRT algorithm that is the combination of the CART algorithm and the GB algorithm proposed by He et al. (2013) is recalled. It is remarked that, the CART has better performance in prediction compared with most artificial intelligence model (He et al., 2013) because it can model nonlinear relationships without requiring prior information about the probability distribution of variables. As we previously stated, the gradient boosting algorithm integrates weak learners into strong learners. In this study, we use regression trees as weak learners that are generated by CART algorithm. The weak learners are added to the model to correct the prediction errors made by previous models in order to further reduce the prediction error and improve the accuracy of the model.

The GBRT Algorithm 2 is formalized as follows.

#### Algorithm 2: GBRT

1. 
$$F_0(x) = \underset{c}{\arg\min} \sum_{i=1}^{N} L(y_i, c)$$

2. For m = 1 to M do;

3. 
$$r_{m,i} = -\left[\frac{\partial L(y_i, F(x_i))}{\partial F(x)}\right]_{F(x) = F_{m,i}(x)}, i = 1,...,N$$

4. 
$$c_{m,j} = \arg\min_{c} \sum_{x_i \in R_{m,j}} L(y_i, F_{m-1}(x) + c)$$

5. 
$$F_m(x) = F_{m-1}(x) + \sum_{j=1}^{J_m} c_{m,j} I(x \in R_{m,j})$$

6. 
$$F_M(x) = F_0(x) + \sum_{m=1}^{M} \sum_{i=1}^{J_m} c_{m,j} I(x \in R_{m,j})$$

7. End for

End algorithm

The GBRT algorithm starts by setting the initial value of  $F_0(x)$  according to the following equation (step 1):

$$F_0(x) = \arg\min_{c} \sum_{i=1}^{N} L(y_i, c)$$
 (4)

where L(.) is a loss function. To calculate the value of the negative gradient of the loss function in the current model as the residual

approximation at iteration m, with m = 1, ..., M, the following equation is introduced (step 3):

$$r_{m,i} = -\left[\frac{\partial L(y_i, F(x_i))}{\partial F(x)}\right]_{F(x) = F_{m-1}(x)}, \quad i = 1, \dots, N.$$
 (5)

The number of splits is assumed to be  $J_m$  for each regression tree and, therefore, each tree partitions the input space into  $J_m$  disjoint regions  $R_{m,1}, \ldots, R_{m,Jm}$  and predicts a value  $c_{m,j}$  for region  $R_{m,j}$ . The value of  $c_{m,j}$  can be obtained by minimizing the following equation (step 4):

$$c_{m,j} = \arg\min_{c} \sum_{x_i \in R_{m,j}} L(y_i, F_{m-1}(x) + c).$$
 (6)

The mth regression tree  $F_m(x)$ , i.e, the updated model, whose corresponding leaf node area is  $R_{m,j}$ ,  $j=1,2,...,J_m$ , can be obtained as follows (step 5):

$$F_m(x) = F_{m-1}(x) + \sum_{i=1}^{J_m} c_{m,i} I(x \in R_{m,i}).$$
 (7)

Where I = 1 if  $x \in R_{m,j}$  and I = 0 otherwise (Bevilacqua et al., 2003). Moreover,  $J_m$  represents the number of leaf nodes of the mth regression tree. Finally, the model is updated at step 6.

#### 3.3. The hybrid model ARIMA-GBRT

In this section, the proposed hybrid ARIMA-GBRT algorithm model is introduced, which is mainly compared with the hybrid ARIMA-RNN algorithm model presented in Madan and Mangipudi (2018) to verify the performance. Auto regressive (AR) is a model that describes the relationship between the current value and the historical value proposed by Yule (1926), and it can be represented by Eq. (8). Moving average (MA) model focuses on the accumulation of error terms in the autoregressive model which proposed by Slutzky (1937) and it can effectively eliminate random fluctuations in prediction and express in Eq. (9). Subsequently Box and Jenkins combined the AR model and MA model and introduced integrated method to propose ARIMA in Box and Jenkins (1976), where the letter 'I', between AR and MA, stood for the 'Integrated' and reflected the need for differencing to make the series stationary. The model is described by the following equations:

$$y_{t} = \mu + \sum_{i=1}^{p} \gamma_{i} y_{t-i} + \omega_{i}$$
 (8)

$$y_t = \mu + \omega_t + \sum_{i=1}^q \theta_i \omega_{t-i}$$
 (9)

$$y_{t} = \mu + \sum_{i=1}^{p} \gamma_{i} y_{t-i} + \omega_{t} + \sum_{j=1}^{q} \theta_{j} \omega_{t-j}$$
 (10)

The meaning of the variables is specified as follows:

 $y_t$  current value

 $\omega_t$  random error term

 $\mu$  constant

 $\gamma_i$  auto regressive parameters for i = 1, 2, ..., p

 $\theta_j$  moving average parameters for j = 1, 2, ..., q

p order for the differenced series

*q* order for the white noise series.

The ARIMA model is a linear regression, which uses its own historical data to perform regression. It is suitable for the internal and stable correlation between the data itself. It is widely used in time series forecasting problems and has achieved good forecast results. In the data processing, some data may have a certain linear relationship, and some may have a nonlinear relationship.

It may obtain better results to analyze the data by using a hybrid algorithm. Hybrid algorithms mainly combine the advantages of different algorithms to process corresponding data.

When performing hybrid model to predict the task, first it is necessary to divide the data into two parts: linear data and nonlinear data. Using the ARIMA model to predict linear data and for the nonlinear data can be predicted by GBRT model. Moreover, the prediction results of the two models are extracted as the prediction result. We mainly use the following three indicators, namely Root Mean Square Error (RMSE), Mean Absolute Error (MAE) and Mean Absolute Percentage Error (MAPE), to compare the performance of the models:

$$RMSE = \sqrt{\frac{\sum_{i=1}^{n} (Y_i - \hat{Y}_i)^2}{n}}$$
 (11)

$$MAE = \frac{\sum_{i=1}^{n} |Y_i - \hat{Y}_i|}{n}$$
 (12)

$$MAE = \frac{\sum_{i=1}^{n} |Y_i - \hat{Y}_i|}{n}$$

$$MAPE = \frac{1}{n} \frac{\sum_{i=1}^{n} (Y_i - \hat{Y}_i)^2}{Y_i}$$
(12)

where  $Y_i$  is the actual measurement,  $\hat{Y}_i$  is the predicted value; n is the number of measurements.

#### 4. Experimental study

In this section the proposed prediction model is simulated and validated for electrical energy consumption. From the literature analysis it is known that some researchers use the SVM algorithm model to predict electrical energy consumption and have achieved good prediction results. In other contributions, some researchers use a hybrid algorithm model to predict electrical energy consumption. As we introduce previous, the researchers mainly want to combine the advantages of different algorithms to achieve good prediction results. To this purpose, we first compare the prediction performance between the GBRT model used with the SVM model that are presented in Dong et al. (2005). Then, we compare the prediction performance of the ARIMA-GBRT hybrid model and the ARIMA-RNN hybrid model. The data set of energy consumption is generated by simulating the use of HVAC, water heater, iron, electric oven, PC, dishwasher, washing machine, hair dryer, TV, dimmable and fluorescent lamps. The technical parameters of the appliances are described in Appendix. In addition, 20 daily operating schedules are applied to each day of the week in order to get the average aggregate consumption per day.

#### 4.1. Validation for energy consumption prediction

Before conducting the experiment, we normalize the experimental data. First, electrical energy consumption data are selected for one day every 15 s for experimental verification. Fig. 4 shows the results of the electrical energy consumption predicted by the SVM algorithm model and Fig. 5 shows the electrical energy consumption results predicted by the GBRT algorithm model. We can intuitively find that the model prediction effect of the GBRT algorithm is better than the model prediction performance of the SVM algorithm. Through the specific prediction numerical calculation, the performance index RMSE predicted by the GBRT algorithm model is 45.58% lower than that of the SVM algorithm model.

Then, the prediction performance of the hybrid algorithm model ARIMA-GBRT is compared with ARIMA-RNN. Figs. 6 and 7 are the electrical energy consumption prediction results of the ARIMA-GBRT algorithm model and the ARIMA-RNN algorithm model, respectively. We clearly see that the ARIMA-GBRT algorithm model has better electrical energy consumption prediction

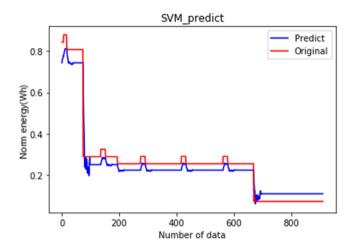


Fig. 4. The electrical energy consumption prediction with the SVM algorithm.

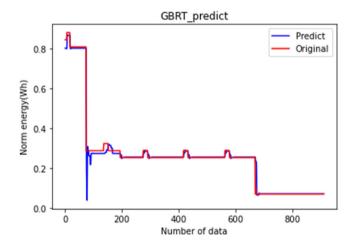


Fig. 5. The electrical energy consumption prediction with the GBRT algorithm.

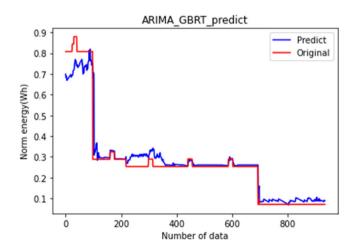
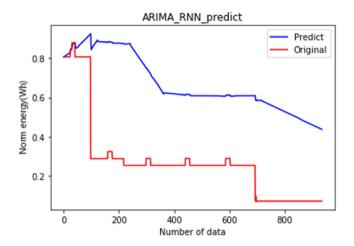


Fig. 6. The electrical energy consumption prediction with the ARIMA-GBRT algorithm.

performance than the ARIMA-RNN algorithm model. The performance index RMSE predicted by the ARIMA-GBRT algorithm model is 12.22% lower than that of the ARIMA-RNN algorithm model.



**Fig. 7.** The electrical energy consumption prediction with the ARIMA-RNN algorithm.

#### 4.2. Comparison with prediction models

In order to further illustrate the performance of the proposed algorithm, we perform a comparison with other prediction models. We use the electrical energy consumption data for the first 20 h as the training set, and the data for the next 4 h as the test set to verify the prediction performance of different algorithm models, Fig. 8 shows the electrical energy consumption prediction results of different algorithm models. To use more data to compare the performance of different algorithm models, then use the same experimental method for the electrical energy consumption data from the first day to the seventh day. We mainly selected three performance indexes of RMSE, MAE and MAPE to compare the performance of different algorithm models. Fig. 9 shows the comparison results of three performance indicators RMSE, MAE and MAPE on the training dataset by different algorithm models. Fig. 10 shows the comparison results of three performance indicators RMSE, MAE and MAPE on the testing set by different algorithm models. From Fig. 9 and Fig. 10, we can find that the three performance indicators achieved by the GBRT algorithm model are the best. Table 1 shows that the percentage of the RMSE values obtained by the GBRT prediction model are lower than the corresponding values obtained by other prediction models in the range of 1.45% to 94.60% on the training data and in the range of 1.42% to 96.30% on the testing data, respectively. In addition, Table 2 reports the MAE values showing that the performance of the GBRT prediction model is worse than the hybrid model ARIMA-GBRT model on few training data and testing data. The hybrid model ARIMA-GBRT has better performance than ARIMA-RNN. On some data sets, the hybrid model ARIMA-GBRT has a slightly worse performance than the SVM model.

Through a set of experimental verifications, we find that the GBRT algorithm model and the ARIMA-GBRT model are better than the other commonly used algorithm models in the electrical energy consumption prediction. Next, we study to predict the electrical energy consumption data of the seventh day based on the electrical energy consumption data of the previous six days. We also use the data from the first six days as the training set and the data on the seventh day as the test set. Fig. 11 shows the performance index values of *RMSE*, *MAE* and *MAPE* obtained by using different algorithm models on the training data and testing data. The experimental results show that the performance index parameters *RMSE* obtained by the GBRT algorithm model lower is smaller than those of other prediction models. The RNN algorithm

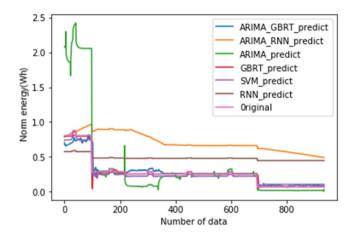


Fig. 8. Electrical energy consumption prediction results of different algorithm models

**Table 1**The percentages (%) of *RMSE* obtained by the GBRT prediction model are lower than ones obtained by other prediction models on the training data and testing data

RNN SVM ARIMA ARIMA-GBRT Training data  day1 91.76 39.76 84.51 28.47 day2 23.29 33.73 83.16 25.33 day3 93.05 33.43 83.08 24.75 day4 59.26 45.58 39.80 1.01 day5 94.36 41.06 75.17 50.00 day6 94.60 42.61 58.08 49.57 day7 79.48 6.77 1.45 1.56  Testing Data	88.28
day2     23.29     33.73     83.16     25.33       day3     93.05     33.43     83.08     24.75       day4     59.26     45.58     39.80     1.01       day5     94.36     41.06     75.17     50.00       day6     94.60     42.61     58.08     49.57       day7     79.48     6.77     1.45     1.56	
day3     93.05     33.43     83.08     24.75       day4     59.26     45.58     39.80     1.01       day5     94.36     41.06     75.17     50.00       day6     94.60     42.61     58.08     49.57       day7     79.48     6.77     1.45     1.56	0176
day4     59.26     45.58     39.80     1.01       day5     94.36     41.06     75.17     50.00       day6     94.60     42.61     58.08     49.57       day7     79.48     6.77     1.45     1.56	81.76
day5     94.36     41.06     75.17     50.00       day6     94.60     42.61     58.08     49.57       day7     79.48     6.77     1.45     1.56	94.09
day6     94.60     42.61     58.08     49.57       day7     79.48     6.77     1.45     1.56	11.25
day7 79.48 6.77 1.45 1.56	93.98
•	94.14
Testing Data	68.81
day1 91.67 37.57 85.42 31.27	90.56
day2 5.74 18.42 80.72 65.43	79.78
day3 91.33 16.96 87.36 78.27	92.97
day4 57.76 44.66 96.30 67.53	71.28
day5 94.12 38.91 93.55 83.26	94.61
day6 94.49 44.36 64.49 69.40	93.95
day7 79.73 7.01 1.75 1.42	84.71

**Table 2**The percentages (%) of *MAE* obtained by the GBRT prediction model are lower than ones obtained by prediction models on the training data and testing data.

than ones	obtained	by prediction	models on	the training data	and testing data.			
	RNN	SVM	ARIMA	ARIMA-GBRT	ARIMA-RNN			
Training data								
day1	88.24	10.58	5.76	-32.44	83.42			
day2	6.01	10.88	38.12	4.97	81.02			
day3	93.85	3.68	34.17	-1.10	95.06			
day4	80.39	69.52	-63.75	25.23	36.25			
day5	98.18	79.21	76.49	71.58	98.03			
day6	97.97	74.11	82.30	64.76	97.86			
day7	83.75	35.34	20.39	7.69	72.62			
Testing Data								
day1	88.74	-26.07	45.78	62.26	82.92			
day2	9.73	12.11	82.85	75.48	83.88			
day3	93.51	-1.53	81.75	71.22	94.27			
day4	78.68	67.59	81.62	69.88	75.46			
day5	98.20	79.53	95.60	91.24	98.18			
day6	97.79	72.76	80.53	76.05	97.46			
day7	83.28	32.48	30.26	-38.87	68.79			

has lower value of *MAE* than that of GBRT algorithm. Experimental results also show that the prediction performance of the ARIMA-GBRT hybrid model is better compared with the ARIMA-RNN hybrid model. Finally, Table 3 shows that the percentage of the *RMSE* and *MAE* values obtained by the GBRT model are lower

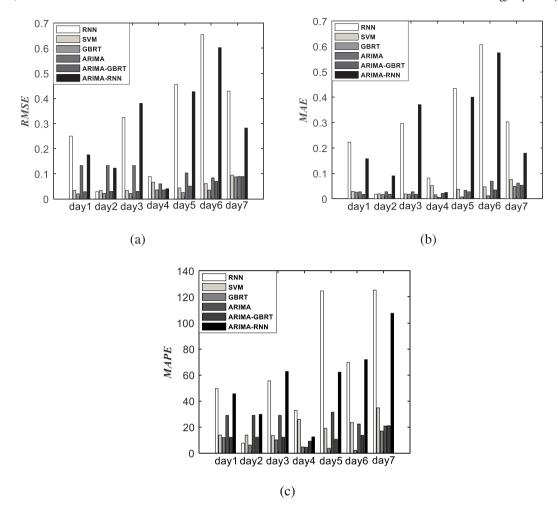


Fig. 9. Performance indexes obtained by different algorithm models on the training set, (a) RMSE, (b) MAE, (c) MAPE.

Table 3 The percentage (%) of the RMSE and MAE values of the GBRT prediction model are lower than ones of other prediction models on the training data and testing data.

	Training data		Testing data	
	RMSE	MAE	RMSE	MAE
RNN	1.51	-27.33	1.48	-29.47
SVM	71.18	89.27	70.54	89.49
ARIMA	92.72	86.57	92.90	87.40
ARIMA-GBRT	35.56	50.75	91.41	96.18
ARIMA-RNN	40.58	69.19	92.12	96.51

than ones obtained by other prediction models, after 20 repeated experiments.

#### 5. Conclusions

This paper simulates and predicts the electrical energy consumption of buildings and analyzes the electrical energy consumption data by using new algorithm models. Moreover, the proposed hybrid ARIMA-GBRT model and GBRT model are compared with other prediction models presented in the related literature. The experimental results show that the prediction model we use has a better performance than others. Indeed, the analysis of the results shows the lower values of the indices *RMSE* and *MAE*, by indicating that the forecasting performance of the proposed models is more accurate. Moreover, the presented prediction model has higher accuracy and computational speed.

The proposed models to forecast the electrical energy consumption is useful for designing the building HVAC systems by accurately estimating the electric energy consumption and suitably allocating energy in an optimal way. In addition, the predicting models can be applied to optimize the cost of electrical energy consumption in the buildings.

Further research study will deal with a long-term forecasting strategy by using the GBRT algorithm.

#### **CRediT authorship contribution statement**

**Peng Nie:** Conception and design of study, Analysis and/or interpretation of data, Drafting the manuscript. **Michele Roccotelli:** Conception and design of study, Acquisition of data, Drafting the manuscript. **Maria Pia Fanti:** Conception and design of study, Revising the manuscript critically for important intellectual content. **Zhengfeng Ming:** Conception and design of study, Revising the manuscript critically for important intellectual content. **Zhiwu Li:** Conception and design of study.

#### **Declaration of competing interest**

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

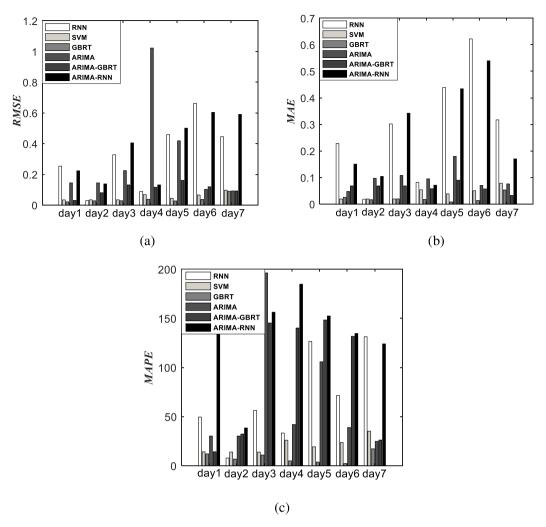


Fig. 10. Performance indexes obtained by different algorithm models on the testing set:(a) RMSE, (b) MAE, (c) MAPE.

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#### Appendix

The parameters of the building appliances set up for the experimentations of Section 4 are reported in the following list

#### 1. HVAC

Cooling power: 7000W
Heating power: 8000W
Energy Efficiency Ratio: 3.6
Coefficient of performance: 3.2
Set-point temperature: 22 °C
Outdoor mean temperature: 10 °C

### 2. Water heater

Electric power: 1500W
Set-point temperature: 50 °C
Cold water temperature: 20 °C
Thermostat tolerance: 2 °C

#### 3. Iron

Electric power: 1300W
Set-point temperature: 140 °C
Thermostat tolerance: 10 °C

#### 4. Electric oven

Electric power: 2000W
Set-point temperature: 180 °C
Thermostat tolerance: 10 °C

#### 5. PC

• Electric power: 100W

#### 6. Dishwasher

• Electric power: 1950W

Working programs: eco, light, classic, intense (max consumption)

# 7. Washing machine

• Electric power: 1950W

 Working programs: white, eco, synthetics, delicates, wool, centrifuge

#### 8. Hair dryer

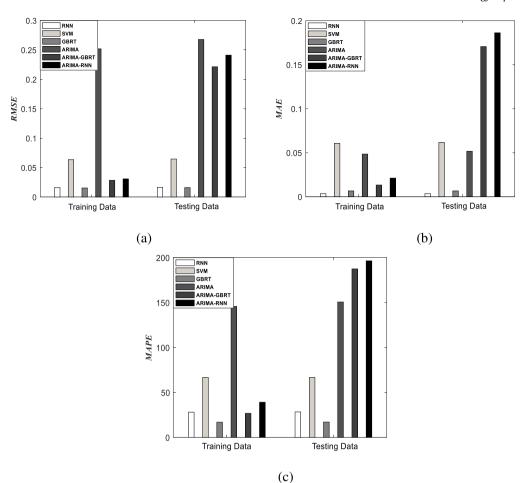


Fig. 11. The performance index obtained on training data and testing data by using different prediction models:(a) RMSE, (b) MAE, (c) MAPE.

Electric power: 1950WAir velocity: 0, 1, 2 (max)Hot intensity: 0, 1, 2 (max)

#### 9. Dimmable lamps

• Source voltage: 0-220V (220V default)

#### 10. Fluorescent lamps

• Number of lamps: 3

Mean electric power: 30.6WMean electric current: 0.39A

#### 11. TV

• Electric power: 120W

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