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**NOVEL, COST-EFFECTIVE CONFIGURATIONS OF COMBINED POWER PLANTS FOR SMALL-SCALE
COGENERATION FROM BIOMASS: FEASIBILITY STUDY AND PERFORMANCE OPTIMIZATION**

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Abstract

The aim of this paper is to demonstrate that, thanks to recent advances in designing micro steam expanders and gas to gas heat exchangers, the use of small combined cycles for simultaneous generation of heat and power from the external combustion of solid biomass and low quality biofuels is feasible. In particular, a novel typology of combined cycle that has the potential both to be cost-effective and to achieve a high level of efficiency is presented. In the small combined cycle proposed, a commercially available micro-steam turbine is utilized as the steam expander of the bottoming cycle, while the conventional microturbine of the topping cycle is replaced by a cheaper automotive turbocharger. The feasibility, reliability and availability of the required mechanical and thermal components are thoroughly investigated. In order to explore the potential of such a novel typology of power plant, an optimization procedure, based on a genetic algorithm combined with a computing code, is utilized to analyze the trade-off between the maximization of the electrical efficiency and the maximization of the thermal efficiency. Two design optimizations are performed: the first one makes use of the innovative “Immersed Particle Heat Exchanger”, whilst a nickel alloy heat exchanger is used in the other one. After selecting the optimum combination of the design parameters, the operation in load following mode is also assessed for both configurations.

Keywords

Combined heat and power, biomass, combined cycle, gas to gas heat exchanger, cogeneration

Nomenclature

c_p	Specific heat at constant pressure	$[J/(kg\ K)]$
G_a	Air mass flow rate	$[kg/s]$
G_s	Steam mass flow rate	$[kg/s]$
G_b	Fuel mass flow rate	$[kg/s]$
h	Specific Enthalpy	$[J/kg]$
h_b	Initial specific enthalpy of the fuel	$[J/kg]$
p	Pressure	$[bar]$
P_{el}	Total electrical power	$[kW]$
P_{th}	Total useful thermal power	$[kW]$
T	Temperature	$[K]$
ΔT_{pp}	ΔT at pinch point	$[K]$

β	Air compression ratio
ε	Heat exchanger efficiency
η_b	Combustor efficiency
η_{el}	Overall electrical efficiency
η_{is}	Isentropic efficiency
η_m	Mechanical efficiency
η_{th}	Thermal efficiency
η_{tot}	Total efficiency
x_s	Dryness fraction of steam
Acronyms	
CHP	Combined heat and power
HRSG	Heat recovery steam generator
IPHE	Immersed particle heat exchanger
LHV	Lower heating value
MOGAI	Multi objective genetic algorithm II
NAHE	Nickel alloy heat exchanger
ORC	Organic Rankine Cycle
PES	Primary Energy Saving
RPM	Revolutions per minute
Subscripts	
1	Compressor inlet
2	Compressor outlet
3	Gas turbine inlet
4	Gas turbine outlet
5	Combustor exit
6	HRSG inlet (flue gas)
7	Exhaust
bot	Bottoming cycle
c	Compressor
E	Steam expander inlet
e	Expander
K	Water inlet in the HRSG
F	Steam expander outlet
pp	Pinch point in the HRSG
sw	Saturated water in the HRSG
t	Turbine
top	Topping cycle

41

42 1. Introduction

43 Among renewable energy resources, biomass is largely available worldwide and is considered the one
44 with the highest potential impact on the energy development [1]. A wider exploitation of biomass along
45 with ever-increasing improvements in the capture and storage of carbon emitted by fossil fuels
46 combustion plants (see, e.g. the membrane reactor analysed in [2]) can play a key role in preventing

47 global warming. Biomass can be used either directly as solid fuel feeding power plants or indirectly after
48 conversion into a secondary form of energy (e.g. syngas and biogas) by using air, oxygen and/or steam
49 [3]. Because of its lower heating value and difficulties related to collection systems, packaging, transport
50 and storage systems, biomass is best suited to small-scale power plants, where the electricity generation
51 is coupled with the production of useful heat in order to compensate for the low electrical efficiency
52 (typical of small power plants fed by biomass), thus increasing the total efficiency [4].

53 In addition to increasing eco-efficiency, such Combined Heat and Power (CHP) units for decentralized
54 power generation eliminate the inefficiency of power transmission and distribution typical of centralized
55 energy systems. Small-scale CHP systems with electrical power less than 100 kW_e are also particularly
56 suitable for commercial buildings, hospitals, industrial premises, schools, office building, dwelling
57 houses [5]. The demand for biomass in a small CHP plant can easily be satisfied by materials from
58 surrounding areas, e.g. by exploiting agricultural and forestry residues, by-products of the food industry,
59 residues from wood processing. Examples of how forest and agricultural biomass can be exploited
60 profitably for small-scale energy production are provided in [6].

61 Despite all the potential benefits, the employment of CHP plants fed by biomass has not been so
62 widespread as expected in those countries having large availability of biomass: apart from the above-
63 mentioned difficulties in transport and storage systems along with uncertainties in feedstock availability
64 and prices, this can be attributed to the high capital costs and long payback periods as well as the low
65 ratio of electrical power to thermal power of typical CHP plants [7]. For instance, the maximum values
66 of electrical efficiency attained by small-scale biomass-fired Organic Rankine Cycle (ORC) systems
67 (the leader technology for CHP generation from biomass) are lower than 20%, as reported in [8]. In
68 contrast, CHP plants should be capable of generating more electricity per unit of thermal energy
69 produced, in order to increase the economic feasibility of small-scale plant investments [5]. To reach
70 this target, current research works are primarily focused on both the optimization of ORC parameters
71 (see, e.g., the optimization study proposed in [9]) and the best selection of the available organic fluids

(see, e.g., the comparative analysis presented in [10]). Parametric investigations of ORC power plants have also been conducted using novel techno-economic approaches [11].

In this scenario, the aim of this paper is to demonstrate that, thanks to both novel cost-effective configurations proposed here and recent technological advances in designing gas to gas heat exchanger and micro steam expanders, the employment of small combined cycles as a valid alternative to ORC systems for CHP from biomass is feasible in the near future and can guarantee competitive thermodynamic performances. The direct use of solid biomass or low quality biofuels in gas turbines coupled with water steam cycles can be of great interest for a better exploitation of biomass, due to the simplicity, reliability and flexibility of this technical solution. Furthermore, the use of water steam is safe because it has no negative effects on the environment and is not toxic and explosive like some organic molecules; as a result, water steam can also be exhausted and used directly in technological processes or for district heating.

2. Methodology

2.1 Proposal of plant layouts for CHP from biomass

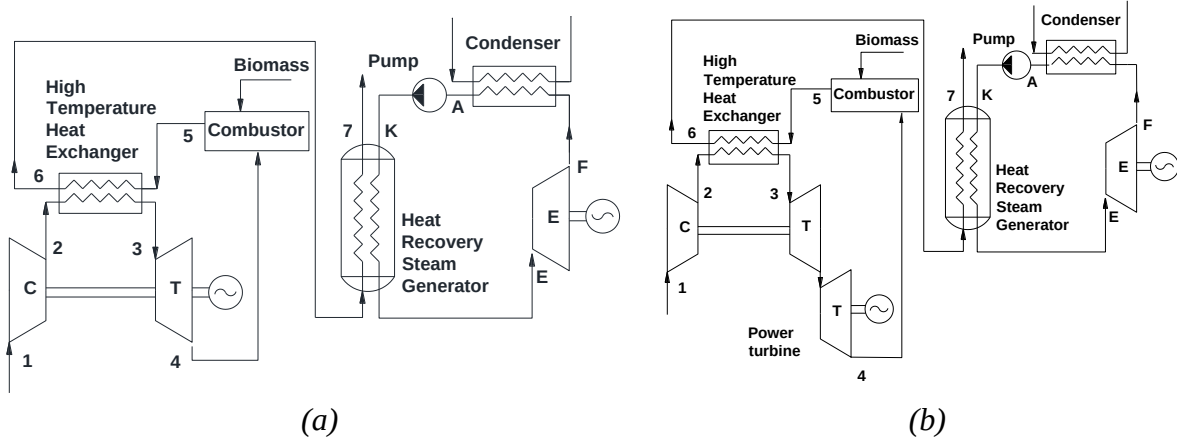
Figure 1 shows the two power plant layouts proposed for CHP from biomass. Both layouts are based on an externally-fired combined cycle: the open Joule Brayton cycle is utilized as the topping, high-temperature plant, and the Rankine cycle as the bottoming, low-temperature plant. The working fluid of the topping cycle is clean air; after being compressed, the air flows through the high temperature heat exchanger, which is necessary to transfer heat from the flue gases exiting the external combustor to the compressed air. In the first plant layout (Fig. 1a), the clean hot air expands in the turbine (T) moving the compressor (C) and the electric generator simultaneously to produce the electrical power of the topping cycle. In the other layout (Fig. 1b), the air expansion is divided into two stages by using two turbines: the high-pressure turbine drives the compressor, while the low-pressure turbine (the power turbine) moves the electric generator.

In both layouts, the hot air discharged from the turbine is conveyed into the external combustor chamber

97 to burn biomass.

98 The bottoming cycle allows the overall efficiency to be increased. As shown in Fig. 1a and Fig. 1b, the
 99 exhaust gases exiting the heat exchanger are delivered to a heat recovery steam generator (HRSG) to
 100 generate water steam, which can then expand through a steam expander moving the second electric
 101 generator. The steam is expanded to a certain level of back pressure depending on the needs of the
 102 thermal load, for instance the temperature of the hot water necessary for district heating. To allow the
 103 closed loop, the steam circuit also needs a condenser and a pumping system. Alternatively, the steam
 104 can be exhausted from the steam expander and directly used for technological purposes. In such a case,
 105 the bottoming plant does not need the condenser and can work in open cycle.

106 In order to greatly reduce the capital costs, a cheap turbocharger from the automotive industry is
 107 proposed to be used in place of the expensive microturbine, considering that automotive turbines are
 108 very suitable for this application because nowadays their blades are cast from nickel-alloys to allow high
 109 thermal resistance (900-950 °C). Apart from the coupling with the electric generator (which requires a
 110 re-design of the shaft and bearings), a turbocharger does not need other modifications to allow its
 111 implementation in the plant of Fig. 1a, so this cost-effective technology could successfully be used for
 112 serial production of turbocharger-like modules to be connected to electric generators.



113
 114
 115 *Figure 1. Plant layouts proposed: turbocharger coupled to the electric generator (a) and turbocharger*
 116 *in series with a downstream power turbine (b)*

117 The direct use of an existing turbocharger, without modifications, is possible in the plant layout of Fig.

118 1b, in which the shaft of the turbocharger is not coupled to the electric generator. This configuration can
119 give a few advantages during the adjustment of the load, because the high-pressure turbine and the
120 compressor can operate with a wide range of rotational speeds. Despite the use of the additional power
121 turbine, which is commercially available but introduces an additional cost, even this solution is more
122 cost-effective than a standard microturbine.

123 The biomass combustor can be chosen among available fluidized bed combustors or standard furnaces,
124 which are characterized by good efficiencies (around 90%) thanks to several improvements achieved in
125 the combustion process [12].

126 With regard to the high temperature heat exchanger, the scientific literature has not highlighted effective
127 gas to gas heat exchangers that are also capable of withstanding very high temperatures [13], therefore
128 the external combustion of solid biomass or low quality bio-fuels has never been performed in combined
129 power plants to date. To overcome this issue, an innovative ceramic exchanger, denominated the
130 Immersed Particle Heat Exchanger (IPHE), has been proposed by the authors and analysed by means of
131 numerical codes and experimental tests [14]. The IPHE is mainly composed of two ceramic columns
132 and employs ceramic particles as intermediate medium to absorb heat from the hot flue gases and to
133 release it to the working fluid (air) exiting the compressor in continuous mode (the particles are collected
134 and delivered back to the top of the plant by using a pneumatic conveyor). The results presented in a
135 previous work [15] showed that this heat exchanger, apart from its capability of withstanding very high
136 temperatures with negligible pressure drops, has the potential to reach an efficiency of 80 % without the
137 necessity of designing bulky columns. Further details about the architecture of the IPHE can be found
138 in [15].

139 A concrete alternative to the IPHE and ceramic heat exchangers is represented by more traditional heat
140 exchangers made of nickel alloys, which have very high levels of thermal resistance in comparison with
141 other metals; for this reason, nickel alloys are widely used for blades and disks of gas turbines. Among
142 nickel alloys, those based on nickel-chromium seem to be the most effective for this application, because

143 they also guarantee high corrosion resistance [16]. Concerning the cost, it would not be an issue, because
144 the heat exchanger would be very compact, with small size and reduced material content, considering
145 that the flow rates of air and flue gas are very low in this type of power plant. The disadvantage of using
146 a nickel alloy heat exchanger in place of the IPHE is the lower thermal resistance (900-950 °C for a
147 nickel alloy) and hence the reduced temperature at the gas turbine inlet, which in turn causes a substantial
148 reduction in the electrical efficiency of the topping cycle.

149 The steam expander of the bottoming cycle can be selected among recent models of micro-steam
150 turbines, which are more efficient than in the past. For example, the “Green steam turbine” is a
151 commercially available model whose cost amounts to a few thousand Euros and that is capable of
152 generating a maximum electrical power of 15 kW_e, with a maximum pressure of 10 bar and a maximum
153 temperature of 225 °C [17]. Using the data provided by the manufacturer, the isentropic efficiency can
154 be estimated to be equal to about 50%, which represents a high level of performance despite the very
155 low electrical power.

156 Screw expanders, whose technology is quite mature and has many applications (e.g. ORC cycles and
157 geothermal energy systems), represent an alternative to steam turbines. The peculiar characteristics are
158 the low rotational speed and their ability to operate with large pressure ratios and with wet steam [18].
159 However, despite manufacturers declare that such motors can operate efficiently even with water steam
160 as working fluid, precise values of the isentropic efficiency are not available in such a case. The effect
161 of a large formation of droplets on the efficiency is not documented as well.

162 2.2 Optimization process

163 The performance of the proposed small combined-cycle was investigated by means of an optimization
164 procedure based on a genetic algorithm coupled with a calculation code; particularly, two possible
165 configurations have been considered. The two configurations are equal except for the gas to gas heat
166 exchanger: the first one employs the IPHE, while the other one employs a NAHE. For both cases, the
167 plant layout is the same as Fig.1a, with steam being expanded to 1 bar in order to allow high temperature

168 heat recovery from its condensation (100 °C). Furthermore, the turbocharger Garrett GTX5518R [19]
 169 was selected because it is appropriate to achieving an electrical power of about 100 kW, given the values
 170 of flow rate, pressure ratio and maximum temperature allowed by the turbine material (nickel alloy).
 171 The “Green steam turbine” [17], by virtue of its high efficiency and low cost, was chosen as the steam
 172 expander of the bottoming cycle. The steam must be superheated to avoid pitting and corrosion, thus
 173 preserving the integrity of the turbine.

174 Five design parameters, namely the parameters whose values have to be optimized, were selected: the
 175 mass flow rate of air, G_a , the pressure ratio of the compressor, β , the temperature and pressure of steam
 176 at the inlet of the steam turbine, T_E and p_E (see Fig. 1 for the meaning of the subscripts), and the efficiency
 177 of the heat exchanger, ε .

178 These parameters were allowed to vary within quite a large design space, as reported in Table 1.

DESIGN PARAMETER	LOWER BOUND	UPPER BOUND	STEP
Mass flow rate of air, G_a (kg/sec)	0.3780 (50 lb/min)	1.134 (150 lb/min)	0.03780 (5 lb/min)
Pressure ratio (turbocharger), β	2.2	3.5	0.1
Steam expander inlet temperature, T_E (°C)	150	225	5
Steam expander inlet pressure, p_E (bar)	5	10	0.1
Efficiency of the heat exchanger, ε	0.5	0.8	0.01

179 *Table 1 – Design parameters for both configurations*

180 The upper bounds of T_E and p_E result from the limits of temperature and pressure of the “Green steam
 181 turbine”. The lower and upper bounds of G_a and β are consistent with the maps of the turbocharger. The
 182 maximum efficiency of the heat exchanger was assumed equal to 0.8, in order to avoid an extreme design
 183 of this component for higher values of the efficiency [15].

184 With regard to the choice of the objective functions, the electrical efficiency, η_{el} , and the thermal
 185 efficiency, η_{th} , were selected as the objectives to be maximized by the optimization algorithm. The
 186 choice of maximizing η_{th} and η_{el} rather than maximizing only one parameter, e.g. the overall efficiency
 187 ($\eta_{tot} = \eta_{th} + \eta_{el}$) or the Primary Energy Savings (PES), was made because this bi-objective strategy

allows for analysing the trade-off between the maximization of the electrical efficiency and the maximization of the thermal efficiency, so as to explore the potential of the proposed configurations in detail.

The search for the best combination of the design parameters cannot be carried out regardless of its technological feasibility; for this reason, an individual was considered unfeasible and consequently discarded by the optimization algorithm if the following constraints were not satisfied:

- 1) the dryness fraction of steam, x_s , at the exit of the steam turbine must be higher than 95% to avoid the formation of a large quantity of droplets inside the expander;
- 2) the temperature of the exhaust gas exiting the HRSG, T_7 , must be higher than 150 °C to avoid the formation of acid rain caused by the sulphur content of biomass, typically 0.1 - 1%;
- 3I) the temperature of the air at the gas turbine inlet, T_3 , must be lower than 900 °C in the configuration with the IPHE to preserve the integrity of the turbine (configuration I);
- 3II) the temperature of the flue gases at the exit of the external combustor, T_5 , must be lower than 900°C in the configuration with the NAHE to preserve the integrity of the heat exchanger (configuration II).

A summary of the constraints and objectives employed for the two optimization designs is reported in Table 2 for completeness.

Optimization	Objectives	Constraint 1	Constraint 2	Constraint 3
Configuration I (IPHE)	Maximize η_{th} and η_{el}	$x_s > 95\%$	$T_7 > 150\text{ °C}$	$T_3 < 900\text{ °C}$
Configuration II (NAHE)	Maximize η_{th} and η_{el}	$x_s > 95\%$	$T_7 > 150\text{ °C}$	$T_5 < 900\text{ °C}$

Table 2 – Objectives and constraints

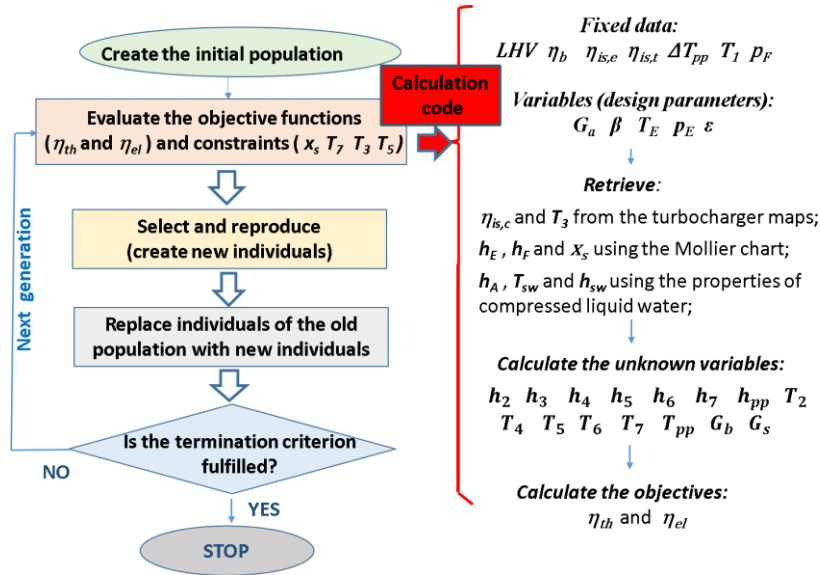


Figure 2. Flow chart of the optimization process, where the symbols refer to Fig. 1a

The optimization process consisted of a sequential process implemented in the modeFRONTIER software, in which a calculation code was coupled with an effective genetic algorithm, MOGAI [20]. Unlike gradient based methods (see, e.g., an efficient gradient based optimization proposed in [21]), a genetic algorithm is based on the evaluation of a set of individuals (population), which is varied at each iteration making use of operations of selection, cross-over, and random mutation to determine the set of the best individuals [20]. MOGA II has general validity and was successfully tested by the authors of this paper in [22] for the fluid dynamic design optimization of hydraulic proportional valves [23]. Further details regarding MOGAI and the optimization parameters (not reported here for brevity) can be found in [22]. The flow chart of the optimization process is reported in Figure 2. The process was stopped after 10000 individuals had been explored (termination criterion).

2.3 Thermodynamic modelling

A calculation code was implemented in the optimization process to calculate the objective functions (η_{th} and η_{el}) and the constraints (x_s , T_7 , T_3 , T_5) for each combination of the design parameters (see Fig. 2). Starting from the known values of the design parameters (G_a , β , T_E , p_E , ε), the code can calculate the enthalpy and temperature at each section of the plant (see Fig. 1a) as well as the mass flow rates of fuel (G_b) and steam (G_s) in order to evaluate the objectives and constraints. The calculation was performed

224 by assuming that the specific heat capacity at constant pressure (c_p) was a cubic function of temperature
 225 [24] (the four coefficients of the flue gases were assumed equal to the air coefficients); the maps of the
 226 turbocharger, the Mollier chart and the thermodynamic properties of water were implemented in the
 227 code, which also made use of the energy balances in the combustor, in the heat exchanger and in the
 228 HRSG. Denoting the efficiency of the combustion by η_b , the energy balance in the combustor is given
 229 by:

$$\eta_b G_b LHV + G_a h_4 + G_b h_b = (G_a + G_b) h_5 \quad (1)$$

230 where h_b is the initial enthalpy of the fuel, h_4 is the enthalpy of the air at the inlet of the combustor and
 231 h_5 is the enthalpy of the flue gases at the outlet of the combustor. With regard to the energy conservation
 232 in the heat exchanger (assumed adiabatic), the following equation holds:

$$G_a (h_3 - h_2) = (G_a + G_b) (h_5 - h_6) \quad (2)$$

233 with h_2 , h_3 being the air enthalpies at the inlet and outlet of the heat exchanger, respectively, and h_6
 234 being the enthalpy of the flue gases at the exit of the heat exchanger. The efficiency of the heat exchanger
 235 is in relation with the enthalpies through the following expression:

$$\varepsilon = \frac{(h_3 - h_2)}{(h_5 - h_2)} \quad (3)$$

236 Finally, the heat exchange between the flue gases and the water-steam in the HRSG can be expressed by
 237 equations 4 and 5:

$$G_s (h_E - h_{sw}) = (G_a + G_b) (h_6 - h_{pp}) \quad (4)$$

$$G_s (h_E - h_k) = (G_a + G_b) (h_6 - h_7) \quad (5)$$

238 where h_E , h_{sw} and h_k are the enthalpies of the superheated steam, saturated water and compressed water
 239 entering the HRSG, respectively; h_7 denotes the enthalpy of the flue gases exiting the HRSG; h_{pp} is the
 240 enthalpy of the flue gases at the pinch point, where the temperature of the flue gases (T_{pp}) is given by:

$$T_{pp} = T_{sw} + \Delta T_{pp} \quad (6)$$

241 with T_{sw} and ΔT_{pp} denoting the temperature of the saturated water and the pinch point temperature
 242 difference, respectively.

243 The objectives (η_{el} and η_{th}) were calculated as follows:

$$\eta_{el} = \frac{P_{el}}{G_b LHV} = \frac{P_{el,top} + P_{el,bot}}{G_b LHV} = \frac{G_a [\eta_{m,t} (h_3 - h_4) - \frac{(h_2 - h_1)}{\eta_{m,c}}] + \eta_{m,e} G_s (h_E - h_F)}{G_b LHV} \quad (7)$$

$$\eta_{th} = \frac{P_{th}}{G_b LHV} = \frac{G_s(h_F - h_A)}{G_b LHV} \quad (8)$$

where P_{el} , $P_{el,top}$, $P_{el,bot}$ and P_{th} are the overall electrical power, the electrical power of the topping cycle, the electrical power of the bottoming cycle and the useful thermal power, respectively; h is the specific enthalpy and subscripts 1, 2, 3, 4, E, F, A denote the corresponding sections of the plant shown in Fig.1a; $\eta_{m,c}$, $\eta_{m,t}$ and $\eta_{m,e}$ are the mechanical efficiencies of the compressor, turbine and expander, respectively. The assumptions reported in Table 3 were used for the calculations, specifically: the LHV of biomass, the back pressure of the steam turbine (p_F) and the pinch point temperature difference (ΔT_{pp}) were set equal to 19000 kJ/kg, 1 bar, and 15 K, respectively. In addition, the combustor efficiency (η_b) was assumed equal to 0.9 (according to the current technology) and the mechanical efficiencies of the compressor and the turbines ($\eta_{m,c}$, $\eta_{m,t}$ and $\eta_{m,e}$) were set equal to 0.98. The isentropic efficiencies of the expander ($\eta_{is,e}$) and the turbine of the turbocharger ($\eta_{is,t}$) were considered constant and equal to 0.5 (see Section 2.1) and 0.82 (data retrieved from the map of the turbine), respectively, because their variations in the design space are negligible. The ambient temperature (T_1) was supposed to be equal to 15°C and the pressure drop through the heat exchanger was considered negligible.

Setting	VALUE
c_p	$c_p(T) = a + b T + c T^2 + d$
LHV	19000 kJ/kg
p_F	1 bar
ΔT_{pp}	15 K
η_b	0.9
$\eta_{is,e}$	0.5
$\eta_{is,t}$	0.82
$\eta_{m,t} = \eta_{m,c} = \eta_{m,e}$	0.98
T_1	15 °C

Table 3 – Assumptions for the calculations

3. Results

3.1 Results of the optimization process

The charts of Figure 3 and Figure 4 display all the feasible individuals (combinations of the design parameters that respect the prescribed constraints) within the objective function space of Configuration

262 I (IPHE) and Configuration II (NAHE), respectively. Each feasible individual is plotted as a point at the
263 location specified by its values of η_{th} and η_{el} : the points are also coloured accordingly to the value of
264 the overall efficiency (blue represents lower values, red upper values). It is noteworthy that the feasible
265 points are uniformly distributed in the objective space, thus demonstrating the flexibility of both
266 configurations.

267 Among the feasible individuals, it is possible to observe the set of those individuals that are not
268 dominated by any others, namely the “*Pareto-front*”, which represents the limit beyond which the design
269 cannot further be improved. In Figures 3 and 4 some points are black marked: these points represent the
270 individuals that satisfy all the constraints but for which the electric power of the bottoming cycle is
271 greater than the maximum power of the “Green steam turbine” (15 kW). For these individuals, a micro-
272 steam turbine similar to the “Green steam turbine” but capable of generating more electrical power,
273 specifically up to 22 kW (the maximum steam power registered), must be selected among commercially
274 available turbines.

275 As expected, it is not possible to choose an individual belonging to the front that is capable of
276 maximizing η_{th} and η_{el} at the same time, because the maximization of η_{el} causes the reduction in η_{th} ,
277 and vice versa; as a result, the optimum must be chosen according to which form of energy must be
278 favoured and to what extent. If the final objective is the maximization of the overall efficiency, the
279 optimum individual must be the element of the Pareto front for which the sum of η_{th} and η_{el} is the
280 maximum possible.

281 As shown in the charts of Figures 3 and 4, the configuration with the NAHE has the potential to reach a
282 maximum electrical efficiency of about 0.22, while the configuration with the IPHE can attain a
283 maximum electrical efficiency of about 0.25: this improvement is due to the higher temperature at the
284 gas turbine inlet occurring when the IPHE is used. In both cases, the electrical efficiency is higher than
285 that of a typical biomass-fuelled ORC system, whose electrical efficiency is usually well below 0.2. This
286 is a very important achievement, because, as discussed earlier, it is important to design new CHP power

plants characterized by high values of electrical efficiency in order to increase the amount of electrical energy produced per total biomass energy input, thus allowing a better exploitation of biomass.

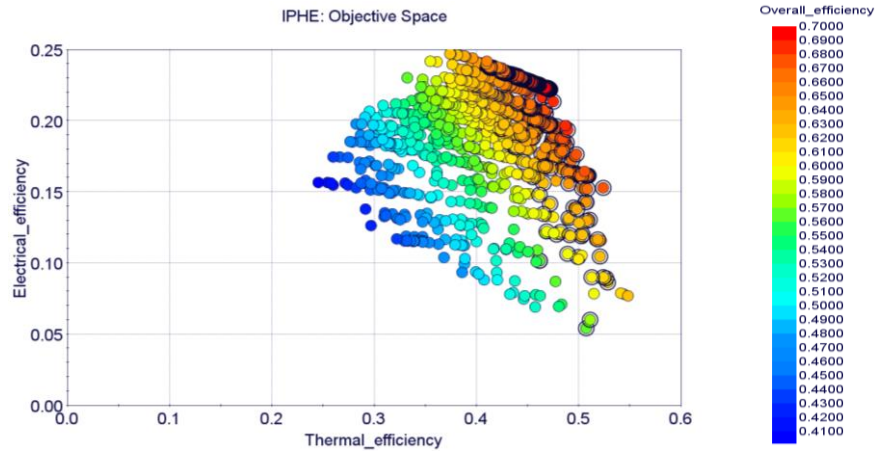


Figure 3. Objective space (feasible individuals), Configuration I (IPHE)

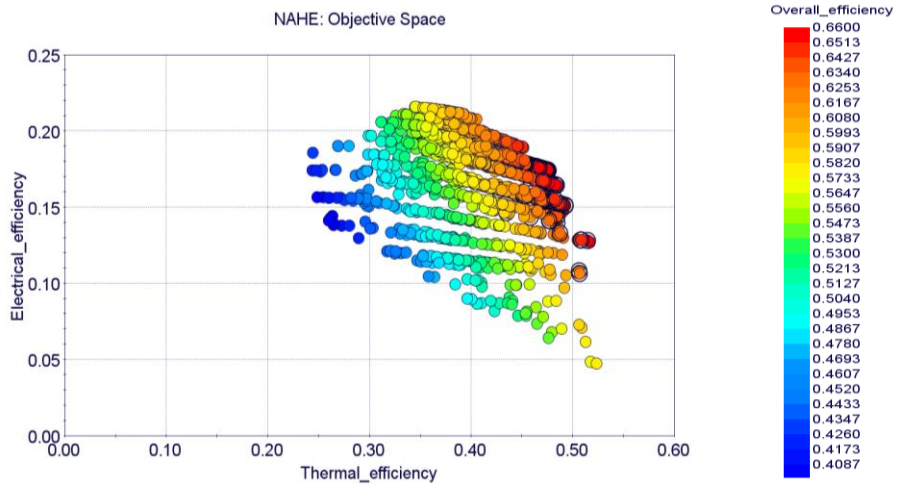
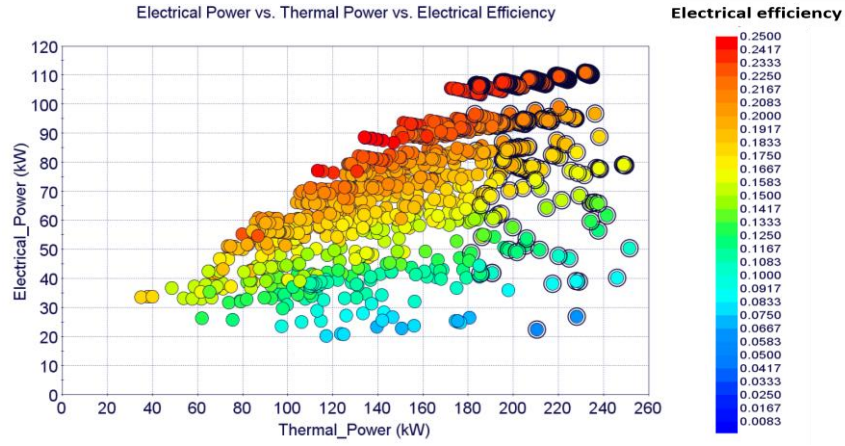


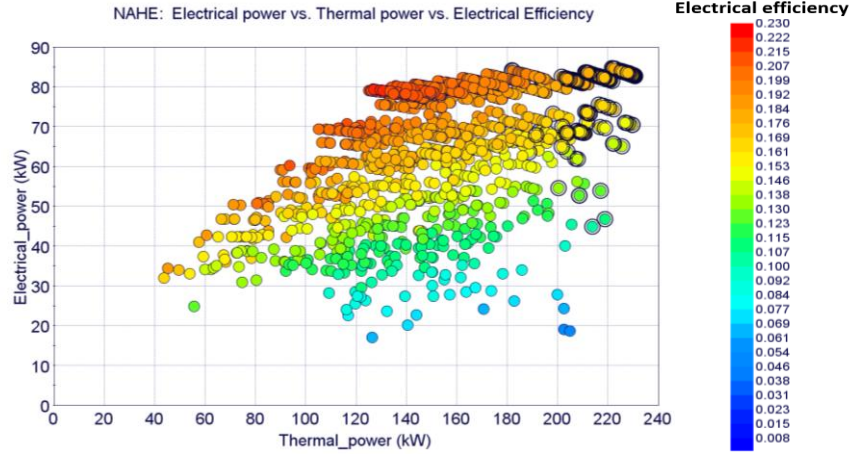
Figure 4. Objective space (feasible individuals), Configuration II (NAHE)

To provide a detailed analysis of the potential of the combined cycle proposed, Figures 5 and 6 report all the feasible individuals in the Electrical power (P_{el}) –Thermal power (P_{th}) Space of Configuration I (IPHE) and Configuration II (NAHE), respectively. The electrical efficiency is also indicated for each individual by means of a colour scale. From the comparison of Figure 5 and Figure 6, it results that, as expected, Configuration I has the potential to reach higher values of both P_{el} and P_{th} compared to Configuration II (this is due to the higher enthalpy at the gas turbine inlet allowed by the IPHE). In both cases, a large combination of P_{el} and P_{th} with high η_{el} (e.g. higher than 20%) is possible, thus

300 demonstrating the flexibility of both solutions once again.



301
302 Figure 5. *Electrical Power vs Thermal power vs Electrical Efficiency*
303 *(feasible individuals), Configuration I (IPHE)*



304
305 Figure 6. *Electrical Power vs Thermal power vs Electrical Efficiency*
306 *(feasible individuals), Configuration II (NAHE)*

307 Table 4 reports the design parameters, thermal parameters and efficiencies of the individuals
308 characterized by the highest values of η_{el} , η_{tot} and η_{th} for both configurations. The examination of the
309 design parameters of the two individuals characterized by the highest values of η_{el} (0.2471 for
310 Configuration I and 0.2160 for Configuration II) reveals that, in order to achieve this target, the optimizer
311 found two combinations of G_A and β for which T_3 and T_5 are very close to the maximum possible values
312 (see constraints 3 in Table 2); in addition, the efficiency of the heat exchanger was increased to the upper
313 bound (0.8) in order to maximize the efficiency of the topping cycle. The values of the pressure and

314 temperature of the superheated steam were set equal to the upper bounds (10 bar and 225 °C), so as to
315 optimize the efficiency of the bottoming cycle as well.

316 With regard to the individual having the maximum thermal efficiency, its value is 0.5479 for
317 Configuration I (IPHE) and 0.5233 for Configuration II (NAHE). However, the electrical efficiency is
318 too low in this case (0.07713 for Configuration I and 0.04764 for Configuration II), so these
319 combinations of the design parameters are not a good choice. Nevertheless, this case has been presented
320 to better recognize the influence of the design parameters upon the objectives. It is noteworthy that, in
321 order to achieve the maximum thermal efficiency, the optimizer chose a combination of G_A and β for
322 which T_3 is very low, while the efficiency of the heat exchanger was lowered to the minimum possible
323 value (0.5) so as to penalize the efficiency of the topping cycle, thus increasing the heat recovery in the
324 bottoming cycle. The comparison with the former case leads to the conclusion that the efficiency of the
325 heat exchanger plays an important role in the trade off between the electrical power and the thermal
326 power, and the highest heat exchange efficiency will be mandatory only if the objective is the
327 maximization of the electrical power.

328 With regard to the two individuals characterized by the highest values of η_{tot} (0.6933 for Configuration
329 I and 0.6543 for Configuration II), Table 4 shows that the efficiency of the heat exchanger was slightly
330 reduced in comparison with the two individuals characterized by the highest values of η_{el} , so as to
331 increase T_6 and hence the thermal power transferred to the steam in the HRSG. In this case, the
332 combinations of p_E and T_E result from the limits imposed both to the dryness fraction of steam (see
333 constraint 1 in Table 2) and to the temperature of the exhaust gases exiting the HRSG, T_7 (see constraint
334 2 in Table 2); in particular, T_7 was decreased to the minimum allowed value (423 K) so as to increase
335 the production of water steam. In this case, the electric power of the bottoming cycle is greater than 15
336 kW (the maximum power of the “Green steam turbine”), so another steam turbine must be either selected
337 among those available or properly designed. It is noteworthy that, in the configuration with the NAHE,
338 the optimizer has favoured the thermal efficiency (equal to 0.4815) rather than the electrical efficiency

339 (equal to 0.1728). However, as shown in Figures 4 and 6, there are other possible combinations of η_{el}
340 and η_{th} that can lead to $\eta_{tot} > 0.60$ with $\eta_{el} > 0.2$.

		Maximum η_{el}		Maximum η_{tot}		Maximum η_{th}	
		Conf. I (IPHE)	Conf. II (NAHE)	Conf. I (IPHE)	Conf. II (NAHE)	Conf. I (IPHE)	Conf. II (NAHE)
<i>Design Parameters</i>							
G_a	kg/s	0.6804	0.7940	0.7940	0.8694	0.6426	0.9072
β	-	3	3.3	3.5	3.5	2.2	3
ε	-	0.8	0.8	0.75	0.7	0.5	0.5
p_E	bar	10	10	9	7.5	8.75	6.5
T_E	°C	225	225	215	205	195	210
<i>Constraints</i>							
T_3	K	1152	1024.1	1152	960.4	694.6	648.0
T_5	K	1320	1160.6	1369	1165	990.0	863.1
T_7	K	439.3	444.6	423	423.1	424.4	424.6
χ_s	-	1	1	0.9978	0.999	0.9813	1
<i>Thermal parameters</i>							
$\eta_{is,c}$	-	0.75	0.76	0.75	0.765	0.79	0.79
G_s	kg/s	0.0593	0.0563	0.104	0.102	0.0796	0.08980
G_b	kg/s	0.0189	0.01936	0.0261	0.0252	0.0169	0.0206
T_2	K	429.6	442.05	453.1	449.9	370.8	422.5
T_4	K	923.5	802.2	900.5	738.2	588.8	509.3
T_6	K	640.6	608.7	720	689.9	702.3	652.8
$G_b \cdot LHV$	kW	358.5	367.9	496.5	479.2	191.4	391.3
$P_{el,top}$	kW	76.54	68.1	89.78	64.81	10.10	3.773
$P_{el,bot}$	kW	12.05	11.36	20.03	17.98	14.73	14.87
P_{el}	kW	88.6	79.45	109.8	82.79	24.83	18.64
P_{th}	kW	134	127	234	231	176.4	204.8
P_{th} / P_{el}	-	1.513	1.5941	2.1344	2.787	7.103	10.98
<i>Performance</i>							
$\eta_{el,top}$	-	0.2134	0.185	0.1808	0.1352	0.03138	0.009640
$\eta_{el,bot}$	-	0.08238	0.08219	0.0786	0.0722	0.07694	0.06761
η_{el}	-	0.2471	0.2160	0.2212	0.1728	0.07713	0.04764
η_{th}	-	0.3738	0.3443	0.4611	0.4815	0.5479	0.5233
η_{tot}	-	0.6210	0.5603	0.6933	0.6543	0.6250	0.5710
PES	-	0.3130	0.227	0.3156	0.2266	-0.0665	-0.1839

341 *Table 4 – Parameters and efficiencies for the maximum η_{el} , η_{tot} and η_{th}*

342 An important index of performance is the Primary Energy Saving (PES), which estimates the total energy

343 saving that is possible to achieve by a cogeneration unit when compared with the separate generation of
 344 heat and power [25]. The PES values are reported in Table 4 and were calculated, assuming the reference
 345 electrical efficiency (denoted by $\eta_{el,ref}$) equal to 0.25 and the reference thermal efficiency ($\eta_{th,ref}$) equal
 346 to 0.8 (according to the guidelines of The European Directive 2004/8/EC [25]), as follows:

$$PES = 1 - \frac{1}{\frac{\eta_{el}}{\eta_{el,ref}} + \frac{\eta_{th}}{\eta_{th,ref}}} \quad (9)$$

347 The European Directive 2004/8/EC states that a new CHP plant can be classified as a high efficiency
 348 system if the primary energy saving is greater than 10% [25]. As shown in Table 4, the potential of the
 349 proposed plant is well above this limit, with the primary energy saving being equal to about 31% in
 350 Configuration I and 22% in Configuration II.

351 3.2 Results of the load following assessment

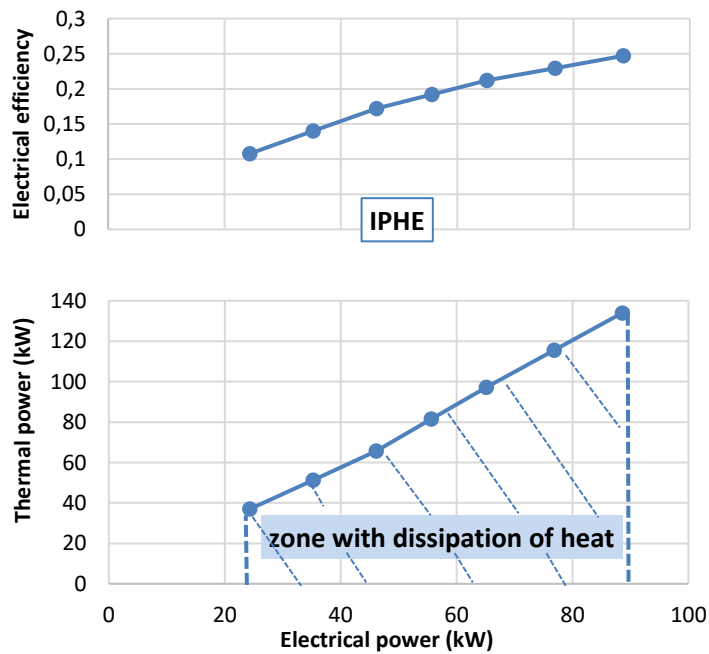
352 This section deals with the assessment of the load following capability of the proposed combined cycle.
 353 The compressor is not equipped with variable guide vanes, therefore the power level must be adjusted
 354 by only acting on the fuel flow. Several control algorithms capable of adjusting the fuel flow rate with
 355 high precision are available [26].

356 As discussed in Section 3.1, if the goal is to convert biomass into electricity more efficiently than other
 357 small CHP power plants, the operating parameters of the proposed power plant must be set equal to the
 358 design parameters of the individual characterized by the maximum value of η_{el} (see Table 4). In such a
 359 case, the performance of the power plant at partial load is shown in Figures 7 and 8, which report the
 360 operational field in the $P_{th} - P_{el}$ space and the electrical efficiency at partial load, for Configuration I
 361 (IPHE) and Configuration II (NAHE) respectively.

362 The points at partial load were obtained by utilizing the thermodynamic model described in Section 2.3
 363 with the assumption that the turbine must rotate at constant speed (note that a gear reducer needs to be
 364 interposed between the turbine and the electric generator because of the lower speed of the latter). The
 365 operating point (G_a, β) was moved along the constant speed curve (RPM = 71000 for Configuration I

366 and RPM = 75000 for Configuration II) in the compressor map, while maintaining $P_E=10$ bar , $T_E =$
367 225°C and $\varepsilon = 0.8$. In both cases, the electrical output was changed from the full load to 25% of the full
368 load and the results can apply both to thermal load and to electric load following. The operating line in
369 the $P_{th} - P_{el}$ space represents the maximum thermal power achievable for a fixed value of electrical
370 power, while the zone under this line represents the operating conditions for which the excess thermal
371 power can be dissipated by opening a by-pass system. It can be noted that, as occurs in ORC systems,
372 the reduction in load causes a consequential reduction in the electrical efficiency, and this is the reason
373 why a biomass-fuelled CHP plant is best suited for operating at its base load. However, it is noteworthy
374 that configuration I (IPHE) can guarantee a high electrical efficiency even at 50% of the full load, thus
375 allowing the effective utilization of the proposed power plant not only for base-load electrical demand
376 but also for variable thermal and/or electric load demand. The configuration with the NAHE can also
377 be used as load following power plant effectively; however, in such case, power changes must be limited
378 to 2/3 of the full load to avoid a high decrease in the electrical efficiency.

379



380

381 *Figure 7. Thermal power (bottom) and Electrical efficiency (top) vs Electrical power for the*
382 *individual with the maximum efficiency of Configuration I (IPHE)*

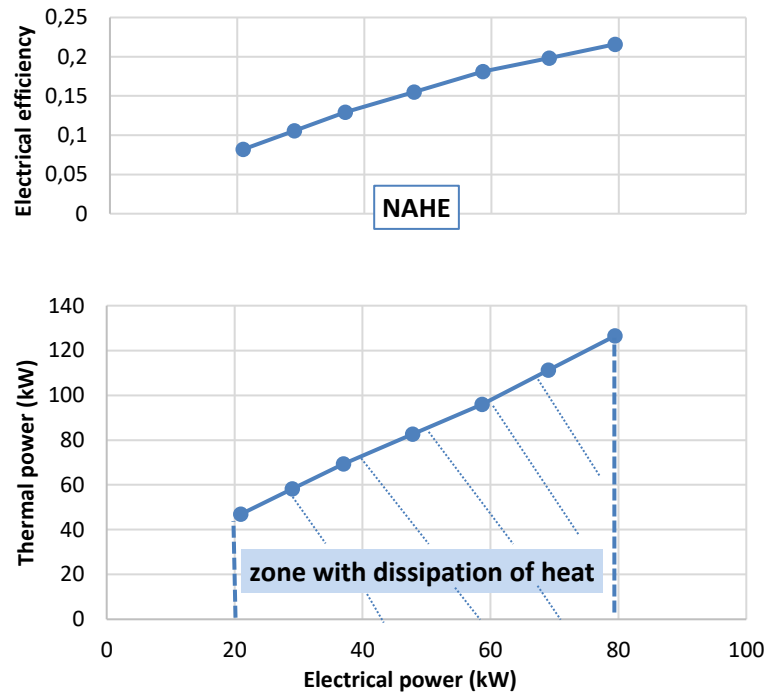


Figure 8. Thermal power (bottom) and Electrical efficiency (top) vs Electrical power for the individual with the maximum efficiency of Configuration II (NAHE)

4. Conclusions

The aim of this paper was to demonstrate the feasibility of small combined cycles for CHP generation from biomass by proposing novel configurations that are cost-effective and perform well. In the configurations proposed, the expensive microturbine is replaced by a cheap automotive turbocharger, which can be either directly connected to the electric generator or coupled with a commercial power turbine suited for generating the electrical power. Solid biomass or low quality biofuels are burned in an external combustor, and the heat exchange between the dirty flue gas and the working fluid of the topping cycle (air) can be performed by either the IPHE or a NAHE. In the bottoming cycle, the HRSG captures the residual heat from the high temperature exhaust gases to produce water steam; the steam expander can be selected among recent models of micro-steam turbines or volumetric expanders, which are more reliable and effective than in the past.

An optimization procedure, based on a genetic algorithm coupled with a calculation code, was utilized

400 to study the performance of a combined cycle employing a turbocharger-like module and the “Green
401 steam turbine”, capable of generating up to 15 kW with an isentropic efficiency of 50%. To avoid pitting
402 and corrosion with significant damages to the blades of the turbine, the HRSG must be equipped with a
403 superheater. Two design optimizations were performed according to two different configurations, which
404 are equal expect for the gas to gas heat exchanger employed, namely the IPHE or the NAHE. In both
405 configurations, the steam is expanded to 1 bar in order to allow high temperature heat recovery from its
406 condensation (100 °C). In order to explore the potential of the proposed configurations, the thermal
407 efficiency and the electrical efficiency were selected as the objectives to be maximized by the
408 optimization algorithm. The results of the optimization process have shown that the configurations with
409 the IPHE and with the NAHE have the potential to reach a maximum electrical efficiency of about 0.25
410 and 0.22, respectively. Concerning the maximum overall efficiency, a considerable value of 0.7 can be
411 achieved with the IPHE and 0.65 with the NAHE. The performance in terms of primary energy saving
412 (PES) is also very high in both cases, with the PES value being above 30% with the IPHE. Finally, it
413 was demonstrated that both configurations can effectively be used not only in base load applications,
414 but also in load following mode; in particular, it was shown that the configuration with the IPHE can
415 guarantee a high efficiency (about 20%) even at 50% of the full load.

416 A pilot plant for both configurations will be built, by means of a project funded by Apulia Region, at the
417 LabZero Research Centre of Polytechnic University of Bari in the south of Italy. The design of the IPHE
418 and the NAHE will be provided in part II of this paper.

419

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